

Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in [Resolution Conf. 11.17 \(Rev. CoP16\)](#) which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat
International Environment House
Chemin des Anémones 11-13
CH-1219 Châtelaine-Geneva
Switzerland

Email: info@cites.org
Tel: +41-(0)22-917-81-39/40
Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	Australia
Period covered in this report	2018-2020
Department or agency preparing this report	Australian Department of Agriculture, Water and Environment
Contributing departments, agencies and organizations	Australian Department of Home Affairs (Australian Border Force)

GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.
All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	<p>Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'Yes', have you shared information with the Secretariat? Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable <input checked="" type="checkbox"/></p> <p>If 'No', please provide details to the Secretariat with this report:</p> <p>The department has not developed any new policies or legislation relevant to CITES. This matter was under consideration as part of the 10-yearly Independent review of the EPBC Act (Samuel 2021), Australia's domestic implementing legislation. The report of this review was released on 28 January 2021. On 16 June 2021, the Government released A pathway for reforming national environmental law and an accompanying Proposed timeline for EPBC Act reforms.</p>
1.1.1b	<p>Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g., to meet the 90-day implementation guidelines)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'No', please provide details of the constraints faced:</p> <p>Amendments to international treaties and subsidiary instruments, including changes to the CITES Appendices agreed by the Conference of the Parties, must be tabled in both houses of Australia's Parliament. The proposed amendments, together with an analysis of the likely impacts of those amendments, must be tabled for at least twenty days while the Parliament is sitting, then reviewed by a Parliamentary Committee. The review should occur before the amendments come into effect under Australian law.</p> <p>Completing this process within 90 days can be challenging or even impossible, depending on how long it takes for the final notification of amendments to the appendices to be circulated by the Secretariat, the time of year at which the new listings take effect, and the dates Parliament sits.</p> <p>Australia appreciates the recognition of this issue by the CITES Conference of the Parties through Decision 18.331-18.332 relating to the development of Guidance for the publication of the Appendices. Australia welcomes further work by the Secretariat and Standing Committee to</p>

	<p>improve clarity and predictability in presentation of the Appendices to allow for more efficient communication of amendments.</p> <p>The earliest possible issuance of the formal notification of amendments to Appendices I and II would be very helpful in allowing Australia to meet our treaty amendment obligations.</p>
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Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.
Aichi Target 3.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<p>Are the procedures publicly available?</p> <p>Standard instructions on how to apply for permits and the assessment process are available here: http://www.environment.gov.au/biodiversity/wildlife-trade/permits.</p> <p>Standard Operating Procedures for assessment officers are not publicly available.</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.2.1b	Do you have: Electronic data management and a paper-based permit issuance system?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Electronic permit information exchange to Management Authorities of all countries?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Electronic permit data exchange between Management Authorities and customs?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit used to cross border with electronic validation by customs?			
	The Australian CMA shares electronic permit details with the Australian Border Force (ABF), who validates these permits electronically through the Integrated Cargo System (ICS). ABF retains the right to call in paper documents as part of a risk-based approach to wildlife trade permit compliance. Further work is required to ensure the entire suite of validations can be undertaken for all types of border movements.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>If 'Yes' to any of the above, please provide information on challenges faced or issues overcome:</p> <p>The Australian Border Force are moving to fully electronic border clearance processes which validate permit data provided by the CITES Management Authority and remove the need for</p>				

<p>exporters and importers to physically present paper permits except where the system identifies the shipment as risky. System compatibility to allow for data exchange between the Australian CMA and the ABF was an issue that had to be overcome. This has now been fully implemented and is a proven success for electronic permit validation.</p> <p>The ‘wet-stamping’ recommendation in CITES Resolution Conf. 12.3 (Rev. CoP18) remains a significant impost on the resources of border authorities and does not work effectively with a risk-based electronic border clearance process.</p>			
<p>If ‘No’, do you have any plans to move towards e-permitting¹? As our permit systems develop and international capability increases, Australia will work towards full electronic permitting.</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>If you are planning to move towards e-permitting, please explain what might help you to do so:</p> <p>Australia has been engaged with the Standing Committee working group on Electronic systems and information technologies. The electronic permit exchange guidelines being developed under the auspices of the working group along with amendment of Resolution 12.3 (Rev. CoP18) to better reflect electronic permitting and permit exchange will help to facilitate our moves towards e-permitting in the future.</p>			

Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in [Resolution Conf. 12.3 \(Rev. CoP16\)](#).

1.2.2a	Has your country developed simplified procedures for any of the following?	Tick all applicable		
		Yes	No	No information
	Where biological samples of the type and size specified in Annex 4 of Resolution Conf. 12.3 (Rev. CoP18) are urgently required.			
	This matter was considered as part of the 10-yearly Independent review of the EPBC Act (Samuel 2021), Australia’s domestic implementing legislation. The report of this review was released on 28 January 2021.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	For the issuance of pre-Convention certificates or equivalent documents in accordance with Article VII , paragraph 2.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Are there other cases judged by a Management Authority to merit the use of simplified procedures? If ‘Yes’, please provide details:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Australia issues multiple consignment authorities to facilitate multiple shipments of the species listed on the authority. These			

¹ e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

<p>are issued on the basis of a non-detriment finding by Australia’s CITES Scientific Authority and demonstration of the legal source of the specimens. Multiple consignment authorities cannot be issued for Appendix I-listed species.</p> <p>For export, the exporter must complete a specimen export record, which acts as a single use CITES permit, for each shipment detailing the exact details of the specimens and quantities being exported.</p> <p>Most multiple consignment authorities are issued for orchids, corals, and crocodile products. They are only issued to companies that have demonstrated compliance with Australian CITES requirements.</p> <p>Each permit used under the authority must be acquitted to the Australian CITES Management Authority for monitoring, compliance and reporting purposes.</p>			
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Objective 1.3 Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties.
All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.

Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.

1.3.1a	<p>Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat?</p> <p style="text-align: right;"> Responses provided to ALL relevant reporting requirements <input type="checkbox"/> Responses provided to SOME of the relevant reporting requirements <input checked="" type="checkbox"/> Responses provided to NONE of the relevant reporting requirements <input type="checkbox"/> No special reporting requirements applicable <input type="checkbox"/> </p>
1.3.1b	<p>Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If ‘Yes’, please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?</p>

Objective 1.4 The Appendices correctly reflect the conservation needs of species.
Aichi Target 1, Target 12, Target 14 and Target 19.

