

## **Implementation report format**

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

### **CITES vision statement**

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in [Resolution Conf. 11.17 \(Rev. CoP16\)](#) which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat  
International Environment House  
Chemin des Anémones 11-13  
CH-1219 Châtelaine-Geneva  
Switzerland

Email: [info@cites.org](mailto:info@cites.org)  
Tel: +41-(0)22-917-81-39/40  
Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	Norway
Period covered in this report	1. January 2018 – 31. December 2020
Department or agency preparing this report	Norwegian Environment Agency
Contributing departments, agencies and organizations	

## **GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION**

**Objective 1.1** Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.

All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

**Indicator 1.1.1:** The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If 'Yes', have you shared information with the Secretariat? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Applicable <input type="checkbox"/> If 'No', please provide details to the Secretariat with this report: Revised national CITES legislation 2018, see attached document (English). Please note this legislation is planned to be revised in 2021.
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If 'No', please provide details of the constraints faced:

**Objective 1.2** Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.

Aichi Target 3.

**Indicator 1.2.1:** The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits? Are the procedures publicly available?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.2.1b	Do you have: Electronic data management and a paper-based permit issuance system?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries: Switzerland	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit information exchange to Management Authorities of all countries?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Electronic permit data exchange between Management Authorities and customs?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Electronic permit used to cross border with electronic validation by customs?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	If 'Yes' to any of the above, please provide information on challenges faced or issues overcome: There were no major challenges faced in implementing the Norwegian Electronic data management			

system.			
If 'No', do you have any plans to move towards e-permitting <sup>1</sup> ?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If you are planning to move towards e-permitting, please explain what might help you to do so: Norwegian CITES MA is currently participating in an EU Sprint to develop API between EU and Norway			

Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in [Resolution Conf. 12.3 \(Rev. CoP16\)](#).

1.2.2a	Has your country developed simplified procedures for any of the following?	Tick all applicable		
		Yes	No	No information
	Where biological samples of the type and size specified in Annex 4 of <a href="#">Resolution Conf. 12.3 (Rev. CoP16)</a> are urgently required.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	For the issuance of pre-Convention certificates or equivalent documents in accordance with <a href="#">Article VII</a> , paragraph 2.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Objective 1.3** Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties.  
[All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.](#)

Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.

1.3.1a	Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see [link to location on the CITES website where the reporting requirements are listed])?  Responses provided to ALL relevant reporting requirements <input type="checkbox"/> Responses provided to SOME of the relevant reporting requirements <input checked="" type="checkbox"/> Responses provided to NONE of the relevant reporting requirements <input type="checkbox"/> No special reporting requirements applicable <input type="checkbox"/>
1.3.1b	Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?

<sup>1</sup> e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

**Objective 1.4** The Appendices correctly reflect the conservation needs of species.  
 Aichi Target 1, Target12, Target 14 and Target 19.

1.4.1: The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

1.4.1a	Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices? <span style="float: right;">Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></span>  If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):
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**Objective 1.5** Best available scientific information is the basis for non-detriment findings.  
 Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.

Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:  
 a) the population status of Appendix-II species;  
 b) the trends and impact of trade upon Appendix-II species; and  
 c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

		Yes	No	Not Applicable	If Yes, How many?
1.5.1a	Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to:				
	- the population status of Appendix II species?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> 1
	- the trends and impact of trade on Appendix II species?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> 1
	- the status of and trend in naturally-occurring Appendix I species?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> 1
	- the impact of any recovery plans on Appendix I species?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> 1
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	<p>If there are such studies that you are willing to share, please provide:</p> <p>Species name (scientific)</p> <p>A brief summary of the results of the survey, study or other analysis (e.g. population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.</p>																					
1.5.1b	<p>How are the results of such surveys, studies or other analyses used in making non-detriment findings (NDFs)? Please tick all that apply</p> <p>Revised harvest or export quotas <input type="checkbox"/></p> <p>Banning export <input type="checkbox"/></p> <p>Stricter domestic measures <input type="checkbox"/></p> <p>Changed management of the species <input type="checkbox"/></p> <p>Discussion with Management Authorities <input checked="" type="checkbox"/></p> <p>Discussion with other stakeholders? <input checked="" type="checkbox"/></p> <p>Other (please provide a short summary):</p>																					
1.5.1c	<p>Do you have specific conservation measures or recovery plans for naturally occurring Appendix-I listed species?</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Not Applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>If 'Yes', please provide a brief summary, including, if possible, an evaluation of their impact:</p>																					
1.5.1d	<p>Have you published any non-detriment findings that can be shared? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please provide links or examples to the Secretariat within this report:  <a href="https://vkm.no/english/riskassessments/riskassessments.4.2375207615dac0245ae7444e.html?g=alien-organisms-and-trade-in-endangered-species-cites">https://vkm.no/english/riskassessments/riskassessments.4.2375207615dac0245ae7444e.html?g=alien-organisms-and-trade-in-endangered-species-cites</a></p>																					
1.5.1e	<table border="0"> <thead> <tr> <th>Which of the following (A to F of paragraph a) x) of <a href="#">Resolution Conf. 16.7</a> do you use in making non-detriment findings?</th> <th>Yes</th> <th>No</th> </tr> </thead> <tbody> <tr> <td>A. relevant scientific literature concerning species biology, life history, distribution and population trends.</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>B. details of any ecological risk assessments conducted.</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>D. relevant knowledge and expertise of local and indigenous communities.</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>E. consultations with relevant local, regional and international experts.</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	Which of the following (A to F of paragraph a) x) of <a href="#">Resolution Conf. 16.7</a> do you use in making non-detriment findings?	Yes	No	A. relevant scientific literature concerning species biology, life history, distribution and population trends.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	B. details of any ecological risk assessments conducted.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	D. relevant knowledge and expertise of local and indigenous communities.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	E. consultations with relevant local, regional and international experts.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Which of the following (A to F of paragraph a) x) of <a href="#">Resolution Conf. 16.7</a> do you use in making non-detriment findings?	Yes	No																				
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B. details of any ecological risk assessments conducted.	<input checked="" type="checkbox"/>	<input type="checkbox"/>																				
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F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	<input checked="" type="checkbox"/>	<input type="checkbox"/>																				

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a	<p>Do you have standard procedures for making non-detriment findings in line with <a href="#">Resolution Conf. 16.7?</a></p> <p>If 'Yes', please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:</p>	<p style="text-align: right;">Yes    No    No information</p> <p style="text-align: right;"><input type="checkbox"/>    <input type="checkbox"/>    <input checked="" type="checkbox"/></p>
1.5.2b	<p>When establishing non-detriment findings, have any of the following guidance been used?</p> <p style="text-align: right;">Please tick all that apply</p> <p style="text-align: right;">Virtual College    <input type="checkbox"/></p> <p style="text-align: right;">IUCN Checklist    <input checked="" type="checkbox"/></p> <p style="text-align: right;">Resolution Conf. 16.7    <input checked="" type="checkbox"/></p> <p style="text-align: right;">2008 NDF workshop    <input type="checkbox"/></p> <p style="text-align: right;">Species specific guidance    <input type="checkbox"/></p> <p style="text-align: right;">Other    <input type="checkbox"/></p> <p>If 'Other' or 'Species specific guidance', please specify details:</p>	
1.5.2c	<p>How often do you review and/or change your non-detriment findings?</p> <p style="text-align: right;">Case by case    <input checked="" type="checkbox"/></p> <p style="text-align: right;">Annually    <input type="checkbox"/></p> <p style="text-align: right;">Every two years    <input type="checkbox"/></p> <p style="text-align: right;">Less frequently    <input type="checkbox"/></p> <p style="text-align: right;">A mix of the above    <input type="checkbox"/></p> <p>Please describe the circumstances under which non-detriment findings would be changed:</p>	

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	<p>Do you set annual export quotas?</p> <p style="text-align: right;">Yes    <input type="checkbox"/></p> <p style="text-align: right;">No    <input checked="" type="checkbox"/></p> <p>If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:</p> <p>Species Name (scientific)</p> <p style="text-align: right;">Population Survey?    <input type="checkbox"/></p> <p style="text-align: right;">Other, please specify</p>	
1.5.3b	<p>Have annual export quotas been set at levels which will ensure sustainable production and consumption?</p> <p style="text-align: right;">Yes    <input type="checkbox"/></p> <p style="text-align: right;">No    <input checked="" type="checkbox"/></p> <p>If 'Yes', please describe how this fits into your non-detriment finding process:</p>	

**Objective 1.6** Parties cooperate in managing shared wildlife resources.  
 Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.

**Indicator 1.6.1:** The number of bilateral and multilateral agreements that specifically provide for co-management of shared CITES listed species by range States.

