

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

ANNUAL REPORT OF THE SECRETARIAT

2002

1. This report for the year 2002 is the 27th annual report of the CITES Secretariat. It presents topics that were otherwise not addressed in the agenda of the 12th meeting of the Conference of the Parties (CoP12, Santiago, 2002). Topics traditionally discussed in the Secretariat's annual reports but already on the agenda of CoP12 bear the reference to the relevant agenda item of that meeting and all related documents can be found on the CITES website.

ASSISTANCE TO THE PARTIES

Circulation of information

Notifications to the Parties and Alerts

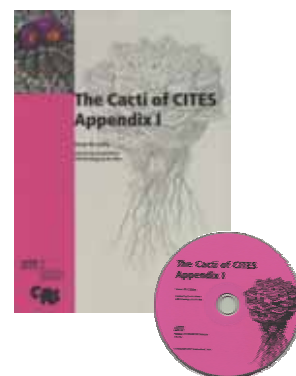
2. Seventy-seven Notifications to the Parties were sent by the Secretariat in 2002. The complete list can be found on the CITES website. The Secretariat also sent three Alerts. The Alerts are confidential documents about enforcement matters and are therefore not available on the website.

The Directory

3. The Secretariat continued updating the Directory with information on Parties and competent authorities of non-party States, in accordance with Resolution Conf. 9.5, and on TRAFFIC offices.

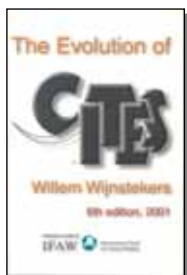
The Identification Manual

4. On 27 August, as part of the flora Identification Manual, the Secretariat distributed to Parties on behalf of the Management Authority of Switzerland, a guide entitled *The Cacti of CITES – Appendix I*. This publication was also provided on CD-ROM.
5. See CoP12 agenda item 11, 'Identification Manual'.



Nomenclature

6. The Conference of the Parties decided at its 12th meeting to adopt the *Checklist of CITES Species*, compiled by the UNEP World Conservation Monitoring Centre, 2001 and its updates accepted by the Nomenclature Committee as the standard reference for species included in the Appendices. See also CoP12 agenda item 10.c), 'Nomenclature Committee report' and Resolution Conf. 12.11.



Other publications

7. The Secretariat sent to every Party and to the wider CITES community a copy of the sixth edition of *The Evolution of CITES* with Notification to the

Parties No. 2002/015 of 6 March 2002. This book was published in English only owing to lack of funds for translation into French and Spanish. However the Spanish Government offered to organize the translation into Spanish and publication of the seventh edition and the Secretariat approached potential donors for a publication of the next edition in French.



8. The Secretariat sent with the same Notification two copies of a CD-ROM version of the *Checklist of CITES Species* and of the *Annotated CITES Appendices and Reservations*, distributed in printed copies on 9 July 2001. The Secretariat developed this CD-ROM in collaboration with UNEP-WCMC to help spread and facilitate the use of this very important publication (see also *Nomenclature* above).

9. The Secretariat transmitted a copy of the *CITES Carnivorous Plant Checklist*, *CITES Aloe and Pachypodium Checklist* and *CITES orchid Checklist* (volume 3) with Notification to the Parties No. 2002/029 of 9 April 2002. These books are published by the Royal Botanic Gardens, Kew.



10. On 18 April, the Secretariat sent to every Party a copy of the *Proceedings of the 11th meeting of the Conference of the Parties* (Gigiri, 2000). This publication is in two volumes that comprise:



Volume I: all decisions of the Conference of the Parties, the Summary Report of the plenary meeting and Summary Reports and other documents from committees established by the Conference, the list of participants and Appendices I and II (valid from 19 July 2000); and

Volume II: all the working documents of the meeting.

11. Parties also received with Notification No. 2002/042 of 24 June 2002 a copy of the *28th edition of the Live Animals Regulations of the International Air Transport Association* (IATA), effective from 1 October 2001. The English version of this publication was provided on CD-ROM and the French and Spanish ones as in paperback.



12. Most of these publications can be purchased through the CITES website.

CITES website

13. The Secretariat continued to develop intensively the third generation of the CITES website as a major information and communication tool.

14. New sections were introduced to the website, such as the FAQ page and the CITES Registers. The latter are also accessible through each relevant Party's page in the *National contacts* section. The interfaces of the export quotas section and CITES-listed species database were also redesigned and made more user-friendly. The scope of primary searches on the database was also restricted to CITES species to improve search speed.



15. In order to reach and serve more Parties, especially those with slow or no Internet connection, a CD-ROM version of the website was produced and sent to all Parties in May. The Secretariat plans to send such 'snap-shots' of its website on CD-ROMs periodically.

16. During the 12th meeting of the Conference of the Parties, the Secretariat maintained its website 'live' for the first time during a CoP meeting, updating it with new documents, daily journals, press releases and media coverage as they became available.

Training of personnel responsible for the implementation of CITES

17. Since 1989, the Secretariat has developed its training activities in two areas: organization of training seminars and preparation of training material.

Training seminars

18. In 2002 training was conducted in Bosnia and Herzegovina (non-Party), Fiji, Hong Kong SAR (China), India, Kazakhstan, Kyrgyzstan, the Netherlands Antilles, Nicaragua, the United Arab Emirates and the United Republic of Tanzania. These courses are listed below in detail and chronological order.

19. National CITES training seminars were held in the United Arab Emirates from 28 February to 7 March and from 29 August to 4 September, under a two-year programme of the United Arab Emirates' Government to provide comprehensive CITES training to the relevant authorities.

