

Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in [Resolution Conf. 11.17 \(Rev. CoP16\)](#) which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat
International Environment House
Chemin des Anémones 11-13
CH-1219 Châtelaine-Geneva
Switzerland

Email: info@cites.org
Tel: +41-(0)22-917-81-39/40
Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

| | |
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| Party | United Kingdom |
| Period covered in this report | 1 January 2018 – 31 December 2020 |
| Department or agency preparing this report | Department for Environment, Food & Rural Affairs (Defra) |
| Contributing departments, agencies and organizations | <p>Animal and Plant Health Agency (APHA)</p> <p>Royal Botanic Gardens – Kew (RBG Kew)</p> <p>Joint Nature Conservation Committee (JNCC)</p> <p>UK Border Force (UKBF)</p> <p>UK National Wildlife Crime Unit (NWCU)</p> <p>UK Overseas Territories (OT) and Crown Dependencies (CD):</p> <p>Government of Bermuda - Department of Environment and Natural Resources</p> <p>Cayman Islands Government - Ministry of Environment (CITES MA), Department of Environment, Department of Agriculture</p> <p>States of Guernsey - Committee for the Environment and Infrastructure, Guernsey Border Agency</p> <p>Isle of Man Government, Department of Environment, Food & Agriculture</p> <p>Tristan da Cunha Government</p> |

GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.
[All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.](#)

Indicator 1.1.1: [The number of Parties that are in category 1 under the national legislation project.](#)

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| 1.1.1a | <p>Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', have you shared information with the Secretariat? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Applicable <input type="checkbox"/></p> <p>If 'No', please provide details to the Secretariat with this report:</p> <p>Legislation has come into force during this reporting period that ensures the continued implementation of CITES following the departure of the UK from the EU and the end of the Transition Period (31 December 2020). Prior to the UK leaving the EU, CITES was implemented in the UK through directly-applicable EU Wildlife Trade Regulations (EUWTR). Now that the Transition Period has ended, the EUWTR have become retained EU law in Great Britain but continue to apply to the UK in respect of Northern Ireland. There have been no changes to policy or any reduction in environmental standards.</p> <p>The UK's Overseas Territories and Crown Dependencies made good progress towards putting in place Category 1 legislation under the National Legislation Project (NLP). A comprehensive update was provided by email to the CITES Secretariat on 7 July 2020.</p> |
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| | <p>For example, the Control of Endangered Species etc. (Bailiwick of Guernsey) Ordinance, 2016 entered into force on 4 April 2019 and achieved Category 1 status under the NLP.</p> <p>Tristan da Cunha also enacted its Control of Trade in Endangered Species Ordinance 2019 on 7 July 2020.</p> |
| 1.1.1b | <p>Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'No', please provide details of the constraints faced:</p> <p>Some of the UK territories have small administrations and other capacity constraints that may hinder their ability to amend their national laws within the 90 day period.</p> |

Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.
[Aichi Target 3.](#)

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

| | | Yes | No | No information |
|--------|---|-------------------------------------|-------------------------------------|--------------------------|
| 1.2.1a | Do you have standard operating procedures for application for and issuance of permits? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Are the procedures publicly available? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 1.2.1b | Do you have: | | | |
| | Electronic data management and a paper-based permit issuance system? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | Electronic permit information exchange to Management Authorities of all countries? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | Electronic permit data exchange between Management Authorities and customs? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | Electronic permit used to cross border with electronic validation by customs? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | If 'Yes' to any of the above, please provide information on challenges faced or issues overcome: | | | |
| | If 'No', do you have any plans to move towards e-permitting ¹ ? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | If you are planning to move towards e-permitting, please explain what might help you to do so: | | | |
| | The UK does have plans to move towards e-permitting and is in the early stages of development with a view to facilitating electronic permit information exchange. | | | |

¹ e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in [Resolution Conf. 12.3 \(Rev. CoP16\)](#).

| 1.2.2a | Has your country developed simplified procedures for any of the following? | Tick all applicable | | |
|--------|--|-------------------------------------|-------------------------------------|--------------------------|
| | | Yes | No | No information |
| | Where biological samples of the type and size specified in Annex 4 of Resolution Conf. 12.3 (Rev. CoP16) are urgently required. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | For the issuance of pre-Convention certificates or equivalent documents in accordance with Article VII , paragraph 2. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII , paragraph 5. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII , paragraph 4. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details: | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

Objective 1.3 Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties.
All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.

Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.

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| 1.3.1a | <p>Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see [link to location on the CITES website where the reporting requirements are listed])?</p> <p style="text-align: right;"> Responses provided to ALL relevant reporting requirements <input checked="" type="checkbox"/> Responses provided to SOME of the relevant reporting requirements <input type="checkbox"/> Responses provided to NONE of the relevant reporting requirements <input type="checkbox"/> No special reporting requirements applicable <input type="checkbox"/> </p> <p>The UK has responded to all regular reporting requirements. The UK has also responded to relevant notifications resulting from the Resolutions and Decisions of the Conference of the Parties, and Standing Committee recommendations.</p> <p>UK Overseas Territories do not respond to reporting requirements directly. The UK MA commissions information from the relevant overseas territories and crown dependencies and submits information on their behalf as part of the UK response.</p> |
| 1.3.1b | <p>Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?</p> <p>Resolution Conf. 14.6 (CoP16) – Introduction from the sea Paragraph 4 of Res. Conf. 14.6 makes provision for the making of non-detriment findings (NDFs), as follows: <i>FURTHER RECOMMENDS that, in the case of an export of Appendix-II specimens, the Scientific Authority of the State of export, in making its non-detriment finding, consult with other</i></p> |

national scientific authorities or, when appropriate, international scientific authorities. However, the Convention provides no guidance on how the consultation with international scientific authorities suggested in Article IV.7 or in Res.Conf.14.6 might take place nor how total numbers of specimens to be introduced should be determined. Whilst some guidance has been developed by Parties with respect to the making of non-detriment findings for shark species, the practicalities around making collective NDFs for species taken in the high seas by vessels from multiple Parties remains a problem.

Dalbergia spp listings

The listing of *Dalbergia* spp led to an increase in the volume of applications and a tense relationship with the musical instrument industry who felt they were not consulted in the process of the listing of the species. The change of Annotation #15 at CoP18 removed some of this pressure by exempting musical instruments. However, the change in #15 paragraph (b) and a lack of clear definitions has led to increased workload in liaising with applicants and industry and large shipments getting through the current loophole that has been created.

Objective 1.4 The Appendices correctly reflect the conservation needs of species.
[Aichi Target 1](#), [Target12](#), [Target 14](#) and [Target 19](#).

1.4.1: The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

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| 1.4.1a | <p>Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):</p> <p>JNCC (SA for fauna) and Kew (SA for flora) contributed to reviews of whether species would benefit from listing at CoP18 in the wider context of their appraisal by the European Union (EU) through the EU Scientific Review Group (SRG).</p> |
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Objective 1.5 Best available scientific information is the basis for non-detriment findings.
[Aichi Target 2](#), [Target 4](#), [Target 5](#), [Target 6](#), [Target 7](#), [Target 9](#), [Target 12](#) and [Target 14](#).

Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:

- the population status of Appendix-II species;
- the trends and impact of trade upon Appendix-II species; and
- the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

| 1.5.1a | Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to: | Yes | No | Not Applicable | If Yes, How many? |
|--------|--|-------------------------------------|-------------------------------------|--------------------------|--------------------------|
| | - the population status of Appendix II species? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | - the trends and impact of trade on Appendix II species? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | - the status of and trend in naturally-occurring Appendix I species? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | - the impact of any recovery plans on Appendix I species? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

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| | <p>If there are such studies that you are willing to share, please provide:</p> <p>A brief summary of the results of the survey, study or other analysis (e.g. population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.</p> <p>Species name (scientific) <i>Anguilla anguilla</i></p> <p>Current version as provided to the European Union (May 2020): https://ec.europa.eu/transparency/regexpert/index.cfm?do=groupDetail.groupMeetingDoc&docid=42076</p> <p>UK Native Species</p> <p><u>UK Biodiversity Indicators JNCC - Adviser to Government on Nature Conservation</u></p> <p><i>Chelonia mydas</i></p> <p>The Bermuda Turtle Project 2019 report¹ shows a decline in catch per unit effort for <i>Chelonia mydas</i> at 10 of 12 of their annual sampling sites. The decline at each of these sites coincides with the disappearance of seagrass², the green turtles' primary food source.</p> <p>¹Meylan et al. 2020. Progress report to the Bermuda Department of Environment and Natural Resources from the Bermuda Turtle Project on results from sampling through 2019.</p> <p>²Fourqurean et al. Decadal monitoring in Bermuda shows a widespread loss of seagrasses attributable to overgrazing by green sea turtle <i>Chelonia mydas</i>. <i>Estuaries and Coasts</i> (2019) 42:1524–1540</p> |
| 1.5.1b | <p>How are the results of such surveys, studies or other analyses used in making non-detriment findings (NDFs)? Please tick all that apply</p> <p>Revised harvest or export quotas <input checked="" type="checkbox"/></p> <p>Banning export <input checked="" type="checkbox"/></p> <p>Stricter domestic measures <input checked="" type="checkbox"/></p> <p>Changed management of the species <input checked="" type="checkbox"/></p> <p>Discussion with Management Authorities <input checked="" type="checkbox"/></p> <p>Discussion with other stakeholders? <input checked="" type="checkbox"/></p> <p>Other (please provide a short summary):</p> <p>The UK does not typically export wild-taken native fauna (with the exception of <i>Anguilla anguilla</i>) and although several studies on UK Appendix I and II species have been undertaken, these were not conducted in order to underpin a CITES non detriment finding.</p> |
| 1.5.1c | <p>Do you have specific conservation measures or recovery plans for naturally occurring Appendix-I listed species?</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not Applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>If 'Yes', please provide a brief summary, including, if possible, an evaluation of their impact:</p> <p>England has five year reintroduction programme of the white tailed eagle - https://www.forestryengland.uk/blog/white-tailed-eagle-reintroduction-isle-wight-august-2019</p> <p>Management Plan for green turtles and hawksbill turtles on Bermuda (2014). Identifies the short term (5 yr) and longer term (25 yr) management goals that describe local activities which will protect the species and their habitats.</p> |