1.6.1a	Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved: See <a href="https://www.miljodirektoratet.no/regelverk/konvensjoner/">https://www.miljodirektoratet.no/regelverk/konvensjoner/</a> (Norwegian only)
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**Indicator 1.6.2:** The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

1.6.2a	Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species. Species Name (scientific)      Link or reference to a published plan
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**Indicator 1.6.3:** The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

1.6.3a	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.					What were the external sources <sup>1</sup> ?	
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training		Other (specify)
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

<sup>1</sup> Please provide the names of Parties, and any non-Parties, involved.

1.6.3b	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?						Details
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
1.6.3c	In what ways do you collaborate with other CITES Parties?						Further detail / examples
		Never	Rarely	Sometimes	Very Often	Always	
	Information exchange	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Monitoring / survey	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
1.6.3c	Habitat management	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Species management	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Law enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Capacity building	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please provide details)							

**Objective 1.7** Parties are enforcing the Convention to reduce illegal wildlife trade.  
Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.

**Indicator 1.7.1:** The number of Parties that have, are covered by, or engaged with:

- an international enforcement strategy and/or action plan;
- formal international cooperation, such as an international enforcement network;
- a national enforcement strategy and/or action plan; and
- formal national interagency cooperation, such as a national interagency enforcement committee.

1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No	No Information
	– an international enforcement strategy and/or action plan?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	– formal international cooperation, such as an international enforcement network?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	– a national enforcement strategy and/or action plan?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	– formal national interagency cooperation, such as a national interagency enforcement committee?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
If 'Yes' to any of the above, please specify the level of engagement and provide additional details: For more information, see links: Report to the Storting (white paper) Meld. St.19 (2019-2020 – Norwegian only): <a href="https://www.regjeringen.no/no/dokumenter/meld.-st.-19-20192020/id2698506/">https://www.regjeringen.no/no/dokumenter/meld.-st.-19-20192020/id2698506/</a> ,				

ØKOKRIM - Norwegian National Authority for Investigation and Prosecution of Economic and Environmental Crime - International cooperation (Norwegian only)  
<https://www.okokrim.no/internasjonalt-samarbeid.417049.no.html>, Norwegian Customs; Trade agreements and conventions <https://www.toll.no/en/services/regulations/trade-agreement-and-conventions/>

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?  If 'Yes', what do you do? If 'Yes' or 'No, but review is under consideration', which tools do you find of value?	Yes <input type="checkbox"/> No, but review is under consideration <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?  If 'Yes', please provide feedback on the parts of the toolkit used and how useful the toolkit or equivalent tools have been. Please specify improvements that could be made:  If 'No', please provide feedback on why not or what is needed to make the toolkit or equivalent tools useful to you:	Yes <input type="checkbox"/> No, but toolkit use is under consideration <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?  If 'Yes', please provide the title of the legislation and a summary of the penalties available: See attached legislation	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
1.7.3b	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime <sup>1</sup> in your country?  If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes: After the Nature Diversity Act and regulations thereafter, illegal removal of endangered species is prohibited, with a maximum penalty of five years.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
1.7.3c	Do you have capacity to use forensic technology <sup>2</sup> to support the investigation of CITES offences?  If 'Yes', please provide a brief summary of any samples from CITES-listed species that were collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report:	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>

<sup>1</sup> The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

<sup>2</sup> Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

	If 'Yes', and your country has an appropriate forensic analysis facility for CITES-listed species, please indicate which species it applies to:				
1.7.3d	Did your authorities participate in or initiate any multi-disciplinary <sup>1</sup> law enforcement operation(s) targeting CITES-listed species during the period covered in this report?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>
		No information	<input type="checkbox"/>	If 'Yes', please provide a brief summary, including any lessons learned which might be helpful for other Parties: Participated in Operation THUNDER in 2019 and 2020.	
1.7.3e	Do you have a standard operating procedure among relevant agencies for submitting information related to CITES offences to INTERPOL and/or the World Customs Organization?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>		
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?
	General crime <sup>2</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Predicate offences <sup>3</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Asset forfeiture <sup>4</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Corruption <sup>5</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	International cooperation in criminal matters <sup>6</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Organized crime <sup>7</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Specialized investigation techniques <sup>8</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	If 'Yes' to any of the above, please explain how each is used for CITES offences? Please provide a brief summary, including any lessons learned which might be helpful for other Parties:				
1.7.3g	Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>
		No information	<input type="checkbox"/>	If 'No', please provide a brief summary of your major capacity-building needs:	

**Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.**

<sup>1</sup> A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

<sup>2</sup> General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

<sup>3</sup> Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

<sup>4</sup> Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

<sup>5</sup> Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

<sup>6</sup> International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

<sup>7</sup> Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

<sup>8</sup> Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always <input type="checkbox"/> Very often <input type="checkbox"/> Sometimes <input type="checkbox"/> Rarely <input checked="" type="checkbox"/> Never <input type="checkbox"/> No information <input type="checkbox"/>
1.7.4b	Do you have capacity to analyse information gathered on illegal trade in CITES-listed species?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
1.7.4c	Do you use criminal intelligence <sup>1</sup> to inform investigations into illegal trade in CITES-listed species?	Always <input type="checkbox"/> Very often <input type="checkbox"/> Sometimes <input type="checkbox"/> Rarely <input type="checkbox"/> Never <input type="checkbox"/> No information <input checked="" type="checkbox"/>
1.7.4d	Have you implemented any supply-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes <input type="checkbox"/> No, but activities are under development <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
1.7.4e	Have you implemented any demand-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes <input checked="" type="checkbox"/> No, but activities are under development <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

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<sup>1</sup> Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the period covered in this report:		Yes	No	No Information
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences? If 'Yes', please indicate how many and for what types of offences. If available, please attach details: 1 instance of illegal import of European eel from the EU	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.7.5b	Have there been any criminal prosecutions of CITES-related offences? If 'Yes', how many and for what types of offences? If available, please attach details:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
1.7.5c	Have there been any other court actions against CITES-related offences? If 'Yes', what were the offences involved and what were the results? Please attach details:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
1.7.5d	How were any confiscated specimens disposed of? – Return to country of export – Public zoos or botanical gardens – Designated rescue centres – Approved private facilities – Euthanasia – Other (please specify): Have you encountered any challenges in disposing of confiscated specimens? Do you have good practice that you would like to share with other Parties?	Tick all that apply		
				<input type="checkbox"/>
				<input checked="" type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input checked="" type="checkbox"/>
				<input type="checkbox"/>

**Objective 1.8** Parties and the Secretariat have adequate capacity-building programmes in place.  
[Aichi Target 1, Target 12 and Target 19.](#)

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: YesNo The making of non-detriment findings? <input type="checkbox"/> <input checked="" type="checkbox"/> Permit officers? <input checked="" type="checkbox"/> <input type="checkbox"/> Enforcement officers? <input checked="" type="checkbox"/> <input type="checkbox"/>		
1.8.1b	Is the CITES Virtual College used as part of your capacity building work? What improvements could be made in using the Virtual College for capacity building?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

## GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

**Objective 2.1** Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

**Objective 2.2** Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.  
[Aichi Target 1](#), [Target 2](#), [Target 3](#), [Target 12](#), [Target 19](#) and [Target 20](#).

**Indicator 2.2.1:** The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	<p>Do you have an approved service standard(s)<sup>1</sup> for your Management Authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>If 'Yes', do you have performance targets for these standards<sup>2</sup>?</p> <p>If 'Yes', what are your performance targets?</p> <p>Do you publish your performance against service standard targets?</p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <ul style="list-style-type: none"> <li>– availability of funding?</li> <li>– number of staff?</li> <li>– a shortage of skills?</li> </ul> <p>If 'Yes' to a shortage of skills, which skills do you need more of?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Standard case handling time of three weeks</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>
2.2.1b	<p>Do you have an approved service standard(s)<sup>47</sup> for your Scientific Authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>If 'Yes', do you have performance targets for these standards<sup>48</sup>?</p> <p>If 'Yes', what are your performance targets?</p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <ul style="list-style-type: none"> <li>– availability of funding?</li> <li>– number of staff?</li> <li>– a shortage of skills?</li> </ul> <p>If 'Yes' to a shortage of skills, which skills do you need more of?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>
2.2.1c	<p>Do you have an approved service standard(s)<sup>47</sup> for your enforcement authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p>

<sup>1</sup> For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

<sup>2</sup> For example, 85% of all decisions will take place within the service standard.