20. The Secretariat assisted the Netherlands in organizing a capacity-building workshop for the Netherlands Antilles in Curaçao, 4-8 March.

21. The Secretariat participated in a capacity-building workshop for Central Asian Republics in Bishkek, Kyrgyzstan, 22-24 April. This workshop was organized by the Department of Affairs of the President of Kyrgyzstan, the Asian Conservation Fund, the International Council for Game and Wildlife Conservation, the Brown Monson Foundation and Safari Club International. It was attended by representatives from Kazakhstan, Kyrgyzstan, Mongolia, the Russian Federation, Tajikistan, Uzbekistan, the United States of America and the European Commission. The workshop focused on international regulations applicable to trade in and movement of hunting trophies. The programme addressed enforcement, the making of non-detriment findings, the analysis of trade data and the role of quotas in CITES. The participants provided information on national game management policies, conservation hunting programmes and the CITES trade policies of the European Union and of the United States.

22. A regional CITES legislation workshop for countries in East, South and Southeast Asia was organized by the Secretariat and the CITES Management Authority of Hong Kong SAR (China), 22-26 April. Participants included lawyers and policy-makers from Brunei Darussalam, Bangladesh, Cambodia, China (including the Special Administrative Regions of Hong Kong and Macao), India, Indonesia, Malaysia, Mongolia, Myanmar,



Participants in the Hong Kong workshop examining CITES specimens
(© Agriculture, Fisheries and Conservation Department, Hong Kong SAR CITES Management Authority)

Nepal, Pakistan, the Philippines, Sri Lanka, Thailand and Viet Nam. Several regional representatives from NGOs (TRAFFIC and Birdlife International) also participated and a representative from the reptile leather industry gave a presentation. The course was enriched by presentations on Hong Kong SAR's legislation and application experience, several small group sessions where countries from different sub-regions could exchange information on their legislative provisions regarding CITES implementation requirements, and two field visits.

23. A regional CITES training seminar for Small Island Developing States in the Oceania region was organized by the Secretariat and held in Nadi, Fiji from 25 April to 3 May. It was attended by delegates from Australia, Fiji, New Caledonia (France), New Zealand, Papua New Guinea and Vanuatu, and from the following non-Parties: the Cook Islands, the Federated States of Micronesia, Kiribati, the Marshall Islands, Palau, Solomon Islands and Tonga.
24. The Secretariat organized in Hyderabad, India, a CITES Tiger Enforcement Task Force training course with the National Police Academy of India, 13-24 May. The course brought together law enforcement officers from virtually all tiger range States in Asia as well as members of the Russian Federation's Inspection Tiger. The National Police Academy of India, the Lusaka Agreement Task Force, the National Environmental Crime Unit of the Netherlands, Environment Canada and the US Department of Justice also sent resource persons to the training course. The course covered basic skills such as intelligence use, interviewing and media relations as well as specialized skills such as the handling of live wildlife specimens, wildlife crime scene analysis and the preparation of a wildlife case for court. See also document CoP12 Doc. 33 for further information on wildlife law enforcement training for tiger range States.
25. The Secretariat participated in a national CITES training seminar for Kazakh authorities, held in Almaty, Kazakhstan, 19-27 July, and organized by the United States. The seminar was also attended by delegates from Uzbekistan.
26. The Secretariat participated in a training seminar for port enforcement officers held in Dar es Salaam, United Republic of Tanzania, 9-13 September, and organized jointly with the Secretariat of the Basel Convention and the Montreal Protocol on Substances That Deplete the Ozone Layer. The seminar was attended by enforcement officers from Burundi, Ethiopia, Kenya, Malawi, Mozambique, Nigeria, Rwanda, South Africa, Uganda, the United Republic of Tanzania and Zambia.
27. A CITES training seminar for Scientific Authorities in Central and South America and the Caribbean was organized by the Secretariat and held in Managua, Nicaragua, 16-19 September. It was attended by delegates from Argentina, Belize, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, El Salvador, Guatemala, Honduras, Jamaica, Mexico, Nicaragua, Panama, Paraguay, Saint Lucia, Suriname, the United States, Uruguay and Venezuela.
28. The Secretariat participated in the seminar on the implementation of UNEP Multilateral Environmental Agreements that was held in Teslic, Bosnia and Herzegovina, from 6 to 8 October. The seminar was jointly organized by the Government of Bosnia and Herzegovina, the Geneva Environment Network, the UNEP Regional Office for Europe, UNEP Chemicals, the Secretariats of the Basel Convention, CITES and the Convention on Migratory Species, and the UNEP/GEF Biosafety Unit.

Evaluation of CITES training and public-awareness programmes

Training material

29. The Secretariat has developed a card-sized CD-ROM of *CITES training presentations*, containing 16 PowerPoint presentations designed for general training on CITES. The presentations are provided in the three working languages of the Convention. Copies were distributed at CoP12 and Parties were informed of the availability of the training card with Notification No. 2002/073 of 19 December 2002. Copies were subsequently provided on request.
30. Detailed presentations and training materials were developed for use by the Secretariat, comprising modules on general CITES training, training for Scientific Authorities and enforcement training.



MISSIONS TO THE PARTIES

31. The missions in this section are only those not already described in the section on *Training seminars* above. They are listed in chronological order for each region.

