

Party	Republic of Uzbekistan
Period covered in this report	2018-2019
Department or agency preparing this report	The main Department of Biodiversity and Protected Areas of the State Committee of the Republic of Uzbekistan for Ecology and Environment Protection (SCEEP)
Contributing departments, agencies and organizations	Zoology and Botany institutes of Academy of Sciences of the Republic of Uzbekistan

**GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION**

**Objective 1.1** Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.  
[All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.](#)

**Indicator 1.1.1:** The number of Parties that are in category 1 under the national legislation project.

1.1.1a	<p>Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'Yes', have you shared information with the Secretariat? Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable <input checked="" type="checkbox"/></p> <p>If 'No', please provide details to the Secretariat with this report:          By SCEEP amendments were made to the Decree of Cabinet Ministers No. 290 dated 20.10.2014 "On the regulation of the use of biological resources and on the procedure for passing permitting procedures in the field of environmental management", including in Appendix No. 3 to the Decree "Regulations on the procedure for passing permitting procedures in the field of international trade in endangered species of wild fauna and flora (CITES ) on the territory of the Republic of Uzbekistan ". At this time, the draft project of the Decree of Cabinet Ministers, along with its Appendices was posted on October 23, 2020 on the portal for discussing draft regulatory legal acts for nationwide discussion and for reconciliation with the involved ministries and departments of the Republic of Uzbekistan.</p>
1.1.1b	<p>Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'No', please provide details of the constraints faced: Coordination with local departments, consideration of the cabinet of ministry is required</p>

**Objective 1.2** Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.  
[Aichi Target 3.](#)

**Indicator 1.2.1:** The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Are the procedures publicly available?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.2.1b	Do you have: Electronic data management and a paper-based permit issuance system?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Electronic permit information exchange to Management Authorities of all countries?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Electronic permit data exchange between Management Authorities and customs?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Electronic permit used to cross border with electronic validation by customs?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
If 'Yes' to any of the above, please provide information on challenges faced or issues overcome: Create general database with customs			
If 'No', do you have any plans to move towards e-permitting <sup>1</sup> ?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
If you are planning to move towards e-permitting, please explain what might help you to do so:			

Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in [Resolution Conf. 12.3 \(Rev. CoP16\)](#).

1.2.2a	Has your country developed simplified procedures for any of the following?	Tick all applicable		
		Yes	No	No information
	Where biological samples of the type and size specified in Annex 4 of <a href="#">Resolution Conf. 12.3</a> (Rev. CoP16) are urgently required.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	For the issuance of pre-Convention certificates or equivalent documents in accordance with <a href="#">Article VII</a> , paragraph 2.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Objective 1.3** Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties.  
[All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.](#)

Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.

1.3.1a	Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see [link to location on the CITES website where the reporting requirements are listed])?  Responses provided to ALL relevant reporting requirements <input checked="" type="checkbox"/> Responses provided to SOME of the relevant reporting requirements <input type="checkbox"/> Responses provided to NONE of the relevant reporting requirements <input type="checkbox"/>
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<sup>1</sup> e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

No special reporting requirements applicable <input type="checkbox"/>	
1.3.1b	<p>Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? <span style="float: right;">Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></span></p> <p>If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?</p> <p>Res. 12.3 Regarding samples</p>

**Objective 1.4** The Appendices correctly reflect the conservation needs of species.  
[Aichi Target 1, Target 12, Target 14 and Target 19.](#)

1.4.1: The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

1.4.1a	<p>Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices? <span style="float: right;">Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></span></p> <p>If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):</p>
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**Objective 1.5** Best available scientific information is the basis for non-detriment findings.  
[Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.](#)

Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:

- a) the population status of Appendix-II species;
- b) the trends and impact of trade upon Appendix-II species; and
- c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to:	Yes	No	Not Applicable	If Yes, How many?
	- the population status of Appendix II species?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the trends and impact of trade on Appendix II species?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	-the status of and trend in naturally-occurring Appendix I species?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the impact of any recovery plans on Appendix I species?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	<p>If there are such studies that you are willing to share, please provide:</p> <p>A brief summary of the results of the survey, study or other analysis (e.g. population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.</p> <p>Species name (scientific) Testudo Horsfieldii</p> <p>In 2019 Testudo Horsfieldii was added in the Red book of the Republic of Uzbekistan. (<a href="http://redbook.uz/ru/site/animal-view?id=134">http://redbook.uz/ru/site/animal-view?id=134</a>)</p> <p>Ovis vignei arkal, Ovis vignei bocharensis</p> <p>In 2020 Urial (Central Asian populations including Ovis vignei arkal, Ovis vignei bocharensis) Added in the Appendix II of CMS and CAMI plan of work</p> <p>Kholikov T., Mamarazhabov S. 2016. The status of populations of rare animals in the Surkhan State Nature Reserve and adjacent territories in Modern problems of conservation of rare, endangered and poorly studied animals of Uzbekistan. Materials of the Republican Scientific and Practical Conference on September 9-10, 2016. Tashkent. P. 35-39.</p> <p>Marmazinskaya, N.V., Gritsyna, M.A., Mitropolskiy M.G. 2012. Новые данные по редким видам млекопитающих юга Каракалпакского Устюрта и севера Сарыкамышской котловины (Узбекистан) // Наземные позвоночные животные аридных экосистем. <i>Материалы междунар. конференции, посвященной памяти Н.А. Зарудного, г. Ташкент, 24-27 октября 2012 г.</i> -Ташкент, 2012.-С. 204-211.</p> <p>Marmazinskaya, N.V., Mitropolskiy M.G., Gritsyna, M.A., Mardanova, L.B., Soldatov V.A., Korshikov, A.V. 2013. Новые данные по современному распространению устюртского горного барана и туркменского кулана на юге Каракалпакского Устюрта // <i>Материалы республиканской конференции «Теоретические и прикладные проблемы сохранения биоразнообразия животных Узбекистана».</i> Ташкент, 11-12 декабря 2013. - Ташкент, 2013. - С. 41-43.</p> <p>Marmazinskaya, N.V., Gritsyna, M.A., Mitropolskiy M.G., Murzakhanov, R., Wunderlich, J. 2016. Редкие копытные Центрального, Южного Устюрта и Сарыкамышской впадины: современное состояние // <i>Современные проблемы сохранения редких, исчезающих и малоизученных животных Узбекистана. Мат. Респ. Конф. 9-10 сентября 2016 г.</i> Ташкент. - 2016. – С.118-127.</p>
1.5.1b	<p>How are the results of such surveys, studies or other analyses used in making non-detriment findings (NDFs)? Please tick all that apply</p> <p>Revised harvest or export quotas <input checked="" type="checkbox"/></p> <p>Banning export <input type="checkbox"/></p> <p>Stricter domestic measures <input type="checkbox"/></p>