	<p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>If 'Yes', do you have performance targets for these standards<sup>48</sup>? Yes <input type="checkbox"/></p> <p>If 'Yes', what are your performance targets? No <input type="checkbox"/></p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <table border="0"> <tr> <td></td> <td>Yes</td> <td>No</td> </tr> <tr> <td>– availability of funding?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>– number of staff?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>– a shortage of skills?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table> <p>If 'Yes' to a shortage of skills, which skills do you need more of?</p>		Yes	No	– availability of funding?	<input type="checkbox"/>	<input type="checkbox"/>	– number of staff?	<input type="checkbox"/>	<input type="checkbox"/>	– a shortage of skills?	<input type="checkbox"/>	<input type="checkbox"/>				
	Yes	No															
– availability of funding?	<input type="checkbox"/>	<input type="checkbox"/>															
– number of staff?	<input type="checkbox"/>	<input type="checkbox"/>															
– a shortage of skills?	<input type="checkbox"/>	<input type="checkbox"/>															
2.2.1d	<p>Please only complete this question if you answered 'No' to the first part of question 2.2.1a, 2.2.1b, or 2.2.1c, relating to the existence of approved service standards for your authorities:</p> <p>Do you have sufficient of the following for your authorities to function effectively?</p> <table border="1"> <thead> <tr> <th></th> <th>Management Authority(ies)</th> <th>Scientific Authority(ies)</th> <th>Enforcement Authority(ies)</th> </tr> </thead> <tbody> <tr> <td>Funding?</td> <td>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></td> </tr> <tr> <td>Staff?</td> <td>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></td> </tr> <tr> <td>Skills?</td> <td>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></td> </tr> </tbody> </table>		Management Authority(ies)	Scientific Authority(ies)	Enforcement Authority(ies)	Funding?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Staff?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Skills?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
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Skills?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>														

**Indicator 2.2.2:** The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities;
- hired more staff;
- developed implementation tools;
- purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	<p>Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES implementation at the national level? Tick if applicable</p> <p>Hiring of more staff <input checked="" type="checkbox"/></p> <p>Development of implementation tools <input checked="" type="checkbox"/></p> <p>Purchase of technical equipment for implementation, monitoring or enforcement <input type="checkbox"/></p> <p>Other (please specify):</p>																
2.2.2b	<table border="1"> <thead> <tr> <th>During the period covered in this report, was the budget for your:</th> <th>Increased</th> <th>Stable</th> <th>Decreased</th> </tr> </thead> <tbody> <tr> <td>Management Authority(ies)</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Scientific Authority(ies)</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Enforcement authorities</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	During the period covered in this report, was the budget for your:	Increased	Stable	Decreased	Management Authority(ies)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Scientific Authority(ies)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Enforcement authorities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
During the period covered in this report, was the budget for your:	Increased	Stable	Decreased														
Management Authority(ies)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>														
Scientific Authority(ies)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>														
Enforcement authorities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>														
2.2.2c	<table border="1"> <thead> <tr> <th>Have you been able to use international development funding assistance to increase the level of implementation of your</th> <th>Yes</th> <th>No</th> <th>Not applicable</th> </tr> </thead> <tbody> <tr> <td>Management Authority(ies)?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Scientific Authority(ies)?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Enforcement authorities?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Have you been able to use international development funding assistance to increase the level of implementation of your	Yes	No	Not applicable	Management Authority(ies)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Scientific Authority(ies)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Enforcement authorities?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Have you been able to use international development funding assistance to increase the level of implementation of your	Yes	No	Not applicable														
Management Authority(ies)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>														
Scientific Authority(ies)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>														
Enforcement authorities?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>														

2.2.2d	What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities?				
	Activity	High	Medium	Low	Not a Priority
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for implementation, monitoring or enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	e-permitting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify):		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.2.2e	Do you have a operational system (e.g. electronic database) for managing		Yes	Under development	No
	Species information		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Trade information		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Non-detriment findings		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for:	Tick all that are applicable	
	– Administrative procedures	<input type="checkbox"/>	
	– Issuance of CITES documents (e.g. for import, exports, re-export, or introduction from the sea)	<input type="checkbox"/>	
	– Shipment clearance (e.g. for the import, export, re-export, or introduction from the sea of CITES-listed species)	<input type="checkbox"/>	
	– Licensing or registration of operations that produce CITES species	<input type="checkbox"/>	
	– Harvesting of CITES-listed species	<input type="checkbox"/>	
	– Use of CITES-listed species	<input type="checkbox"/>	
	– Assignment of quotas for CITES-listed species	<input type="checkbox"/>	
	– Other (please specify):	<input type="checkbox"/>	
2.2.3b	Is a fee schedule publicly available? If 'Yes', please provide an internet link, or a copy of the schedule to the Secretariat:	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Entirely <input type="checkbox"/>	Partly <input type="checkbox"/>
		Not at all <input type="checkbox"/>	Not relevant <input checked="" type="checkbox"/>
2.2.3d	Do you raise funds for CITES management through charging user fees?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
	Do your fees recover the full economic cost of issuing permits?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Do you have case studies on charging or using fees?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	If 'Yes' to any of the above, please provide brief details:		
	Do you use innovative financial mechanisms to raise funds for CITES implementation?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	If 'Yes', please provide brief details:		

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	<p>Do you use incentive measures<sup>1</sup> such as those described in <a href="#">CoP14 Doc 14.32</a> to implement the Convention?</p> <p style="text-align: right;">YesNo</p> <p>Due diligence <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>Compensatory mechanisms <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>Certification <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>Communal property rights <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>Auctioning of quotas <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>Cost recovery or environmental charges <input checked="" type="checkbox"/> <input type="checkbox"/></p> <p>Enforcement incentives <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to further information:</p>
2.2.4b	<p>Have incentives harmful to biodiversity been eliminated? Not at all <input type="checkbox"/></p> <p style="padding-left: 40px;">Very little <input type="checkbox"/></p> <p style="padding-left: 40px;">Somewhat <input type="checkbox"/></p> <p style="padding-left: 40px;">Completely <input type="checkbox"/></p>

<sup>1</sup> Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and fauna and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

**Objective 2.3** Sufficient resources are secured at the national and international levels to implement capacity-building programmes.  
 Aichi Target 12, Target 19 and Target 20.

**Indicator 2.3.1:** The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many training and capacity building activities <sup>1</sup> have you run during the period covered in this report?	Without assistance from the Secretariat	Conducted or assisted by the Secretariat				
	None <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2-5 <input type="checkbox"/> 6-10 <input type="checkbox"/> 11-20 <input type="checkbox"/> More than 20 <input type="checkbox"/>						
Please list the Resolutions or Decisions involved:							
2.3.1b	What sorts of capacity building activities have taken place?						
2.3.1c	What capacity building needs do you have?  Please tick all boxes which apply to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders / other user groups	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

<sup>1</sup> An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

**GOAL 3 CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE**

**Objective 3.1** Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.  
[Aichi Target 2](#) and [Target 20](#).

**Indicator 3.1.1:** The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?  If 'Yes', please provide brief details:	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased	<input type="checkbox"/>
		Remained stable	<input type="checkbox"/>
		Decreased	<input type="checkbox"/>

**Indicator 3.1.2:** The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?							Yes	<input type="checkbox"/>
								No	<input checked="" type="checkbox"/>
							No information	<input type="checkbox"/>	
If 'Yes', please tick boxes to indicate type of assistance provided									
	Country(ies)	Species Management <sup>1</sup>	Habitat Management <sup>2</sup>	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

<sup>1</sup> Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

<sup>2</sup> Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

**Objective 3.2** Awareness of the role and purpose of CITES is increased globally.  
 Aichi Target 1, Target 4, Target 12 and Target 18.

**Indicator 3.2.1:** The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups?		Relevant User Groups
		Wider public	
	– Press conferences	<input type="checkbox"/>	<input type="checkbox"/>
	– Press releases	<input type="checkbox"/>	<input type="checkbox"/>
	– Newspaper articles, brochures, leaflets	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Television appearances	<input type="checkbox"/>	<input type="checkbox"/>
	– Radio appearances	<input type="checkbox"/>	<input type="checkbox"/>
	– Presentations	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	– Public consultations / meetings	<input type="checkbox"/>	<input type="checkbox"/>
	– Market surveys	<input type="checkbox"/>	<input type="checkbox"/>
	– Displays	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Information at border crossing points	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Telephone hotline	<input type="checkbox"/>	<input type="checkbox"/>
– Website(s) – if so please provide link(s) <a href="http://www.miljodirektoratet.no">www.miljodirektoratet.no</a>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
– Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>	
Please attach copies of any items or describe examples:			

**Indicator 3.2.2:** The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?					
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).					
	Target group	Daily	Weekly	Monthly	Less frequently	Not known
	Staff of Management Authority	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
3.2.2b	What has been your experience with using the CITES website?	Excellent	<input type="checkbox"/>			
	Good	<input checked="" type="checkbox"/>				
	Average	<input type="checkbox"/>				
	Poor	<input type="checkbox"/>				
	Very Poor	<input type="checkbox"/>				
	No information	<input type="checkbox"/>				
Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc):						

**Indicator 3.2.3:** The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

**Objective 3.3** Cooperation with relevant international environmental, trade and development organizations is enhanced.

