

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

AGREES to the following.

- a) The Animals and Plants Committees should share their experience, especially during joined meetings, regarding the undertaking of periodic reviews of taxa included in the Appendices (including financing of reviews, processes, format and outputs).
- b) The Animals and Plants Committees shall establish a schedule for the Periodic Review of the Appendices and identify a list of taxa they propose to review during the next two intersessional periods between meetings of the Conference of the Parties (CoP). The list should be established at their first meeting after the meeting of the Conference of the Parties that initiates the review period.
- c) The Animals and Plants Committees are strongly encouraged to follow the following guidelines:
 - i) the Animals and Plants Committees, in consultation with the UNEP World Conservation Monitoring Centre, shall select a practical taxonomic entity or entities and specimens traded for analysis;
 - ii) the following taxa should not be considered for review:
 - A. species that were the subject of listing proposals at the previous two meetings of the Conference of the Parties (whether or not the proposals were adopted);
 - B. species listed under the new criteria [Resolution Conf. 9.24 (Rev. CoP14)] in the last 10 years;
 - C. species subject to ongoing reviews, such as the Review of Significant Trade [Resolution Conf. 12.8 (Rev. CoP13)] or periodic reviews conducted within the last 10 years; or
 - D. species subject to other reviews targeted by valid Decisions and Resolutions of the Conference of the Parties;
 - iii) the selected taxonomic entity or entities shall be assessed using the process outlined in the Annex to the present Resolution; and
 - iv) outputs 3 and 4 resulting from the assessment conducted in accordance with the Annex shall contain the following information in a summary table that includes:
 - A. a summary of trade data since the initial inclusion of that taxon in the Appendices;
 - B. current conservation status, including the IUCN category of the species; and
 - C. current listing in the CITES Appendices, date of first listing, number of trade records, commercial trade, and remarks.
- d) From this summary table, and list generated in Output 2 from the assessment, the Animals and Plants Committees will identify the list of taxa to be reviewed.
- e) The Secretariat shall send a copy of the list of taxa to be reviewed to all Parties, and request range States of the taxa to comment within 90 days on the need to review the taxa and express their interest in undertaking the reviews. The responses shall be relayed by the Secretariat to the Animals or Plants Committee.
- f) Taking these comments into account, the Animals and Plants Committees will inform the Standing Committee about the finalized selection of taxa to be reviewed.
- g) The Chairmen of the Animals and Plants Committees shall keep the Standing Committee informed about the conduct of periodic reviews of taxa included in the Appendices. A list of

species previously reviewed, including dates of the review and links to the appropriate documents, shall be maintained by the Secretariat.

- h) The Animals and Plants Committees shall conduct or organize the reviews, seeking information, participation and support from the range States. The regional representatives of the Animals, Plants and Standing Committees shall seek assistance from range States within their region to support the taxon reviews.
- i) Each review (in the format of a proposal used to amend the Appendices) is to be submitted as a working document to the Animals or Plants Committee for review. The Secretariat shall notify the relevant range States of these working documents.
- j) In cases where a review indicates, and the technical committee concerned agrees, that it would be appropriate to transfer a taxon from one Appendix to another, or to delete a taxon from the Appendices, the Animals or Plants Committee shall, in consultation with the range States, prepare (or arrange the preparation of) a proposal to amend the Appendices.
- k) The Secretariat, on behalf of the Standing Committee and the Animals or Plants Committee, shall provide copies of the proposal to the range States and request that one or more should submit the proposal for consideration at the following meeting of the Conference of the Parties.
- l) If no range State is willing to submit the proposal, the Secretariat shall request the Depository Government to submit it [as specified in Resolution Conf. 11.1 (Rev. CoP14)] and to include the comments of the range States in the supporting statement.
- m) Proposals resulting from the periodic review of the Appendices must be submitted for decision to the Conference of the Parties.

**Protocol for the assessment of taxa
for consideration in the
Periodic Review of the Appendices**

