

Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in [Resolution Conf. 11.17 \(Rev. CoP16\)](#) which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat
International Environment House
Chemin des Anémones 11-13
CH-1219 Châtelaine-Geneva
Switzerland

Email: info@cites.org
Tel: +41-(0)22-917-81-39/40
Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	
Period covered in this report	1 January 2018 - 31 December 2020
Department or agency preparing this report	Agriculture, Fisheries and Conservation Department, Hong Kong SAR of China
Contributing departments, agencies and organizations	

GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.
[All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.](#)

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If 'Yes', have you shared information with the Secretariat? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Applicable <input type="checkbox"/> If 'No', please provide details to the Secretariat with this report:
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If 'No', please provide details of the constraints faced: To reflect changes in CITES Appendices, we need to amend the existing ordinance through a legislative exercise which would take more than 90 days.

Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.
[Aichi Target 3.](#)

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Are the procedures publicly available?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Electronic permit information exchange to Management Authorities of all countries?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Electronic permit data exchange between Management Authorities and customs?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Electronic permit used to cross border with electronic validation by customs?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

If 'Yes' to any of the above, please provide information on challenges faced or issues overcome:			
If 'No', do you have any plans to move towards e-permitting ¹ ?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If you are planning to move towards e-permitting, please explain what might help you to do so:			

Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in [Resolution Conf. 12.3 \(Rev. CoP16\)](#).

1.2.2a	Has your country developed simplified procedures for any of the following?	Tick all applicable		
		Yes	No	No information
	Where biological samples of the type and size specified in Annex 4 of Resolution Conf. 12.3 (Rev. CoP16) are urgently required.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	For the issuance of pre-Convention certificates or equivalent documents in accordance with Article VII , paragraph 2.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	

Objective 1.3 Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties.
[All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.](#)

Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.

1.3.1a	Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see link to location on the CITES website where the reporting requirements are listed)? Responses provided to ALL relevant reporting requirements <input type="checkbox"/> Responses provided to SOME of the relevant reporting requirements <input checked="" type="checkbox"/> Responses provided to NONE of the relevant reporting requirements <input type="checkbox"/> No special reporting requirements applicable <input type="checkbox"/>
1.3.1b	Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?

¹ e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

Objective 1.4 The Appendices correctly reflect the conservation needs of species.
 Aichi Target 1, Target12, Target 14 and Target 19.

1.4.1: The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

1.4.1a	Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online): We have provided comments upon request e.g. the CoP18 Meeting working documents of humphead wrasse and sharks.
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Objective 1.5 Best available scientific information is the basis for non-detriment findings.
 Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.

Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:
 a) the population status of Appendix-II species;
 b) the trends and impact of trade upon Appendix-II species; and
 c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to:	Yes	No	Not Applicable	If Yes, How many?
	- the population status of Appendix II species?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the trends and impact of trade on Appendix II species?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the status of and trend in naturally-occurring Appendix I species?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the impact of any recovery plans on Appendix I species?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	<p>If there are such studies that you are willing to share, please provide:</p> <p>Species name (scientific)</p> <p>A brief summary of the results of the survey, study or other analysis (e.g. population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.</p>																					
1.5.1b	<p>How are the results of such surveys, studies or other analyses used in making non-detriment findings (NDFs)? Please tick all that apply</p> <p>Revised harvest or export quotas <input type="checkbox"/></p> <p>Banning export <input type="checkbox"/></p> <p>Stricter domestic measures <input type="checkbox"/></p> <p>Changed management of the species <input type="checkbox"/></p> <p>Discussion with Management Authorities <input type="checkbox"/></p> <p>Discussion with other stakeholders? <input type="checkbox"/></p> <p>Other (please provide a short summary):</p>																					
1.5.1c	<p>Do you have specific conservation measures or recovery plans for naturally occurring Appendix-I listed species?</p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not Applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>If 'Yes', please provide a brief summary, including, if possible, an evaluation of their impact:</p>																					
1.5.1d	<p>Have you published any non-detriment findings that can be shared? Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please provide links or examples to the Secretariat within this report:</p>																					
1.5.1e	<table border="0"> <thead> <tr> <th>Which of the following (A to F of paragraph a) x) of Resolution Conf. 16.7 do you use in making non-detriment findings?</th> <th>Yes</th> <th>No</th> </tr> </thead> <tbody> <tr> <td>A. relevant scientific literature concerning species biology, life history, distribution and population trends.</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>B. details of any ecological risk assessments conducted.</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>D. relevant knowledge and expertise of local and indigenous communities.</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>E. consultations with relevant local, regional and international experts.</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	Which of the following (A to F of paragraph a) x) of Resolution Conf. 16.7 do you use in making non-detriment findings?	Yes	No	A. relevant scientific literature concerning species biology, life history, distribution and population trends.	<input type="checkbox"/>	<input type="checkbox"/>	B. details of any ecological risk assessments conducted.	<input type="checkbox"/>	<input type="checkbox"/>	C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.	<input type="checkbox"/>	<input type="checkbox"/>	D. relevant knowledge and expertise of local and indigenous communities.	<input type="checkbox"/>	<input type="checkbox"/>	E. consultations with relevant local, regional and international experts.	<input type="checkbox"/>	<input type="checkbox"/>	F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	<input type="checkbox"/>	<input type="checkbox"/>
Which of the following (A to F of paragraph a) x) of Resolution Conf. 16.7 do you use in making non-detriment findings?	Yes	No																				
A. relevant scientific literature concerning species biology, life history, distribution and population trends.	<input type="checkbox"/>	<input type="checkbox"/>																				
B. details of any ecological risk assessments conducted.	<input type="checkbox"/>	<input type="checkbox"/>																				
C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.	<input type="checkbox"/>	<input type="checkbox"/>																				
D. relevant knowledge and expertise of local and indigenous communities.	<input type="checkbox"/>	<input type="checkbox"/>																				
E. consultations with relevant local, regional and international experts.	<input type="checkbox"/>	<input type="checkbox"/>																				
F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	<input type="checkbox"/>	<input type="checkbox"/>																				

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a	<p>Do you have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7?</p> <p>If 'Yes', please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
1.5.2b	<p>When establishing non-detriment findings, have any of the following guidance been used?</p> <p style="text-align: right;">Please tick all that apply</p> <p style="text-align: right;">Virtual College <input type="checkbox"/></p> <p style="text-align: right;">IUCN Checklist <input type="checkbox"/></p> <p style="text-align: right;">Resolution Conf. 16.7 <input type="checkbox"/></p> <p style="text-align: right;">2008 NDF workshop <input type="checkbox"/></p> <p style="text-align: right;">Species specific guidance <input type="checkbox"/></p> <p style="text-align: right;">Other <input type="checkbox"/></p> <p>If 'Other' or 'Species specific guidance', please specify details:</p>			
1.5.2c	<p>How often do you review and/or change your non-detriment findings?</p> <p>Please describe the circumstances under which non-detriment findings would be changed:</p>	Case by case		<input type="checkbox"/>
		Annually		<input type="checkbox"/>
		Every two years		<input type="checkbox"/>
		Less frequently		<input type="checkbox"/>
		A mix of the above		<input type="checkbox"/>

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	<p>Do you set annual export quotas?</p> <p>If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:</p> <p>Species Name (scientific)</p>	Yes No		<input type="checkbox"/> <input type="checkbox"/>
	<p style="text-align: right;">Population Survey? <input type="checkbox"/></p> <p style="text-align: right;">Other, please specify</p>			
1.5.3b	<p>Have annual export quotas been set at levels which will ensure sustainable production and consumption?</p> <p>If 'Yes', please describe how this fits into your non-detriment finding process:</p>	Yes No		<input type="checkbox"/> <input type="checkbox"/>

Objective 1.6 Parties cooperate in managing shared wildlife resources.
 Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.

Indicator 1.6.1: The number of bilateral and multilateral agreements that specifically provide for co-management of shared CITES listed species by range States.