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| 1.5.1d | <p>Have you published any non-detriment findings that can be shared? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please provide links or examples to the Secretariat within this report:</p> | | |
| <p>We have provided a link to the current version of our NDF for European eel in question 1.5.1.a. Whilst this is publicly available, it might be subject to further revision pending comments from the Animals Committee (under Decision 18.197.a) or other peer review. We will provide a final version to Secretariat for publication on the CITES website in due course.</p> | | | |
| 1.5.1e | <p>Which of the following (A to F of paragraph a) x) of Resolution Conf. 16.7) do you use in making non-detriment findings?</p> | Yes | No |
| <p>A. relevant scientific literature concerning species biology, life history, distribution and population trends.</p> | | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <p>B. details of any ecological risk assessments conducted.</p> | | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <p>C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.</p> | | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <p>D. relevant knowledge and expertise of local and indigenous communities.</p> | | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <p>E. consultations with relevant local, regional and international experts.</p> | | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <p>F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.</p> | | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

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|---|--|--|----------------------------|--------------------------|-------------------------------------|---|-------------------------------------|--------------------------|-------------------------------------|--------------------------|-------------------------------------|---------------------------|-------------------------------------|-------|-------------------------------------|-------------------------------------|
| 1.5.2a | <table border="0" style="width: 100%;"> <tr> <td></td> <td style="text-align: center;">Yes</td> <td style="text-align: center;">No</td> <td style="text-align: center;">No information</td> </tr> <tr> <td>Do you have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7?</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table> <p>If 'Yes', please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:</p> <p><u>JNCC (SA for fauna):</u></p> <p>JNCC uses all relevant NDF guidance when making non-detriment findings including the non-binding guidance and principles within Conference Resolution 16.7 and species-specific guidance on the CITES website. In the UK we implement stricter domestic measures which means that we have to make NDF on imports, as well as exports, of App II species (in accordance with Article 4 of EC Regulation 338/97 and 865/2006 (NB: as per section 1.1.1a this has become Retained EU Law in Great Britain since 1 January 2021). We (JNCC) have a standard template we use to facilitate the process of making NDFs, which takes into account all the factors and considerations highlighted in Res Conf 16.7. and follow strict quality assurance procedures. Records of the science-based rationale used in our non-detriment finding assessments are maintained.</p> <p><u>Kew (SA for flora):</u></p> <p>The following link outlines the procedure used by Kew for making NDFs: https://www.bfn.de/en/activities/species-conservation/species-conservation-legislation-and-conventions/cites/perennial-plants.html</p> | | Yes | No | No information | Do you have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | | | | | | |
| | Yes | No | No information | | | | | | | | | | | | | |
| Do you have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | | | | | | | | | | | | |
| 1.5.2b | <p>When establishing non-detriment findings, have any of the following guidance been used?</p> <table border="0" style="width: 100%;"> <tr> <td></td> <td style="text-align: right;">Please tick all that apply</td> </tr> <tr> <td style="text-align: right;">Virtual College</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="text-align: right;">IUCN Checklist</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="text-align: right;">Resolution Conf. 16.7</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="text-align: right;">2008 NDF workshop</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="text-align: right;">Species specific guidance</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="text-align: right;">Other</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> <p>If 'Other' or 'Species specific guidance', please specify details:</p> <p>The 9-Step Perennial Plant Guide and the timber NDF guidance.</p> | | Please tick all that apply | Virtual College | <input checked="" type="checkbox"/> | IUCN Checklist | <input checked="" type="checkbox"/> | Resolution Conf. 16.7 | <input checked="" type="checkbox"/> | 2008 NDF workshop | <input checked="" type="checkbox"/> | Species specific guidance | <input checked="" type="checkbox"/> | Other | <input checked="" type="checkbox"/> | |
| | Please tick all that apply | | | | | | | | | | | | | | | |
| Virtual College | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | | |
| IUCN Checklist | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | | |
| Resolution Conf. 16.7 | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | | |
| 2008 NDF workshop | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | | |
| Species specific guidance | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | | |
| Other | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | | |
| 1.5.2c | <table border="0" style="width: 100%;"> <tr> <td>How often do you review and/or change your non-detriment findings?</td> <td style="text-align: center;">Case by case</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td></td> <td style="text-align: center;">Annually</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td></td> <td style="text-align: center;">Every two years</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td></td> <td style="text-align: center;">Less frequently</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td></td> <td style="text-align: center;">A mix of the above</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> <p>Please describe the circumstances under which non-detriment findings would be changed:</p> <ul style="list-style-type: none"> • When new evidence or information / data comes to light that suggests a change of opinion may be warranted, for example: a change in trade trend/volumes, new population status survey, new harvest location, new IUCN Red List assessment, reports of illegal trade, etc. • Species infrequently found in trade and whose NDFs haven't been reviewed for more than 2-3 years would routinely be reviewed and documented to ensure they reflect the most recent scientific evidence. | How often do you review and/or change your non-detriment findings? | Case by case | <input type="checkbox"/> | | Annually | <input type="checkbox"/> | | Every two years | <input type="checkbox"/> | | Less frequently | <input type="checkbox"/> | | A mix of the above | <input checked="" type="checkbox"/> |
| How often do you review and/or change your non-detriment findings? | Case by case | <input type="checkbox"/> | | | | | | | | | | | | | | |
| | Annually | <input type="checkbox"/> | | | | | | | | | | | | | | |
| | Every two years | <input type="checkbox"/> | | | | | | | | | | | | | | |
| | Less frequently | <input type="checkbox"/> | | | | | | | | | | | | | | |
| | A mix of the above | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | |

- Species frequently found in trade and/or more vulnerable to over exploitation would have their NDFs reviewed more regularly, at least annually, but potentially on a case-by case basis.
- Lastly, we scan through our NDFs and select species we determine require further research or where gaps existed in the NDF. Subject to capacity we dedicate time to researching to see if any new information is available or if we have made new contacts who may be able to help.

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

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| 1.5.3a | <p>Do you set annual export quotas?</p> <p>If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:</p> <p>Species Name (scientific) Anguilla anguilla</p> | <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Population Survey? <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> | <p>Other, please specify Export quota set at 0 for this reporting period.</p> |
| 1.5.3b | <p>Have annual export quotas been set at levels which will ensure sustainable production and consumption?</p> <p>If 'Yes', please describe how this fits into your non-detriment finding process:</p> <p>No sustainable export was considered possible by EU Member States during this period and so the quota was set at 0.</p> | <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> | |

Objective 1.6 Parties cooperate in managing shared wildlife resources.
 Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.

Indicator 1.6.1: The number of bilateral and multilateral agreements that specifically provide for co-management of shared CITES listed species by range States.

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| 1.6.1a | <p>Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:</p> <p>The Convention on Migratory Species (CMS) (and relevant subsidiary agreements) - https://www.cms.int/</p> |
|--------|---|

Indicator 1.6.2: The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

| 1.6.2a | <p>Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species.</p> <p>Through its former membership of the EU, the UK has been involved in (since 1993) the development of Species Action Plans for around 50 bird species listed in Annex I of the Birds Directive, many of which are also CITES-listed. The plans provide information about the status, ecology, threats of each species and describe the key actions that are required to improve their conservation status in Europe. https://ec.europa.eu/environment/nature/conservation/wildbirds/action_plans/index_en.htm</p> <p>The UK has been involved in the development of the EU's Strategic Approach to Raptor Conservation as a contracting party to the Memorandum of Understanding on the Conservation of Migratory Birds of Prey in Africa and Eurasia .</p> <p>Eel Recovery Regulation 1100-2007 - COUNCIL REGULATION (EC) No 1100/2007 of 18 September 2007 establishing measures for the recovery of the stock of European eel (<i>Anguilla anguilla</i>) and under which we have a range of UK eel management plans (some of which are cross-border with the Republic of Ireland).</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%; text-align: left;">Species Name (scientific)</th> <th style="width: 40%; text-align: left;">Link or reference to a published plan</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> </tr> </tbody> </table> | Species Name (scientific) | Link or reference to a published plan | | |
|---------------------------|--|---------------------------|---------------------------------------|--|--|
| Species Name (scientific) | Link or reference to a published plan | | | | |
| | | | | | |

Indicator 1.6.3: The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

| | |
|--------|--|
| 1.6.3a | Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources? |
|--------|--|

| Please tick boxes to indicate which target group and which activity. | | | | | | |
|--|---------------------------------|--------------------------|--------------------------|--------------------------|--------------------------|---|
| Target group | Oral or written advice/guidance | Technical assistance | Financial assistance | Training | Other (specify) | What were the external sources ¹ ? |
| Staff of Management Authority | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | NA |
| Staff of Scientific Authority | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | NA |
| Staff of enforcement authorities | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | NA |
| Traders | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | NA |
| NGOs | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | NA |
| Public | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | NA |
| Other (please specify): | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | NA |

¹ Please provide the names of Parties, and any non-Parties, involved.

| 1.6.3b | Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States? |
|--|---|
| Please tick boxes to indicate which target group and which activity. | |
| Target group | <input type="checkbox"/> Oral or written advice/guidance <input type="checkbox"/> Technical assistance <input type="checkbox"/> Financial assistance <input type="checkbox"/> Training <input type="checkbox"/> Other (specify) |
| | Details |
| Staff of Management Authority | <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> -Mongolia (2019) x 3 courses -South Africa (2018) UKBF hosted delegation from CITES M.A. -UAE (2020) UKBF hosted group from UAE CITES M.A./S.A. -Several IWT Challenge Fund projects in a number of beneficiary countries |
| Staff of Scientific Authority | <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> -Hong Kong 2018 -UAE (2020) UKBF hosted group from UAE CITES M.A./S.A. -JNCC (UKSA for fauna) - Regular advice and guidance provided to UK Overseas Territories and Crown Dependencies and SAs in EU Member States. |
| Staff of enforcement authorities | <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> -Mexico (2018) Multiple enforcement authorities -South Africa (2018) Regional enforcement workshop with China Customs. -Mongolia (2018) Customs -Hong Kong (2018) Police & Customs -Thailand (2018) UKBF hosted two delegations of Thai Customs. -Malawi (2019) Malawi Revenue Authority (Customs) -Mongolia (2019) x 3 courses to police customs & border guard -Europol Seminar (2020) delivered to 27 countries -Several IWT Challenge Fund projects in a number of beneficiary countries |

| | | | | | | |
|--------------------------------------|-------------------------------------|-------------------------------------|--------------------------|--------------------------|--------------------------|--|
| Traders | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | -We provide advice and technical assistance to traders relating to movements of CITES specimens into the UK. |
| NGOs | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| Public | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | -We provide advice and technical assistance to members of the public relating to movements of CITES specimens into the UK. |
| Other Parties/International meetings | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| Other (please specify) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

1.6.3c

In what ways do you collaborate with other CITES Parties?

| | Never | Rarely | Sometimes | Very Often | Always | Further detail / examples |
|----------------------|--------------------------|-------------------------------------|-------------------------------------|-------------------------------------|--------------------------|---|
| Information exchange | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | Email correspondence and virtual meetings with other CITES parties to validate CITES certificates and in relation to licence applications and NDFs. |
| Monitoring / survey | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Sharing data and examples of best practice. |
| Habitat management | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Sharing data and examples of best practice and funding projects under Darwin Initiative. |
| Species management | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Sharing data and examples of best practise and funding projects under Darwin Initiative and Illegal Wildlife Trade (IWT) Challenge Fund. |
| Law enforcement | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Notification of seizures and Enforcement Working Group. |
| Capacity building | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | The UK collaborates with other CITES parties and helps build capacity through the IWT Challenge Fund Projects and the Darwin Initiative. |

Other (please provide details)
 2018 UK hosted INTERPOL wildlife crime working group (WCWG) conference at Lancaster House.
 2019 UKBF attended, presented and moderated at INTERPOL WCWG in Singapore.
 2020 UKBF Attended and moderated on virtual INTERPOL WCWG.

Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade.
 Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.

Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:
 – an international enforcement strategy and/or action plan;
 – formal international cooperation, such as an international enforcement network;
 – a national enforcement strategy and/or action plan; and
 – formal national interagency cooperation, such as a national interagency enforcement committee.

| 1.7.1a | Do you have, are you engaged in, or covered by: | Yes | No | No Information |
|--------|---|-------------------------------------|--------------------------|--------------------------|
| | – an international enforcement strategy and/or action plan? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | – formal international cooperation, such as an international enforcement network? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | – a national enforcement strategy and/or action plan? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | – formal national interagency cooperation, such as a national interagency enforcement committee? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | If 'Yes' to any of the above, please specify the level of engagement and provide additional details: <ul style="list-style-type: none"> • EU Wildlife Action Plan on IWT & UK Wildlife priorities which includes CITES. • EU Wildlife Enforcement group (EU regional WEN) meets twice a year. • Multi Agency UK Wildlife Tasking & Coordination Group meets twice a year to discuss UK CITES priorities chaired by Police Chief Constable. • Multi Agency CITES priority delivery group meets 2/3 times a year chaired by UK Border Force to implement enforcement initiatives on UK CITES priority species. <p>The UK is working to tackle the Illegal Wildlife Trade (IWT) from all angles. We have invested over £15 million ODA (FY 2018-2020) on international efforts to protect endangered animals and plants from poaching and illegal trade.</p> <p>Projects cover a multitude of activities, including: training rangers and border force agents, campaigns to reduce the demand for products in key markets, support for legislative reform to increase conviction rates and penalties for wildlife crime, and helping communities to protect their wildlife. IWTCF projects can be found here.</p> | | | |

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

| | | | |
|--------|---|---------------------------------------|-------------------------------------|
| 1.7.2a | Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)? | Yes | <input checked="" type="checkbox"/> |
| | | No, but review is under consideration | <input type="checkbox"/> |
| | | No | <input type="checkbox"/> |
| | | No information | <input type="checkbox"/> |
| | If 'Yes', what do you do? | | |

| | |
|--------|---|
| | <p>The Multi - Agency UK Wildlife Tasking & Coordination Group meets twice a year to discuss UK CITES priorities chaired by Police Chief Constable, and the Multi -Agency CITES priority delivery group meets 2/3 times a year chaired by UK Border Force to approve operational IWT engagement.</p> <p><u>Bermuda (UKOT):</u> Review each case to determine whether enforcement was effective and determine obstacles to success or improvement.</p> <p>If 'Yes' or 'No, but review is under consideration', which tools do you find of value?</p> |
| 1.7.2b | <p>Have you used the International Consortium on Combating Wildlife Crime (ICWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?</p> <p>Yes <input type="checkbox"/></p> <p>No, but toolkit use is under consideration <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>If 'Yes', please provide feedback on the parts of the toolkit used and how useful the toolkit or equivalent tools have been. Please specify improvements that could be made:</p> <p>If 'No', please provide feedback on why not or what is needed to make the toolkit or equivalent tools useful to you:</p> <p>The toolkit was not used over this reporting period but the UK officially launched the application of the ICCWC toolkit on 2 March 2021.</p> |

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

| | | |
|--------|--|--|
| 1.7.3a | <p>Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?</p> <p>If 'Yes', please provide the title of the legislation and a summary of the penalties available</p> <p>The Control of Trade in Endangered Species Regulations 2018 (COTES) http://www.legislation.gov.uk/uksi/2018/703/contents/made If offences are committed under COTES legislation, they are punishable by up to five years imprisonment.</p> <p>Customs & Excise Management Act 1979 (CEMA) https://www.legislation.gov.uk/ukpga/1979/2/contents Up to seven years imprisonment and or an unlimited fine. For FLEGT offences up to 3 years imprisonment.</p> <p><u>Bermuda (UKOT):</u> Endangered Plants and Animals Act 2006 Penalties up to US\$1million, 3-5 years imprisonment</p> <p><u>Cayman Islands (UKOT):</u> Endangered Species (Trade & Transport) Law (2017 Rev) Maximum penalty KYD\$500,000 & 4 years prison</p> <p><u>Guernsey (UKCD):</u> The Control of Endangered Species etc. (Bailiwick of</p> | <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> |
|--------|--|--|

| | | |
|--------|--|--|
| | Guernsey) Ordinance, 2016 Up to 5 years Imprisonment and a maximum fine of £10,000 | |
| 1.7.3b | <p>Are criminal offences such as poaching and wildlife trafficking recognized as serious crime¹ in your country?</p> <p>If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes:</p> <p>Wildlife crimes are recognised as serious in the UK. As such, if criminal offences are committed under COTES legislation, they are punishable by up to five years imprisonment, and if they are committed under CEMA legislation, they are punishable by up to seven years imprisonment.</p> <p>IWT crime can be a predicate offence for money laundering in the UK which is prosecuted through legislation largely contained in the Proceeds of Crime Act 2010 and the Criminal Finances Act 2017. Criminal assets including finances resulting from IWT can be seized in the UK under the Proceeds of Crime Act 2010 when it can be shown that criminals are making money from their criminal conduct.</p> <p>The Wildlife and Countryside Act 1981 (WCA) also makes it an offence to intentionally kill, injure, take from the wild, possess or have control of any species listed in schedule 5 to the act. https://www.legislation.gov.uk/title/wildlife%20and%20countryside%20act</p> <p><u>Bermuda (UKOT):</u> Poaching and trafficking are included in the definition of 'trade' and are therefore regulated.</p> <p><u>Guernsey (UKCD):</u> The Control of Endangered Species etc. (Bailiwick of Guernsey) Ordinance, 2016 includes provisions on the organisation or facilitation of unlawful trade in CITES specimens outside of the Bailiwick of Guernsey.</p> | <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> |
| 1.7.3c | <p>Do you have capacity to use forensic technology² to support the investigation of CITES offences?</p> <p>If 'Yes', please provide a brief summary of any samples from CITES-listed species that were collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report:</p> <p>Please see Appendix A.</p> <p>If 'Yes', and your country has an appropriate forensic analysis facility for CITES-listed species, please indicate which species it applies to:</p> <p>Please see Appendix A.</p> | <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> |
| 1.7.3d | <p>Did your authorities participate in or initiate any multi-disciplinary³ law enforcement operation(s) targeting CITES-listed species during the period covered in this report?</p> <p>If 'Yes', please provide a brief summary, including any lessons learned which might be helpful for</p> | <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> |

¹ The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

² Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

³ A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

other Parties:

- **Operation Thunderstorm 2018** - Global enforcement operation coordinated by Interpol/WCO. Seizure results already published. BF action was conducted throughout the UK. Presentation on Thunderstorm to Interpol fisheries group in Copenhagen delivered by BF.
- **Operation Thunderball 2019** - Global enforcement operation coordinated by Interpol/WCO. Seizure results already published. BF action was conducted throughout the UK.
- **Operation Blizzard 2019** - Global enforcement operation on illegal reptile smuggling coordinated by Interpol WCWG. Seizure results already published. BF action was conducted mainly at Heathrow BCP.
- **Operation Thunder 2020** - Global enforcement operation coordinated by Interpol/WCO. Seizure results already published. BF action was conducted throughout the UK.
- **Operation Lake 2018, 2019, 2020** – This is an ongoing operation coordinated by Europol focussing entirely on *Anguilla anguilla* (European eel). BF & NWCU working in partnership on this. BF Attended RICAM meeting at INTERPOL HQ, Lyon on Op Lake (2018). Since 2017 the NWCU has coordinated an annual pre-season briefing meeting involving all the relevant enforcement authorities within the UK, it has also provided an operational order for use by all agencies.
- **Operation Eel-icit** - As well as looking at the illegal export of live glass eels, the NWCU and Border Force have been actively monitoring the import of smoked eel into the UK as part of Operation Eel-licit, a worldwide operation initiated by the US Fish & Wildlife Service to look at the illegal import of European eel meat from China. In 2019 the NWCU, working in partnership with APHA wildlife inspectors, carried out a number of inspections on premises believed to be importing and distributing smoked eel. DNA analysis by SASA of the samples obtained, revealed that European eel had been imported from China into the UK.

| | | | | | | | |
|--------|--|-------------------------------------|-------------------------------------|--------------------------|--|----------------|--------------------------|
| 1.7.3e | Do you have a standard operating procedure among relevant agencies for submitting information related to CITES offences to INTERPOL and/or the World Customs Organization? | Yes | <input checked="" type="checkbox"/> | No | <input type="checkbox"/> | No information | <input type="checkbox"/> |
| 1.7.3f | Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate? | Yes | No | No information | If yes, how many times was this used during the period covered by this report? | | |
| | General crime ¹ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | This info is not stored centrally so an accurate figure cannot be provided. | | |
| | Predicate offences ² | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | This info is not stored centrally so an accurate figure cannot be provided. | | |
| | Asset forfeiture ³ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | This info is not stored centrally so an accurate figure cannot be provided. | | |
| | Corruption ⁴ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | This info is not | | |