Africa

32. The Secretariat convened a meeting of the Panel of Experts on the African Elephant in Lusaka, Zambia, 9-13 September, to review the African elephant proposal from this country before CoP12 (see proposal CoP12 Prop. 9), in compliance with Resolution Conf. 10.9.
33. From 10 to 13 September the Secretariat participated in a MIKE meeting and a meeting of elephant range States held in Nairobi, Kenya.
34. From 30 September to 3 October 2003, the Secretariat undertook a second verification mission to the Democratic Republic of the Congo following the CITES trade bans decided by the Standing Committee in 2001. The aim of this mission was to verify progress in the implementation of a series of recommendations established by the Secretariat at its first verification mission in November 2001, and to evaluate whether and under what conditions the trade ban may be lifted. The outcome of this mission was a new series of recommendations and a withdrawal of the recommendation to suspend trade sent with Notification to the Parties No. 2002/065 of 19 December 2002.



Captured grey parrots (*Psittacus erithacus*) in a trader's facility in Kinshasa, Democratic Republic of the Congo (© CITES Secretariat).

35. Other missions to this region are reported under *Training seminars* above.

Asia

36. The Secretariat undertook a mission to the United Arab Emirates from 12 to 18 February to work with CITES Management Authorities on the development of CITES legislation.

37. In compliance with Decision 11.150, a technical workshop on the conservation of and trade in tortoises and freshwater turtles was organized in Kunming, Yunnan Province, China, 25-28 March. The meeting was attended by the Chairman of the Animals Committee, Animals Committee members from Asia, Africa, Europe and North America, representatives of Cambodia, China, Germany, India, Indonesia, Malaysia, Myanmar, Pakistan, Papua New Guinea, Thailand, Singapore, the United States and Viet Nam, and of intergovernmental and non-governmental organizations (IUCN/SSC, Conservation International, Kadoorie Farm and Botanical Garden, and TRAFFIC), and by the Secretariat. The workshop was successful in establishing conservation priorities and actions to achieve sustainable trade in tortoises and freshwater turtles in Asia. As required under Decision 11.150, the Secretariat reported the findings and recommendations of the workshop to the Animals Committee at its 18th meeting [San José, 2002, see document AC19 Doc. 15.3 (Rev. 1), Annex 2], on the basis of which the latter developed recommendations for consideration at the 12th meeting of the Conference of the Parties (see document CoP12 Doc. 39). (Left photo: *Annamemys annamensis* © CITES Management Authority of China).



38. From 13 to 20 April, the Secretariat conducted an enforcement needs-assessment mission to Azerbaijan to assess controls of the trade in caviar. The Secretariat was assisted in the mission by a Customs officer from Tunisia who was, at that time, engaged in a three-months' training attachment to the Secretariat.

39. The Secretariat assisted in the organization of an international workshop on saiga conservation in Elista, Kalmykia, Russian Federation, 5-10 May. The workshop was attended by representatives from the five range States of the saiga antelope (*Saiga tatarica*) (Kazakhstan, Mongolia, the Russian Federation, Turkmenistan and Uzbekistan), donor agencies, observer countries, Intergovernmental and Non-Governmental Organizations, and by the CITES and CMS (Convention on the Conservation of Migratory Species of Wild Animals) Secretariats. The Standing Committee decided in June 2001 to suspend all trade in the saiga antelope from Kazakhstan and the Russian Federation (see Notification to the Parties No. 2001/056 of 10 August 2001). The international workshop, bringing together experts, local stakeholders and national and regional authorities, resulted in a draft regional conservation strategy for the saiga antelope (see document CoP12 Inf. 39).



Saiga tatarica (© Anna A. Lushchekina)

40. The Secretariat undertook a mission to Yemen from 6 to 11 May to work with the CITES Management Authority on the development of CITES legislation.

41. In compliance with Decision 11.153, the CITES Secretariat convened a technical workshop on seahorses and other members of the family Syngnathidae from 27 to 29 May in Cebu, the Philippines. The workshop was organized by the CITES Management Authority for aquatic species of the Philippines and Project Seahorse. The recommendations resulting from the workshop were that all species of seahorse (genus *Hippocampus*) be included in CITES Appendix II at CoP12; that CITES Parties adopt a decision with a series of measures to support the implementation of an Appendix-II listing; and that non-CITES agencies and groups undertake and implement other measures to promote the conservation of seahorses and other Syngnathids not included in the Appendices. The outputs of the workshop were used by the Animals Committee as a basis for preparing a discussion paper for CoP12 (see document CoP12 Doc. 43).



Hippocampus sp. (© Jesús Inostroza)

42. From 27 July to 1 August the Secretariat conducted a mission to the United Arab Emirates to verify the completion of its falcon registration scheme.
43. The Secretariat assisted in the organization of and participated in a CITES Asian regional meeting held from 14 to 16 August in Ulaanbaatar, Mongolia. The meeting focused on the reports by the regional representatives to the CITES permanent committees, the reports from Parties in the region, the election of regional representatives to the CITES permanent committees, exchanges of views on the documents and amendment proposals submitted for discussion at the 12th meeting of Conference of the Parties.
44. On 24-31 August the Secretariat conducted a tiger technical mission to Thailand, during which it was assisted by a law enforcement official from the Management Authority of Indonesia and a staff member of TRAFFIC, Malaysia. The Secretariat staff member then proceeded to visit Singapore on 1 September to discuss a major ivory seizure made by the local authorities.
45. On 17-20 September the Secretariat conducted an enforcement needs-assessment mission to Kazakhstan to assess controls of the trade in caviar. The Secretariat was assisted in the mission by a senior officer of the Federal Police of Belgium.
46. Other missions to this region are reported under *Training seminars* above.