	<p style="text-align: right;">           Changed management of the species <input type="checkbox"/>            Discussion with Management Authorities <input checked="" type="checkbox"/>            Discussion with other stakeholders? <input type="checkbox"/> </p> <p>Other (please provide a short summary): In 2019 testudo horsfieldii was added in the Red Book of the Republic of Uzbekistan (<a href="http://redbook.uz/ru/site/animal-view?id=134">http://redbook.uz/ru/site/animal-view?id=134</a>)</p>																					
1.5.1c	<p>Do you have specific conservation measures or recovery plans for naturally occurring Appendix-I listed species?</p> <p style="text-align: right;">           Yes <input type="checkbox"/>            No <input type="checkbox"/>            Not Applicable <input checked="" type="checkbox"/>            No information <input type="checkbox"/> </p> <p>If 'Yes', please provide a brief summary, including, if possible, an evaluation of their impact:</p> <p>SCEEP jointly with Academy of Sciences conducts the Red book of the Republic of Uzbekistan. (<a href="http://redbook.uz/ru">http://redbook.uz/ru</a>)</p> <p>There are 2 nurseries in Uzbekistan breeds Chlamydotis undulata macqueeni and annually releases into the wild.</p> <p>In connection with the Decree of the Republic of Uzbekistan "On measures to improve the public administration system in the sphere of protected areas" #4247 dated 20.03.2019 there will be established 5 new Protected areas in the territory of Karakalpakstan Republic in the period 2019-2022. (<a href="https://lex.uz/docs/4249757">https://lex.uz/docs/4249757</a>)</p> <p>Uzbekistan is the range state to the CAMI of CMS which is the Plan of Work of CAMI finest sample of specific conservation measures or recovery plans for naturally occurring Appendix-I listed as Uncia uncia etc.</p> <p>Uzbekistan is the range state of GSLEP which is included specific conservation measures for Uncia uncia</p> <p>According to the Decree of Cabinet Ministers of the Republic of Uzbekistan "On the approval of the strategy for the conservation of biological diversity in the Republic of Uzbekistan for the period 2019-2028" #484 dated 11/06/2019 in the period 2019-2028 number of Gazelle subgotturosa in the Bukhara Specialized Nursery "Jeyran" must be reached to 1000, breeding and annually number of released Chlamydotis undulata into the wild must be 1000.</p>																					
1.5.1d	<p>Have you published any non-detriment findings that can be shared? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'Yes', please provide links or examples to the Secretariat within this report:</p>																					
1.5.1e	<table border="0" style="width: 100%;"> <thead> <tr> <th style="width: 80%;">Which of the following (A to F of paragraph a) x) of <a href="#">Resolution Conf. 16.7</a> do you use in making non-detriment findings?</th> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> </tr> </thead> <tbody> <tr> <td>A. relevant scientific literature concerning species biology, life history, distribution and population trends.</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>B. details of any ecological risk assessments conducted.</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>D. relevant knowledge and expertise of local and indigenous communities.</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>E. consultations with relevant local, regional and international experts.</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>	Which of the following (A to F of paragraph a) x) of <a href="#">Resolution Conf. 16.7</a> do you use in making non-detriment findings?	Yes	No	A. relevant scientific literature concerning species biology, life history, distribution and population trends.	<input type="checkbox"/>	<input type="checkbox"/>	B. details of any ecological risk assessments conducted.	<input type="checkbox"/>	<input type="checkbox"/>	C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.	<input type="checkbox"/>	<input type="checkbox"/>	D. relevant knowledge and expertise of local and indigenous communities.	<input type="checkbox"/>	<input type="checkbox"/>	E. consultations with relevant local, regional and international experts.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	<input type="checkbox"/>	<input type="checkbox"/>
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Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a	<p>Do you have standard procedures for making non-detriment findings in line with <a href="#">Resolution Conf. 16.7</a>?</p> <p>If 'Yes', please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:</p> <p>Every year quota to hunting for the next year establishes in the late spring on the bases of non-detrimental scientific-based conclusion of Academy Sciences taking account of the inventory of animal species in the territory of Uzbekistan and additional quota in spring.</p>	<table border="0"> <tr> <td></td> <td style="text-align: center;">Yes</td> <td style="text-align: center;">No</td> <td style="text-align: center;">No information</td> </tr> <tr> <td></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table>		Yes	No	No information		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Yes	No	No information									
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>									
1.5.2b	<p>When establishing non-detriment findings, have any of the following guidance been used?</p> <p style="text-align: right;">Please tick all that apply</p> <p style="text-align: right;">Virtual College <input type="checkbox"/></p> <p style="text-align: right;">IUCN Checklist <input type="checkbox"/></p> <p style="text-align: right;">Resolution Conf. 16.7 <input checked="" type="checkbox"/></p> <p style="text-align: right;">2008 NDF workshop <input type="checkbox"/></p> <p style="text-align: right;">Species specific guidance <input type="checkbox"/></p> <p style="text-align: right;">Other <input type="checkbox"/></p> <p>If 'Other' or 'Species specific guidance', please specify details:</p>											
1.5.2c	<p>How often do you review and/or change your non-detriment findings?</p> <p>Please describe the circumstances under which non-detriment findings would be changed:</p>	<table border="0"> <tr> <td>Case by case</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Annually</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Every two years</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Less frequently</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>A mix of the above</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table>	Case by case	<input type="checkbox"/>	Annually	<input checked="" type="checkbox"/>	Every two years	<input type="checkbox"/>	Less frequently	<input type="checkbox"/>	A mix of the above	<input type="checkbox"/>
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Annually	<input checked="" type="checkbox"/>											
Every two years	<input type="checkbox"/>											
Less frequently	<input type="checkbox"/>											
A mix of the above	<input type="checkbox"/>											