¹ General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

² Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

³ Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

⁴ Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

| | | | | |
|--|-------------------------------------|--------------------------|--------------------------|---|
| | | | | stored centrally so an accurate figure cannot be provided. |
| International cooperation in criminal matters ¹ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | This info is not stored centrally so an accurate figure cannot be provided. |
| Organized crime ² | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | This info is not stored centrally so an accurate figure cannot be provided. |
| Specialized investigation techniques ³ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | This info is not stored centrally so an accurate figure cannot be provided. |
| <p>If 'Yes' to any of the above, please explain how each is used for CITES offences? Please provide a brief summary, including any lessons learned which might be helpful for other Parties:</p> <p><u>Asset Forfeiture Legislation:</u></p> <p>In the UK the Proceeds of Crime Act (POCA) provides for the confiscation of the proceeds from crime. During this reporting period the UK was able to use this legislative provision on several occasions:</p> <p>1) Timothy Norris - ebay trader sold fur coats. Convicted at Croydon Crown Court September 2018 - POCA confiscation: £45,000 https://www.nwcu.police.uk/news/wildlife-crime-press-coverage/london-trader-sentenced-after-endangered-leopard-and-wolf-fur-coats-seized/</p> <p>2) Stephanie Scolaro – Instagram trader illegally imported and sold python skin products. Convicted / sentenced 21/01/19 Southwark Crown Court - POCA confiscation: £3,042 https://www.nwcu.police.uk/index.php?s=scolaro</p> <p>Two further cases are currently undergoing POCA considerations:</p> <ul style="list-style-type: none"> • <u>Operation RAMBLER</u> In August 2020, a Lancashire taxidermy dealer who traded illegally in critically endangered species was sentenced to a total of 56 weeks in custody under COTES Regulations. Arron Halstead had been offering to sell a black rhino skull, a sawfish rostrum and a sperm whale tooth without the required permits. This was the third occasion that this offender had come to the attention of the police and the NWCU. In 2015 Halstead was given a 24-week prison sentence for the prohibited trade in endangered species. He is believed to be the first person in the UK to be imprisoned twice for offences under the COTES Regulations. <u>POCA ongoing - likely to result in a confiscation amount of around £100K.</u> https://www.nwcu.police.uk/news/wildlife-crime-press-coverage/burnley-taxidermy-dealer-jailed-for-the-second-time-for-illegal-trade-in-critically-endangered-species/ | | | | |

¹ International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

² Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

³ Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

| | | | | | | | | | | |
|--|---|--|-----|-------------------------------------|--|----|--------------------------|--|----------------|--------------------------|
| | <ul style="list-style-type: none"> • Met Police – Ivory case Buckle & Or – sentenced at Inner London Crown Court on 23/09/2019 for illegally exporting carved ivory fans to Hong Kong and America. POCA ongoing – listed for October 2021. https://www.nwcu.police.uk/news/nwcu-police-press-releases/two-men-sentenced-for-illegally-exporting-carved-ivory-fans-met-police/ <p>These cases show that strong controls are in place to protect endangered species and the police will take action against anyone found to be trading illegally.</p> | | | | | | | | | |
| 1.7.3g | <table border="0"> <tr> <td>Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences?</td> <td>Yes</td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td></td> <td>No</td> <td><input type="checkbox"/></td> </tr> <tr> <td></td> <td>No information</td> <td><input type="checkbox"/></td> </tr> </table> <p>If 'No', please provide a brief summary of your major capacity-building needs:</p> | Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences? | Yes | <input checked="" type="checkbox"/> | | No | <input type="checkbox"/> | | No information | <input type="checkbox"/> |
| Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences? | Yes | <input checked="" type="checkbox"/> | | | | | | | | |
| | No | <input type="checkbox"/> | | | | | | | | |
| | No information | <input type="checkbox"/> | | | | | | | | |

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

| | | | |
|--------|---|----------------|-------------------------------------|
| 1.7.4a | Do you use risk assessment to target CITES enforcement effort? BF CITES team at Heathrow run a targeted operation on a different species each month as part of a workplan. | Always | <input checked="" type="checkbox"/> |
| | | Very often | <input type="checkbox"/> |
| | | Sometimes | <input type="checkbox"/> |
| | | Rarely | <input type="checkbox"/> |
| | | Never | <input type="checkbox"/> |
| | | No information | <input type="checkbox"/> |
| 1.7.4b | Do you have capacity to analyse information gathered on illegal trade in CITES-listed species? | Yes | <input checked="" type="checkbox"/> |
| | | No | <input type="checkbox"/> |
| | | No information | <input type="checkbox"/> |
| 1.7.4c | Do you use criminal intelligence ¹ to inform investigations into illegal trade in CITES-listed species? | Always | <input checked="" type="checkbox"/> |
| | | Very often | <input type="checkbox"/> |
| | | Sometimes | <input type="checkbox"/> |
| | | Rarely | <input type="checkbox"/> |
| | | Never | <input type="checkbox"/> |
| | | No information | <input type="checkbox"/> |

¹ Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

| | | | |
|--------|--|---|--|
| 1.74d | <p>Have you implemented any supply-side activities to address illegal trade in CITES-listed species during the period covered in this report?</p> <p>The UK is working to tackle the Illegal Wildlife Trade (IWT) from all angles. We have invested over £15 million ODA (FY 2018-2020) on international efforts to protect endangered animals and plants from poaching and illegal trade.</p> <p>Over the period, the Counter-Illegal Wildlife Trade Taskforce has provided capacity building training to rangers in Malawi and Zambia. The aim of the programme is to provide training to rangers in counter-poaching techniques, thereby building their capacity to counter poaching.</p> <p>Several projects funded through the IWTCF have aimed at strengthening enforcement. A list of projects can be found here.</p> | <p>Yes <input checked="" type="checkbox"/></p> <p>No, but activities are under development <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> | |
| 1.7.4e | <p>Have you implemented any demand-side activities to address illegal trade in CITES-listed species during the period covered in this report?</p> <p>Several projects funded through the IWTCF have aimed at reducing demand for IWT products. A list of projects can be found here.</p> <p>Defra funded and organised a two day workshop on “Approaches to reduce demand for illegal wildlife and products” in Hanoi, Viet Nam, on 25-26 March 2019. The workshop was attended by about 50 IWT demand reduction and behavioural change academics and practitioners from across 30 organisations to help develop regional demand reduction initiatives.</p> <p>The Ivory Act 2018 received Royal Assent on 20 December 2018 and will introduce one the toughest bans on elephant ivory sales in the world. When commenced it will ban in the UK dealing of items made of, or containing elephant ivory, regardless of their age, allowing only for a narrowly defined set of exemptions.</p> | <p>Yes <input checked="" type="checkbox"/></p> <p>No, but activities are under development <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> | |

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

| During the period covered in this report: | | Yes | No | No Information |
|---|---|-------------------------------------|--------------------------|--------------------------|
| 1.7.5a | <p>Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?</p> <p>If 'Yes', please indicate how many and for what types of offences. If available, please attach details:</p> <p>Please see Appendix B</p> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 1.7.5b | <p>Have there been any criminal prosecutions of CITES-related offences?</p> <p>If 'Yes', how many and for what types of offences? If available, please attach details:</p> <p>Please see Appendix B</p> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 1.7.5c | <p>Have there been any other court actions against CITES-related offences?</p> <p>If 'Yes', what were the offences involved and what were the results? Please attach details:</p> <p>Please see Appendix B</p> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 1.7.5d | <p>How were any confiscated specimens disposed of? Tick all that apply</p> <ul style="list-style-type: none"> – Return to country of export <input type="checkbox"/> – Public zoos or botanical gardens <input checked="" type="checkbox"/> – Designated rescue centres <input type="checkbox"/> – Approved private facilities <input checked="" type="checkbox"/> – Euthanasia <input type="checkbox"/> – Other (please specify): <input checked="" type="checkbox"/> <p>Confiscated specimens were used for law enforcement training and some were destroyed.</p> <p>Have you encountered any challenges in disposing of confiscated specimens? When there is a large number of specimens of the same species.</p> <p>Do you have good practice that you would like to share with other Parties? Yes</p> | | | |

Objective 1.8 Parties and the Secretariat have adequate capacity-building programmes in place.
[Aichi Target 1](#), [Target 12](#) and [Target 19](#).

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

| | |
|--------|--|
| 1.8.1a | <p>Do you have information resources or training in place to support: YesNo</p> <p>The making of non-detriment findings? <input checked="" type="checkbox"/> <input type="checkbox"/></p> <p>Permit officers? <input checked="" type="checkbox"/> <input type="checkbox"/></p> <p>Enforcement officers? <input checked="" type="checkbox"/> <input type="checkbox"/></p> |
|--------|--|

| | | | |
|--------|---|-----------------------------|---|
| 1.8.1b | Is the CITES Virtual College used as part of your capacity building work? What improvements could be made in using the Virtual College for capacity building? | Yes No No information | <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> |
| 1.8.1c | Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building? | Yes No No information | <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> |

GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

Objective 2.1 Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.

[Aichi Target 1](#), [Target 2](#), [Target 3](#), [Target 12](#), [Target 19](#) and [Target 20](#).

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

| | | | |
|--------|---|-----------|---|
| 2.2.1a | Do you have an approved service standard(s) ¹ for your Management Authority(ies)? If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards? Yes, APHA the UK management authority with the responsibility for processing CITES applications and issuing permits has service standards for this function. | Yes No | <input checked="" type="checkbox"/> <input type="checkbox"/> |
| | If 'Yes', do you have performance targets for these standards? ² If 'Yes', what are your performance targets? The UK CITES licensing team's (APHA) published target is to process 90% of complete applications for CITES permits within 15 days of receiving them. | Yes No | <input checked="" type="checkbox"/> <input type="checkbox"/> |
| | Do you publish your performance against service standard targets? If possible, please provide your performance against service standards during the period covered in this report: APHA does not publish its exact performance against targets. However, we can confirm that overall performance levels during the reporting period did fall slightly below the expectation due to a shortage of staff and the transition to working from home during the pandemic. | Yes No | <input type="checkbox"/> <input checked="" type="checkbox"/> |

¹ For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

² For example, 85% of all decisions will take place within the service standard.