Central and South America and the Caribbean

47. The Secretariat made a presentation on 'Conservation and Trade' at a WTO Regional Workshop on Trade and Environment held in Saint Lucia, 28-30 January. Participants included representatives from the trade and environment ministries from Antigua and Barbuda, Bahamas, Barbados, Belize, Curaçao (the Netherlands Antilles), Dominica, Grenada, Haiti (non Party to CITES), Jamaica, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, and Trinidad and Tobago. In addition, there were representatives of CARICOM (Caribbean Community), OECS (Organisation of the Eastern Caribbean States), the Caribbean Regional Negotiating Machinery, UNCTAD, UNEP's Environment and Trade Branch, the Ozone Secretariat and the regional centre of the Basel Convention. Following the workshop, the Secretariat worked with the CITES Management

Authority and other members of an inter-agency committee to develop draft legislation for implementation of the Convention.

48. The second dialogue meeting of hawksbill turtle range States was held in the Cayman Islands (United Kingdom) from 21 to 23 May and a capacity-building workshop for Scientific Authorities was held in Nicaragua from 16 to 19 September. See document CoP12 Doc. 20.2 for a report on this meeting.
49. The sixth dialogue meeting of African elephant range States was held in Santiago, Chile, 28-31 October, immediately before CoP12, to harmonize these Parties' views on the trade in elephant specimens, the conservation of elephants and the African elephant proposals. See document CoP12 Doc. 20.1 for a report on this meeting.
50. A regional meeting for Central and South America and the Caribbean was held on 3 November during CoP12.
51. Other missions to this region are reported under *Training seminars* above.

Europe

52. A meeting of the Caspian sturgeon range States was held in Baku, Azerbaijan, 30-31 January, to discuss progress on the 'Paris Agreement' obligations and the setting of the 2002 sturgeon catch and export quotas.
53. A meeting was held with the European Commission on 7 March, to discuss funding for the MIKE programme in Africa.
54. The Secretariat attended the 22nd meeting of the Scientific Review Group (SRG) of the European Union in Brussels, Belgium, on 2 April. The SRG is composed of the Scientific Authorities of the 15 Member States of the European Union and provides advice on scientific aspects of the implementation of CITES in the European Union.
55. Other missions to this region are reported under *Training seminars* above.

North America

56. Meetings were held in New York (22 April) and Washington D.C. (25-27 April), United States, with government agencies and NGOs to secure funding support for the MIKE programme in Africa and Asia and to review progress on the pilot study being conducted in Central Africa.

Oceania

57. Missions to this region are reported under *Training seminars* above.

MEETING OF THE CONFERENCE OF THE PARTIES

58. Three missions to Santiago, Chile, were undertaken by the Secretariat in 2002 in preparation of the 12th meeting of the Conference of the Parties of the



Chile's spectacular national park of Torres del Paine, in Patagonia
(© 2002 Getty Images, Inc.)

Parties (CoP12). The meeting was held from 3 to 15 November and was attended by 1,164 participants.

59. Owing to budgetary constraints, the proceedings of CoP12 and of subsequent meetings of the Conference of the Parties will not be published in printed format any longer. However all relevant documents can be found on the CITES website and on the CD-ROMs of the website periodically distributed by the Secretariat.

MEETINGS OF THE PERMANENT COMMITTEES

Standing Committee

60. The 46th meeting of the Standing Committee (SC46) was held in Geneva, Switzerland, 12-15 March. The 47th and 48th meetings (SC47 and SC48) were held just before and after CoP12, from 1 to 2 and on 15 November respectively. The agendas, working documents and summary reports of these meetings are available on the CITES website.

Animals Committee

61. The 18th meeting of the Animals Committee (AC18) was held in San José, Cost Rica, 8-12 April. The agenda, working documents, summary record and list of participants are available on the CITES website.

Plants Committee

62. The 12th meeting of the Plants Committee (PC12) was held in Leiden, the Netherlands, 13-17 May. The agenda, working documents and proceedings are available on the CITES website.



Von Siebold Japanese garden in Leiden (© Victoria Zentilli)

MAINTAINING THE DATABASE ON TRADE

Presentation of the database

63. All of the statistics in annual reports of the Parties submitted in accordance with Article VIII, paragraph 7(a), of the Convention are entered into the CITES trade database. The UNEP World Conservation Monitoring Centre (UNEP-WCMC) in Cambridge, United Kingdom, does this work under a consultancy contract with the Secretariat. In 2002, a total of 413,034 trade records were entered into the database and a major check of data integrity, particularly for Psittacine species, was carried out. All data entered in the database are automatically checked and UNEP-WCMC contacts Parties directly on behalf of the Secretariat when it discovers discrepancies or anomalies. The taxonomic files behind the database are updated regularly in line with the most recently accepted nomenclature. In 2002 they contained over 40,000 taxon names, including synonyms.

Regular reports of the Parties

64. See CoP12 agenda item 22, 'Report on national reports required under Article VIII, paragraph 7, of the Convention'.

STATUS OF THE CITES TRUST FUND

65. The core administrative costs of the Secretariat, the Conference of the Parties and its subsidiary bodies, the Standing Committee and the other permanent committees, are financed from the CITES Trust Fund. The Trust Fund is replenished with contributions from the Parties to the Convention based on the United Nations scale of assessment, adjusted to take account of the fact that not all members of the United Nations are Parties to the Convention. The certified accounts of the Trust Fund of the Convention for 2002 are attached in Annex 1. These accounts have been certified as correct and in accordance with the accounting policies and financial rules and regulations of the United Nations. Annex 2 of the present document shows the status of countries' contributions to the CITES Trust Fund as of 31 December 2002.
66. At the 12th meeting of the Conference of the Parties, Resolution Conf. 12.1 was adopted regarding the Financing and budgeting of the Secretariat and meetings of the Conference of the Parties. The Secretariat's budget and the scale of contributions for the triennium 2003-2005 annexed to that Resolution are available on the CITES website. See also CoP12 agenda item 9 and documents CoP12 Inf. 2 and Inf. 3.