1.6.1a	Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species? Yes <input type="checkbox"/> No <input type="checkbox"/> If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:
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Indicator 1.6.2: The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

1.6.2a	Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species? Yes <input type="checkbox"/> No <input type="checkbox"/> If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species. Species Name (scientific) Link or reference to a published plan
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Indicator 1.6.3: The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

1.6.3a	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?					
	Please tick boxes to indicate which target group and which activity.					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						What were the external sources ¹ ?

¹ Please provide the names of Parties, and any non-Parties, involved.

1.6.3b	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
1.6.3c	In what ways do you collaborate with other CITES Parties?						
		Never	Rarely	Sometimes	Very Often	Always	Further detail / examples
	Information exchange	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Monitoring / survey	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Habitat management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Species management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Law enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Capacity building	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please provide details)							

Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade.
 Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.

Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:
 – an international enforcement strategy and/or action plan;
 – formal international cooperation, such as an international enforcement network;
 – a national enforcement strategy and/or action plan; and
 – formal national interagency cooperation, such as a national interagency enforcement committee.

1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No	No Information
	– an international enforcement strategy and/or action plan?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– formal international cooperation, such as an international enforcement network?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– a national enforcement strategy and/or action plan?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	– formal national interagency cooperation, such as a national interagency enforcement committee?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If 'Yes' to any of the above, please specify the level of engagement and provide additional details: A Wildlife Crime Task Force has been set up that comprised representatives of all wildlife				

enforcement agents, namely CITES MA, HK Customs and HK Police Force. The Task Force develops strategies and plans for large scale joint enforcement operations.

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	<p>Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?</p> <p>If 'Yes', what do you do? Enforcement strategies will be reviewed from time to time and/or after joint operations.</p> <p>If 'Yes' or 'No, but review is under consideration', which tools do you find of value?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No, but review is under consideration <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
1.7.2b	<p>Have you used the International Consortium on Combating Wildlife Crime (ICWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?</p> <p>If 'Yes', please provide feedback on the parts of the toolkit used and how useful the toolkit or equivalent tools have been. Please specify improvements that could be made:</p> <p>If 'No', please provide feedback on why not or what is needed to make the toolkit or equivalent tools useful to you:</p>	<p>Yes <input type="checkbox"/></p> <p>No, but toolkit use is under consideration <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	<p>Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?</p> <p>If 'Yes', please provide the title of the legislation and a summary of the penalties available The Protection of Endangered Species of Animals and Plants Ordinance is the local legislation that gives effect to CITES implementation in Hong Kong. A maximum fine of \$5,000,000 and two years of imprisonment can be imposed for summary offences concerning Appendix I species; and a fine of \$500,000 and one year of imprisonment for summary offences concerning Appendices II and III species. A maximum fine of \$10,000,000 and imprisonment for ten years can be imposed for indictable offences concerning Appendix I species; and a fine of \$1,000,000 and imprisonment for seven years can be imposed for indictable offences concerning Appendices II and III species.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
1.7.3b	<p>Are criminal offences such as poaching and wildlife trafficking recognized as serious crime¹ in your country?</p> <p>If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes:</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input checked="" type="checkbox"/></p>

¹ The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

1.7.3c	<p>Do you have capacity to use forensic technology¹ to support the investigation of CITES offences?</p> <p>If 'Yes', please provide a brief summary of any samples from CITES-listed species that were collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report:</p> <p>Real-time PCR has been applied to assist identification of scheduled shark fins and European eels. For suspected CITES listed specimens that required DNA analysis on species identification, samples will be collected and sent to Government Laboratory or local competent laboratories. There were also a couple of cases that ivory samples were sent to overseas laboratories for radiocarbon dating to analyse when the ivory samples were obtained.</p> <p>If 'Yes', and your country has an appropriate forensic analysis facility for CITES-listed species, please indicate which species it applies to:</p> <p>CITES listed shark species, European eel, Bear (bile)</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>		
1.7.3d	<p>Did your authorities participate in or initiate any multi-disciplinary² law enforcement operation(s) targeting CITES-listed species during the period covered in this report?</p> <p>If 'Yes', please provide a brief summary, including any lessons learned which might be helpful for other Parties:</p> <p>There were 3 major joint operations between Hong Kong Customs and Agriculture, Fisheries and Conservation Department targeted on enforcement of shark fin shipments by sea during the reporting period. 5 seizures and 1.2 tonnes of shark fins were seized.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>		
1.7.3e	<p>Do you have a standard operating procedure among relevant agencies for submitting information related to CITES offences to INTERPOL and/or the World Customs Organization?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>		
1.7.3f	<p>Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?</p>			<p>If yes, how many times was this used during the period covered by this report?</p>
	Yes	No	No information	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	