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	<p>Do you set annual export quotas?</p> <p>If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:</p> <p>Academy of the Sciences carries out inventory of the animals included in the Red book of Uzbekistan annually. Inventory of the none Red book listed species carries out by organizations which the territory belongs (for. ex. State Committee for Forestry etc). Every year quota to hunting for the next year establishes in the late spring on the bases of non-detrimental scientific-based conclusion of Academy Sciences taking account of the inventory of animal species in the territory of Uzbekistan and additional quota in spring.</p> <p>Species Name (scientific)</p> <p>Agrionemys horsfieldii</p> <p>Ovis ammon severtzovi</p> <p>Capra falconeri</p> <p>Capra sibirica</p>	<table border="0"> <tr> <td>Yes</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>No</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table> <p style="text-align: right;">Other, please specify</p> <p>Population Survey?</p> <p><input type="checkbox"/> According to applications of users</p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>
Yes	<input checked="" type="checkbox"/>					
No	<input type="checkbox"/>					

	Ovis vignei bocharensis		
1.5.3b	Have annual export quotas been set at levels which will ensure sustainable production and consumption?	Yes No	<input checked="" type="checkbox"/> <input type="checkbox"/>
	If 'Yes', please describe how this fits into your non-detriment finding process: Export quotas approval with Academy of Scientists Institute of zoology		

**Objective 1.6** Parties cooperate in managing shared wildlife resources.  
 Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.

**Indicator 1.6.1:** The number of bilateral and multilateral agreements that specifically provide for co-management of shared CITES listed species by range States.

1.6.1a	<p>Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:</p> <p>Agreements under the CMS Convention:</p> <p>Agreement on the Conservation of African-Eurasian Migratory Waterbirds (AEWA)</p> <p>Memorandum of understanding concerning conservation measures for the Siberian crane</p> <p>Agreement on Slender-billed curlew</p> <p>Memorandum of understanding for conservation and recovery Bactrian red deer</p> <p>Memorandum of understanding on the conservation, replenishment and sustainable use of Saiga antelope</p> <p>Central Asian Mammals Initiative (CAMI) of CMS</p> <p>Global Snow Leopard and Ecosystem Protection Program (GSLEP)</p>
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**Indicator 1.6.2:** The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

1.6.2a	<p>Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Species Name (scientific)</th> <th style="text-align: left;">Link or reference to a published plan</th> </tr> </thead> <tbody> <tr> <td>Saiga tatarica</td> <td>Uncia uncia</td> </tr> <tr> <td>Ovis ammon</td> <td>Ovis vignei arkal</td> </tr> <tr> <td>Bukhara deer</td> <td>Ovis vignei boharensis</td> </tr> </tbody> </table>	Species Name (scientific)	Link or reference to a published plan	Saiga tatarica	Uncia uncia	Ovis ammon	Ovis vignei arkal	Bukhara deer	Ovis vignei boharensis
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Saiga tatarica	Uncia uncia								
Ovis ammon	Ovis vignei arkal								
Bukhara deer	Ovis vignei boharensis								

**Indicator 1.6.3:** The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

1.6.3a	<p>Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources? <b>N/A</b></p> <p>Please tick boxes to indicate which target group and which activity.</p>					
	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources <sup>1</sup> ?
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

<sup>1</sup> Please provide the names of Parties, and any non-Parties, involved.



	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.6.3b	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States? <b>NO</b>					
	Please tick boxes to indicate which target group and which activity.					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other Parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.6.3c	In what ways do you collaborate with other CITES Parties?					
		Never	Rarely	Sometimes	Very Often	Always
	Information exchange	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Monitoring / survey	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Habitat management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Species management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Law enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Capacity building	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please provide details)					

**Objective 1.7** Parties are enforcing the Convention to reduce illegal wildlife trade.  
[Aichi Target 4](#), [Target 5](#), [Target 6](#), [Target 7](#), [Target 9](#), [Target 10](#), [Target 12](#) and [Target 19](#).

**Indicator 1.7.1:** The number of Parties that have, are covered by, or engaged with:

- an international enforcement strategy and/or action plan;
- formal international cooperation, such as an international enforcement network;
- a national enforcement strategy and/or action plan; and
- formal national interagency cooperation, such as a national interagency enforcement committee.