CONTRIBUTING TO THE IMPROVEMENT OF THE IMPLEMENTATION OF CITES



Projects and species programmes

General comments

67. During 2002, the Secretariat implemented various projects based on recommendations of the Animals and Plants Committees and on the tasks outlined in the Resolutions and Decisions taken at the 11th meeting of the Conference of the Parties. The table below presents the list of externally funded projects that were initiated in 2002 along with their costs and their donors.

Project title and donors	Region	Cost in USD
Sixth dialogue meeting of the African elephant range States, Santiago, Chile Donors: Japan, the United Kingdom, the United States and Japan Federation of Ivory Arts and Crafts Associations	Africa 	120,000
Asian regional meeting, Ulaanbaatar, Mongolia Donors: Hong Kong SAR (China) and Japan	Asia 	70,000
Legislative workshop for East, South and Southeast Asia, Hong Kong SAR (China) Donor: Hong Kong SAR (China)	Asia 	88,000

Project title and donors	Region	Cost in USD
<p>Freshwater turtles and tortoises technical workshop, Kunming, China</p> <p>Donors: Germany, the Netherlands, the United States, Chelonian Research Foundation, Conservation Treaty Support Fund, Humane Society of the United States, Deutsche Gesellschaft für Herpetologie und Terrarienkunde, Van Tienhoven Stichting and WWF-US/TRAFFIC North America</p>	<p>Asia</p> 	91,000
<p>Tiger Enforcement Task Force (TETF) training course, Hyderabad, India</p> <p>Donors: Hong Kong SAR (China), the United Kingdom, USFWS Rhinoceros & Tiger Conservation Fund, Conservation Treaty Support Fund and WWF-US</p>	<p>Asia</p> 	82,000
<p>Workshop on the development of a conservation strategy for the saiga antelope, Elista, Russian Federation</p> <p>Donor: the United States</p>	<p>Europe</p> 	20,000
<p>Second dialogue meeting of the hawksbill turtle range States, Cayman Islands (United Kingdom)</p> <p>Donors: Japan, United Kingdom, United States and European Commission</p>	<p>Central and South America and the Caribbean</p> 	166,000
<p>Technical workshop on seahorses and other Syngnathids, Cebu, the Philippines</p> <p>Donors: Australia, the Netherlands, the United Kingdom, the United States, Chinese Medicine Merchants Association, International Fund for Animal Welfare, WWF International and WWF-US/TRAFFIC North America</p>	<p>Global</p> 	84,000

Project title and donors	Region	Cost in USD
<p>Sponsored Delegates Project for the 12th meeting of the Conference of the Parties</p> <p>Donors: Austria, Denmark, Finland, Japan, the Netherlands, Norway, Sweden, Switzerland, the United Kingdom, the United States, British Airways, Conservation Treaty Support Fund, Humane Society of the United States, Imprimerie Beck, International Fur Trade Federation, IWMC World Conservation Trust, Japan Federation of Ivory Arts and Crafts Associations, Loro Parque Fundación and Species Survival Network</p>	<p>Global</p> 	700,000
<p>Production of a CD-ROM on <i>CITES training presentations</i></p> <p>Donor: Japan</p>	<p>Global</p> 	5,500

68. No projects were submitted for approval at the 46th, 47th and 48th meetings of the Standing Committee.

69. At its 12th meeting, the Conference of the Parties adopted Resolution Conf. 12.2 on the Procedure for approval of externally funded projects. Through this Resolution, which came into effect in February 2003, the Conference of the Parties transferred the responsibility for the approval of project proposals and of funding sources from the Standing Committee to the Secretariat.

General compliance issues

Enforcement matters

70. See CoP12 agenda item 27, 'Enforcement matters'.

National laws for implementation of the Convention

71. See CoP12 agenda item 28, 'National laws for implementation of the Convention'.

Species trade and conservation issues

72. See CoP12 agenda section, 'Species trade and conservation issues'.

Cooperation with other conventions and intergovernmental and non-governmental organizations

Other conventions and intergovernmental organizations

73. The Secretariat continues to maintain a close working relationship with ICPO-Interpol and the World Customs Organization. It regularly attends meetings convened by both

organizations and works on joint strategic and operational matters with police and Customs staff in the General Secretariats of Interpol and the World Customs Organization.

74. The Secretariat also regularly attends meeting of the European Union's CITES Enforcement Working Group and continues to liaise closely with the Lusaka Agreement Task Force and with the Global Tiger Forum.
75. On 21 April, the Secretariat participated in the 11th session of the UN Commission on Crime Prevention and Criminal Justice in Vienna, Austria, where the involvement of transnational organized crime in illegal trade in wild fauna and flora was being discussed.
76. A Memorandum of Understanding to combat illegal traffic was concluded on 26 April between the CITES Secretariat, the Secretariat of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal (SBC), and the Secretariat of the Vienna Convention for the Protection of the Ozone Layer and the Montreal Protocol on Substances that Deplete the Ozone Layer (the Ozone Secretariat).
77. The Secretariat met with representatives of the FAO Secretariat in July to discuss a possible Memorandum of Understanding between CITES and FAO.
78. The Secretariat participated in a meeting of the UNECE Working Group on Environmental Monitoring (Geneva, 29-30 August) during which it urged the strengthening of biodiversity monitoring.
79. A Memorandum of Understanding was concluded between the CITES and CMS Secretariats on 18 September to promote synergy and cooperation between the two Conventions. It addresses policy compatibility, institutional cooperation, the exchange of experience and information and the coordination of programmes of work.
80. On 6-8 December the Secretariat participated in and made a presentation to an international conference on organized crime, illicit trafficking and terrorism that was organized by a United Nations crime research group in Courmayeur, Italy.