**Indicator 3.3.1** The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) <sup>1</sup> to which your country is party? If 'Yes', please give a brief description:	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**Indicator 3.3.2:** The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?	0	
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?	0	
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No
	Agencies for development?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Agencies for trade?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Provincial, state or territorial authorities?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Local authorities or communities?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Indigenous or local peoples?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Trade or other private sector associations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	NGOs?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3.3.2d	Are CITES requirements integrated into?	Yes	No
	National and local development strategies?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	National and local poverty reduction strategies?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Planning processes?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	National accounting?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

<sup>1</sup> CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and non-governmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?	<input type="checkbox"/>	
	Non-governmental organizations?	<input type="checkbox"/>	

**Objective 3.4** The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions.

[Aichi Target 1](#), [Target 2](#), [Target 3](#), [Target 4](#), [Target 5](#), [Target 6](#), [Target 7](#), [Target 12](#), [Target 14](#), [Target 17](#), [Target 18](#) and [Target 19](#).

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved?	Yes	No	Not Applicable
	Appendix I	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Appendix II	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Appendix III	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	If there are such studies that you are willing to share, please provide:			
	Species name (scientific)	Link to the data, or a brief summary		
3.4.1b	Do you have examples of specific examples of success stories or emerging problems with any CITES listed species?	Yes	No	No information
	If 'Yes', please provide details:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes	No	No information
		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes	No	No information
		<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**Objective 3.5** Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited.  
 Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.

**Indicator 3.5.1:** The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details:	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**Indicator 3.5.2:** The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Management Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Scientific Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Enforcement Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	

**General feedback**

Please provide any additional comments you would like to make, including comments on this format.

Item		
Copy of full text of CITES-relevant legislation if changed	Enclosed	<input checked="" type="checkbox"/>
Web link(s)	Not available	<input type="checkbox"/>
	Previously provided	<input type="checkbox"/>
Please list any materials annexed to the report, e.g. fee schedules, awareness raising materials, etc:		
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
	No	<input checked="" type="checkbox"/>
	No Information	<input type="checkbox"/>
If 'Yes', please describe the constraint and the type of attention or assistance that is required.		
Are there examples of good practice you would like to share with other Parties?	Yes	<input type="checkbox"/>
	No	<input checked="" type="checkbox"/>
	No Information	<input type="checkbox"/>
If 'Yes' please provide details / links:		
How could this report format be improved? By the use of an electronic survey tool		

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.

## **Regulation on import, export, domestic possession etc. of endangered species of wild fauna and flora (CITES-Regulation)**

**Legal authority:** Laid down by Royal Decree of 15 June 2018 on the authority of the Act of 19 June 2009 no. 100 relating to Management of Nature Diversity (Nature Diversity Act), Section 26, cf. Section 2, second paragraph, second sentence; the Act of 15 June 2001 no. 79 relating to Environmental Protection on Svalbard (Svalbard Environment Act), Section 26, second paragraph and the Act of 27 February 1930 no. 2 relating to Jan Mayen, Section 2, third paragraph. Promoted by the Ministry of Climate and Environment. The EEA Agreement, Annex II, Chapter XIX Directive 98/34/EC of the European Parliament and of the Council, with later amendments.

### **Chapter 1. Purpose and scope**

#### **Section 1. Purpose**

The purpose of this Regulation is to conserve species covered by the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) and other natural wild species which are, or may become, threatened with extinction as the result of trade.

#### **Section 2. Objective scope**

This Regulation covers the import, export and re-export of specimens, alive or dead, of animal and plant species included in Annex 1. *Re-export* means export of any specimen that has previously been introduced into the Regulation area.

This Regulation also concerns domestic possession and commercial presentation of specimens included in Annex 3 no. 1 of the Regulation.

This Regulation also concerns introduction from the sea of specimens of species included in Annex 1, List A and B, of the Regulation.

The first and second paragraphs also concern parts of specimens and products that are prepared from or declared as prepared from such species. Dead specimens include hunting trophies.

The first and second paragraphs also concern hybrids. *Hybrid* refers to a crossing in the recent lineage of species included in Annex 1 with species not included in Annex 1, as far back as the fourth generation. In the event of crossing of two species included on different lists in Annex 1, the offspring are to be regulated under the strictest listing.

#### **Section 3. Geographical scope**

This Regulation applies on Norwegian land territory, including Svalbard and Jan Mayen.

This Regulation applies to the import, export and re-export between territories identified in the first paragraph and foreign states, and between mainland Norway and Svalbard.

### **Chapter 2. Import, export, domestic possession, etc.**

#### **Section 4. Import**

Import of any specimens of species included in Annex 1, lists A and B, is only permitted upon presentation of a CITES export permit, issued by the competent CITES Management Authority in the exporting country, and a CITES import permit issued by the Norwegian Environment Agency (the Agency). Such permits must apply to the specimens in question.

The import permit for specimens of species included in Annex 1, list A, may be issued when the applicant proves that:

- a) one or more of the exceptions in section 11 to 14 are met,

- b) the import does not have a harmful effect on the conservation status of the species,
- c) the specimen has been legally acquired, and
- d) the specimen will not be used for commercial purposes.

For specimens of species included in Annex 1, List B, a permit may be issued if the applicant proves that the import does not have a harmful effect on the conservation status of the species, and the specimen has been legally acquired.

Import of specimens of species included in Annex 1, List C, is only permitted upon presentation of a CITES export permit, issued by the competent CITES Management Authority in the exporting country. In the event of import from a country that has not listed the species, a Certificate of Origin may replace the export permit.

In the event of import of live specimens of species included in Annex 1, an additional requirement is that transport and storage are in conformity with national regulations and transport requirements established by Decisions adopted by the Conference of the Parties, in a manner that does not have a harmful effect or causes unnecessary suffering to the individual specimen, and that the recipient of the specimen is suited and equipped to care for it.

No permit may be granted for import of specimens included in Annex 2.

Personal effects covered by section 10 and non-commercial loans and similar under section 15 may be imported without a CITES permit. Specimens in transit under section 16 are not considered as import and do not require a CITES permit.

For import of specimens of species included in Annex 1, List B, which have previously been imported into an EU or EEA member state, and where the specimen is accompanied by a CITES export permit issued by the competent CITES Management Authority in such state, no import permit is required to be issued by the Agency.

### **Section 5. *Export and re-export***

Export and re-export of specimens of species included in Annex 1 is only permitted upon presentation of a CITES export permit or re-export permit issued by the Agency. Such a permit must apply to the specimens in question. The first sentence does not exempt from possible requirements for CITES import documents under other CITES Party's Management Authority.

The export or re-export permit for specimens of species included in Annex 1, List A, may be issued when the applicant proves that:

- a) one or more of the exceptions in sections 11 to 14 are met,
- b) the export or re-export does not have a harmful effect on the conservation status of the species,
- c) the specimen has been legally acquired, and
- d) the specimen will not be used for commercial purposes.

For specimens of species included in Annex 1, Lists B and C, a permit may be issued if the applicant proves that the export or re-export does not have a harmful effect on the conservation status of the species, and the specimen has been legally acquired. This also applies to specimens of species listed in Annex 1, List A, covered by a Norwegian reservation, in the event of export or re-export to Parties having the same reservation, or to non-Convention States.

In the event of export or re-export of live specimens of species included in Annex 1, an additional requirement is that transport and storage are in conformity with national regulations and transport requirements established in Decisions adopted by the Conference of the Parties in a manner that does not have a harmful effect or causes unnecessary suffering to the individual specimen, and that the recipient of the specimen is suited and equipped to care for it.

No permit may be granted for export or re-export of specimens included in Annex 2.

For the export of minke whale, the additional requirements in the Regulation on Export of Minke Whale of 29<sup>th</sup> June 2001 no. 799 must be met.

Personal effects covered by section 10 and non-commercial loans and similar under section 15 may be exported and re-exported without a CITES permit. Specimens in transit under section 16 are not considered as export or re-export and do not require a CITES permit.

### **Section 6. *Introduction from the sea***

*Introduction from the sea* shall mean the transport into a State of any specimen of a species that was caught in sea areas not under the jurisdiction of any state, including the air-space above the sea, sea-bed and subsoil beneath the sea.

When Norwegian-registered vessels harvest specimens of species included in Annex 1, Lists A and B, in sea areas not under the jurisdiction of any State, and introduce the specimens into a foreign State, a CITES export permit issued by the Agency is required. In the case of introduction of specimens in a foreign State subject to a Norwegian reservation, then, in addition to the CITES export permit from the Agency, an import permit may also be required from the importing country's competent CITES Management Authority.