1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No	No Information
	– an international enforcement strategy and/or action plan?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

– formal international cooperation, such as an international enforcement network?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
– a national enforcement strategy and/or action plan?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
– formal national interagency cooperation, such as a national interagency enforcement committee?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
If 'Yes' to any of the above, please specify the level of engagement and provide additional details:			

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?  If 'Yes', what do you do? If 'Yes' or 'No, but review is under consideration', which tools do you find of value?	Yes <input type="checkbox"/> No, but review is under consideration <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?  If 'Yes', please provide feedback on the parts of the toolkit used and how useful the toolkit or equivalent tools have been. Please specify improvements that could be made:  If 'No', please provide feedback on why not or what is needed to make the toolkit or equivalent tools useful to you:	Yes <input type="checkbox"/> No, but toolkit use is under consideration <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?  If 'Yes', please provide the title of the legislation and a summary of the penalties available Administrative codex of the Republic of Uzbekistan: item 90-“Violation of the rules of hunting and fishing, as well as the rules of other types of use of the animal world” Penalty: for citizens - from 1 115 000,00 UZS up to 2 230 000,00 UZS. for officials - from 2 230 000,00 UZS up to 3 345 000,00 UZS. 92-“Violation of the rules for the protection of the habitat of animals, the rules for the creation of zoological and botanical collections and their trade, as well as unauthorized resettlement, acclimatization or crossing of animals” Penalty: for citizens - from 1 115 000,00 UZS up to 2 230 000,00 UZS. for officials - from 2 230 000,00 UZS up to 3 345 000,00 UZS. Criminal codex of the Republic of Uzbekistan: Item 202-“Violation of the procedure for the use of animal or plant	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
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	world” - shall be punished with a fine from 22 300 000,00 UZS to 44 600 000,00 UZS or compulsory community service up to three hundred hours, or correctional labor up to two years.	
1.7.3b	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime <sup>1</sup> in your country?  If ‘Yes’, please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes: Administrative codex of the Republic of Uzbekistan: item 90-“Violation of the rules of hunting and fishing, as well as the rules of other types of use of the animal world” and item 92-“Violation of the rules for the protection of the habitat of animals, the rules for the creation of zoological and botanical collections and their trade, as well as unauthorized resettlement, acclimatization or crossing of animals” Criminal codex of the Republic of Uzbekistan: Item 202-“Violation of the procedure for the use of animal or plant world” Decree of Cabinet Ministers #290 dated 20.10.2014 “On the regulation of the use of biological resources and on the procedure of passing permissioning procedures in the sphere of nature use”	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
1.7.3c	Do you have capacity to use forensic technology <sup>2</sup> to support the investigation of CITES offences?  If ‘Yes’, please provide a brief summary of any samples from CITES-listed species that were collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report: Identification of samples is carried out by experts from Institute of Zoology.  If ‘Yes’, and your country has an appropriate forensic analysis facility for CITES-listed species, please indicate which species it applies to:	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
1.7.3d	Did your authorities participate in or initiate any multi-disciplinary <sup>3</sup> law enforcement operation(s) targeting CITES-listed species during the period covered in this report?  If ‘Yes’, please provide a brief summary, including any lessons learned which might be helpful for other Parties:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
1.7.3e	Do you have a standard operating procedure among relevant agencies for submitting information related to CITES offences to INTERPOL and/or the World Customs Organization?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	
		Yes No No information
	General crime <sup>4</sup>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Predicate offences <sup>5</sup>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Asset forfeiture <sup>6</sup>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Corruption <sup>1</sup>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
		If yes, how many times was this used during the period covered by this report?

<sup>1</sup> The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

<sup>2</sup> Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

<sup>3</sup> A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

<sup>4</sup> General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

<sup>5</sup> Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

<sup>6</sup> Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

	International cooperation in criminal matters <sup>2</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Organized crime <sup>3</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Specialized investigation techniques <sup>4</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	If 'Yes' to any of the above, please explain how each is used for CITES offences? Please provide a brief summary, including any lessons learned which might be helpful for other Parties:				
1.7.3g	Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences?	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>		
	If 'No', please provide a brief summary of your major capacity-building needs:				

**Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.**

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always	<input type="checkbox"/>	Very often	<input type="checkbox"/>	Sometimes	<input checked="" type="checkbox"/>	Rarely	<input type="checkbox"/>	Never	<input type="checkbox"/>	No information	<input type="checkbox"/>
1.7.4b	Do you have capacity to analyse information gathered on illegal trade in CITES-listed species?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	No information	<input type="checkbox"/>						
1.7.4c	Do you use criminal intelligence <sup>5</sup> to inform investigations into illegal trade in CITES-listed species?	Always	<input type="checkbox"/>	Very often	<input type="checkbox"/>	Sometimes	<input type="checkbox"/>	Rarely	<input type="checkbox"/>	Never	<input type="checkbox"/>	No information	<input checked="" type="checkbox"/>
1.7.4d	Have you implemented any supply-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes	<input type="checkbox"/>	No, but activities are under development	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>	No information	<input type="checkbox"/>				
1.7.4e	Have you implemented any demand-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes	<input type="checkbox"/>	No, but activities are under development	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>	No information	<input type="checkbox"/>				

<sup>1</sup> Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

<sup>2</sup> International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

<sup>3</sup> Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

<sup>4</sup> Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

<sup>5</sup> Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the period covered in this report:		Yes	No	No Information
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences? If 'Yes', please indicate how many and for what types of offences. If available, please attach details: illegal withdrawal from the nature once, illegal import once	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.7.5b	Have there been any criminal prosecutions of CITES-related offences? If 'Yes', how many and for what types of offences? If available, please attach details:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
1.7.5c	Have there been any other court actions against CITES-related offences? illegal withdrawal from the nature once If 'Yes', what were the offences involved and what were the results? Please attach details:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.7.5d	How were any confiscated specimens disposed of? – Return to country of export – Public zoos or botanical gardens – Designated rescue centres – Approved private facilities – Euthanasia – Other (please specify): Have you encountered any challenges in disposing of confiscated specimens? NO Do you have good practice that you would like to share with other Parties? NO	Tick all that apply		
				<input type="checkbox"/>
				<input checked="" type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

**Objective 1.8** Parties and the Secretariat have adequate capacity-building programmes in place.  
*Aichi Target 1, Target 12 and Target 19.*

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: Yes No The making of non-detriment findings? <input type="checkbox"/> <input checked="" type="checkbox"/> Permit officers? <input type="checkbox"/> <input checked="" type="checkbox"/> Enforcement officers? <input type="checkbox"/> <input checked="" type="checkbox"/>		
1.8.1b	Is the CITES Virtual College used as part of your capacity building work? What improvements could be made in using the Virtual College for capacity building?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

**GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION**

**Objective 2.1** Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

**Objective 2.2** Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.

[Aichi Target 1](#), [Target 2](#), [Target 3](#), [Target 12](#), [Target 19](#) and [Target 20](#).

**Indicator 2.2.1:** The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	<p>Do you have an approved service standard(s)<sup>1</sup> for your Management Authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>If 'Yes', do you have performance targets for these standards<sup>2</sup>?</p> <p>If 'Yes', what are your performance targets?</p> <p>Do you publish your performance against service standard targets?</p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <ul style="list-style-type: none"> <li>– availability of funding?</li> <li>– number of staff?</li> <li>– a shortage of skills?</li> </ul> <p>If 'Yes' to a shortage of skills, which skills do you need more of?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>
2.2.1b	<p>Do you have an approved service standard(s)<sup>47</sup> for your Scientific Authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>If 'Yes', do you have performance targets for these standards<sup>48</sup>?</p> <p>If 'Yes', what are your performance targets?</p> <p>If possible, please provide your performance against service standards during the period covered in this report:</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <ul style="list-style-type: none"> <li>– availability of funding?</li> <li>– number of staff?</li> <li>– a shortage of skills?</li> </ul> <p>If 'Yes' to a shortage of skills, which skills do you need more of?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>
2.2.1c	<p>Do you have an approved service standard(s)<sup>47</sup> for your enforcement authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>

<sup>1</sup> For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

<sup>2</sup> For example, 85% of all decisions will take place within the service standard.