¹ Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

² A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

³ General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

⁴ Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence as an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

⁵ Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

⁶ Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

⁷ International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

⁸ Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more

	Specialized investigation techniques ¹	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	If 'Yes' to any of the above, please explain how each is used for CITES offences? Please provide a brief summary, including any lessons learned which might be helpful for other Parties:			
1.7.3g	Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	If 'No', please provide a brief summary of your major capacity-building needs:			

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always	<input checked="" type="checkbox"/>
		Very often	<input type="checkbox"/>
		Sometimes	<input type="checkbox"/>
		Rarely	<input type="checkbox"/>
		Never	<input type="checkbox"/>
		No information	<input type="checkbox"/>
1.7.4b	Do you have capacity to analyse information gathered on illegal trade in CITES-listed species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
1.7.4c	Do you use criminal intelligence ² to inform investigations into illegal trade in CITES-listed species?	Always	<input type="checkbox"/>
		Very often	<input type="checkbox"/>
		Sometimes	<input checked="" type="checkbox"/>
		Rarely	<input type="checkbox"/>
		Never	<input type="checkbox"/>
		No information	<input type="checkbox"/>
1.7.4d	Have you implemented any supply-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes	<input checked="" type="checkbox"/>
		No, but activities are under development	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
1.7.4e	Have you implemented any demand-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes	<input checked="" type="checkbox"/>
		No, but activities are under development	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

¹ Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

² Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the period covered in this report:		Yes	No	No Information
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences? If 'Yes', please indicate how many and for what types of offences. If available, please attach details:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
1.7.5b	Have there been any criminal prosecutions of CITES-related offences? If 'Yes', how many and for what types of offences? If available, please attach details: Prosecutions of CITES related offences were dominated by smuggling of CITES species. There were 178, 199 and 45 convictions in 2018, 2019 and 2020 respectively. The more commonly encountered CITES specimens included pangolin scales, timber, live turtles, reptile leather.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.7.5c	Have there been any other court actions against CITES-related offences? If 'Yes', what were the offences involved and what were the results? Please attach details:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
1.7.5d	How were any confiscated specimens disposed of? – Return to country of export – Public zoos or botanical gardens – Designated rescue centres – Approved private facilities – Euthanasia – Other (please specify): Destruction Have you encountered any challenges in disposing of confiscated specimens? Disposal of large stockpiled timber where return to the country of export, auction etc were not feasible. Donation for CITES approved purposes only remove small amount of wood. Limited space and resources in taking care of large number of live animals. Do you have good practice that you would like to share with other Parties?	Tick all that apply		
				<input checked="" type="checkbox"/>
				<input checked="" type="checkbox"/>
				<input checked="" type="checkbox"/>
				<input checked="" type="checkbox"/>
				<input checked="" type="checkbox"/>
				<input checked="" type="checkbox"/>

Objective 1.8 Parties and the Secretariat have adequate capacity-building programmes in place.
[Aichi Target 1](#), [Target 12](#) and [Target 19](#).