When a foreign-registered vessel harvests species included in Annex 1, Lists A and B, and introduces them to Norway, CITES export documentation is required from the competent CITES Management Authority in the vessel's Flag State, as well as a CITES import permit issued by the Agency.

When a Norwegian-registered vessel harvests species included in Annex 1, Lists A and B, and introduces them to Norway, any trade with specimens in Norway requires a CITES Certificate for introduction from the sea issued by the Agency. The certificate is issued prior to the initial trade in Norway to whoever is going to sell the specimen or catch. The Certificate may only be issued when the applicant proves that the specimen has been legally harvested and that trade does not have a harmful effect on the conservation status of the species.

For List B species, the Agency may issue multi-use permits, cf. section 21. At the end of each certificate period, the certificate holder must report on the use of the certificate to the Agency.

In the event the initial trade of specimens harvested under the fourth paragraph is exported, the rules of section 5 apply. Then no additional Certificate for introduction from the sea is required.

### **Section 7. *Import from and export and re-export to non-Convention States***

The rules of section 4 and 5 apply in the same manner in the event of import from and export and re-export to non-Convention States.

For import from non-Convention States, documentation equivalent to a CITES export permit is required. Such documentation must comply with the provisions of the Convention and be issued by an authority approved by the CITES Secretariat.

Import of captive-bred specimens of species and artificially propagated plants listed in Annex 1, List A, from non-Convention States requires a recommendation from the CITES Secretariat for the exemptions of Sections 12 and 13 to apply.

### **Section 8. *Domestic possession***

Domestic possession of specimens of species included in Annex 3, no. 1 of the Regulation, is only permitted if a CITES Owner's Certificate issued by the Agency can be presented.

An Owner's Certificate for specimens of species included in Annex 1, List A, may be issued when the applicant proves that:

- a) one or more of the exceptions in sections 11 to 14 are met,
- b) the possession does not have a harmful effect on the conservation status of the species,
- c) the specimen has been legally acquired, and
- d) the specimen is not going to be used for commercial purposes.

For specimens of species included in Annex 1, List B, an Owner's Certificate may be issued if the applicant proves that the possession does not have a harmful effect on the conservation status of the species, and the specimen has been legally acquired.

Personal effects covered by section 10, second and fourth paragraphs, and non-commercial loans and similar under section 15 may be possessed without a CITES Owner's Certificate. Specimens in transit under section 16 are not considered as possession and do not require a CITES Owner's Certificate.

An Owner's Certificate is not required if a CITES certificate for introduction from the sea has been issued, cf. section 6 and section 21, first paragraph, letter d.

If specimens of species covered by the first paragraph give offspring, the application for an Owner's Certificate must be submitted to the Agency within four weeks after birth. The application must enclose breeding documentation issued by a licensed veterinarian or a person authorised by the Agency.

For specimens cited in the first paragraph found within the geographical scope of the Regulation at the time it enters into force, the person possessing the specimen has a duty to possess an Owner's Certificate no later than 1 July 2023.

### **Section 9. Commercial presentation**

Commercial presentation of dead specimens of species included in Annex 3 no. 1 of the Regulation is banned. In special circumstances, an exemption may be granted under section 17.

A commercial presentation is when the purpose of the presentation is to make a direct or indirect economic profit.

## **Chapter 3. Exceptions from Chapter 2**

### **Section 10. Personal effects mv.**

In this provision, *personal effects* means parts and products of non-living specimens of species included in Annex 1, List B, which are part of someone's baggage or which are carried by or on someone's person. Personal effects encompasses only import and export taking place upon the owner's arrival in or departure from Norway and not in connection with the dispatch of a postal parcel or similar. Specimens of species included in Annex 1, List A, are not considered personal effects under this provision.

Persons who are not registered in the population register in Norway and who are not staying in the country for more than three months, may import personal effects under the first paragraph without a CITES permit and possess such specimens without a CITES Owner's Certificate if the specimen has been legally acquired, is not used for commercial purposes in Norway, and is re-exported at the end of the stay.

Persons who are registered in the population register in Norway may import personal effects under the first paragraph without a CITES permit provided the specimen does not originate from a state having such species in the wild and they can prove that the specimen was legally acquired. For export, the specimen is required not to be used for commercial purposes abroad and to be re-imported into Norway within three months.

Up to the stated quantity of the following specimens may be imported to and exported from Norway without a CITES permit:

- a) 125 grams caviar from sturgeon species Acipenseriformes
- b) three specimens of rainsticks made of Cactaceae
- c) four crocodile products from the order Crocodylia, except for whole specimens and major parts of the skin, stuffed specimens, meat and hunting trophies
- d) three dead specimens of queen conch, *Strombus gigas*
- e) four dead specimens of seahorses, *Hippocampus* spp.
- f) three dead specimens of giant clams, *Tridacnidae* spp., where each specimen consists of the whole shell, or two parts that fit together, which combined do not exceed three kilos
- g) one half kilo dead, dry stony corals, Scleractinia, including parts thereof, or
- h) 1 kilo wood pieces, 24 ml oil and two sets of pearls (prayer beads, necklaces or bracelets) of Agarwood (*Aquilaria* spp.).

### **Section 11. *Previously acquired specimens (pre-Convention)***

The Agency may issue permits for the import, export and re-export of specimens of species included in Annex 1 when it can be documented or otherwise substantiated that the specimen was legally acquired before the day of the listing of the species in Annex 1 entered into force. The Agency may also issue an Owner's Certificate for possession of such specimens.

The exception does not apply when the specimen has been wholly or partially processed after the listing entered into force.

### **Section 12. *Specimens of species from captive breeding***

An application for import, export, re-export and domestic possession of specimens of species included in Annex 1, List A, shall be processed according to the rules that apply to specimens of species included in Annex 1, List B, when the applicant can document that the specimen was born in captivity, that both parents of the specimen were born in captivity, and that the specimen was captive-bred in accordance with a valid Decision adopted by the Conference of the Parties

*Captive breeding* means specimens produced, born and/or kept in a controlled environment suitable for the purpose.

The first paragraph applies only to breeders registered with the Agency in accordance with a Decision adopted by the Conference of the Parties and who keep a breeding register. Such a breeding register shall be submitted to the Agency on request.

### **Section 13. *Artificially propagated plants***

An application for import, export and re-export of artificially propagated plants included in Annex 1, List A, shall be processed according to the rules that apply for specimens of species included in Annex 1, List B, when the Applicant can document that the specimen was artificially propagated. In addition, the specimen must be accompanied by a phytosanitary certificate issued by the exporting country's official Plant Inspection Service.

Import of artificially propagated plants included in Annex 1, Lists B and C, does not require a CITES export permit issued by the competent CITES Management Authority in the exporting country if the specimen is accompanied by a phytosanitary certificate issued by the country of export's official Plant Inspection Service. For export and re-export, the phytosanitary certificate is issued by the Norwegian Food Safety Authority.

The phytosanitary certificate should state the scientific name of the species and number of specimens. The certificate should also certify that "the specimen has been artificially propagated as defined by CITES". For timber, the phytosanitary certificate may be used for import into Norway in those cases where the species is covered by Annex 5A, no. 8, in the Regulations relating to Plants and Measures against Pests of 1<sup>st</sup> December 2000 no. 1333.

*Artificially propagated plants* means plants that have developed under controlled conditions from seeds, seedlings, partition, industrially produced callus tissue or other plant tissue in sterile containers, spores or other propagation entities. *Controlled conditions* means non-natural environments subject to human intervention, including cultivation, fertiliser, pesticide, irrigation or horticulture. The definition applies likewise for timber from trees cultivated in monoculture plantations.

### **Section 14. *Specimens of species for scientific and biomedical purposes***

The Agency may issue a permit for import, export and re-export of specimens of species included in Annex 1, List A, when it can be documented that the specimen is necessary for purposes of important scientific or biomedical research. The documentation must prove that the species is the only one suitable for the purpose and that no equivalent specimens exist that were born and bred in captivity. The Agency may also issue an Owner's Certificate for possession of such specimens.

The exception applies only to scientific institutions registered with the Agency.

**Section 15. *Non-commercial loans, donations, exchanges and similar of preserved, dried or embedded museum materials and herbarium materials, including live plant material, between registered scientific institutions***

Import, export, re-export and domestic possession in connection with non-commercial loans, donations, exchanges and similar of preserved, dried or embedded museum specimens and herbarium material, and of live plant material of species included in Annex 1, between registered scientific institutions in Norway or other states, does not require a permit or Owner's Certificate under this Regulation.

Consignments under the first paragraph shall bear a label approved by the competent CITES Management Authority. In the case of export under the first paragraph, a copy of the labels issued shall be sent to the Agency by the end of the year.

