

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Nineteenth meeting of the Conference of the Parties
Panama City (Panama), 14 – 25 November 2022

Strategic matters

LIVELIHOODS

1. This document has been submitted by the Standing Committee.*
2. At its 18th meeting (CoP18, Geneva, 2019), the Conference of the Parties adopted Decisions 18.33 to 18.37 on Livelihoods, as follows:

Directed to the Parties

18.33 *Parties are invited to:*

- a) *collate or conduct new case studies, using the standard template, that demonstrate how sustainable use of CITES-listed species contributes to the livelihoods of the indigenous peoples and local communities* involved in such use, including trade, and to the conservation of the species. Include examples of facilitating such involvement by wildlife-related authorities and other stakeholders and submit them to the Secretariat;*
- b) *engage indigenous peoples and local communities* in CITES decision-making and implementation processes at the national level to better achieve the objectives of the Convention; and*
- c) *where appropriate, incorporate issues related to CITES implementation and livelihoods into national wildlife conservation and socio-economic development plans, as well as in relevant projects being developed for external funding, including funding from the Global Environment Facility (GEF) through the Global Wildlife Program.*

Directed to the Standing Committee

18.34 *The Standing Committee shall establish an intersessional working group on CITES and livelihoods, which will work in collaboration with the Secretariat to:*

- a) *monitor the progress made by Parties in implementing Decision 18.33 to engage indigenous peoples and local communities* in CITES decision-making processes to better achieve the objectives of the Convention; and*
- b) *review the report of the Secretariat on the progress made under Decision 18.35 and on the implementation of Resolution Conf. 16.6 (Rev. CoP18) on CITES and livelihoods and make recommendations, as appropriate, to the 19th meeting of the Conference of the Parties.*

* The geographical designations employed in this document do not imply the expression of any opinion whatsoever on the part of the CITES Secretariat (or the United Nations Environment Programme) concerning the legal status of any country, territory, or area, or concerning the delimitation of its frontiers or boundaries. The responsibility for the contents of the document rests exclusively with its author.

Directed to the Secretariat

18.35 Subject to the availability of external financial resources, the Secretariat shall:

- a) support the collation or conduct of new case studies on CITES and livelihoods as described in Decision 18.33, paragraph a), and assist Parties to present the case studies in appropriate platforms, and in formats and manners that are most effective for targeted audiences;
- b) commission an independent review, with inputs of experts from different disciplines, of relevant case studies on CITES and livelihoods, both existing and new, as well as existing guidelines on sustainable use of wildlife and engagement of indigenous peoples and local communities*, to identify best practices;
- c) based on the review, prepare guidance on how to maximize the benefits for indigenous peoples and local communities* of CITES implementation and trade in CITES-listed species;
- d) taking into account past work on traceability reported in document CoP18 Doc. 42, explore the possibility of using registered marks of certification, existing and new, and other traceability mechanisms, for products of CITES-listed species produced by indigenous peoples and local communities* consistent with CITES provisions, in order to enhance conservation and livelihood outcomes;
- e) facilitate the organization of a workshop to review the guidance developed as described in paragraph c) above, to present new case studies on CITES and livelihoods, and to facilitate the exchange of experiences in collaboration with relevant international and regional organizations;
- f) organize the production of outreach materials, including publications and short videos based on the case studies, to raise awareness of and promote best practices in CITES implementation and livelihoods including its contribution to the United Nations Sustainable Development Goals (SDGs) and to share such materials on appropriate platforms, including the CITES website, social media channels, external media, and exhibitions; and
- g) make efforts to establish global partnerships with relevant international and regional organizations, including conservation organizations and development agencies to work together in activities regarding CITES and livelihoods.

18.36 The Secretariat shall report to the Standing Committee on progress made with regard to the implementation of Decision 18.35 and Resolution Conf. 16.6 (Rev. CoP18) on CITES and livelihoods.

18.37 Subject to the availability of external financial resources, the Secretariat shall organize a joint meeting of the intersessional working group on engagement of indigenous peoples and local communities* and the intersessional working group on CITES and livelihoods to support the implementation of Decisions 18.31 and 18.34.

* For the purpose of these Decisions, “indigenous peoples and local communities” is understood to include rural communities.