Non-governmental conservation organizations

81. The Secretariat serves as an observer on the TRAFFIC International Committee, which operates as the Board of directors for TRAFFIC and oversees its many programmes. The Committee meets twice yearly, once in Gland, Switzerland, and again in Cambridge at TRAFFIC's headquarters in the United Kingdom.
82. On 27-28 May, the Secretariat participated in and made a presentation at an international environmental crime workshop organized by the Royal Institute for International Affairs in London, United Kingdom.

UNDERTAKING THE TASKS REQUESTED BY THE CONFERENCE OF THE PARTIES

Consolidating the Resolutions

83. See CoP12 agenda item 16 'Revision of Resolutions and Decisions'.

Analysing national legislation

84. See CoP12 agenda item 28, 'National laws for implementation of the Convention'.

Significant Trade

85. The Secretariat assisted the Animals and Plants Committees in reviewing Resolution Conf. 8.9 (Rev.) on the Trade in specimens of Appendix-II species taken from the wild and in developing a draft resolution on the Review of Significant Trade in specimens of Appendix-II species (see document CoP12 Doc. 48.1). This was adopted at CoP12 as Resolution Conf. 12.8. The Secretariat also initiated or continued the Review of Significant Trade in Aloe species from East Africa, *Aquilaria malaccensis*, cycads, *Pericopsis elata* and *Strombus gigas* (queen conch), and the country-based review of Appendix-II listed species from Madagascar.

Piles of empty queen conch shells at the Southwest Cay of Pedro Bank, Jamaica (© Alex Tewfik, Blue Planet Environmental)



Queen conch shells offered for sale in the Dominican Republic (© TRAFFIC Europe)

PROMOTING THE CONVENTION

Relations with the media and the general public

86. In line with Objectives 4.4 and 4.5 of its Strategic Plan, namely “to produce and disseminate informative materials to a broad public at local, national and regional levels” and “to improve communication and collaboration with the media” respectively, the Secretariat uses its website as a major communication tool. In 2002 it published 16 press releases and six press cuttings, mainly from Reuters, in the News and Highlights section.
87. The Secretariat published two editions of the *CITES World* newsletter, in July and December. These were thematic issues on trade in plants and the Review of Significant Trade in Appendix-II specimens respectively. Contributions for these editions were received from Germany, South Africa, Thailand, the United Kingdom of Great Britain and Northern Ireland, the Chairman of the Animals Committee, and the TRAFFIC Network.
88. In 2002 over 400 written requests for information were also received from the public. In addition, more than 300 requests for action were received concerning news reports involving CITES species.
89. During the year the Secretariat conducted background briefings for the media on issues or events of major significance. Several members of the Secretariat also undertook media training during the week of 23-26 September to improve their skills in designing and delivering strategic messages through the media. Communication strategies for the media,



press kits and a compilation of selected news clippings were prepared with the support of the Information Unit of UNEP. Briefings were also arranged during the meetings of the Standing Committee.

90. Secretariat staff regularly responded to requests for radio interviews and a smaller number of television interviews, particularly on high-profile issues such as ivory trade and elephant conservation, sturgeons and caviar trade, illegal trade in gorillas, etc.
91. The Secretariat organized daily press briefings during CoP12 and the meeting received wide coverage in the international press.



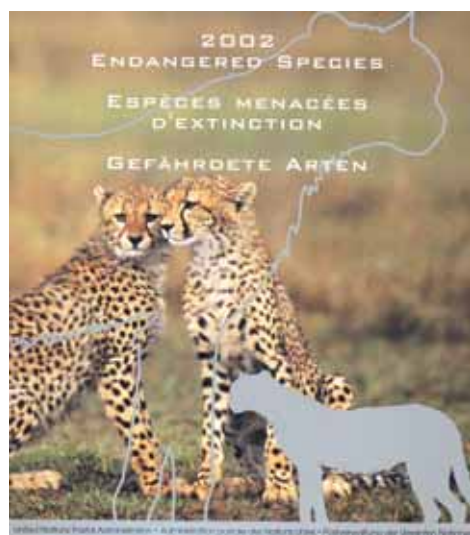
A press briefing in Santiago during CoP12 (© CITES Secretariat).

CITES briefings to the UN Missions

92. GRULAC (Latin American and Caribbean group of countries) invited the Secretariat to participate in a meeting on 23 October in Geneva, Switzerland, to brief Parties of the region on the main issues to be discussed at CoP12, including species proposals (vicuña, monkey puzzle tree), legislation and annual reports.

United Nations Postage

93. As in every year since 1993, the Secretariat worked with the United Nations Postal Administration to produce a series of 12 stamps of various animals included in the CITES Appendices. This series was published in Geneva, New York and Vienna, with special postmarks for first-day covers. Information provided by the Secretariat on each of the species was published in a special booklet. The CITES stamps are the best-selling of all those produced by the United Nations.







Other promotional activities

94. See document CoP12 Doc. 27 and Notification to the Parties No. 2002/014 of 6 March 2002 regarding the introduction of the Secretary-General's Certificate of Commendation to promote the enforcement of CITES.