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: YesNo The making of non-detriment findings? <input type="checkbox"/> <input type="checkbox"/> Permit officers? <input checked="" type="checkbox"/> <input type="checkbox"/> Enforcement officers? <input checked="" type="checkbox"/> <input type="checkbox"/>		
1.8.1b	Is the CITES Virtual College used as part of your capacity building work? What improvements could be made in using the Virtual College for capacity building?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

Objective 2.1 Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.
[Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.](#)

Indicator 2.2.1: [The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.](#)

2.2.1a	<p>Do you have an approved service standard(s)¹ for your Management Authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>If 'Yes', do you have performance targets for these standards²?</p> <p>If 'Yes', what are your performance targets?</p> <p>Do you publish your performance against service standard targets?</p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <ul style="list-style-type: none"> – availability of funding? – number of staff? – a shortage of skills? <p>If 'Yes' to a shortage of skills, which skills do you need more of?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>To issue CITES licence/ certificate within two working days upon receipt of completed application.</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>100%</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p>	<p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p>
2.2.1b	<p>Do you have an approved service standard(s)⁴⁷ for your Scientific Authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>If 'Yes', do you have performance targets for these standards⁴⁸?</p> <p>If 'Yes', what are your performance targets?</p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>	<p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>

¹ For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

² For example, 85% of all decisions will take place within the service standard.

	<ul style="list-style-type: none"> – availability of funding? <input type="checkbox"/> <input type="checkbox"/> – number of staff? <input type="checkbox"/> <input type="checkbox"/> – a shortage of skills? <input type="checkbox"/> <input type="checkbox"/> <p>If 'Yes' to a shortage of skills, which skills do you need more of?</p>																		
2.2.1c	<p>Do you have an approved service standard(s)⁴⁷ for your enforcement authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>If 'Yes', do you have performance targets for these standards⁴⁸?</p> <p>If 'Yes', what are your performance targets?</p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <ul style="list-style-type: none"> – availability of funding? <input type="checkbox"/> <input type="checkbox"/> – number of staff? <input type="checkbox"/> <input type="checkbox"/> – a shortage of skills? <input type="checkbox"/> <input type="checkbox"/> <p>If 'Yes' to a shortage of skills, which skills do you need more of?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>	<p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>																
2.2.1d	<p>Please only complete this question if your answered 'No' to the first part of question 2.2.1a, 2.2.1b, or 2.2.1c, relating to the existence of approved service standards for your authorities:</p> <p>Do you have sufficient of the following for your authorities to function effectively?</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>Management Authority(ies)</th> <th>Scientific Authority(ies)</th> <th>Enforcement Authority(ies)</th> </tr> </thead> <tbody> <tr> <td>Funding?</td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></td> </tr> <tr> <td>Staff?</td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></td> </tr> <tr> <td>Skills?</td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></td> </tr> </tbody> </table>				Management Authority(ies)	Scientific Authority(ies)	Enforcement Authority(ies)	Funding?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Staff?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Skills?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	Management Authority(ies)	Scientific Authority(ies)	Enforcement Authority(ies)																
Funding?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>																
Staff?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>																
Skills?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>																

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities;
- hired more staff;
- developed implementation tools;
- purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	<p>Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES implementation at the national level?</p> <p style="text-align: right;">Tick if applicable</p> <p>Hiring of more staff <input checked="" type="checkbox"/></p> <p>Development of implementation tools <input checked="" type="checkbox"/></p> <p>Purchase of technical equipment for implementation, monitoring or enforcement <input checked="" type="checkbox"/></p> <p>Other (please specify):</p>
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2.2.2b	During the period covered in this report, was the budget for your:	Increased	Stable	Decreased	
	Management Authority(ies)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement authorities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
2.2.2c	Have you been able to use international development funding assistance to increase the level of implementation of your	Yes	No	Not applicable	
	Management Authority(ies)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Scientific Authority(ies)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement authorities?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
2.2.2d	What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities?				
	Activity	High	Medium	Low	Not a Priority
	Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for implementation, monitoring or enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	e-permitting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.2.2e	Do you have a operational system (e.g. electronic database) for managing	Yes	Under development	No	
	Species information	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Trade information	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Non-detriment findings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for:	Tick all that are applicable		
	– Administrative procedures	<input type="checkbox"/>		
	– Issuance of CITES documents (e.g. for import, exports, re-export, or introduction from the sea)	<input checked="" type="checkbox"/>		
	– Shipment clearance (e.g. for the import, export, re-export, or introduction from the sea of CITES-listed species)	<input type="checkbox"/>		
	– Licensing or registration of operations that produce CITES species	<input type="checkbox"/>		
	– Harvesting of CITES-listed species	<input type="checkbox"/>		
	– Use of CITES-listed species	<input type="checkbox"/>		
	– Assignment of quotas for CITES-listed species	<input type="checkbox"/>		
– Other (please specify):	<input type="checkbox"/>			
2.2.3b	Is a fee schedule publicly available?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
	If 'Yes', please provide an internet link, or a copy of the schedule to the Secretariat: https://www.elegislation.gov.hk/hk/cap586?xid=ID_1438403490807_001			
2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Entirely <input type="checkbox"/>	Partly <input type="checkbox"/>	Not at all <input type="checkbox"/>
		Not relevant <input checked="" type="checkbox"/>		