3. At the 74th meeting of the Standing Committee (SC74, Lyon, March 2022), the Standing Committee considered the report of the intersessional working group on livelihoods ([SC74 Doc. 21.1](#)) presented by Peru as Chair, and a report by the Secretariat ([SC74 Doc. 21.2](#)) on the implementation of Decision 18.35. The report of the intersessional working group on livelihoods provided an overview of the activities of the working group. Given that the questionnaire on the engagement of indigenous peoples and local communities (IPLCs) received limited response (refer to SC74 Doc. 20.2), the Chair of the livelihoods working group suggested that the consultation be repeated to obtain a wider overview of the efforts by Parties in engaging IPLCs in decision-making. Peru further suggested that the working groups on livelihoods and on engagement with IPLCs work in synergy.

4. The Secretariat's report on the implementation of Decision 18.35 included information relating to:
 - a) Sixteen case studies on CITES and livelihoods that demonstrate the contribution of well managed legal trade in CITES species to livelihoods and species conservation. The case studies cover a wide range of taxonomic groups of species including mammals, reptiles and medicinal plants, found in Africa, Asia, Central and South America and the Caribbean, and North America, representing different purposes and forms of use.
 - b) Draft guidance on how to maximize the benefits of trade in CITES species for IPLCs prepared on the basis of a review of nearly 50 existing case studies on CITES and livelihoods, summarizing 10 lessons learned from the case studies, and proposing six key strategies for maximizing benefits from trade in CITES-listed species to IPLCs. The draft guidance can be found in Annex 3.
 - c) A study that was undertaken to explore the use of registered marks, certification and traceability mechanisms for products of CITES-listed species produced by indigenous peoples and local communities to enhance conservation and livelihood outcomes. The study identifies a range of options of certification regimes that are in existence, from those governed by local community and national government, to business and multi-stakeholder voluntary standards. The report of the study can be found in Annex 4.
 - d) Short films showcasing selected successful CITES and livelihoods stories that were produced or being produced to raise awareness and promote best practices.
 - e) Steps taken by the Secretariat to strengthen its global partnerships by working together with partner organizations on initiatives sharing similar interests and mandates in order to achieve livelihoods-related objectives as outlined in Resolution Conf. 16.6 (Rev. CoP18) and in the context of the contribution of CITES to the Sustainable Development Goals.
5. The Standing Committee was not able to review the draft guidance and the study mentioned in paragraph 4 b) and c) above and members of Committee suggested instead that they should be reviewed in the next intersessional period.
6. As requested by the Standing Committee, the Chair of the Committee worked with the Secretariat and the Chair of the working group on livelihoods to draft revised decisions for submission to CoP19. The work undertaken by the Secretariat in terms of Decision 18.35 as well as the observations made by Parties and observers at SC74 reflected in paragraph 5 of the present document were taken into consideration in the revisions to the Decisions on *Livelihoods* contained in Annex 1. The proposed revisions also consolidated the decisions directed to the Secretariat.

Recommendations:

7. The Conference of the Parties is invited to
 - a) adopt the revised decisions contained in Annex 1 to the present document; and
 - b) delete Decision 18.37, whose provisions have been incorporated into paragraph b) of Decision 18.35 (Rev. CoP19), and 18.36, whose provisions have been incorporated into paragraph d) of Decision 18.35 (Rev. CoP19).

REVISED DECISIONS ON LIVELIHOODS

Added text shown underlined and deleted text shown in ~~strike through~~.

18.33 (Rev. CoP19) Directed to the Parties

Parties are invited to:

- a) collate or conduct new case studies, using the standard template, that demonstrate how sustainable use of CITES-listed species contributes to the livelihoods of the indigenous peoples and local communities* involved in such use, including trade, and to the conservation of the species. Include examples of facilitating such involvement by wildlife-related authorities and other stakeholders and submit them to the Secretariat ;
- b) engage indigenous peoples and local communities* in CITES decision-making and implementation processes at the national level to better achieve the objectives of the Convention; and
- c) where appropriate, incorporate issues related to CITES implementation and livelihoods into national wildlife conservation and socio-economic development plans, as well as in relevant projects being developed for external funding, including funding from the Global Environment Facility (GEF) through the ~~Global Wildlife Program~~ Wildlife Conservation for Development Integrated Programme.