1.4.1: The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

1.4.1a	<p>Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If ‘Yes’, please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):</p>
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	These reviews are not complete and as such are not provided here. We will refer any appropriate reviews and subsequent proposals to the Secretariat via standard processes.
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Objective 1.5 Best available scientific information is the basis for non-detriment findings.
Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.

Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:

- a) the population status of Appendix-II species;
- b) the trends and impact of trade upon Appendix-II species; and
- c) the status of and trend in naturally occurring Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to:	Yes	No	Not Applicable	If Yes, How many?
	- the population status of Appendix II species?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the trends and impact of trade on Appendix II species?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the status of and trend in naturally occurring Appendix I species?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the impact of any recovery plans on Appendix I species?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If there are such studies that you are willing to share, please provide:

Species name (scientific)

Shark - several

A brief summary of the results of the survey, study or other analysis (e.g., population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.

Shark Report Card Released March 2019 states all Sawfish species are depleted.

<https://fish.gov.au/shark-report-card>

Pristis

Pristis has been nominated for uplisting to Endangered under the EPBC Act (Australia's national environmental law) due to further population declines and ongoing threats.

Survey teams deployed to rescue stranded juvenile *Pristis* have been unable to locate any individuals.

[Targettooth Sawfish prove elusive on the Daly River floodplain | MARINE BIODIVERSITY HUB \(nespmarine.edu.au\)](https://www.nespmarine.edu.au/Targettooth-Sawfish-prove-elusive-on-the-Daly-River-floodplain-MARINE-BIODIVERSITY-HUB)

Surveys and studies are often conducted with Charles Darwin University and include researchers from North Australian Indigenous Land and Sea Management Alliance Ltd (NAISMA) and Indigenous Rangers of local areas.

Cheilinus undulatus

The assessment of harvest done by the Scientific Authority to inform the positive non-detriment finding can be found here:

<https://www.environment.gov.au/marine/fisheries/commonwealth/coral-sea>

Holothuria whitmaei and *Holothuria fuscogilva*

The assessment of harvest done by the Scientific Authority to inform the positive non-detriment finding can be found here

<https://www.environment.gov.au/marine/fisheries/commonwealth/coral-sea> and

<https://www.environment.gov.au/marine/fisheries/ql/sea-cucumber> and

<https://www.environment.gov.au/marine/fisheries/commonwealth/torres-strait-beche-de-mer>

Duncanopsammia axifuga, *Catalaphyllia jardinei*, *Euphyllia glabrescens*, *Micromussa lordhowensis*, *Homophyllia australis*, and *Trachyphyllia geoffroyi*

A total of 246 video transects (50m²) were completed during the course of this study, providing unprecedented information on the stock size and structure of harvested coral species across a broad range of fishery habitats. Moreover, a large number of corals (>1,400) were provided by Australian coral fisheries for research on the reproductive biology among major harvest coral species, as well as genetic structure and vulnerability to environmental change. This research centred mainly on six focal study species (*Duncanopsammia axifuga*, *Catalaphyllia jardinei*, *Euphyllia glabrescens*, *Micromussa lordhowensis*, *Homophyllia australis*, and *Trachyphyllia geoffroyi*) considered to be particularly important to the viability of Australian coral fisheries. The results show that the current standing biomass of select coral species in areas with highly concentrated and sustained fisheries pressure, and also in the aftermath of very significant extrinsic pressures (most notably widespread coral bleaching and cyclones) is substantial, especially compared to current limits and reported harvest levels. Simply comparing the total biomass of harvested species versus standing biomass in major fishing areas does not, however, accurately represent potential fisheries impacts, nor the harvestable biomass of aquarium corals. Importantly, harvesting of most species is extremely selective, either taking only certain colours, size or shapes of corals. This selectivity for specific morphs may reduce risk of over-exploitation or localised depletion of species, but the consequences of selective targeting on the population structure is unknown. Moreover, fishery reliance on particular coral types means that in situ surveys of species abundance may greatly overestimate harvestable biomass and will ultimately need to be constrained to just those morphs that are actually harvested.

Cocos Keeling Clams

Surveys have been conducted on the Cocos Islands in 2011, 2014 and 2018, which inform the assessments quotas for the of collection of clams for broodstock. Only *Tridacna maxima* is permitted to be harvested for broodstock as *T. derasa* and *T. gigas* have not been found during these surveys. The overall mean density of *T. maxima* in 2018 increased slightly but has not changed significantly to that observed in 2014. The standing stock estimate for 2018 was calculated at 6,500,885 individuals for the fished area of Cocos Islands which is slightly higher than the standing stock estimate for 2014 (5,935,040) but lower than that of 2011 (6,916,269). In 2018, as with previous surveys, it was noted in the highly accessible reef flats, there was a steep decline in number of individuals in larger size classes indicating that *T. maxima* is being harvested

	<p>before all individuals have reached full maturity. This was not evident in deeper survey locations that are less accessible to recreational harvest. Overall, the population of <i>T. maxima</i> at CKI is relatively stable with increased recruitment on the reef slopes counterbalancing a decline in density observed within some areas of the lagoon and reef flats in 2014.</p>
1.5.1b	<p>How are the results of such surveys, studies or other analyses used in making non-detriment findings (NDFs)? Please tick all that apply</p> <p style="text-align: right;">Revised harvest or export quotas <input checked="" type="checkbox"/></p> <p style="text-align: right;">Banning export <input checked="" type="checkbox"/></p> <p style="text-align: right;">Stricter domestic measures <input checked="" type="checkbox"/></p> <p style="text-align: right;">Changed management of the species <input checked="" type="checkbox"/></p> <p style="text-align: right;">Discussion with Management Authorities <input checked="" type="checkbox"/></p> <p style="text-align: right;">Discussion with other stakeholders? <input checked="" type="checkbox"/></p> <p style="text-align: right;">Other (please provide a short summary):</p>
1.5.1c	<p>Do you have specific conservation measures or recovery plans for naturally occurring Appendix-I listed species?</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">Not Applicable <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>If 'Yes', please provide a brief summary, including, if possible, an evaluation of their impact:</p> <p>See links in response to 1.5.1a for national recovery plans.</p> <p>Sawfish and River Shark Multispecies Recovery Plan (does not include <i>Anoxypristis cuspidata</i>). The Recovery Plan is currently under a 5-year review to determine effectiveness of the plan.</p> <p>The primary objective of this recovery plan is to assist the recovery of sawfish and river sharks in Australian waters with a view to:</p> <ul style="list-style-type: none"> • improving the population status leading to the removal of the sawfish and river shark species from the threatened species list of the EPBC Act • ensuring that anthropogenic activities do not hinder recovery in the near future, or impact on the conservation status of the species in the future. <p>The specific objectives of the recovery plan are to:</p> <p>Objective 1: Reduce and, where possible, eliminate adverse impacts of commercial fishing on sawfish and river shark species.</p> <p>Objective 2: Reduce and, where possible, eliminate adverse impacts of recreational fishing on sawfish and river shark species.</p> <p>Objective 3: Reduce and, where possible, eliminate adverse impacts of Indigenous fishing on sawfish and river shark species.</p> <p>Objective 4: Reduce and, where possible, eliminate the impact of illegal, unregulated and unreported fishing (IUU) on sawfish and river shark species.</p> <p>Objective 5: Reduce and, where possible, eliminate adverse impacts of habitat degradation and modification on sawfish and river shark species.</p> <p>Objective 6: Reduce and, where possible, eliminate any adverse impacts of marine debris on sawfish and river shark species noting the linkages with the Threat Abatement Plan for the Impact of Marine Debris on Vertebrate Marine Life.</p>