This provision applies only to scientific institutions registered with the CITES Secretariat in accordance with a valid Decision adopted by the Conference of the Parties. The Agency may require reporting.

**Section 16. *Transit***

*Transit* means transport between two foreign states where the transport passes through Norway. The shipment must be to a specific goods owner domiciled in the country of destination. Shipments stored in bonded warehouses are deemed to be in transit. Transit includes reloading between different means of transport.

In the case of transit, a valid CITES permit is required, issued in accordance with the provisions of the exporting country. For non-Convention states, equivalent export documentation is sufficient. The destination of the consignment shall be stated in the documentation. The consignee and country of destination must be unaltered from what was stated for export clearance of the goods from the exporting country.

**Section 17. *Exemption***

In special circumstances, the Agency may grant exemption from Chapter 2 provided this will not conflict with Norway's obligations under CITES. In other respects, Chapter 4 applies correspondingly.

**Chapter 4. Application, permit, Owner's Certificate, etc.**

**Section 18. *Application***

An application shall contain particulars necessary to process the application. Applications should be written on the form prescribed and sent to the Agency. All mandatory boxes must be completed.

An application for exemption under section 17 must also include the reasons for and proof that a special circumstance exists.

An application under this provision must reach the Agency no later than three weeks prior to the planned shipment taking place. This does not apply to applications for a certificate for introduction from the sea.

**Section 19. *CITES permits***

CITES permits under this Regulation are issued by the Agency.

A permit may only be issued if the conditions of sections 4 or 5 are met.

A permit is required for each shipment of specimens, unless otherwise stated in section 21. A permit may be required for each specimen in a shipment of multiple specimens.

A permit must be issued prior to border crossing, and shall be shown unsolicited, jointly with the specimen, to the boarder control authority. For postal shipments, the permit must accompany the shipment.

Unless otherwise provided, import permits are issued for maximum one year, whereas export and re-export permits are issued for maximum six months from the issuing date. Expired permits are not valid.

The Agency may lay down such conditions in permits as found necessary to safeguard the purpose of this Regulation.

## **Section 20. *CITES Owner's Certificate and CITES Certificate for Introduction from the Sea***

CITES Owner's Certificates in accordance with this Regulation are issued by the Agency and are valid in Norway only. An Owner's Certificate may only be issued if the conditions of section 8 are met. An Owner's Certificate is required for each specimen and is linked to the specimen's tag number. The Owner's Certificate shall accompany the specimen. The Agency may set conditions in Owner's Certificates deemed necessary to safeguard the purpose of this Regulation.

In the event of import, the Owner's Certificate is issued simultaneously with the import permit.

The Owner's Certificate shall be returned to the Agency when the specimen dies, no longer exists or has been exported or re-exported.

CITES Certificates for Introduction from the Sea are issued by the Agency. Such a certificate may only be issued if the conditions of section 6 are met. The certificate shall accompany the specimen or catch when it is traded.

## **Section 21. *CITES Multiple Use Permits***

The Agency may issue a CITES Multiple Use Permit in the following cases:

- a) import, export and re-export of certain living specimens of species within a given period of time of maximum three years
- b) import, export and re-export of certain musical instruments within a given period of time of maximum three years. For import into Norway, a musical instrument passport will be approved if issued by the competent export authority as a Multiple Use Permit.
- c) import, export and re-export of samples of dead specimens of species included in Lists B and C, and List A species where the exceptions in sections 12 or 13 apply, within a given period of time of maximum one year. Samples may not be used for commercial purposes.
- d) Introduction of specimens of species under section 6 for Norwegian-registered vessels where the catch will be landed in Norway within a given period of time of maximum one year.

Multiple Use Permits may only be issued for specimens within the geographical scope of the Regulation.

## **Section 22. *Format of permits and certificates***

Permits and certificates according to this Regulation must be issued using the prescribed CITES form, include the title of the Convention and carry the seal and signature of the competent CITES Management Authority issuing such permit or certificate. Norwegian permits and certificates are issued by the Agency.

Permits according to this Regulation are to contain an inventory of the species included in the shipment, what lists the individual species are included on, the volume, weight or number of specimens of each species, the origin of the specimen, and the name and address of the exporter and importer. If the specimen is tagged, a permit showing the specimen's tag number, or alternatively its microchip number, is given, see Annex 3. Permits shall be valid for a stipulated period of time.

An Owner's Certificate will be issued with the tag number, alternatively the microchip number, scientific name of the species, and the name and address of the original owner.

A Certificate for Introduction from the Sea shall include the owner's name and address, the scientific name of the species, the volume, weight or number of specimens of each species, state where the catch was landed, and the name of the vessel landing the catch.

For Multiple Use Permits under section 21, first paragraph, letter b, the following English text is required: «The specimen covered by this certificate, which permits multiple cross-border movements, is for non-commercial use and may not be sold, traded or otherwise disposed of outside the certificate holder's State of usual residence».

For Multiple Use Permits under section 21, first paragraph, letter c, the following English text is required in the sample permit: «This document covers a sample collection and is invalid unless accompanied by a valid ATA carnet. The specimen(s) covered by this certificate may not be sold or otherwise transferred whilst outside the territory of the State that issued this document».

A copy of the permit or certificate must be clearly marked as a copy and may not be used instead of the original for actions under this Regulation.

### **Section 23. *Retrospective issuance of documentation***

The Agency may issue CITES documentation for specimens of species included in Annex 1, List B or C, after border crossing has taken place, if the applicant can prove that the retrospective submission is due to circumstances beyond his control, that he could not predict such circumstances or reasonably have avoided or overcome the impediment and its consequences. Retrospective CITES documentation is only issued if such documentation is accepted by the competent CITES Management Authority of the exporting country.

Retrospective documentation for specimens included in Annex 1, List A, will not be issued.

### **Section 24. *Amendment and recall of permits, Owner's Certificates and Multiple Use Permits***

The Agency may amend or recall a permit, Owner's Certificate or Multiple Use Permit issued in accordance with this Regulation if:

- a) new information arises that will materially change the basis for the permit, Owner's Certificate or Multiple Use Permit, or
- b) the conditions of the permit, Owner's Certificate or Multiple Use Permit are materially violated, or
- c) the permit, Owner's Certificate or Multiple Use Permit is misused in some other manner.

On the same terms, the Agency may amend or impose new conditions for a permit, Owner's Certificate or Multiple Use Permit.

## **Chapter 5. Control, marking, etc.**

### **Section 25. *Control***

The Agency has the overall responsibility for ensuring compliance with the Regulation.

The Norwegian Directorate of Customs controls import, export and re-export to and from mainland Norway and Svalbard, as well as introduction from the sea. The Agency controls domestic possession and commercial presentation on Norwegian land territories, including Svalbard. At Jan Mayen, Nordland Police District controls import, export and re-export as well as possession and commercial presentation. The Norwegian Directorate of Fisheries controls introduction from the sea insofar as introduction does not take place at custom clearance.

The control authority controls that import, export and re-export, domestic possession and commercial presentation, as well as introduction from the sea does not take place in contravention of the Regulation. Specimens of species in transit, cf. section 16, are not subject to control under this provision.

The control authority may:

- require submission of valid CITES permits or other documentation to accompany the specimen
- without hindrance of statutory confidentiality, require that a person subject to control give the information necessary and provide the required assistance needed to implement the control in accordance with this Regulation
- whenever necessary to implement the control, have unrestricted access to property where activity takes place that may be in contravention of this Regulation
- require documents and other material to be submitted and to investigate such documents and material that may be significant for control under this Regulation
- stop individuals, vessels and motor vehicles if this is necessary to implement control.

After customs clearance, the relevant CITES documentation and any seized specimens of species will be forwarded to the Agency.

The Norwegian Directorate of Customs may decide which of its offices to employ or impose restrictions on the scope of operation of its offices in respect of import, export and re-export.

## **Section 26. Declaration**

In the event that a specimen, either contains or purportedly contains, specimens of species included in Annex 1, then this information shall be displayed on the packaging, in the declaration of contents, forwarding documents, pedigree certificate or similar.

## **Section 27. Tagging**

Specimens of species included in Annex 3, no. 1, shall be tagged in accordance with Annex 3, nos. 2 and 3.

The importer or owner is responsible that specimens are tagged no later than four weeks after import. Specimens within the geographical scope of the Regulation at the effective date of the Regulation shall be tagged within 1 July 2023.

Specimens that are captive-bred within the scope of the Regulation after the effective date of the Regulation or offspring of specimens within the scope of the Regulation after such time, shall be tagged within four weeks after birth or as soon as practicable based on the type of species.

In Norway, tagging shall be conducted by the Agency or its authorised representative.

Specimens tagged in accordance with the Dead Wildlife Regulation are not required to be tagged according to this provision.