| | | | |
|--------|--|---|---|
| | <p>If you did not meet your performance targets then was this shortfall a result of:</p> <ul style="list-style-type: none"> – availability of funding? – number of staff? – a shortage of skills? <p>If 'Yes' to a shortage of skills, which skills do you need more of?</p> | <p>Yes</p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> | <p>No</p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> |
| 2.2.1b | <p>Do you have an approved service standard(s)⁴⁷ for your Scientific Authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>JNCC, as UK Scientific Authority for fauna, is required to provide scientific advice on 90% of CITES licence applications within 5 working days and priority applications within 3 working days.</p> <p>Kew (UK SA for flora) has a Service level agreement with the MA (APHA) that ensures Quality Assurance on all advice provided on applications.</p> <p>If 'Yes', do you have performance targets for these standards⁴⁸?</p> <p>If 'Yes', what are your performance targets?</p> <p>JNCC (UK SA for Fauna) is required to provide scientific advice on 90% of CITES licence applications within 5 working days (or within 3 for priority applications).</p> <p>Kew (UK SA for flora) is required to provide scientific advice within 5 days of receipt of an application. This must be maintained at a 98% return rate.</p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>Records indicate that JNCC and Kew are meeting service standards.</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <ul style="list-style-type: none"> – availability of funding? – number of staff? – a shortage of skills? <p>If 'Yes' to a shortage of skills, which skills do you need more of?</p> | <p>Yes</p> <p>No</p> <p>Yes</p> <p>No</p> <p>Yes</p> <p>No</p> <p>Yes</p> <p>No</p> | <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> |
| 2.2.1c | <p>Do you have an approved service standard(s)⁴⁷ for your enforcement authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>The UK has UK-wide service standards for all policing (including enforcement of CITES crimes) and does not have any particular approved services standards for CITES offences alone.</p> | <p>Yes</p> <p>No</p> | <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> |

| | <p>HMRC have maximum times for clearing import declarations for live specimens and perishable scientific samples.</p> <p>If 'Yes', do you have performance targets for these standards⁴⁸? Yes <input type="checkbox"/></p> <p>If 'Yes', what are your performance targets? No <input checked="" type="checkbox"/></p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <table border="0"> <tr> <td></td> <td>Yes</td> <td>No</td> </tr> <tr> <td>– availability of funding?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>– number of staff?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>– a shortage of skills?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table> <p>If 'Yes' to a shortage of skills, which skills do you need more of?</p> | | Yes | No | – availability of funding? | <input type="checkbox"/> | <input type="checkbox"/> | – number of staff? | <input type="checkbox"/> | <input type="checkbox"/> | – a shortage of skills? | <input type="checkbox"/> | <input type="checkbox"/> | | | | |
|----------------------------|---|--|--|---------------------------|----------------------------|--------------------------|--|--|--|--------------------------|--|--|--|---------|--|--|--|
| | Yes | No | | | | | | | | | | | | | | | |
| – availability of funding? | <input type="checkbox"/> | <input type="checkbox"/> | | | | | | | | | | | | | | | |
| – number of staff? | <input type="checkbox"/> | <input type="checkbox"/> | | | | | | | | | | | | | | | |
| – a shortage of skills? | <input type="checkbox"/> | <input type="checkbox"/> | | | | | | | | | | | | | | | |
| 2.2.1d | <p>Please only complete this question if you answered 'No' to the first part of question 2.2.1a, 2.2.1b, or 2.2.1c, relating to the existence of approved service standards for your authorities:</p> <p>Do you have sufficient of the following for your authorities to function effectively?</p> <table border="1"> <thead> <tr> <th></th> <th>Management Authority(ies)</th> <th>Scientific Authority(ies)</th> <th>Enforcement Authority(ies)</th> </tr> </thead> <tbody> <tr> <td>Funding?</td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> </tr> <tr> <td>Staff?</td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> </tr> <tr> <td>Skills?</td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> </tr> </tbody> </table> | | Management Authority(ies) | Scientific Authority(ies) | Enforcement Authority(ies) | Funding? | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | Staff? | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | Skills? | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | Management Authority(ies) | Scientific Authority(ies) | Enforcement Authority(ies) | | | | | | | | | | | | | | |
| Funding? | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | | | | | | | | | | | | | | |
| Staff? | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | | | | | | | | | | | | | | |
| Skills? | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | | | | | | | | | | | | | | |

- Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:
- changed the budget for activities;
 - hired more staff;
 - developed implementation tools;
 - purchased technical equipment for implementation, monitoring or enforcement.

| 2.2.2a | <p>Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES implementation at the national level? Tick if applicable</p> <p>Hiring of more staff <input checked="" type="checkbox"/></p> <p>Development of implementation tools <input type="checkbox"/></p> <p>Purchase of technical equipment for implementation, monitoring or enforcement <input type="checkbox"/></p> <p>Other (please specify):</p> | | | | | | | | | | | | | | | | |
|--|---|--|-------------------------------------|--------|----------------|----------------------------|-------------------------------------|--------------------------|-------------------------------------|----------------------------|--------------------------|-------------------------------------|-------------------------------------|--------------------------|--------------------------|--------------------------|-------------------------------------|
| 2.2.2b | <table border="1"> <thead> <tr> <th>During the period covered in this report, was the budget for your:</th> <th>Increased</th> <th>Stable</th> <th>Decreased</th> </tr> </thead> <tbody> <tr> <td>Management Authority(ies)</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Scientific Authority(ies)</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Enforcement authorities</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </tbody> </table> | During the period covered in this report, was the budget for your: | Increased | Stable | Decreased | Management Authority(ies) | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Scientific Authority(ies) | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | Enforcement authorities | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| During the period covered in this report, was the budget for your: | Increased | Stable | Decreased | | | | | | | | | | | | | | |
| Management Authority(ies) | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | | | | | | | | | | | | | |
| Scientific Authority(ies) | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | | | | | | | | | | | | | | |
| Enforcement authorities | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | |
| 2.2.2c | <table border="1"> <thead> <tr> <th>Have you been able to use international development funding assistance to increase the level of implementation of your</th> <th>Yes</th> <th>No</th> <th>Not applicable</th> </tr> </thead> <tbody> <tr> <td>Management Authority(ies)?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Scientific Authority(ies)?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Enforcement authorities?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </tbody> </table> | Have you been able to use international development funding assistance to increase the level of implementation of your | Yes | No | Not applicable | Management Authority(ies)? | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Scientific Authority(ies)? | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Enforcement authorities? | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Have you been able to use international development funding assistance to increase the level of implementation of your | Yes | No | Not applicable | | | | | | | | | | | | | | |
| Management Authority(ies)? | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | |
| Scientific Authority(ies)? | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | |
| Enforcement authorities? | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | |

| | | | | | |
|-------------------------|--|-------------------------------------|-------------------------------------|-------------------------------------|--------------------------|
| 2.2.2d | What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities? | | | | |
| | Activity | High | Medium | Low | Not a Priority |
| | Hiring of more staff | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Development of implementation tools | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Purchase of new technical equipment for implementation, monitoring or enforcement | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | e-permitting | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Other (please specify): | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2.2.2e | Do you have a operational system (e.g. electronic database) for managing | | Yes | Under development | No |
| | Species information | | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Trade information | | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Non-detriment findings | | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

| | | | |
|--------|---|--|-------------------------------------|
| 2.2.3a | Does the Management Authority charge fees for: | Tick all that are applicable | |
| | – Administrative procedures | <input checked="" type="checkbox"/> | |
| | – Issuance of CITES documents (e.g. for import, exports, re-export, or introduction from the sea) | <input checked="" type="checkbox"/> | |
| | – Shipment clearance (e.g. for the import, export, re-export, or introduction from the sea of CITES-listed species) | <input type="checkbox"/> | |
| | – Licensing or registration of operations that produce CITES species | <input checked="" type="checkbox"/> | |
| | – Harvesting of CITES-listed species | <input type="checkbox"/> | |
| | – Use of CITES-listed species | <input type="checkbox"/> | |
| | – Assignment of quotas for CITES-listed species | <input type="checkbox"/> | |
| | – Other (please specify): | <input type="checkbox"/> | |
| 2.2.3b | Is a fee schedule publicly available? If 'Yes', please provide an internet link, or a copy of the schedule to the Secretariat: | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | https://www.gov.uk/guidance/apply-for-cites-permits-and-certificates-to-trade-endangered-species#fees | | |
| | Bermuda (UKOT): http://www.bermulaws.bm/laws/Consolidated%20Laws/Government%20Fees%20Regulations%201976.pdf | | |
| 2.2.3c | Have revenues from fees been used for the implementation of CITES or wildlife conservation? | Entirely <input checked="" type="checkbox"/> | |
| | | Partly <input type="checkbox"/> | |
| | | Not at all <input type="checkbox"/> | |
| | | Not relevant <input type="checkbox"/> | |
| 2.2.3d | Do you raise funds for CITES management through charging user fees? | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| | Do your fees recover the full economic cost of issuing permits? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | Do you have case studies on charging or using fees? | <input type="checkbox"/> | <input type="checkbox"/> |

| | |
|--|--|
| | <p>If 'Yes' to any of the above, please provide brief details:</p> <p>APHA charges an application fee for processing all CITES applications. This is paid by the applicant before their application can be processed or invoiced after for BACS customers. The current charges were introduced in July 2013. We recover approximately 50% of the cost of the service through these charges. The charges were developed on the basis of the time taken to process different types of applications. These were then averaged out for the different types of applications (for example import permit, export permit, re-export permit).</p> <p>Do you use innovative financial mechanisms to raise funds for CITES implementation? <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>If 'Yes', please provide brief details:</p> |
|--|--|

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

| 2.2.4a | <p>Do you use incentive measures¹ such as those described in CoP14 Doc 14.32 to implement the Convention?</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%;"></th> <th style="text-align: center;">Yes</th> <th style="text-align: center;">No</th> </tr> </thead> <tbody> <tr> <td>Due diligence</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Compensatory mechanisms</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Certification</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Communal property rights</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Auctioning of quotas</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Cost recovery or environmental charges</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Enforcement incentives</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table> <p>If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to further information:</p> | | Yes | No | Due diligence | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Compensatory mechanisms | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Certification | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Communal property rights | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Auctioning of quotas | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Cost recovery or environmental charges | <input checked="" type="checkbox"/> | <input type="checkbox"/> | Enforcement incentives | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
|--|--|-------------------------------------|-----|----|---------------|--------------------------|-------------------------------------|-------------------------|--------------------------|-------------------------------------|---------------|--------------------------|-------------------------------------|--------------------------|--------------------------|-------------------------------------|----------------------|--------------------------|-------------------------------------|--|-------------------------------------|--------------------------|------------------------|--------------------------|-------------------------------------|
| | Yes | No | | | | | | | | | | | | | | | | | | | | | | | |
| Due diligence | <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | | | | | | | | | | |
| Compensatory mechanisms | <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | | | | | | | | | | |
| Certification | <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | | | | | | | | | | |
| Communal property rights | <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | | | | | | | | | | |
| Auctioning of quotas | <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | | | | | | | | | | |
| Cost recovery or environmental charges | <input checked="" type="checkbox"/> | <input type="checkbox"/> | | | | | | | | | | | | | | | | | | | | | | | |
| Enforcement incentives | <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | | | | | | | | | | |
| 2.2.4b | <p>Have incentives harmful to biodiversity been eliminated? Not at all <input type="checkbox"/></p> <p style="padding-left: 40px;">Very little <input type="checkbox"/></p> <p style="padding-left: 40px;">Somewhat <input type="checkbox"/></p> <p style="padding-left: 40px;">Completely <input checked="" type="checkbox"/></p> | | | | | | | | | | | | | | | | | | | | | | | | |