Objective 7: Reduce and, where possible, eliminate any adverse impacts of collection for public aquaria on sawfish and river shark species.

Objective 8: Improve the information base to allow the development of a quantitative framework to assess the recovery of, and inform management options for, sawfish and river shark species.

Objective 9: Develop research programs to assist conservation of sawfish and river shark species.

Objective 10: Improve community understanding and awareness in relation to sawfish and river shark conservation and management.

1.5.1d

Have you published any non-detriment findings that can be shared? Yes No

If 'Yes', please provide links or examples to the Secretariat within this report:

See Australia's response to Notification 2017-019 (available in full in the Annex to [AC29 Doc. 10 / PC23 Doc. 11.1](#)) on non-detriment findings for a comprehensive explanation of processes for making non-detriment findings in Australia. Summary supplied below.

All specimens of CITES species exported from Australia for commercial purposes must be sourced from a harvest or propagation program approved by the Minister for the Environment (or delegate) under the *Environment Protection and Biodiversity Conservation Act 1999* (EPBC Act). The EPBC Act sets out step-by-step the sustainability considerations for approval of harvest for export. Having this requirement embedded in national legislation provides for consistent regulation of export trade, embeds the qualities of the non-detriment findings in the legislative process, and sets out clear expectations for exporters. The CITES Scientific Authority of Australia can therefore make non-detriment findings based on the legislative process.

Most non-detriment findings take the form of a sustainability assessment of the harvest or propagation program against legislative requirements. Requirements are set out for various program types based described below. Once the program is approved, an operator may then harvest and apply for export permits for their specimens within the boundaries defined by the approved program (including for harvest levels).

Wildlife trade management plans are generally large-scale harvest programs which are developed by the Australian state or territory government agency responsible for managing the species. All wildlife trade management plans on which non-detriment findings are made are publicly available. The plans provide the information, including the science, on which the approver makes the above assessment. A full list of approved wildlife trade management plans (including for non-CITES Australian native species) can be found at <http://www.environment.gov.au/biodiversity/wildlife-trade/trading/commercial/management-plans>

An example of a wildlife trade management plan for the ranching of *Crocodylus porosus* (Salt-water Crocodile) is available at [Wildlife trade management plans | https://www.environment.gov.au/biodiversity/wildlife-trade/commercial/management-plans](https://www.environment.gov.au/biodiversity/wildlife-trade/commercial/management-plans).

Wildlife trade operations are operations taking specimens (including specimens of non-CITES listed Australian native species), generally from the wild. Wildlife trade operations may be approved for up to three years. In approving such an operation, the Minister (or their delegate) must be satisfied that the operation will not be detrimental to the survival or conservation status of a taxon to which the operation relates; and that the operation will not be likely to threaten any relevant ecosystem, habitat, or biodiversity. Most wildlife trade operations on which non-detriment findings are made are publicly available. A full list of approved wildlife trade operations can be found at <http://www.environment.gov.au/biodiversity/wildlife-trade/trading/commercial/operations> Export from fisheries are assessed as wildlife trade operations. Information on these assessments can be found at <http://www.environment.gov.au/marine/fisheries>

Artificial propagation programs are generally small-scale operations that propagate CITES-listed plant specimens in accordance with the CITES definitions. See <http://www.environment.gov.au/biodiversity/wildlife-trade/trading/artificial-propagation>

	<p><i>Captive breeding programs</i> are also usually small-scale operations that breed animals in captivity in accordance with the CITES definitions. See http://www.environment.gov.au/biodiversity/wildlife-trade/trading/commercial/captive-breeding</p> <p>Some non-detriment findings also take the form of a public published report on the sustainability of trade in a particular taxon. This is the case for the shark species listed at CoP16 at http://www.environment.gov.au/biodiversity/wildlife-trade/publications/non-detriment-finding-five-shark-species and the freshwater sawfish available at http://www.environment.gov.au/biodiversity/wildlife-trade/publications/non-detriment-finding-freshwater-sawfish-pristis-microdon</p>																					
1.5.1e	<p>Which of the following (A to F of paragraph a) x) of Resolution Conf. 16.7) do you use in making non-detriment findings?</p> <table border="0" style="width: 100%;"> <thead> <tr> <th style="width: 80%;"></th> <th style="width: 10%; text-align: center;">Yes</th> <th style="width: 10%; text-align: center;">No</th> </tr> </thead> <tbody> <tr> <td>A. relevant scientific literature concerning species biology, life history, distribution and population trends.</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>B. details of any ecological risk assessments conducted.</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>D. relevant knowledge and expertise of local and indigenous communities.</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>E. consultations with relevant local, regional and international experts.</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>		Yes	No	A. relevant scientific literature concerning species biology, life history, distribution and population trends.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	B. details of any ecological risk assessments conducted.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	D. relevant knowledge and expertise of local and indigenous communities.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	E. consultations with relevant local, regional and international experts.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
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F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	<input checked="" type="checkbox"/>	<input type="checkbox"/>																				