AN EVOLVING CONVENTION

New Parties in 2002

95. Four States became Parties to the Convention in 2002. (Maps © The World Fact Book / CIA.)

Country	Date of deposit of the instrument of accession or ratification	Date of entry into force
<p>Ireland</p> 	08/01/2002	08/04/2002
<p>Serbia and Montenegro</p> 	27/02/2002	28/05/2002
<p>Kuwait</p> 	12/08/2002	10/11/2002
<p>Bhutan</p> 	15/08/2002	13/11/2002

96. On 31 December 2002, 160 States were Parties to the Convention. The complete list of Parties to CITES can be found on the CITES website.

Amendments and reservations

Amendment to Article XI, paragraph 3(a) (financial amendment, adopted in Bonn on 22 June 1979)

97. This amendment entered into force on 13 April 1987. In 2002, out of a total of 160 Parties, 124 had accepted the amendment, including 42 out of 48 that were party on 22 June 1979 when the amendment was adopted. The complete list of Parties that have accepted this amendment and further information about it can be found on the CITES website.

Amendment to Article XXI (adopted in Gaborone on 30 April 1983)

98. By the end of 2002, 68 Parties (including 42 that were party on 30 April 1983 when the amendment was adopted) had accepted the amendment. This amendment will enter into force when it has been accepted by 54 of the 80 States that were party to CITES on 30 April 1983. The complete list of Parties that have accepted this amendment and further information about it can be found on the CITES website.

Amendments to the Appendices

99. The following amendments to Appendix III were made in 2002, in accordance with the provisions of Article XVI, paragraphs 1 and 2, of the Convention.

100. The Government of Costa Rica requested the Secretariat to include the species *Dipteryx panamensis*.

101. The Government of Honduras requested the Secretariat to withdraw its populations of *Agkistrodon bilineatus*, *Atropoides nummifer*, *Bothriechis schlegelii*, *Bothrops asper*, *Porthidium nasutum* and *Porthidium ophryomegas*.

102. The amendments in the two paragraphs above took effect on 15 December 2002, in accordance with the provisions of Article XVI, paragraphs 2 and 3, of the Convention.

103. At its 12th meeting, the Conference of the Parties adopted amendments to Appendices I and II of the Convention, which came into effect on 13 February 2003, i.e. 90 days after the meeting, in accordance with Article XV, paragraph 1. (c).

Reservations

104. In accordance with the provisions of Article XXIII, paragraph 2. (a), of the Convention, the following reservations for species included in Appendix III were entered in 2002:

- On 26 March Norway entered a reservation for *Carcharodon carcharias*.
- On 15 April Portugal entered reservations for *Mustela altaica*, *Mustela kathiah* and *Mustela sibirica*.

- On 31 October Ireland entered reservations for *Vulpes vulpes griffithi*, *Vulpes vulpes montana*, *Vulpes vulpes pusilla*, *Mustela altaica*, *Mustela erminea ferghanae*, *Mustela kathiah* and *Mustela sibirica*.



Vulpes vulpes (© 2002 Getty Images, Inc.)

Registration of captive-breeding operations, nurseries and scientific institutions

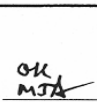

105. In accordance with Annex 3 to Resolution Conf. 8.15, the Secretariat maintains a register of operations that breed Appendix-I species in captivity for commercial purposes.
106. Amendments to this Register were made in relation to operations in Canada, China, Mexico, Singapore, South Africa, Spain, Thailand and Viet Nam.
107. See also CoP12 agenda item 39, 'Operations that breed Appendix-I species in captivity for commercial purposes'.
108. Amendments to the Register of plant nurseries were made in relation to nurseries in the Czech Republic, Germany and Spain.
109. Amendments to the Register of scientific institutions were made in relation to institutions in Australia, Canada, the Czech Republic, Germany, Kenya, the Netherlands, Switzerland, the United Republic of Tanzania and the United States of America.

Administration and finance

Staff

110. Mrs Edwige Graser, Secretary to the Deputy Secretary-General, retired on 30 September after 16 years with the Secretariat.
111. Nationalities from all six CITES regions (Africa, Asia, Central and South America and the Caribbean, Europe, North America and Oceania) were represented within the Secretariat in 2002.

Certified accounts of the Trust Fund of the Convention for 2002

Trust Fund for the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)	
I. Combined statement of income and expenditure and changes in reserves and fund balances for the first year of the biennium 2002-2003 ended 31 December 2002	
Income	USD
Voluntary contributions	3,969,907
Interest income	177,779
Miscellaneous income	143,625
Total Income	4,291,311
Expenditure	
Staff and other personnel costs	3,150,182
Contractual services	490,529
Travel	425,748
Operating expenses	660,013
Acquisitions	150,217
Programme support costs	632,958
Total Expenditure	5,509,647
Excess/(shortfall) of income over expenditure	(1,218,336)
Prior period adjustments	(1,094,773)
Net excess/(shortfall) of income over expenditure	(2,313,109)
Reserves and fund balances, beginning of period	3,942,903
Reserves and fund balances, end of period	1,629,794
II. Combined statement of assets, liabilities, reserves and fund balances as at 31 December 2002	
Assets	
Cash and term deposits	946,408
Investments	3,939,183
Voluntary pledges receivable	749,551
Other accounts receivable	1,097,713
Total assets	6,732,855
Liabilities	
Interfund payable	3,208,219
Other accounts payable	585,667
Reserve for obligation	1,191,607
Deferred credits	117,568
Total liabilities	5,103,061
Reserves and fund balances	
Cumulative surplus	1,629,794
Total reserves and fund balances	1,629,794
Total liabilities, reserve and fund balance	6,732,855
<div style="display: flex; justify-content: space-around; align-items: center;"> <div>  David Hastie Chief </div> <div>  David Hastie Chief </div> </div> <p style="text-align: center;">ACCOUNTS SECTION</p> <div style="display: flex; justify-content: space-between;"> CTL BUDGET AND FINANCIAL MANAGEMENT SERVICE, UNON 23/05/2003 </div>	