¹ Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and fauna and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

Objective 2.3 Sufficient resources are secured at the national and international levels to implement capacity-building programmes.
 Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

| | | | | | | |
|--------|---|---|--|--------------------------|-------------------------------------|---|
| 2.3.1a | How many training and capacity building activities ¹ have you run during the period covered in this report? | Without assistance from the Secretariat | Conducted or assisted by the Secretariat | | | |
| | None <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | | |
| | 1 <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | | | |
| | 2-5 <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | | |
| | 6-10 <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | | | |
| | 11-20 <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | | |
| | More than 20 <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | | |
| | Please list the Resolutions or Decisions involved: | | | | | |
| | <ul style="list-style-type: none"> • Decisions 18.197-18.202 Eels (<i>Anguilla</i> spp.) - 2018 International Technical workshop on <i>Anguilla anguilla</i> at RBG Kew. • The activities also relate to (but are not limited to) Resolution Conf. 11.3 (Rev. CoP18). | | | | | |
| 2.3.1b | What sorts of capacity building activities have taken place? | | | | | |
| | <ul style="list-style-type: none"> • 6 CITES training courses for National Police Wildlife Crime Officers – general introduction to CITES. • CITES training for UK Border Force. • Day-long workshops held at RBG Kew, virtual training and courses in 2020. | | | | | |
| 2.3.1c | What capacity building needs do you have? | | | | | |
| | Please tick all boxes which apply to indicate which target group and which activity. | | | | | |
| | Target group | Oral or written advice/guidance | Technical assistance | Financial assistance | Training | Other (specify) |
| | Staff of Management Authority | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | Staff of Scientific Authority | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | Staff of enforcement authorities | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | Traders / other user groups | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | NGOs | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Public | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Other (please specify) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | | | | Cayman Islands (UKOT): Training needed in identification of specimens likely to be encountered in trade; confidence to prosecute illegal trade within the law. |

¹ An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

GOAL 3 CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.
[Aichi Target 2 and Target 20.](#)

Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

| | | | |
|--------|---|--|--|
| 3.1.1a | Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements? If 'Yes', please provide brief details: | Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable <input checked="" type="checkbox"/> No information <input type="checkbox"/> | |
| 3.1.1b | During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions: | Increased <input type="checkbox"/> Remained stable <input type="checkbox"/> Decreased <input type="checkbox"/> | |

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

| | | | | | | | | |
|---|---|---------------------------------|---------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|--------------------------|---|
| 3.1.2a | Have you provided technical or financial assistance to another country or countries in relation to CITES? | | | | | | Yes | <input checked="" type="checkbox"/> |
| | | | | | | | No | <input type="checkbox"/> |
| | | | | | | | No information | <input type="checkbox"/> |
| If 'Yes', please tick boxes to indicate type of assistance provided | | | | | | | | |
| Country(ies) | | Species Management ¹ | Habitat Management ² | Sustainable use | Law Enforcement | Livelihoods | Other (specify) | Details (provide more information in an Appendix if necessary) |
| Various | | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | The UK is working to tackle the Illegal Wildlife Trade (IWT) from all angles. We are investing £36m between 2014 and 2021 on work to directly counter IWT in animals and plants, including to reduce demand, strengthen enforcement, ensure effective legal frameworks and develop sustainable livelihoods. Projects cover a multitude of activities, including: training rangers and border force agents, campaigns to reduce the demand for products in key markets, support for legislative reform to increase conviction rates and penalties for wildlife crime, and helping communities to protect their wildlife. A full list of IWTCF projects can be found here . |
| | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

¹ Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

² Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

| | | | | | | | |
|--|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--|
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

Objective 3.2 Awareness of the role and purpose of CITES is increased globally.
Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

| 3.2.1a | Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups? | Wider public | Relevant User Groups |
|--------|---|-------------------------------------|-------------------------------------|
| | – Press conferences | <input type="checkbox"/> | <input type="checkbox"/> |
| | – Press releases | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| | – Newspaper articles, brochures, leaflets | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| | – Television appearances | <input type="checkbox"/> | <input type="checkbox"/> |
| | – Radio appearances | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | – Presentations | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| | – Public consultations / meetings | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | – Market surveys | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | – Displays | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | – Information at border crossing points | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | – Telephone hotline | <input type="checkbox"/> | <input type="checkbox"/> |
| | – Website(s) – if so please provide link(s) | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | https://www.gov.uk/guidance/cites-imports-and-exports | | |
| | www.nwcu.police.uk | | |
| | https://www.kew.org/read-and-watch/fighting-extinction-illegal-trade-cop18 | | |
| | https://www.kew.org/science/our-science/departments/office-of-the-science-directorate/conservation-policy-team | | |
| | https://www.kew.org/read-and-watch/floraguard-plant-cyber-crime-illegal-wildlife-trade | | |
| | https://www.kew.org/science/our-science/science-services/UK-CITES | | |
| | https://www.kew.org/about-us/virtual-kew-wakehurst/unearthed-kew-podcast | | |
| | https://www.kew.org/wakehurst/whats-on/surviving-or-thriving-an-exhibition-on-plants-and-us | | |
| | Endangered species - States of Guernsey | | |

– Other (specify):

The UK holds regular meetings with traders of CITES-listed specimens which contributes to bringing about better awareness of the convention's requirements as well as improved compliance. These meetings with selected traders are known as the CITES Sustainable Users Group (CSUG).

Please attach copies of any items or describe examples:

- Kew's State of the Worlds Plants and Fungi Symposium
- Tackling illegal plant trade Podcast
- Newspaper and magazine articles
- BBC World Radio interview
- Kew Orchid Festival
- Columbia public presentations
- Nursery trade survey
- FloraGuard Project webinar and report

Indicator 3.2.2: The number of visits to the CITES website.

| | | | | | | |
|---|--|-------------------------------------|-------------------------------------|--------------------------|--------------------------|-------------------------------------|
| 3.2.2a | How regularly do your Authorities consult the CITES website? | | | | | |
| | Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary). | | | | | |
| | Target group | | | | | |
| | Staff of Management Authority | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Staff of Scientific Authority | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Staff of enforcement authorities | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3.2.2b | What has been your experience with using the CITES website? | | | | | <input type="checkbox"/> |
| | Excellent | | | | | <input type="checkbox"/> |
| | Good | | | | | <input checked="" type="checkbox"/> |
| | Average | | | | | <input type="checkbox"/> |
| | Poor | | | | | <input type="checkbox"/> |
| | Very Poor | | | | | <input type="checkbox"/> |
| | No information | | | | | <input type="checkbox"/> |
| Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc): | | | | | | |
| In general our experience with the CITES website has been good but at times it is difficult to find particular things and it is therefore not as user-friendly as it could be for new staff learning about CITES. Also, the contact details for SAs and MAs for Parties are sometimes out of date and RSI details are out of date or missing. | | | | | | |

Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

Objective 3.3 Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

| | | |
|--------|--|---|
| 3.3.1a | Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) ¹ to which your country is party? If 'Yes', please give a brief description: The major multilateral environmental agreements (MEAs) are dealt with by the same directorate within Defra. This allows for coordination amongst those working on the MEAs in the UK. There is also a regular forum for MEA leads to share and cooperate through. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/> |
|--------|--|---|

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

¹ CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

| | | | |
|--------|--|-------------------------------------|-------------------------------------|
| 3.3.2a | <p>How many international projects which integrate CITES issues has your country contributed towards?</p> <p>The UK hosted the London Illegal Wildlife Trade Conference in 2018.</p> <p>The UK contributes to and funds many international projects which integrate CITES issues. Examples of such projects can be found at the following link which provides details of IWT Challenge Fund projects: https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/919053/iwt-challenge-fund-list.pdf</p> | | |
| 3.3.2b | <p>In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?</p> <p>The UK hosted the London Illegal Wildlife Trade Conference in 2018. It was the latest of a series of IWT Conferences which were initiated in 2014.</p> <p><u>Bermuda (UKOT):</u> Bermuda Turtle Project</p> | | |
| 3.3.2c | <p>Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:</p> | Yes | No |
| | Agencies for development? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | Agencies for trade? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | Provincial, state or territorial authorities? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | Local authorities or communities? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | Indigenous or local peoples? | <input type="checkbox"/> | <input type="checkbox"/> |
| | Trade or other private sector associations? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | NGOs? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | Other (please specify) | <input type="checkbox"/> | <input type="checkbox"/> |
| 3.3.2d | <p>Are CITES requirements integrated into?</p> | Yes | No |
| | National and local development strategies? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | National and local poverty reduction strategies? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | Planning processes? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | National accounting? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and non-governmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

| | | | |
|--------|--|-------------------------------------|----------------------|
| 3.3.3a | Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from: | Tick if applicable | Which organizations? |
| | Inter-governmental organizations? | <input checked="" type="checkbox"/> | |
| | Non-governmental organizations? | <input checked="" type="checkbox"/> | |

Objective 3.4 The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions.

[Aichi Target 1](#), [Target 2](#), [Target 3](#), [Target 4](#), [Target 5](#), [Target 6](#), [Target 7](#), [Target 12](#), [Target 14](#), [Target 17](#), [Target 18](#) and [Target 19](#).