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a	<p>Do you have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7?</p> <p>If 'Yes', please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:</p> <p>See Australia's response to Notification 2017-019 (available in full in the Annex to AC29 Doc. 10 / PC23 Doc. 11.1) on non-detriment findings for a comprehensive explanation of processes for making non-detriment findings in Australia. Summary at response to 1.5.1d.</p>	<table style="width: 100%; border: none;"> <tr> <td style="text-align: center;">Yes</td> <td style="text-align: center;">No</td> <td style="text-align: center;">No information</td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table>	Yes	No	No information	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Yes	No	No information						
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>						
1.5.2b	<p>When establishing non-detriment findings, have any of the following guidance been used?</p> <p style="text-align: right;">Please tick all that apply</p> <p style="text-align: right;">Virtual College <input type="checkbox"/></p> <p style="text-align: right;">IUCN Checklist <input checked="" type="checkbox"/></p> <p style="text-align: right;">Resolution Conf. 16.7 <input checked="" type="checkbox"/></p> <p style="text-align: right;">2008 NDF workshop <input checked="" type="checkbox"/></p> <p style="text-align: right;">Species specific guidance <input type="checkbox"/></p> <p style="text-align: right;">Other <input type="checkbox"/></p> <p>If 'Other' or 'Species specific guidance', please specify details:</p>							
1.5.2c	<p>How often do you review and/or change your non-detriment findings?</p> <p style="text-align: right;">Case by case <input type="checkbox"/></p> <p style="text-align: right;">Annually <input type="checkbox"/></p> <p style="text-align: right;">Every two years <input type="checkbox"/></p> <p style="text-align: right;">Less frequently <input type="checkbox"/></p> <p style="text-align: right;">A mix of the above <input checked="" type="checkbox"/></p> <p>Please describe the circumstances under which non-detriment findings would be changed:</p> <p>See Australia's response to Notification 2017-019 (available in full in the Annex to AC29 Doc. 10 / PC23 Doc. 11.1) on non-detriment findings for a comprehensive explanation of processes for making and reviewing non-detriment findings in Australia. Summary at response to 1.5.1d.</p>							

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	<p>Do you set annual export quotas?</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p>If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:</p> <p>Species Name (scientific)</p> <p><i>Crocodylus porosus</i></p>	<p style="text-align: right;">Population Survey? <input checked="" type="checkbox"/></p> <p style="text-align: right;">Other, please specify <input type="checkbox"/></p> <p style="text-align: right;">Historical harvest data <input type="checkbox"/></p>
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	<p>Quotas or harvest limits, where applicable, are set using appropriate methodology (including population survey) on a case-by-case basis. More information can be supplied if necessary, and can be found within the sustainability assessments publicly available on our website e.g. http://www.environment.gov.au/biodiversity/wildlife-trade/commercial/management-plans http://www.environment.gov.au/biodiversity/wildlife-trade/commercial/operations http://www.environment.gov.au/marine/fisheries</p>
1.5.3b	<p>Have annual export quotas been set at levels which will ensure sustainable production and consumption? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please describe how this fits into your non-detriment finding process:</p> <p>The quota is integrated into the sustainability assessment of the harvest or propagation program which forms the non-detriment finding. See Australia's response to Notification 2017-019 (available in full in the Annex to AC29 Doc. 10 / PC23 Doc. 11.1) on non-detriment findings for a comprehensive explanation of processes for making non-detriment findings in Australia. Summary at response to 1.5.1d.</p>

Objective 1.6 Parties cooperate in managing shared wildlife resources.
Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.

Indicator 1.6.1: The number of bilateral and multilateral agreements that specifically provide for co-management of shared CITES listed species by range States.

1.6.1a	<p>Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:</p> <p>Australia is a signatory to the Convention on Migratory Species of Wild Animals (Bonn Convention), including its relevant subsidiary agreements: the Memorandum of Understanding on the Conservation and Management of Marine Turtles and their Habitats of the Indian Ocean and South-East Asia, the Memorandum of Understanding on the Conservation and Management of Dugongs (<i>Dugong dugon</i>) and their Habitats throughout their Range, the Memorandum of Understanding for the Conservation of Cetaceans and their Habitats in the Pacific Islands Region, and the Memorandum of Understanding on the Conservation of Migratory Sharks. Australia also has three bilateral migratory bird agreements with the Governments of Japan (JAMBA), China (CAMBA) and the Republic of Korea (ROKAMBA).</p>
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Indicator 1.6.2: The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

1.6.2a	<p>Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Species Name (scientific)</th> <th style="text-align: left;">Link or reference to a published plan</th> </tr> </thead> <tbody> <tr> <td>Loggerhead Turtles in the South Pacific Ocean</td> <td>https://www.cms.int/en/document/single-species-action-plan-loggerhead-turtle-south-pacific-ocean</td> </tr> <tr> <td>Recovery Plan for Marine Turtles in Australia</td> <td>https://www.environment.gov.au/marine/publications/recovery-plan-marine-turtles-australia-2017</td> </tr> </tbody> </table>	Species Name (scientific)	Link or reference to a published plan	Loggerhead Turtles in the South Pacific Ocean	https://www.cms.int/en/document/single-species-action-plan-loggerhead-turtle-south-pacific-ocean	Recovery Plan for Marine Turtles in Australia	https://www.environment.gov.au/marine/publications/recovery-plan-marine-turtles-australia-2017
Species Name (scientific)	Link or reference to a published plan						
Loggerhead Turtles in the South Pacific Ocean	https://www.cms.int/en/document/single-species-action-plan-loggerhead-turtle-south-pacific-ocean						
Recovery Plan for Marine Turtles in Australia	https://www.environment.gov.au/marine/publications/recovery-plan-marine-turtles-australia-2017						

Indicator 1.6.3: The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

1.6.3a	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?
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Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources ¹ ?
Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Nil
Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Nil
Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Nil
Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Nil
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Nil
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Nil
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Nil

¹ Please provide the names of Parties, and any non-Parties, involved.

1.6.3b	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?					
	Please tick boxes to indicate which target group and which activity.					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other Parties/International meetings	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<p>Staff of Management Authority: Nil</p> <p>Staff of Scientific Authority: Nil</p> <p>Traders: Inquiries from traders regarding CITES requirements.</p> <p>NGOs: Information about Australia's CITES import and export requirements.</p> <p>Public: Responding to inquiries about CITES import and export requirements</p> <p>Other Parties/International meetings: Regional meetings with New Zealand CMA/CSA and provision of information regarding Australian CITES requirements</p>						
1.6.3c	In what ways do you collaborate with other CITES Parties?					
		Never	Rarely	Sometimes	Very Often	Always
	Information exchange	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Monitoring / survey	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Habitat management	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Species management	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Law enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Capacity building	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please provide details)						
<p>Information exchange: e.g., direct contact with other CMAs on permitting enquiries.</p>						

Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade.
Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.

Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:
– an international enforcement strategy and/or action plan;

- formal international cooperation, such as an international enforcement network;
- a national enforcement strategy and/or action plan; and
- formal national interagency cooperation, such as a national interagency enforcement committee.