18.34 (Rev. CoP19) Directed to the Standing Committee

The Standing Committee shall:

- a) establish an intersessional working group on CITES and livelihoods, which will work in collaboration with the Secretariat to:
 - ~~ia) monitor the progress made by Parties in implementing Decisions 18.33 to engage indigenous peoples and local communities in CITES decision-making processes to better achieve the objectives of the Convention; and review new case studies on CITES and livelihoods to draw best practices and lessons learned;~~
 - ii) review the draft Guidance on maximising benefits to Indigenous peoples and local communities from trade in CITES-listed species and provide recommendations to the Secretariat and the Standing Committee;
 - iii) review the report on Exploring the use of registered marks of certification and other traceability mechanisms for products of CITES-listed species produced by indigenous peoples and local communities to enhance conservation and livelihood outcomes, and provide recommendations to the Standing Committee, including possible next steps, as appropriate;
- b) review the report of the working group on livelihoods and the report of the Secretariat on the progress made under Decisions 18.35 (Rev. CoP19) and on the implementation of Resolution Conf. 16.6 (Rev. CoP18) on *CITES and livelihoods*; and make recommendations, as appropriate, to the ~~49~~20th meeting of the Conference of the Parties.

* For the purpose of these Decisions, "indigenous peoples and local communities" is understood to include rural communities.

18.35 (Rev. CoP19) Directed to the Secretariat

Subject to the availability of external financial resources, the Secretariat shall:

- a) support the collation ~~of~~ or conduct ~~of~~ new case studies on CITES and livelihoods as described in Decision 18.33 (Rev. CoP19), paragraph a), and assist Parties to present the case studies in appropriate platforms, and in formats and manners that are most effective for targeted audiences, including on the CITES website;
- ~~b) commission an independent review, with inputs of experts from different disciplines, of relevant case studies on CITES and livelihoods, both existing and new, as well as existing guidelines on sustainable use of wildlife and engagement of indigenous peoples and local communities*, to identify best practices;~~
- ~~c) based on the review, prepare guidance on how to maximize the benefits for indigenous peoples and local communities* of CITES implementation and trade in CITES-listed species;~~
- ~~d) taking into account past work on traceability reported in document CoP18 Doc. 42, explore the possibility of using registered marks of certification, existing and new, and other traceability mechanisms, for products of CITES-listed species produced by indigenous peoples and local communities* consistent with CITES provisions, in order to enhance conservation and livelihood outcomes;~~
- ~~eb) facilitate the organization workshop-organize a joint meeting of the intersessional working group on engagement of indigenous peoples and local communities and the intersessional working group on CITES and livelihoods to support the implementation of Decisions 18.31 (Rev. CoP19) and 18.34 (Rev. CoP19). to review the guidance developed as described in paragraph c) above, to present new case studies on CITES and livelihoods, and to facilitate the exchange of experiences in collaboration with relevant international and regional organizations;~~
- ~~fc) organize the production of outreach materials, including publications and short videos based on the case studies, to raise awareness of and promote best practices in CITES implementation and livelihoods including its contribution to the United Nations Sustainable Development Goals (SDGs) and to share such materials on appropriate platforms, including the CITES website, social media channels, external media, and exhibitions; and~~
- ~~g) make efforts to establish global partnerships with relevant international and regional organizations, including conservation organizations and development agencies to work together in activities regarding CITES and livelihoods.~~
- d) report to the Standing Committee on progress made with regard to the implementation of paragraphs a) – c) and Resolution Conf. 16.6 (Rev. CoP18) on *CITES and livelihoods*.

TENTATIVE BUDGET AND SOURCE OF FUNDING
FOR THE IMPLEMENTATION OF DRAFT RESOLUTIONS OR DECISIONS

According to Resolution Conf. 4.6 (Rev. CoP18) on *Submission of draft resolutions, draft decisions and other documents for meetings of the Conference of the Parties*, the Conference of the Parties decided that any draft resolutions or decisions submitted for consideration at a meeting of the Conference of the Parties that have budgetary and workload implications for the Secretariat or permanent committees must contain or be accompanied by a budget for the work involved and an indication of the source of funding. The Secretariat proposes the following tentative budget and source of funding.

The direct costs for the Secretariat's inputs in implementing Decision 19.CC are described below.

Direct costs:

- The organization of new case studies on livelihoods is estimated to cost USD 80,000.
- The development of 5 new short films of case studies on livelihoods is estimated to cost USD 100,000
- The organization of a face-to-face joint meeting of the intersessional working group on engagement of indigenous peoples and local communities and the intersessional working group on livelihoods is estimated to cost USD 60,000, which includes conference room and equipment, accommodation and international travel of participants.