2.2.3d		Yes	No
	Do you raise funds for CITES management through charging user fees?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Do your fees recover the full economic cost of issuing permits?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Do you have case studies on charging or using fees?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	If 'Yes' to any of the above, please provide brief details: We conduct fee revision on the basis of cost recovery from time to time.		
	Do you use innovative financial mechanisms to raise funds for CITES implementation?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	If 'Yes', please provide brief details:		

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	<p>Do you use incentive measures¹ such as those described in CoP14 Doc 14.32 to implement the Convention?</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%;"></th> <th style="width: 10%; text-align: center;">Yes</th> <th style="width: 10%; text-align: center;">No</th> </tr> </thead> <tbody> <tr> <td>Due diligence</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Compensatory mechanisms</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Certification</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Communal property rights</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Auctioning of quotas</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Cost recovery or environmental charges</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Enforcement incentives</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table> <p>If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to further information: We have adopted standard operating procedures to complete all the formalities required for trade in an efficient manner.</p>		Yes	No	Due diligence	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Compensatory mechanisms	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Certification	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Communal property rights	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Auctioning of quotas	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Cost recovery or environmental charges	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Enforcement incentives	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Yes	No																							
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Cost recovery or environmental charges	<input type="checkbox"/>	<input checked="" type="checkbox"/>																							
Enforcement incentives	<input type="checkbox"/>	<input checked="" type="checkbox"/>																							
2.2.4b	<p>Have incentives harmful to biodiversity been eliminated? Not at all <input type="checkbox"/></p> <p style="padding-left: 40px;">Very little <input type="checkbox"/></p> <p style="padding-left: 40px;">Somewhat <input type="checkbox"/></p> <p style="padding-left: 40px;">Completely <input type="checkbox"/></p>																								

¹ Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and fauna and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

Objective 2.3 Sufficient resources are secured at the national and international levels to implement capacity-building programmes.
 Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many training and capacity building activities ¹ have you run during the period covered in this report?	Without assistance from the Secretariat None <input type="checkbox"/> 1 <input type="checkbox"/> 2-5 <input type="checkbox"/> 6-10 <input type="checkbox"/> 11-20 <input checked="" type="checkbox"/> More than 20 <input type="checkbox"/>	Conducted or assisted by the Secretariat <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
Please list the Resolutions or Decisions involved:						
2.3.1b	What sorts of capacity building activities have taken place? We have conducted training on identification of shark fins, ray gill plates, newly listed species; CITES implementation training etc. but these are not mandated by any Resolution and Decision.					
What capacity building needs do you have?						
Please tick all boxes which apply to indicate which target group and which activity.						
	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Species identification
Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Traders / other user groups	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

¹ An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

GOAL 3 CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.
[Aichi Target 2 and Target 20.](#)

Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
If 'Yes', please provide brief details:			
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased	<input type="checkbox"/>
		Remained stable	<input type="checkbox"/>
		Decreased	<input type="checkbox"/>

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?							Yes	<input type="checkbox"/>
								No	<input checked="" type="checkbox"/>
							No information	<input type="checkbox"/>	
If 'Yes', please tick boxes to indicate type of assistance provided									
	Country(ies)	Species Management ¹	Habitat Management ²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