1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No	No Information
	– an international enforcement strategy and/or action plan?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– formal international cooperation, such as an international enforcement network?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	– a national enforcement strategy and/or action plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	– formal national interagency cooperation, such as a national interagency enforcement committee?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	If 'Yes' to any of the above, please specify the level of engagement and provide additional details: Detail unable to be provided for operational reasons			

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?	Yes	<input checked="" type="checkbox"/>
		No, but review is under consideration	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If 'Yes', what do you do? Quality Assurance Reviews		
	If 'Yes' or 'No, but review is under consideration', which tools do you find of value?		
	Quality Assurance reviews are completed by the Australian Federal Police and thus we do not have any further information to provide.		
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	Yes	<input checked="" type="checkbox"/>
		No, but toolkit use is under consideration	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If 'Yes', please provide feedback on the parts of the toolkit used and how useful the toolkit or equivalent tools have been. Please specify improvements that could be made:		
	It is a useful tool especially in the standardisation of enforcement and capacity building. The Department of Agriculture, Water and the Environment is the chair of the Australasian Environmental Law Enforcement and Regulators network (AELERT) Operations group and distributed the toolkit to Australian states and territories in 2020.		
	If 'No', please provide feedback on why not or what is needed to make the toolkit or equivalent tools useful to you:		

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences.

1.7.3a	<p>Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?</p> <p>If 'Yes', please provide the title of the legislation and a summary of the penalties available</p> <p><i>The Environment Protection and Biodiversity Conservation Act 1999</i> is Australia's CITES implementing law.</p> <p>The maximum penalty for wildlife trade offences is 10 years imprisonment and AUD220,000 for individuals (AUD1,110,000 for corporations). Penalties of 5 years imprisonment and AUD220,000 for an individual (AUD1,110,000 for corporations) may apply for the possession of specimens that have been illegally imported.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
1.7.3b	<p>Are criminal offences such as poaching, and wildlife trafficking recognized as serious crime¹ in your country?</p> <p>If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes:</p> <p>Trafficking offences a deemed serious and indictable with imprisonment greater than 5 years and IWT charges carrying 10 years.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
1.7.3c	<p>Do you have capacity to use forensic technology² to support the investigation of CITES offences?</p> <p>If 'Yes', please provide a brief summary of any samples from CITES-listed species that were collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report:</p> <p>Information not able to be released for operational reasons.</p> <p>If 'Yes', and your country has an appropriate forensic analysis facility for CITES-listed species, please indicate which species it applies to:</p> <p>Various.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
1.7.3d	<p>Did your authorities participate in or initiate any multi-disciplinary³ law enforcement operation(s) targeting CITES-listed species during the period covered in this report?</p> <p>If 'Yes', please provide a brief summary, including any lessons learned which might be helpful for other Parties:</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
1.7.3e	<p>Do you have a standard operating procedure among relevant agencies for submitting information related to CITES offences to INTERPOL and/or the World Customs Organization?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>

¹ The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

² Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

³ A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?
Legal	General crime ¹	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Predicate offences ²	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Asset forfeiture ³	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Corruption ⁴	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	International cooperation in criminal matters ⁵	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Organized crime ⁶	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Specialized investigation techniques ⁷	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<p>If 'Yes' to any of the above, please explain how each is used for CITES offences? Please provide a brief summary, including any lessons learned which might be helpful for other Parties:</p> <p>Criminal offences against the Commonwealth is governed by the <i>Criminal Code Act 1995</i> and each state and territory has relevant organised crime and financial benefit by advantage charges. CITES offences at the Commonwealth level (EPBC Act) are serious and indictable offences carrying custodial sentences up to 10 years. Asset forfeiture by the commonwealth is through proceeds of crime legislation and can be done on conviction or civilly.</p>					
1.7.3g	<p>Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences?</p> <p>If 'No', please provide a brief summary of your major capacity-building needs:</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>			

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

¹ General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

² Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

³ Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

⁴ Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

⁵ International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

⁶ Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

⁷ Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always <input checked="" type="checkbox"/> Very often <input type="checkbox"/> Sometimes <input type="checkbox"/> Rarely <input type="checkbox"/> Never <input type="checkbox"/> No information <input type="checkbox"/>
1.7.4b	Do you have capacity to analyse information gathered on illegal trade in CITES-listed species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
1.7.4c	Do you use criminal intelligence ¹ to inform investigations into illegal trade in CITES-listed species?	Always <input type="checkbox"/> Very often <input checked="" type="checkbox"/> Sometimes <input type="checkbox"/> Rarely <input type="checkbox"/> Never <input type="checkbox"/> No information <input type="checkbox"/>
1.7.4d	Have you implemented any supply-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes <input checked="" type="checkbox"/> No, but activities are under development <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
1.7.4e	Have you implemented any demand-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes <input type="checkbox"/> No, but activities are under development <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

¹ Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the period covered in this report:		Yes	No	No Information
1.7.5a	<p>Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related offences?</p> <p>If 'Yes', please indicate how many and for what types of offences. If available, please attach details: Confiscations of illegally traded items.</p> <p>It is not possible to provide a comprehensive summary of the administrative measures taken for CITES-related offences. Some information is publicly available in media releases from the Department of Agriculture, Water and the Environment and the relevant portfolio Minister.</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.7.5b	<p>Have there been any criminal prosecutions of CITES-related offences?</p> <p>If 'Yes', how many and for what types of offences? If available, please attach details:</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
1.7.5c	<p>Have there been any other court actions against CITES-related offences?</p> <p>If 'Yes', what were the offences involved and what were the results? Please attach details:</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
1.7.5d	<p>How were any confiscated specimens disposed of? Tick all that apply</p> <ul style="list-style-type: none"> – Return to country of export <input type="checkbox"/> – Public zoos or botanical gardens <input checked="" type="checkbox"/> – Designated rescue centres <input type="checkbox"/> – Approved private facilities <input type="checkbox"/> – Euthanasia <input checked="" type="checkbox"/> – Other (please specify): Research facilities (for non-live specimens) <input checked="" type="checkbox"/> <p>Have you encountered any challenges in disposing of confiscated specimens? No</p> <p>Do you have good practice that you would like to share with other Parties?</p>			

Objective 1.8 Parties and the Secretariat have adequate capacity-building programmes in place. Aichi Target 1, Target 12 and Target 19.