2.2.2d	What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities?				
	Activity	High	Medium	Low	Not a Priority
	Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for implementation, monitoring or enforcement	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	e-permitting	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (please specify):		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.2.2e	Do you have a operational system (e.g. electronic database) for managing		Yes	Under development	No
	Species information		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Trade information		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Non-detriment findings		<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for:	Tick all that are applicable	
	– Administrative procedures	<input checked="" type="checkbox"/>	
	– Issuance of CITES documents (e.g. for import, exports, re-export, or introduction from the sea)	<input checked="" type="checkbox"/>	
	– Shipment clearance (e.g. for the import, export, re-export, or introduction from the sea of CITES-listed species)	<input type="checkbox"/>	
	– Licensing or registration of operations that produce CITES species	<input type="checkbox"/>	
	– Harvesting of CITES-listed species	<input checked="" type="checkbox"/>	
	– Use of CITES-listed species	<input checked="" type="checkbox"/>	
	– Assignment of quotas for CITES-listed species	<input type="checkbox"/>	
	– Other (please specify):	<input type="checkbox"/>	
2.2.3b	Is a fee schedule publicly available?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
	If 'Yes', please provide an internet link, or a copy of the schedule to the Secretariat: <a href="https://lex.uz/docs/2485765">https://lex.uz/docs/2485765</a>		
2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Entirely <input type="checkbox"/>	
		Partly <input checked="" type="checkbox"/>	
		Not at all <input type="checkbox"/>	
		Not relevant <input type="checkbox"/>	
2.2.3d	Do you raise funds for CITES management through charging user fees?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
	Do your fees recover the full economic cost of issuing permits?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Do you have case studies on charging or using fees?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	If 'Yes' to any of the above, please provide brief details:		
	Do you use innovative financial mechanisms to raise funds for CITES implementation?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	If 'Yes', please provide brief details:		



Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	<p>Do you use incentive measures<sup>1</sup> such as those described in <a href="#">CoP14 Doc 14.32</a> to implement the Convention?</p> <p style="text-align: right;">Yes No <b>NO</b></p> <p>Due diligence <input type="checkbox"/> <input type="checkbox"/></p> <p>Compensatory mechanisms <input type="checkbox"/> <input type="checkbox"/></p> <p>Certification <input type="checkbox"/> <input type="checkbox"/></p> <p>Communal property rights <input type="checkbox"/> <input type="checkbox"/></p> <p>Auctioning of quotas <input type="checkbox"/> <input type="checkbox"/></p> <p>Cost recovery or environmental charges <input type="checkbox"/> <input type="checkbox"/></p> <p>Enforcement incentives <input type="checkbox"/> <input type="checkbox"/></p> <p>If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to further information:</p>
2.2.4b	<p>Have incentives harmful to biodiversity been eliminated? Not at all <input type="checkbox"/></p> <p style="padding-left: 40px;">Very little <input type="checkbox"/></p> <p style="padding-left: 40px;">Somewhat <input checked="" type="checkbox"/></p> <p style="padding-left: 40px;">Completely <input type="checkbox"/></p>

<sup>1</sup> Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and fauna and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

**Objective 2.3** Sufficient resources are secured at the national and international levels to implement capacity-building programmes.  
 Aichi Target 12, Target 19 and Target 20.

**Indicator 2.3.1:** The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many training and capacity building activities <sup>1</sup> have you run during the period covered in this report?	Without assistance from the Secretariat	Conducted or assisted by the Secretariat				
	None	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				
	1	<input type="checkbox"/>	<input type="checkbox"/>				
	2-5	<input type="checkbox"/>	<input type="checkbox"/>				
	6-10	<input type="checkbox"/>	<input type="checkbox"/>				
	11-20	<input type="checkbox"/>	<input type="checkbox"/>				
	More than 20	<input type="checkbox"/>	<input type="checkbox"/>				
Please list the Resolutions or Decisions involved:							
2.3.1b	What sorts of capacity building activities have taken place?	<b>N/a</b>					
2.3.1c	What capacity building needs do you have?  Please tick all boxes which apply to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders / other user groups	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

<sup>1</sup> An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

**GOAL 3 CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE**

**Objective 3.1** Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.  
[Aichi Target 2 and Target 20.](#)

**Indicator 3.1.1:** The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?  If 'Yes', please provide brief details:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions: <b>NO</b>	Increased <input type="checkbox"/> Remained stable <input type="checkbox"/> Decreased <input type="checkbox"/>

**Indicator 3.1.2:** The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?						Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
	If 'Yes', please tick boxes to indicate type of assistance provided						Details (provide more information in an Appendix if necessary)	
	Country(ies)	Species Management <sup>1</sup>	Habitat Management <sup>2</sup>	Sustainable use	Law Enforcement	Livelihoods		Other (specify)
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>

**Objective 3.2** Awareness of the role and purpose of CITES is increased globally.  
[Aichi Target 1, Target 4, Target 12 and Target 18.](#)