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

| | | | | |
|--|--|-------------------------------------|--------------------------|--------------------------|
| 3.4.1a | Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved? | | | |
| | | Yes | No | Not Applicable |
| | Appendix I | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Appendix II | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Appendix III | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| If there are such studies that you are willing to share, please provide: | | | | |
| <p>Although a number of status surveys have been undertaken, and are ongoing, on UK wild native Appendix I and Appendix II species, because the UK does not normally export wild-taken specimens of native fauna, the details of these surveys have not been attached to this report. Full details can be provided upon request.</p> <p>The status of Scottish Wild Cat (<i>Felis silvestris</i>) is constantly monitored by Scottish Natural Heritage. UK native birds of prey (<i>Falco peregrinus</i>) (App I) and UK native birds of prey and owls are regularly monitored through a number of partnership schemes including periodic national targeted surveys, annual reporting, where relevant, by the Rare Birds Breeding Panel (http://www.rbbp.org.uk/), through the Breeding Bird Survey (https://www.bto.org/volunteer-surveys/bbs), and by other volunteer schemes (e.g. see http://raptormonitoring.org/ and http://www.scottishraptorstudygroup.org/).</p> <p>With regards to CITES listed cetaceans, there is an obligation under the Conservation of Habitats and Species Regulations 2017, as amended, to undertake surveillance on the conservation status of all cetacean species occurring in UK waters and report on this every six years. Monitoring is undertaken between decadal surveys (http://jncc.defra.gov.uk/pdf/Report481_web.pdf).</p> | | | | |
| Species name (scientific) | Link to the data, or a brief summary | | | |
| <i>Cypripedium calceolus</i> (Ladys Slipper Orchid) | Re-introduction of lady slipper orchids and associated fungi has seen an increase in population numbers in the UK (https://www.kew.org/science/our-science/projects/genetic-studies-cypripedium-calceolus , https://www.kew.org/read-and-watch/lonely-and-hungry) | | | |

| | | | |
|--------|---|---|--|
| 3.4.1b | <p>Do you have examples of specific examples of success stories or emerging problems with any CITES listed species?</p> <p>If 'Yes', please provide details:</p> <p><u>Ivory Act (Success Story):</u> The Ivory Act 2018 received Royal Assent on 20 December 2018 and will introduce one the toughest bans on elephant ivory sales in the world. When commenced it will ban in the UK dealing of items made of, or containing elephant ivory, regardless of their age, allowing only for a narrowly defined set of exemptions.</p> | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/> | |
|--------|---|---|--|

Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

| | | | |
|--------|---|---|--|
| 3.4.2a | <p>Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?</p> <p>In the UK CITES has not been incorporated into the NBSAP. It has been incorporated however in certain UK Overseas Territories. In the Isle of Man, the biodiversity strategy (Managing our Natural Wealth, the Isle of Man's first biodiversity strategy, 2015-2025) includes CITES.</p> <p>International species conservation work (including CITES) is part of the UK's 25 Year Environment Plan published in January 2018.</p> | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/> | |
| 3.4.2b | <p>Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?</p> | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/> | |

Objective 3.5 Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited.
 Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.

Indicator 3.5.1: The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

| | | |
|--|---|--|
| 3.5.1a | Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details: | Yes <input checked="" type="checkbox"/> |
| | | No <input type="checkbox"/> No information <input type="checkbox"/> |
| The UK has taken action through established agreements such as the International Whaling Commission (IWC). | | |

Indicator 3.5.2: The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

| 3.5.2a | Average number of times per year that international organizations or agreements have been consulted by CITES Authorities | Once | 2-5 times | 6-20 times | More than 20 times | No consultation | Optional comment about which organizations and issues consulted on |
|--------|--|--------------------------|--------------------------|--------------------------|-------------------------------------|--------------------------|--|
| | Management Authority(ies) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | IGOs/NGOs mainly, other MEAs. |
| | Scientific Authority(ies) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | The UK regularly consults international organisations including the IUCN species survival commission, the IUCN specialist working groups, the IUCN Red List Assessment team, the UNEP-WCMC, EU Scientific Review Group, the CITES Secretariat, TRAFFIC and Botanic Gardens Conservation International. |
| | Enforcement Authority(ies) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | EU Enforcement Working group, Europol, Interpol, other Border Force equivalents. |

General feedback

Please provide any additional comments you would like to make, including comments on this format.

| Item | | |
|---|---------------------|-------------------------------------|
| Copy of full text of CITES-relevant legislation if changed | Enclosed | <input type="checkbox"/> |
| Web link(s) | Not available | <input type="checkbox"/> |
| | Previously provided | <input checked="" type="checkbox"/> |
| Copies of CITES-relevant legislation will have been provided previously as part of the National Legislation Project. | | |
| Please list any materials annexed to the report, e.g. fee schedules, awareness raising materials, etc: | | |
| <ul style="list-style-type: none"> • Appendix A - Cases submitted to Science and Advice for Scottish Agriculture (SASA) for forensic analysis – this table displays cases where samples from CITES-listed species have been submitted to a forensic analysis facility (Indicator 1.7.3). • Appendix B - COTES and CEMA convictions 2018-2020 (Indicator 1.7.5) | | |
| Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance? | Yes | <input type="checkbox"/> |
| | No | <input checked="" type="checkbox"/> |
| | No Information | <input type="checkbox"/> |
| If 'Yes', please describe the constraint and the type of attention or assistance that is required. | | |
| Are there examples of good practice you would like to share with other Parties? | Yes | <input type="checkbox"/> |
| | No | <input checked="" type="checkbox"/> |
| | No Information | <input type="checkbox"/> |
| If 'Yes' please provide details / links: | | |
| How could this report format be improved? | | |

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.

APPENDICES

APPENDIX A – Cases submitted to Science and Advice for Scottish Agriculture (SASA) for forensic analysis

| Case ref | Description | CITES species involved | Date samples received | Result |
|----------|---|---|-----------------------|---|
| WDF_193 | Species ID from DT content of poisoned red kite - WIIS-18010 | Red kite - <i>Milvus milvus</i> | 14/02/2018 | Fieldfare identified from gizzard and stomach content |
| WDF_194 | Identify species from feather samples - possible golden eagle. | Golden eagle, <i>Aquila chrysaetos</i> | 16/03/2018 | No result from 2 white feathers. Smaller feathers identified as from red grouse |
| WDF_197 | Species ID from DT of poisoned buzzard - WIIS -18017 | Buzzard, <i>Buteo buteo</i> | 04/04/2018 | Pheasant identified from crop content |
| WDF_198 | Species ID from DT of poisoned buzzard - WIIS -18024 | Buzzard, <i>Buteo buteo</i> | 04/04/2018 | Pheasant identified from crop content |
| WDF_200 | Identify species from decomposed bird carcass - possibly golden eagle | Red kite - <i>Milvus milvus</i> | 20/04/2018 | Material identified as from a red kite |
| WDF_205 | Species ID from DT content of poisoned red kite - WIIS-18050 | Red kite - <i>Milvus milvus</i> | 01/05/2018 | Stomach content - brown hare. Crop content - starling |
| WDF_206 | Species ID from DT content of poisoned red kite - WIIS-18061 | Red kite - <i>Milvus milvus</i> / Buzzard, <i>Buteo buteo</i> | 01/05/2018 | Buzzard identified from stomach content |
| WDF_210 | Species ID from DT content of poisoned red kite - WIIS-18072 | Red kite - <i>Milvus milvus</i> | 31/05/2018 | Sheep identified in gizzard content |
| WDF_212 | Fishing offence investigation | European eel, <i>Anguilla anguilla</i> | 13/06/2018 | Fish identified as European eel |

| | | | | |
|---------|---|--|------------|--|
| WDF_214 | Species ID from DT content of poisoned peregrine falcon - WIIS-18090 | Peregrine falcon, <i>Falco peregrinus</i> | 03/07/2018 | Pigeon identified from stomach content |
| WDF_219 | Suspected elephant hair being used in jewellery | Elephant species | 06/09/2018 | African elephant hair identified in at least one item |
| WDF_220 | Suspected elephant hair being used in jewellery | Elephant species | 06/09/2018 | African elephant DNA, and Asian elephant DNA identified in one item each |
| WDF_228 | Fur from a snare for species ID - possible otter or fox | Otter, <i>Lutra lutra</i> | 06/02/2019 | Fox DNA identified, no otter DNA present |
| WDF_232 | Operation Eel-icit - Survey of Eel meat importers | European eel, <i>Anguilla anguilla</i> | 02/04/2019 | From 49 samples tested, 2 items were identified as <i>Anguilla anguilla</i> from two different suppliers |
| WDF_234 | Bone species identification - suspected big cat species | Lion/Tiger - <i>Panthera</i> sp. | 20/06/2019 | Sample identified as from Camel |
| WDF_235 | Possible shahtoosh shawls submitted for DNA testing | Tibetan antelope - <i>Pantholops hodgsonii</i> | 10/04/2019 | Samples identified as goat or sheep |
| WDF_238 | Spring traps recovered from an estate believed to be engaged with raptor persecution. Sixteen traps in total. | Hen harrier - <i>Circus cyaneus</i> | 09/07/2019 | Hen harrier DNA identified on two traps |
| WDF_239 | Species ID from DT content of poisoned red kites WIIS cases 19068, 19073 and 19074. | Red kite - <i>Milvus milvus</i> | 12/07/2019 | Various species, including sheep, rabbit, and duck in the DT contents |
| WDF_241 | Testing of imported cooked eel fillets for presence of European eel | European eel, <i>Anguilla anguilla</i> | 14/08/2019 | All samples American eel |

| | | | | |
|---------|--|--|------------|---|
| WDF_249 | Poisoned birds of prey - 5 WIIS cases but all part of the same investigation. | Buzzard, Buteo sp; Red kite, Milvus milvus; Sparrowhawk, Accipiter nisus | 28/01/2020 | Various species, including sheep, cow, blackbird and goose in the DT contents |
| WDF_252 | Bird of prey shooting case - species ID and possible DNA profiling between locus and samples from the suspect. | Hen harrier - Circus cyaneus | 03/07/2020 | No hen harrier DNA was identified from the items examined - fieldfare or gamebird species |
| WDF_256 | Traps for targeted bird of prey tests. | Buzzard, Buteo sp; Kite, Milvus sp | 17/03/2020 | Buzzard and kite DNA identified on the traps |
| WDF_259 | Peregrine theft - two adult birds and two infertile eggs seized | Peregrine falcon, Falco peregrinus | 21/07/2020 | Results indicated the eggs were consistent with parentage from the captive parent birds |
| WDF_260 | Species ID from DT content of poisoned sea eagle - WIIS-20052 | White-tailed sea eagle, Haliaeetus albicilla | 28/07/2020 | Mountain hare and raven DNA found in DT |
| WDF_262 | Test for dog/fox DNA from a recovered hen harrier satellite tag. Deliberate killing? | Hen harrier - Circus cyaneus | 09/09/2020 | No dog or fox DNA recovered from the satellite tag |
| WDF_263 | Hen harrier nest fail, test for dog/fox DNA. Deliberate killing? | Hen harrier - Circus cyaneus | 10/09/2020 | Fox DNA identified on the remains |
| WDF_264 | Testing of imported cooked eel fillets for presence of European eel (20 samples) | European eel, Anguilla anguilla | 12/10/2020 | American eel or Japanese eel identified from all samples |
| WDF_272 | Species ID from DT content of poisoned red kite - WIIS-21045 | Red kite - Milvus milvus | 03/12/2020 | Bait material probably red grouse |