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	<p>Do you have information resources or training in place to support:</p> <p style="text-align: center;">Yes No</p> <p>The making of non-detriment findings? <input checked="" type="checkbox"/> <input type="checkbox"/></p> <p>Permit officers? <input checked="" type="checkbox"/> <input type="checkbox"/></p> <p>Enforcement officers? <input checked="" type="checkbox"/> <input type="checkbox"/></p>		
1.8.1b	<p>Is the CITES Virtual College used as part of your capacity building work?</p> <p>What improvements could be made in using the Virtual College for capacity building?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	

1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

Objective 2.1 Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.
Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	Do you have an approved service standard(s) ¹ for your Management Authority(ies)?	Yes	<input checked="" type="checkbox"/>
	If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards?	No	<input type="checkbox"/>
	Decisions on 100% of permit applications must be made within 40 business days of receiving a complete application. Enquiries about wildlife trade permitting are answered within 20 business days.		
	If 'Yes', do you have performance targets for these standards ² ? If 'Yes', what are your performance targets?	Yes	<input checked="" type="checkbox"/>
	See above	No	<input type="checkbox"/>
	Do you publish your performance against service standard targets?	Yes	<input checked="" type="checkbox"/>
	Performance against statutory decision-making timeframes are published in the Department's annual reports (by financial year July-June), available at https://www.awe.gov.au/about/reporting/annual-report	No	<input type="checkbox"/>
	If possible, please provide your performance against service standards during the period covered in this report: Between June 2018 and June 2020, an average of 93.65 percent of applications were assessed within the statutory timeframe of 40 business days. Delays to assessment timeframes were due to the impact of implementation of new listings of CITES species		

¹ For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

² For example, 85% of all decisions will take place within the service standard.

	<p>(particularly <i>Dalbergia</i>) and technical issues associated with the introduction of a new permitting system.</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <table> <tr> <td></td> <td>Yes</td> <td>No</td> </tr> <tr> <td>– availability of funding?</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>– number of staff?</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>– a shortage of skills?</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </table> <p>If ‘Yes’ to a shortage of skills, which skills do you need more of?</p>		Yes	No	– availability of funding?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	– number of staff?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	– a shortage of skills?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Yes	No												
– availability of funding?	<input type="checkbox"/>	<input checked="" type="checkbox"/>												
– number of staff?	<input type="checkbox"/>	<input checked="" type="checkbox"/>												
– a shortage of skills?	<input type="checkbox"/>	<input checked="" type="checkbox"/>												
2.2.1b	<p>Do you have an approved service standard(s)⁴⁷ for your Scientific Authority(ies)?</p> <p>If ‘No’, please go to Question 2.2.1d.</p> <p>If ‘Yes’, for which services are there standards, and what are those standards?</p> <p>As per Management Authority.</p> <p>If ‘Yes’, do you have performance targets for these standards⁴⁸?</p> <p>If ‘Yes’, what are your performance targets?</p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <table> <tr> <td></td> <td>Yes</td> <td>No</td> </tr> <tr> <td>– availability of funding?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>– number of staff?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>– a shortage of skills?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table> <p>If ‘Yes’ to a shortage of skills, which skills do you need more of?</p>		Yes	No	– availability of funding?	<input type="checkbox"/>	<input type="checkbox"/>	– number of staff?	<input type="checkbox"/>	<input type="checkbox"/>	– a shortage of skills?	<input type="checkbox"/>	<input type="checkbox"/>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p>
	Yes	No												
– availability of funding?	<input type="checkbox"/>	<input type="checkbox"/>												
– number of staff?	<input type="checkbox"/>	<input type="checkbox"/>												
– a shortage of skills?	<input type="checkbox"/>	<input type="checkbox"/>												
2.2.1c ABF	<p>Do you have an approved service standard(s)⁴⁷ for your enforcement authority(ies)?</p> <p>If ‘No’, please go to Question 2.2.1d.</p> <p>If ‘Yes’, for which services are there standards, and what are those standards?</p> <p>If ‘Yes’, do you have performance targets for these standards⁴⁸?</p> <p>If ‘Yes’, what are your performance targets?</p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <table> <tr> <td></td> <td>Yes</td> <td>No</td> </tr> <tr> <td>– availability of funding?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>– number of staff?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>– a shortage of skills?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table> <p>If ‘Yes’ to a shortage of skills, which skills do you need more of?</p>		Yes	No	– availability of funding?	<input type="checkbox"/>	<input type="checkbox"/>	– number of staff?	<input type="checkbox"/>	<input type="checkbox"/>	– a shortage of skills?	<input type="checkbox"/>	<input type="checkbox"/>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>
	Yes	No												
– availability of funding?	<input type="checkbox"/>	<input type="checkbox"/>												
– number of staff?	<input type="checkbox"/>	<input type="checkbox"/>												
– a shortage of skills?	<input type="checkbox"/>	<input type="checkbox"/>												
2.2.1d ABF	<p>Please only complete this question if you answered ‘No’ to the first part of question 2.2.1a, 2.2.1b, or 2.2.1c, relating to the existence of approved service standards for your authorities:</p>													

Do you have sufficient of the following for your authorities to function effectively?			
	Management Authority(ies)	Scientific Authority(ies)	Enforcement Authority(ies)
Funding?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Staff?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Skills?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities;
- hired more staff;
- developed implementation tools;
- purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES implementation at the national level?	Tick if applicable			
	Hiring of more staff	<input checked="" type="checkbox"/>			
	Development of implementation tools	<input type="checkbox"/>			
	Purchase of technical equipment for implementation, monitoring or enforcement	<input checked="" type="checkbox"/>			
	Other (please specify): New permitting application and assessment system.				
2.2.2b ABF	During the period covered in this report, was the budget for your:	Increased	Stable	Decreased	
	Management Authority(ies)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority(ies)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Enforcement authorities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
2.2.2c	Have you been able to use international development funding assistance to increase the level of implementation of your	Yes	No	Not applicable	
	Management Authority(ies)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Scientific Authority(ies)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Enforcement authorities?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
2.2.2d	What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities?	High	Medium	Low	Not a Priority
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for implementation, monitoring or enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	e-permitting	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2.2.2e	Do you have a operational system (e.g. electronic database) for managing	Yes	Under development	No	