**Indicator 3.2.1:** The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

<sup>1</sup> Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

<sup>2</sup> Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups?	Wider public	Relevant User Groups
	– Press conferences	<input type="checkbox"/>	<input type="checkbox"/>
	– Press releases	<input type="checkbox"/>	<input type="checkbox"/>
	– Newspaper articles, brochures, leaflets	<input type="checkbox"/>	<input type="checkbox"/>
	– Television appearances	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Radio appearances	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– Presentations	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	– Public consultations / meetings	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	– Market surveys	<input type="checkbox"/>	<input type="checkbox"/>
	– Displays	<input type="checkbox"/>	<input type="checkbox"/>
	– Information at border crossing points	<input type="checkbox"/>	<input type="checkbox"/>
	– Telephone hotline	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	– Website(s) – if so please provide link(s)	<input type="checkbox"/>	<input type="checkbox"/>
	– Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>
Please attach copies of any items or describe examples:			

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?					
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).					
	Target group	Daily	Weekly	Monthly	Less frequently	Not known
	Staff of Management Authority	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Staff of Scientific Authority	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Staff of enforcement authorities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3.2.2b	What has been your experience with using the CITES website?	Excellent	<input type="checkbox"/>			
	Good	<input checked="" type="checkbox"/>				
	Average	<input type="checkbox"/>				
	Poor	<input type="checkbox"/>				
	Very Poor	<input type="checkbox"/>				
	No information	<input type="checkbox"/>				
	Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc):					

**Indicator 3.2.3:** The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

**Objective 3.3** Cooperation with relevant international environmental, trade and development organizations is enhanced.

**Indicator 3.3.1** The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) <sup>1</sup> to which your country is party?  If 'Yes', please give a brief description:	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**Indicator 3.3.2:** The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?	1	
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?	0	
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No
	Agencies for development?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Agencies for trade?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Provincial, state or territorial authorities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Local authorities or communities?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Indigenous or local peoples?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Trade or other private sector associations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	NGOs?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3.3.2d	Are CITES requirements integrated into?	Yes	No
	National and local development strategies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	National and local poverty reduction strategies?	<input type="checkbox"/>	<input type="checkbox"/>
	Planning processes?	<input type="checkbox"/>	<input type="checkbox"/>
	National accounting?	<input type="checkbox"/>	<input type="checkbox"/>

<sup>1</sup> CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and non-governmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from: <b>NO</b>	Tick if applicable	Which organizations?
	Inter-governmental organizations?	<input type="checkbox"/>	
	Non-governmental organizations?	<input type="checkbox"/>	

**Objective 3.4** The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions.

[Aichi Target 1](#), [Target 2](#), [Target 3](#), [Target 4](#), [Target 5](#), [Target 6](#), [Target 7](#), [Target 12](#), [Target 14](#), [Target 17](#), [Target 18](#) and [Target 19](#).

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved?	Yes	No	Not Applicable
	Appendix I	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Appendix II	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Appendix III	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If there are such studies that you are willing to share, please provide:				
Species name (scientific)		Link to the data, or a brief summary		
3.4.1b	Do you have examples of specific examples of success stories or emerging problems with any CITES listed species?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
If 'Yes', please provide details:				

Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

**Objective 3.5** Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited.  
 Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.

**Indicator 3.5.1:** The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details: Cartagena protocol of CBD enforced in Uzbekistan in 9 October 2019	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

**Indicator 3.5.2:** The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
	Management Authority(ies)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority(ies)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority(ies)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

### General feedback

Please provide any additional comments you would like to make, including comments on this format.

Item		
Copy of full text of CITES-relevant legislation if changed	Enclosed	<input type="checkbox"/>
Web link(s) :	Not available	<input type="checkbox"/>
	Previously provided	<input type="checkbox"/>
	Please list any materials annexed to the report, e.g. fee schedules, awareness raising materials, etc:	
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	No Information	<input checked="" type="checkbox"/>
If 'Yes', please describe the constraint and the type of attention or assistance that is required.		
Are there examples of good practice you would like to share with other Parties?	Yes	<input type="checkbox"/>
	No	<input checked="" type="checkbox"/>
	No Information	<input type="checkbox"/>
If 'Yes' please provide details / links:		
How could this report format be improved?		

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.