APPENDIX B – COTES and CEMA convictions 2018-2020

| Defendant 1 | Defendants cont. | Date | Court Location | Court Type | Police Force | Offences | Species | Sentence | Fines | Costs | Victim Surcharge | Sentence (additional) | Open source? |
|---------------------|------------------|------------|----------------|-------------------|--------------------|---|--|---|--------|--------|------------------|--|---|
| WINSTANLEY Janet | | 22/01/2018 | Westminster | Magistrates Court | Metropolitan | Offering for sale online items of Ivory. Contrary to S170 Customs & Excise Management Act 1979 | Elephant | | £1,000 | £85 | £100 | | http://news.met.police.uk/news/woman-fined-for-attempting-to-sell-ivory-ornaments-online-291256 |
| WALES Richard | | 19/04/2018 | Jedburgh | Sheriff Court | Police Scotland | 4 x charges exposing a mounted tiger head, leopard claws and tiger claws for sale | Tiger, Leopard | | £3,200 | | | | https://www.bbc.co.uk/news/uk-scotland-south-scotland-43826463 |
| TAYLOR Gordon | | 19/02/2018 | Inverness | Sheriff Court | Police Scotland | possessing bird products from protected species | owl | | £750 | | | | https://www.nwcu.police.uk/news/nwcu-police-press-releases/inverness-man-fined-for-keeping-protected-species-for-sale/ |
| OLEKSIAK Jacek | | 04/07/2018 | Bristol | Magistrates Court | Avon & Somerset | 9 x offences of prohibited sale of Annex A species (taxidermy) | Birds of Prey | 12 month community order and 100 hours unpaid work | | £180 | | forfeit 3 Northern Goshawks | http://www.nwcu.police.uk/news/nwcu-police-press-releases/avon-taxidermy-dealer-pleads-guilty-to-trading-in-endangered-species |
| NORRIS Timothy | | 20/09/2018 | Croydon | Crown Court | Metropolitan | selling on eBay illegal fur coats from endangered animals | Big Cats | 200 hrs community order for 2 years work | | | | | https://www.dailymail.co.uk/news/article-6193949/Rogue-eBay-trader-40-sold-illegal-fur-coats-killed-endangered-animals.html |
| LAMAR Mary Holliday | | 12/10/2018 | Chesterfield | Magistrates Court | Derbyshire | possessing a wild peregrine falcon and keeping an unregistered schedule 4 bird | Peregrine | 18 weeks imprisonment suspended for 12 months and 120 hours unpaid work | | £1,135 | | disqualified from keeping schedule 4 birds for a period of 5 years. | https://www.nwcu.police.uk/news/nwcu-police-press-releases/suspended-prison-sentence-for-falcon-keeper/ |
| LENDRUM Jeffrey | | 10/01/2019 | Snaresbrook | Crown Court | NCA | four counts of being knowingly concerned in an evasion of restriction in relation to goods, namely the bird eggs, contrary to section 170 (1) of the customs and excise management act 1979 | 4 x African Fish Eagles, 3 x Gyps Vultures, 2 x African Hawk Eagles, 8 x African Black Sparrow Hawks | 37 months imprisonment | | | | | https://www.bbc.co.uk/news/uk-england-cambridgeshire-46828326 |
| SCOLARO Stephanie | | 21/01/2019 | Southwark | Crown Court | Metropolitan | two counts of importing goods with the intent to evade a prohibition. two counts of keeping for sale a species acquired unlawfully. two counts of selling a species unlawfully imported | Python skin baseball caps | 160 hours community order | | | | POCA confiscation proceedings have been initiated but will probably amount to £20k | https://www.nwcu.police.uk/news/wildlife-crime-press-coverage/rich-kid-of-instagram-condemned-by-judge-as-utterly-self-centered-after-importing-python-skin-baseball-caps/ |
| Redacted | | 04/04/2019 | Cheltenham | Magistrates Court | Gloucestershire | COTES 1997 Reg 8(1) and Reg 4(2) | African Grey Parrot | 6 month Curfew 19.00 - 07.00hrs | | £85 | £85 | | |
| TANG Michael | | 15/10/2019 | Cardiff | Crown Court | South Wales Police | 6 CEMA and 18 x COTES offences | Primates & Dolphin Skull | 12 weeks imprisonment for each offence, concurrent, suspended for 1 year, 120 hrs unpaid work | | £1,200 | | all items subject of forfeiture | https://www.nwcu.police.uk/news/nwcu-police-press-releases/cardiff-man-convicted-for-trading-in-endangered-species/ |

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|----------------------|-------------|------------|------------|-------------------|----------------------------------|---|--|--|--------|--------|------|---|---|
| BUCKLE Guy | OR Sik-Hong | 23/09/2019 | London | Crown Court | Border Force Metropolitan Police | 3x illegal export of Ivory contrary to Customs & Excise Management Act 1979 | Ivory | 28 months imprisonment 2 x 12 months concurrent | | | | POCA | https://www.nwcu.police.uk/news/nwcu-police-press-releases/two-men-sentenced-for-illegally-exporting-carved-ivory-fans-met-police/ |
| Kazmierczak Slawomir | | 16/10/2019 | Lincoln | Crown Court | Lincolnshire | 9 x COTES | Ivory | 9 months imprisonments | | | | | https://www.nwcu.police.uk/news/nwcu-police-press-releases/spalding-illegal-ivory-trader-sentenced/ |
| Redacted | | 01/11/2019 | Chester | Magistrates Court | Cheshire | CEMA x 4 | Ivory | | £3,850 | £85 | £170 | | |
| WELLINGS Lee | | 15/11/2019 | Walsall | Magistrates Court | West Midlands | 13 offences relating to the prohibited sale of Annex 'A' species, making false statements to obtain permits to sell Annex 'A' species and for possessing 3 wild barn owls. | Barn Owl | 12 month community order, 80 hours unpaid work | | £270 | | forfeiture of 6x barn owls | https://www.nwcu.police.uk/news/wildlife-crime-press-coverage/walsall-owl-breeder-told-his-good-character-saved-him-from-prison-sentence/ |
| MAW, Peter | | 17/01/2020 | Gloucester | Crown Court | Gloucestershire | CEMA x 5 | Ivory | 3 months suspended for 18 months | | £500 | £115 | | https://www.gloucestershirelive.co.uk/news/cheltenham-news/cheltenham-antiques-dealer-sentenced-trading-3746916 |
| KHOO Gilbert | | 07/02/2020 | Southwark | Crown Court | NCA BF | prohibition on the export of goods and failure to notify movement of animals | eels | 24 months suspended sentence | | | | | https://www.bbc.co.uk/news/uk-england-london-51770954 |
| MAHALINGAM Rajtharan | | 25/11/2020 | Harrow | Crown Court | Metropolitan | Control of Trade in Endangered Species (Enforcement) Regulations 1997 | Elephant Hair | 32 weeks imprisonment, suspended for 16 months | £200 | £1,500 | £140 | all jewellery items containing elephant hair forfeited; 200 hours unpaid work | https://www.professionaljeweller.com/london-jeweller-found-guilty-of-selling-elephant-hair-jewellery/ |
| HALSTEAD Arron | | 24/08/2020 | Preston | Crown Court | Lancashire | 9 x COTES charges relating to the prohibited trade in Rhino horns and skulls, Tiger skulls, Elephant tusks, Sperm whale tooth and Sawfish rostrum. | Rhino horns and skulls, Tiger skulls, Elephant tusks, Sperm whale tooth and Sawfish rostrum. | 56 weeks imprisonment | | | | POCA to follow | https://www.nwcu.police.uk/news/wildlife-crime-press-coverage/burnley-taxidermy-dealer-jailed-for-the-second-time-for-illegal-trade-in-critically-endangered-species/ |
| Redacted | | 29/01/2018 | N/A | | Dorset | A warrant was executed after a number of ivory items were seized by BF. A search was carried out at her home address and some items seized. Insufficient evidence for COTES or CEMA offences. | Ivory | Written warning letter with legislation and advice included. | | | | | |
| Redacted | | 28/03/2018 | N/A | | Avon & Somerset | fraudulent attempt at evasion of any prohibition or restriction contrary to section 170(2) and (3) of the Customs and Excise Management Act 1979. | Ivory | Caution | | | | | |
| Redacted | | 13/07/2018 | N/A | | Cleveland | adult caution for the offence Fraudulently Evade any duty/prohibition/restriction/provision. Contrary to Section 170(2) and (3) of the Customs | Ivory | Adult Caution | | | | | |

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| | | | | | | and Excise Management Act 1979 | | | | | | | | |
| Redacted | | 2018 | N/A | | Cleveland | caution issued for COTES offence | Ivory | Caution | | | | | | |
| Redacted | | 2018 | N/A | | North Wales Police | Caution issued for COTES offences | | Caution | | | | | | |
| Redacted | | 2018 | N/A | | Suffolk | Admits offence. Cautioned for CEMA offence. | Ivory | Caution | | | | | | |
| Redacted | | 28/03/2018 | N/A | | A&S | UKBF seizure of ivory product at Heathrow | Ivory | Caution | | | | | | |
| Redacted | | 2018 | N/A | | Northumbria | Community resolution give for one CEMA offence. | Ivory | Community Penalty | | | | | | |
| Redacted | | 2018 | N/A | | Essex | restorative justice | Ivory | Resolution (informal community) or | | | | | | |
| Redacted | | 10/12/2018 | N/A | | Police Scotland | | Ivory | Warning | | | | | | |
| Redacted | | 2019 | N/A | | Police Scotland | Bagpipes illegally imported into Hong Kong. Seller to be warned. | Timber | Warning | | | | | | |
| Redacted | | 2020 | N/A | | Kent | Illegal import of a Siamese Crocodile skull. Skull handed over to Kent police | Siamese Crocodile | Warning and advice | | | | | | |
| Redacted | | 2020 | N/A | | Kent | Illegal import of 2 x skulls. Both handed over to Kent police | Siamese Crocodile | Warning and advice | | | | | | |
| Redacted | | May 2020 | N/A | | Devon & Cornwall | a number of monkey skulls described as Crab-eating Macaque (Macaca fascicularis) imported without the correct permits, Offences disclosed contrary to COTES 1997 and CEMA | Crab-eating Macaque (Macaca fascicularis) | Caution and £2000 voluntary donation to Wild Futures Monkey Sanctuary, Cornwall. | | | | | | |
| Redacted | | June 2020 | N/A | | Dyfed Powys | 2 x Aquiring Annex A specimen (Siamese Croc Skull) for commercial use 1 x offer & 1 x sell an Annex A specimen and 1 offer to sell Annex A specimen (Barn Owl) | Siamese Croc and Barn Owl | Formal Caution | | | | | | |
| Redacted | | Aug 2020 | N/A | | West Midlands | 1 x Advertising a F2 hybrid Scarlet Macaw without an A10 | Scarlet Macaw | Community resolution - £100 paid to Warwickshire Wild life sanctuary and bird surrendered and re homed. | | | | | | |