Species information	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Trade information	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non-detriment findings	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for:	Tick all that are applicable	
	– Administrative procedures	<input type="checkbox"/>	
	– Issuance of CITES documents (e.g. for import, exports, re-export, or introduction from the sea)	<input checked="" type="checkbox"/>	
	– Shipment clearance (e.g. for the import, export, re-export, or introduction from the sea of CITES-listed species)	<input type="checkbox"/>	
	– Licensing or registration of operations that produce CITES species	<input type="checkbox"/>	
	– Harvesting of CITES-listed species	<input type="checkbox"/>	
	– Use of CITES-listed species	<input type="checkbox"/>	
	– Assignment of quotas for CITES-listed species	<input type="checkbox"/>	
	– Other (please specify):	<input type="checkbox"/>	
2.2.3b	Is a fee schedule publicly available? If 'Yes', please provide an internet link, or a copy of the schedule to the Secretariat: http://www.environment.gov.au/biodiversity/wildlife-trade/permits/fees	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Entirely <input type="checkbox"/>	
		Partly <input type="checkbox"/>	
		Not at all <input checked="" type="checkbox"/>	
		Not relevant <input type="checkbox"/>	
2.2.3d	Do you raise funds for CITES management through charging user fees?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
	Do your fees recover the full economic cost of issuing permits?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Do you have case studies on charging or using fees?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	If 'Yes' to any of the above, please provide brief details:		
	Do you use innovative financial mechanisms to raise funds for CITES implementation?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	If 'Yes', please provide brief details:		

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	Do you use incentive measures ¹ such as those described in CoP14 Doc 14.32 to implement the Convention?	Yes	No
	Due diligence	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Compensatory mechanisms	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Certification	<input type="checkbox"/>	<input checked="" type="checkbox"/>

¹ Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and fauna and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

	<p>Communal property rights <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>Auctioning of quotas <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>Cost recovery or environmental charges <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>Enforcement incentives <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to further information:</p>
2.2.4b	<p>Have incentives harmful to biodiversity been eliminated? N/A</p> <p>Not at all <input type="checkbox"/></p> <p>Very little <input type="checkbox"/></p> <p>Somewhat <input type="checkbox"/></p> <p>Completely <input type="checkbox"/></p> <p>No information <input checked="" type="checkbox"/> This question cannot be answered comprehensively without a large-scale species-by-species analysis.</p>

Objective 2.3 Sufficient resources are secured at the national and international levels to implement capacity-building programmes.
Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many training and capacity building activities ¹ have you run during the period covered in this report?	Without assistance from the Secretariat	Conducted or assisted by the Secretariat																																																								
	None	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																																								
	1	<input type="checkbox"/>	<input type="checkbox"/>																																																								
	2-5	<input type="checkbox"/>	<input type="checkbox"/>																																																								
	6-10	<input type="checkbox"/>	<input type="checkbox"/>																																																								
	11-20	<input type="checkbox"/>	<input type="checkbox"/>																																																								
	More than 20	<input type="checkbox"/>	<input type="checkbox"/>																																																								
Please list the Resolutions or Decisions involved:																																																											
2.3.1b	What sorts of capacity building activities have taken place? Nil																																																										
2.3.1c	<p>What capacity building needs do you have?</p> <p>Not applicable</p> <p>Please tick all boxes which apply to indicate which target group and which activity.</p> <table border="1" data-bbox="263 1019 1444 1603"> <thead> <tr> <th data-bbox="263 1243 726 1288">Target group</th> <th data-bbox="726 1019 821 1288">Oral or written advice/guidance</th> <th data-bbox="821 1019 917 1288">Technical assistance</th> <th data-bbox="917 1019 1013 1288">Financial assistance</th> <th data-bbox="1013 1019 1109 1288">Training</th> <th data-bbox="1109 1019 1204 1288">Other (specify)</th> <th data-bbox="1204 1243 1444 1288">Details</th> </tr> </thead> <tbody> <tr> <td data-bbox="263 1288 726 1332">Staff of Management Authority</td> <td data-bbox="726 1288 821 1332"><input type="checkbox"/></td> <td data-bbox="821 1288 917 1332"><input type="checkbox"/></td> <td data-bbox="917 1288 1013 1332"><input type="checkbox"/></td> <td data-bbox="1013 1288 1109 1332"><input type="checkbox"/></td> <td data-bbox="1109 1288 1204 1332"><input type="checkbox"/></td> <td data-bbox="1204 1288 1444 1332">Nil</td> </tr> <tr> <td data-bbox="263 1332 726 1377">Staff of Scientific Authority</td> <td data-bbox="726 1332 821 1377"><input type="checkbox"/></td> <td data-bbox="821 1332 917 1377"><input type="checkbox"/></td> <td data-bbox="917 1332 1013 1377"><input type="checkbox"/></td> <td data-bbox="1013 1332 1109 1377"><input type="checkbox"/></td> <td data-bbox="1109 1332 1204 1377"><input type="checkbox"/></td> <td data-bbox="1204 1332 1444 1377">Nil</td> </tr> <tr> <td data-bbox="263 1377 726 1422">Staff of enforcement authorities</td> <td data-bbox="726 1377 821 1422"><input type="checkbox"/></td> <td data-bbox="821 1377 917 1422"><input type="checkbox"/></td> <td data-bbox="917 1377 1013 1422"><input type="checkbox"/></td> <td data-bbox="1013 1377 1109 1422"><input type="checkbox"/></td> <td data-bbox="1109 1377 1204 1422"><input type="checkbox"/></td> <td data-bbox="1204 1377 1444 1422">Nil</td> </tr> <tr> <td data-bbox="263 1422 726 1467">Traders / other user groups</td> <td data-bbox="726 1422 821 1467"><input type="checkbox"/></td> <td data-bbox="821 1422 917 1467"><input type="checkbox"/></td> <td data-bbox="917 1422 1013 1467"><input type="checkbox"/></td> <td data-bbox="1013 1422 1109 1467"><input type="checkbox"/></td> <td data-bbox="1109 1422 1204 1467"><input type="checkbox"/></td> <td data-bbox="1204 1422 1444 1467">Nil</td> </tr> <tr> <td data-bbox="263 1467 726 1512">NGOs</td> <td data-bbox="726 1467 821 1512"><input type="checkbox"/></td> <td data-bbox="821 1467 917 1512"><input type="checkbox"/></td> <td data-bbox="917 1467 1013 1512"><input type="checkbox"/></td> <td data-bbox="1013 1467 1109 1512"><input type="checkbox"/></td> <td data-bbox="1109 1467 1204 1512"><input type="checkbox"/></td> <td data-bbox="1204 1467 1444 1512">Nil</td> </tr> <tr> <td data-bbox="263 1512 726 1556">Public</td> <td data-bbox="726 1512 821 1556"><input type="checkbox"/></td> <td data-bbox="821 1512 917 1556"><input type="checkbox"/></td> <td data-bbox="917 1512 1013 1556"><input type="checkbox"/></td> <td data-bbox="1013 1512 1109 1556"><input type="checkbox"/></td> <td data-bbox="1109 1512 1204 1556"><input type="checkbox"/></td> <td data-bbox="1204 1512 1444 1556">Nil</td> </tr> <tr> <td data-bbox="263 1556 726 1603">Other (please specify)</td> <td data-bbox="726 1556 821 1603"><input type="checkbox"/></td> <td data-bbox="821 1556 917 1603"><input type="checkbox"/></td> <td data-bbox="917 1556 1013 1603"><input type="checkbox"/></td> <td data-bbox="1013 1556 1109 1603"><input type="checkbox"/></td> <td data-bbox="1109 1556 1204 1603"><input type="checkbox"/></td> <td data-bbox="1204 1556 1444 1603">Nil</td> </tr> </tbody> </table>			Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details	Staff of Management Authority	<input type="checkbox"/>	Nil	Staff of Scientific Authority	<input type="checkbox"/>	Nil	Staff of enforcement authorities	<input type="checkbox"/>	Nil	Traders / other user groups	<input type="checkbox"/>	Nil	NGOs	<input type="checkbox"/>	Nil	Public	<input type="checkbox"/>	Nil	Other (please specify)	<input type="checkbox"/>	Nil																												
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Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Nil																																																					
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NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Nil																																																					
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Nil																																																					
Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Nil																																																					