¹ Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

² Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

Objective 3.2 Awareness of the role and purpose of CITES is increased globally.
 Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups?	Wider public	Relevant User Groups
	– Press conferences	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Press releases	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Newspaper articles, brochures, leaflets	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	– Television appearances	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Radio appearances	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Presentations	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	– Public consultations / meetings	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	– Market surveys	<input type="checkbox"/>	<input type="checkbox"/>
	– Displays	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Information at border crossing points	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Telephone hotline	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Website(s) – if so please provide link(s) http://www.afcd.gov.hk/english/conservation/con_end/con_end.html	<input checked="" type="checkbox"/>	<input type="checkbox"/>
– Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>	
Please attach copies of any items or describe examples:			

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?					
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).					
	Target group	Daily	Weekly	Monthly	Less frequently	Not known
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Staff of enforcement authorities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3.2.2b	What has been your experience with using the CITES website?	Excellent	<input type="checkbox"/>			
	Good	<input checked="" type="checkbox"/>				
	Average	<input type="checkbox"/>				
	Poor	<input type="checkbox"/>				
	Very Poor	<input type="checkbox"/>				
	No information	<input type="checkbox"/>				
Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc):						

Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

Objective 3.3 Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) ¹ to which your country is party? If 'Yes', please give a brief description:	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?		
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?		
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No
	Agencies for development?	<input type="checkbox"/>	<input type="checkbox"/>
	Agencies for trade?	<input type="checkbox"/>	<input type="checkbox"/>
	Provincial, state or territorial authorities?	<input type="checkbox"/>	<input type="checkbox"/>
	Local authorities or communities?	<input type="checkbox"/>	<input type="checkbox"/>
	Indigenous or local peoples?	<input type="checkbox"/>	<input type="checkbox"/>
	Trade or other private sector associations?	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs?	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>
3.3.2d	Are CITES requirements integrated into?	Yes	No
	National and local development strategies?	<input type="checkbox"/>	<input type="checkbox"/>
	National and local poverty reduction strategies?	<input type="checkbox"/>	<input type="checkbox"/>
	Planning processes?	<input type="checkbox"/>	<input type="checkbox"/>
	National accounting?	<input type="checkbox"/>	<input type="checkbox"/>

¹ CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and non-governmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?	<input type="checkbox"/>	
	Non-governmental organizations?	<input type="checkbox"/>	

Objective 3.4 The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions. [Aichi Target 1](#), [Target 2](#), [Target 3](#), [Target 4](#), [Target 5](#), [Target 6](#), [Target 7](#), [Target 12](#), [Target 14](#), [Target 17](#), [Target 18](#) and [Target 19](#).

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved?	Yes	No	Not Applicable
	Appendix I	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Appendix II	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Appendix III	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
If there are such studies that you are willing to share, please provide:				
Species name (scientific) Link to the data, or a brief summary				
3.4.1b	Do you have examples of specific examples of success stories or emerging problems with any CITES listed species?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
If 'Yes', please provide details:				

Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

Objective 3.5 Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited.
[Aichi Target 2](#), [Target 4](#), [Target 5](#), [Target 6](#), [Target 7](#), [Target 10](#), [Target 12](#), [Target 14](#) and [Target 19](#).

Indicator 3.5.1: The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details:	Yes <input type="checkbox"/>	
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	

Indicator 3.5.2: The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
	Management Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Scientific Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	

General feedback

Please provide any additional comments you would like to make, including comments on this format.

Item		
Copy of full text of CITES-relevant legislation if changed	Enclosed	<input checked="" type="checkbox"/>
Web link(s) https://www.elegislation.gov.hk/hk/cap586	Not available	<input type="checkbox"/>
	Previously provided	<input type="checkbox"/>
Please list any materials annexed to the report, e.g. fee schedules, awareness raising materials, etc: Fee schedules : https://www.elegislation.gov.hk/hk/cap586?xid=ID_1438403490807_001 Awareness raising materials: http://www.afcd.gov.hk/english/conservation/con_end/con_end.html		
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No Information <input type="checkbox"/>	
If 'Yes', please describe the constraint and the type of attention or assistance that is required.		
Are there examples of good practice you would like to share with other Parties?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No Information <input type="checkbox"/>	
If 'Yes' please provide details / links:		
How could this report format be improved?		

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.