Containers, including cans, jars or boxes of caviar from sturgeon, shall be individually sealed and tagged with a uniquely numbered label on the container in accordance with a valid Decision adopted by the Conference of the Parties. The label shall be applied by the business packing the caviar prior to the first sale.

## **Section 28. Systematic Health, Safety and Environmental work – Internal control**

The responsible Business Operator of an entity or business engaging in commercial import, export, re-export or possession of specimens of species covered by this Regulation shall ensure that Systematic Health, Safety and Environmental Work (Internal Control) is introduced and implemented in the business, and that the process involves active collaboration with employees and their representatives. A business or entity in this context means a private or public undertaking, including a sole proprietorship.

The Systematic Health, Safety and Environmental Work (Internal Control) regulations provide that the business shall:

- a) Ensure that this Regulation is readily accessible within the business, and that those involved are especially cognizant of the rules of particular importance to operation of the business
- b) Ensure that employees have sufficient knowledge and skills in Systematic Health, Safety and Environmental Work (Internal Control) within the business, including information about changes
- c) Ensure that employees are actively involved so that their aggregate knowledge and experience are utilised
- d) Lay down objectives for work on species covered by this Regulation and its Annexes and the associated rules
- e) Maintain a general understanding of the organisation of the business, including the distribution of responsibilities, tasks and authorities for activities regulated by this Regulation
- f) Identify hazards and problem areas and on this basis assess the risks involved and prepare plans and measures to mitigate such risks
- g) Implement routines to identify, correct and prevent violations of requirements laid down in and pursuant to this Regulation
- h) Perform systematic monitoring and review of the Health, Safety and Environmental Work (Internal Control) process to ensure that the process functions as intended.

Health, Safety and Environmental Work (Internal Control) functions shall be aligned to the nature of the business, its activities, risk factors and size, to the extent necessary to meet requirements in and pursuant to this Regulation.

Health, Safety and Environmental Work (Internal Control) functions shall be documented in the form and scope necessary based on the nature of the business, its activities, risk factors and size. Documentation required in and pursuant to this Regulation, such as any permits and certificates, are to be included in the documentation.

A minimum requirement under this Regulation is that the documentation shall include letters d) through h) in the second paragraph above.

## **Chapter 6. Final provisions**

### **Section 29. *Enforcement and sanctions***

The Agency will enforce and sanction violations of this Regulation section 4, section 5, section 6, section 7, section 8, section 26, section 27 and section 28 and of administrative decisions made in accordance with these provisions under the Nature Diversity Act section 69 to section 74 and the Svalbard Environment Act section 93 to section 98. Violation of the above provisions is a punishable offence under the Nature Diversity Act section 75 and the Svalbard Environment Act section 99.

When the Agency considers an administrative seizure under the Nature Diversity Act section 72a, the control authority may retain the specimens in question for up to five days pending a decision on seizure.

Before living specimens of species included in Annex 1, List A, are destroyed, the Agency shall consider the possibility of relocating such specimens.

The Agency may decide that costs associated with confiscation shall be covered by anyone who has wilfully or negligently imported, exported, re-exported, introduced from the open sea or possessed specimens of species in contravention of this Regulation.

### **Section 30. *Norwegian scientific authority under CITES***

The Agency may appoint one or more scientific authorities under CITES.

The appointed scientific authority shall, when charged by the Agency, give advice on scientific issues in accordance with the Convention and Decisions adopted by the Conference of the Parties. Advice includes scientific assessments of species, including listing proposals, and scientific advice associated with import and export, and in special circumstances re-export and introduction from the sea.

When considered expedient and the scientific advice is adequate for the circumstance under consideration, the Agency may, when considering applications for permits etc., use such advice for up to one year after such advice was offered.

### **Section 31. *Amendments to the Regulation***

The Ministry of Climate and Environment may enact amendments to this Regulation.

### **Section 32. *Entry into force***

This Regulation enters into force on 1 July 2018.

From the same date, the Regulation of 15 November 2002 no. 1276 for Implementation of the Convention of 3 March 1973 on International Trade in Endangered Species of Wild Flora and Fauna is repealed.

## **Annex 1 – Species listings**

To read the Annex, look here:



## Annex 2 – Countries currently subject to trading suspensions

<i>Country</i>	<i>Notification</i>	<i>Basis</i>	<i>Scope</i>	<i>Valid from</i>
Afghanistan	No. 2013/018 (17/05/2013)	Annual reports	All trade	17 May 2013
Belize	No. 2016/018 (15/03/2016)	Significant trade	<i>Myrmecophila tibicinis</i>	15 June 2010
Benin	No. 2016/018 (15/03/2016)	Significant trade	<i>Pandinus imperator</i>	2 May 2013
			<i>Chamaeleo gracilis</i>	3 February 2016
			<i>Chamaeleo senegalensis</i>	3 February 2016
			<i>Kinixys homeana</i>	3 February 2016
Cameroon	No. 2016/018 (15/03/2016)	Significant trade	<i>Triceros quadricornis</i>	3 February 2016
Central African Republic	No. 2016/018 (15/03/2016)	Significant trade	<i>Psittacus erithacus</i>	3 February 2016
Ivory Coast	No. 2016/018 (15/03/2016)	Significant trade	<i>Pericopsis elata</i>	7 September 2012
Democratic Republic of the Congo	No. 2016/018 (15/03/2016)	Significant trade	<i>Poicephalus robustus</i> ; <i>Stigmochelys<sup>1</sup> pardalis</i>	9 July 2001
	No. 2016/021 (16/03/2016)	Compliance and enforcement – Article XIII	<i>Psittacus erithacus</i>	15 January 2016
Djibouti	No. 2011/010 (19/01/2011)	National legislation	All commercial trade	30 April 2004
Equatorial Guinea	No. 2016/018 (15/03/2016)	Significant trade	<i>Psittacus erithacus</i>	22 August 2008
			<i>Triceros<sup>2</sup> feae</i>	7 September 2012
			<i>Prunus africana</i>	3 February 2009
Fiji	No. 2016/018 (15/03/2016)	Significant trade	<i>Plerogyra simplex</i>	3 February 2016
Ghana	No. 2016/018 (15/03/2016)	Significant trade	<i>Plerogyra sinuosa</i>	3 February 2016
			<i>Pandinus imperator</i>	12 August 2014
			<i>Chamaeleo gracilis</i>	3 February 2016
			<i>Chamaeleo senegalensis</i>	3 February 2016
Grenada	No. 2016/018 (15/03/2016)	Significant trade	<i>Strombus gigas</i>	12 May 2006
	No. 2016/022 (16/03/2016)	Annual reports	All trade	16 March 2016
Guinea	No. 2016/018 (15/03/2016)	Significant trade	<i>Balearica pavonina</i>	2 May 2013
	No. 2016/018 (15/03/2016)	Significant trade	<i>Hippocampus algiricus</i>	3 February 2016
	No. 2013/017 (16/05/2013)	Compliance and enforcement	All commercial trade	16 May 2013
Guinea-Bissau	No. 2016/030 (23/03/2016)	National legislation	All commercial trade	15 March 2016
Haiti	No. 2016/018 (15/03/2016)	Significant trade	<i>Strombus gigas</i>	29 September 2003

Laos	No. 2016/018 (15/03/2016)	Significant trade	<i>Macaca fascicularis</i>	3 February 2016
			<i>Ptyas mucosus</i>	3 February 2016
			<i>Python reticulatus</i>	3 February 2016
			<i>Naja</i> spp. (= <i>N. atra</i> , <i>N. kaouthia</i> , <i>N.</i> <i>siamensis</i> )	30 April 2004
			<i>Cuora galbinifrons</i>	27 July 2009
			<i>Heosemys annandalii</i>	7 September 2012
			<i>Heosemys grandis</i> <i>Dendrobium nobile</i>	7 September 2012 3 February 2009
Lesotho	No. 2013/020 (17/05/2013)	Annual reports	All trade	17 May 2013
Liberia	No. 2016/030 (23/03/2016)	National legislation	All commercial trade	15 March 2016
Madagascar	No. 2016/018 (15/03/2016)	Significant trade	<i>Coracopsis vasa</i>	20 January 1995
			<i>Furcifer labordi</i> <sup>3</sup> <i>Phelsuma borai</i> , <i>P.</i> <i>breviceps</i> , <i>P. gouldi</i> and <i>P. standingi</i> <sup>4</sup>	
	No. 2016/019 (15/03/2016)	Species action plan	<i>Dalbergia</i> .spp and <i>Diospyros</i> .spp (Population from Madagascar)	15 January 2016
Mali	No. 2016/018 (15/03/2016)	Significant trade	<i>Poicephalus robustus</i>	9 July 2001
			<i>Uromastyx dispar</i>	22 August 2008
Mauritania	No. 2004/055 (30/07/2004)	National legislation	All commercial trade	30 July 2004
Mozambique	No. 2016/018 (15/03/2016)	Significant trade	<i>Hippopotamus</i> <i>amphibius</i>	7 September 2012
			<i>Cordylus mossambicus</i>	7 September 2012
			<i>Cordylus</i> <i>tropidosternum</i>	10 August 2001
			Cycadaceae, Stangeriaceae and Zamiaceae	6 December 2006
Niger	No. 2016/018 (15/03/2016)	Significant trade	<i>Chamaeleo africanus</i>	7 September 2012
São Tomé and Príncipe	No. 2016/024 (16/03/2016)	Annual reports	All trade	16 March 2016
Senegal	No. 2016/018 (15/03/2016)	Significant trade	<i>Hippocampus</i> <i>algiricus</i>	03 February 2016
Salomon Islands	No. 2016/018 (15/03/2016)	Significant trade	<i>Corucia zebrata</i>	9 July 2001
			<i>Ornithoptera</i> <i>urvillianus</i>	20 January 1995
			<i>Ornithoptera victoriae</i>	
			<i>Tridacna derasa</i> , <i>T.</i> <i>crocea</i> , <i>T. gigas</i> , <i>T.</i> <i>maxima</i> and <i>T.squamosa</i>	3 February 2016
Somalia	No. 2004/055 (30/07/2004)	National legislation	All commercial trade	30 July 2004