¹ An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

GOAL 3 CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities. Aichi Target 2 and Target 20.

Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements? If 'Yes', please provide brief details:	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions: N/A	Increased	<input type="checkbox"/>
		Remained stable	<input type="checkbox"/>
		Decreased	<input type="checkbox"/>

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
If 'Yes', please tick boxes to indicate type of assistance provided			
	Country(ies)	Species Management ¹	Habitat Management ²
		Sustainable use	Law Enforcement
		Livelihoods	Other (specify)
		Details (provide more information in an Appendix if necessary)	
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

¹ Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

² Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

Objective 3.2 Awareness of the role and purpose of CITES is increased globally.
Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups?	Wider public	Relevant User Groups
	– Press conferences	<input type="checkbox"/>	<input type="checkbox"/>
	– Press releases	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Newspaper articles, brochures, leaflets	<input type="checkbox"/>	<input type="checkbox"/>
	– Television appearances	<input type="checkbox"/>	<input type="checkbox"/>
	– Radio appearances	<input type="checkbox"/>	<input type="checkbox"/>
	– Presentations	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	– Public consultations / meetings	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	– Market surveys	<input type="checkbox"/>	<input type="checkbox"/>
	– Displays	<input type="checkbox"/>	<input type="checkbox"/>
	– Information at border crossing points	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Telephone hotline	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Website(s) – if so please provide link(s) http://www.environment.gov.au/biodiversity/wildlife-trade/permits	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>
Please attach copies of any items or describe examples:			

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?					
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).					
	Target group	Daily	Weekly	Monthly	Less frequently	Not known
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3.2.2b	What has been your experience with using the CITES website?	Excellent	<input type="checkbox"/>			
		Good	<input checked="" type="checkbox"/>			
		Average	<input type="checkbox"/>			
		Poor	<input type="checkbox"/>			
		Very Poor	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc):						
The re-designed CITES website is improved and more user friendly. The consolidated information available through the country profiles is regularly consulted by Management						

Authority staff. The search functionality on the website is improved but could still be refined – for instance, it would be useful to be able to search within a particular set of Documents (e.g., do a search on a term within Decisions or Resolutions) as this would provide more refined results. We experience issues with the CITES website not running on the Windows Explorer browser, and some slowness using Species+ and the Checklist of CITES Species.

Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

Objective 3.3 Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) ¹ to which your country is party? If 'Yes', please give a brief description:	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Implementation of MEAs to which Australia is Party is led by the Australian Government Department of Agriculture, Water and the Environment. As such, focal points are able to meet regularly to discuss shared issues, consult in the lead-up to meetings, and provide advice as needed on cross-cutting issues.		

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?	0	
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?	0	
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No
	Agencies for development?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Agencies for trade?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Provincial, state or territorial authorities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Local authorities or communities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Indigenous or local peoples?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Trade or other private sector associations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	NGOs?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

¹ CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

	Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>
3.3.2d	Are CITES requirements integrated into?	Yes	No
	National and local development strategies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	National and local poverty reduction strategies?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Planning processes?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	National accounting?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and non-governmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?	<input type="checkbox"/>	
	Non-governmental organizations?	<input type="checkbox"/>	

Objective 3.4 The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions. Aichi Target 1, Target 2, Target 3, Target 4, Target 5, Target 6, Target 7, Target 12, Target 14, Target 17, Target 18 and Target 19.

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved?	Yes	No	Not Applicable	
		Appendix I	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Appendix II	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Appendix III	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
If there are such studies that you are willing to share, please provide:					
See detail in reports in response to 1.5.1a for population information of some CITES-listed species.					
3.4.1b	Do you have examples of specific examples of success stories or emerging problems with any CITES listed species?	Yes	<input type="checkbox"/>		
		No	<input type="checkbox"/>		
		No information	<input checked="" type="checkbox"/>		
If 'Yes', please provide details:					

Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

	However, Australia's NBSAP is the policy umbrella that encompasses the national legislation that enacts the CITES obligations		
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

Objective 3.5 Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited.
Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.

Indicator 3.5.1: The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details:	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

Indicator 3.5.2: The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
	Management Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	international NGOs, environment multilaterals, etc. other
	Scientific Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	

General feedback

Please provide any additional comments you would like to make, including comments on this format.

Item		
Copy of full text of CITES-relevant legislation if changed	Enclosed	<input type="checkbox"/>
Web link(s)	Not available	<input type="checkbox"/>
	Previously provided	<input checked="" type="checkbox"/>
Please list any materials annexed to the report, e.g. fee schedules, awareness raising materials, etc: All relevant public information available at http://www.environment.gov.au/biodiversity/wildlife-trade		
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
	No	<input checked="" type="checkbox"/>
	No Information	<input type="checkbox"/>
If 'Yes', please describe the constraint and the type of attention or assistance that is required.		
Are there examples of good practice you would like to share with other Parties?	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	No Information	<input checked="" type="checkbox"/>
If 'Yes' please provide details / links:		
How could this report format be improved?		

It would be helpful if the report template could be formatted to have automatic filling of tick boxes instead of needing to right-click and select checked for each item.

We also find the formatting of the report makes it hard to distinguish between questions, instructions and responses, making it hard to read. The inconsistent use of tables and non-tables is also difficult.

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.