South Sudan	No. 2016/018 (15/03/2016)	Significant trade	<i>Balearica pavonina</i>	2 May 2013
Sudan	No. 2016/018 (15/03/2016)	Significant trade	<i>Balearica pavonina</i>	2 May 2013
Togo	No. 2016/018 (15/03/2016)	Significant trade	<i>Poicephalus robustus</i>	9 July 2001
	No. 2016/018 (15/03/2016)	Significant trade	<i>Pandinus imperator</i>	2 May 2013
Tanzania	No. 2016/018 (15/03/2016)	Significant trade	<i>Agapornis fischeri</i>	20 April 1993
			<i>Malacochersus tornieri</i>	20 April 1993
			This suspension does not apply to specimens of this species produced from ranching or captive-breeding operations, for which the annual export quota has to be agreed between the Management Authority and the Secretariat.	30 June 1998
			<i>Prunus africana</i>	3 February 2009
			<i>Kinyongia fischeri</i>	3 February 2016
			<i>Kinyongia tavetana</i>	3 February 2016
			<i>Balearica regulorum</i>	2 May 2013
Vietnam	No. 2016/018 (15/03/2016)	Significant trade	<i>Hippocampus kuda</i>	2 May 2013

1 Formerly *Geochelone*.

2 Formerly *Chamaeleo* spp.

3 The standing Committee recommended to suspend trade in *Calumna* spp.<sup>3</sup> and *Furcifer* spp.<sup>3</sup> from Madagascar with the exception of *Calumna amber*, *C. ambreense*, *C. andringitraense*, *C. boettgeri*, *C. brevicorne*, *C. capuroni*, *C. crypticum*, *C. cucullatum*, *C. fallax*, *C. furcifer*, *C. gallus*, *C. gastrotroaenia*, *C. glawi*, *C. globifer*, *C. guibei*, *C. guillaumeti*, *C. hafahafa*, *C. hilleni*, *C. jeji*, *C. linota*, *C. malihe*, *C. marojezense*, *C. nasutum*, *C. oshaughnessyi*, *C. parsonii*, *C. peltierorum*, *C. peyrierasi*, *C. tarzan*, *C. tsaratananense*, *C. tycorne*, *C. vatosoa*, *C. vencesi* and *C. vohibola* and *Furcifer angeli*, *F. antimena*, *F. balteatus*, *F. belalandaensis*, *F. bifidus*, *F. campani*, *F. lateralis*, *F. minor*, *F. monoceras*, *F. nicosiai*, *F. oustaleti*, *F. pardalis*, *F. petteri*, *F. rhinocerotus*, *F. timoni*, *F. tuzetae*, *F. verrucosus* and *F. willsii*)

4 The Standing Committee recommended to suspend trade in *Phelsuma* spp. from Madagascar, with the exception of *P. abbotti*, *P. antanosy*, *P. barbouri*, *P. berghofi*, *P. cependiana*, *P. dubia*, *P. flavigularis*, *P. grandis*, *P. guttata*, *P. hielscheri*, *P. kely*, *P. klemmeri*, *P. kochi*, *P. laticauda*, *P. lineata*, *P. madagascariensis*, *P. malamakibo*, *P. masohoala*, *P. modesta*, *P. mutabilis*, *P. pronki*, *P. pusilla*, *P. quadriocellata*, *P. roesleri*, *P. seippi*, *P. serraticauda*, and *P. vanheygeni*.

## Annex 3 – Tagging

This Annex indicates which specimens of which species shall be tagged in accordance with the Regulation section 27 and how such tagging shall be made.

For the same specimens, an Owner's Certificate is required under the Regulation section 8 for domestic possession.

### 1 Specimens of species covered by the annex

The annex covers all vertebrates included in Annex 1, List A, and the following List B species:

Wolf	Grey wolf	<i>Canis lupus</i>
All species of cats	Cats	Felidae spp. (specimens of domesticated forms are not covered by the Regulation)
All species of bears, incl. polar bears	Bears, incl. Polar bear	Ursidae spp. incl. <i>Ursus maritimus</i>
All species of primates	Primates	PRIMATES spp.
Elephant	Elephants	Elephantidae spp.
All species of diurnal birds of prey	Diurnal birds of prey	FALCONIFORMES spp.
Jungle cock	Jungle cock/Grey junglefowl	<i>Gallus sonnerati</i>
All species of owls	Owls	STRIGIFORMES spp.
All species of sea turtles	Sea turtles	Cheloniidae spp.
All species of tortoises	Tortoises	Testudinidae spp.

All living specimens of these species are covered by the Annex.

Of dead specimens, the following are covered:

- a) Stuffed specimens, hides for stuffing, and skulls, including hunting trophies
- b) Bird eggs
- c) Whole or parts of horns from rhinoceros, Rhinocerotidae
- d) Whole tusks from all species of elephant, Elephantidae, and unprocessed parts exceeding one kilo and/or 20 cm in length
- e) Whole or substantial parts of hides, except pieces of clothing
- f) Whole or parts of bird skins
- g) Stuffed specimens of and shells from sea turtles (Chelonioidae).

Tagging is not required for specimens labelled in accordance with the Dead Wildlife Regulation.

## 2 Tagging of live specimens

### 2.1 General

When tagging live vertebrates, the most recent method from biological and veterinary medical research is to be used. The method is to make the minimal interference with the animal's body and welfare. Any guidelines for tagging methods issued by CITES, the Agency or the Agency's authorised representative must be followed.

Specimens are to be tagged using a uniquely numbered, non-modifiable microchip transponder. *Microchip transponder* means a uniquely numbered non-modifiable transponder that complies with ISO standards 11784:1996 (E) and 11285:1996 (E). Alternatively, specimens shall be tagged using uniquely numbered rings, bands, tattoos, or similar methods.

The Agency may decide that tagging may be omitted when it is proven that no safe tagging method exists for the species. In such cases, photos for identification can be used as an alternative. In the event new, or improved, tagging methods become available at a later time, the Agency may require such tagging to be implemented.

### 2.2 Particularly on birds

Live birds shall be tagged with a ring and/or microchip transponder.

Loss of leg ring or microchip transponder should be notified to the Agency immediately.

### 2.3. Particularly on specimens born and bred in captivity

All specimens of species born and bred in captivity shall carry a uniquely labelled, seamless, closed ring around the leg. For birds born in Norway, such leg ring shall have the letters «NTL» before the ring number.

### 3 *Tagging of dead specimens*

Stuffed specimens, hides for stuffing, and skulls shall be engraved or tagged with a microchip transponder as indicated in subsection 2.1 or carry a seal indicating the name of the specimen and the OC number.

Eggs and egg collections shall be marked with the Owner's Certificate number applied to eggs of the same clutch using an indelible marker.

Rhino horns and elephant tusks shall be tagged in accordance with the labelling requirements of the country of export or be engraved by the Agency or its authorised representative.

Whole or substantial parts of hides shall carry a seal indicating the name of the specimen and the Owner's Certificate number. This does not apply to pieces of clothing.

Whole or parts of bird skins shall carry the manufacturer's logo and name on the reverse side of the hide and/or be packaged in a sealed, transparent plastic bag with the manufacturer's logo and name.

Stuffed specimens of sea turtles (*Chelonioidae*) and their shells shall be labelled by engraving the number of the relevant CITES documentation thereon.