CITES Trust Fund
Status of contributions as of 31 December 2002
(in Swiss francs)

Country	Unpaid for 1992-2001	Contributions due for 2002	Received in 2002		Unpaid for 2002 & prior years
			For 1992- 2001	For 2001 & future years	
Afghanistan	2,129.00	200.00			2,329.00
Algeria	0.00	5,727.00		5,727.00	0.00
Antigua and Barbuda	2,221.00	133.00			2,354.00
Argentina	(3,775.09)	73,451.00			69,675.91
Australia	0.00	98,756.00		208,705.46	(109,949.46)
Austria	0.00	62,730.00		62,730.00	0.00
Azerbaijan	7,809.00	733.00	7,809.00	733.00	0.00
Bahamas	(867.24)	999.00		1,500.00	(1,368.24)
Bangladesh	0.00	666.00		622.17	43.83
Barbados	1,047.70	533.00	1,047.70		533.00
Belarus	23,425.06	3,796.00	3,796.00		23,425.06
Belgium	0.00	73,518.00			73,518.00
Belize	0.00	67.00			67.00
Benin	146.00	133.00	146.00	120.00	13.00
Bolivia	353.32	466.00			819.32
Botswana	(666.00)	666.00		666.00	(666.00)
Brazil	224,020.46	97,957.00	91,347.71		230,629.75
Brunei Darussalam	0.00	1,332.00		1,332.00	0.00
Bulgaria	733.00	733.00			1,466.00
Burkina Faso	(1,983.73)	133.00			(1,850.73)
Burundi	5,808.68	67.00			5,875.68
Cambodia	0.00	67.00		67.00	0.00
Cameroon	6,963.00	866.00			7,829.00
Canada	0.00	181,930.00		181,930.00	0.00
Central African Republic	2,313.60	67.00			2,380.60
Chad	6,164.00	67.00			6,231.00
Chile	(572.24)	9,057.00		8,511.48	(26.72)
China	0.00	66,259.00		66,259.00	0.00
Colombia	1,366.43	7,259.00			8,625.43
Comoros	3,730.00	67.00			3,797.00
Congo	1,491.00	200.00	1,491.00	330.00	(130.00)
Costa Rica	(46,694.32)	1,065.00			(45,629.32)
Côte d'Ivoire	5,996.00	599.00	5,352.55		1,242.45
Croatia	0.00	1,998.00		1,998.00	0.00
Cuba	0.00	1,598.00		1,598.00	0.00
Cyprus	2,264.00	2,264.00	2,264.00	2,264.00	0.00
Czech Republic	0.00	7,125.00		7,125.00	0.00
Democratic Republic of the Congo	6,172.40	466.00			6,638.40
Denmark	0.00	46,082.00		46,082.00	0.00
Djibouti	5,196.00	67.00			5,263.00
Dominica	(710.00)	67.00			(643.00)

Country	Unpaid for 1992-2001	Contributions due for 2002	Received in 2002		Unpaid for 2002 & prior years
			For 1992- 2001	For 2001 & future years	
Dominican Republic	7,774.81	999.00			8,773.81
Ecuador	0.00	1,332.00			1,332.00
Egypt	4,329.00	4,329.00			8,658.00
El Salvador	6,896.00	799.00			7,695.00
Equatorial Guinea	5,161.00	67.00			5,228.00
Eritrea	1,353.00	67.00	1,353.00	67.00	0.00
Estonia	(799.00)	799.00			0.00
Ethiopia	0.00	400.00		400.00	0.00
Fiji	266.00	266.00	266.00	197.66	68.34
Finland	0.00	36,160.00		36,160.00	0.00
France	0.00	435,847.00		435,847.00	0.00
Gabon	9,808.59	999.00			10,807.59
Gambia	(559.99)	67.00		1,800.00	(2,292.99)
Georgia	28,714.00	466.00			29,180.00
Germany	0.00	656,401.00		656,401.00	0.00
Ghana	466.00	466.00	466.00	466.00	0.00
Greece	0.00	23,374.00		23,374.00	0.00
Grenada	67.00	67.00			134.00
Guatemala	1,636.00	1,199.00			2,835.00
Guinea	5,467.00	200.00			5,667.00
Guinea-Bissau	5,279.00	67.00	2,351.50		2,994.50
Guyana	1,403.22	67.00	1,403.22	34.89	32.11
Honduras	545.95	200.00			745.95
Hungary	0.00	7,991.00		7,991.00	0.00
Iceland	0.00	7,991.00		7,991.00	0.00
India	(0.45)	19,911.00		19,911.00	(0.45)
Indonesia	0.00	12,519.00		12,519.00	0.00
Iran (Islamic Republic of)	259,229.75	10,721.00	32,000.00		237,950.75
Ireland	0.00	10,000.00		10,000.00	0.00
Israel	23,307.00	23,307.00	23,307.00		23,307.00
Italy	19,545.03	362,063.00	19,545.03	362,063.00	0.00
Jamaica	400.00	400.00	400.00	400.00	0.00
Japan	0.00	1,370,005.00		1,370,005.00	0.00
Jordan	1,265.00	400.00			1,665.00
Kazakhstan	(25.70)	3,196.00		1,404.77	1,765.53
Kenya	0.00	466.00		466.00	0.00
Latvia	(5,146.00)	1,132.00			(4,014.00)
Liberia	6,230.00	133.00	4,141.60		2,221.40
Liechtenstein	0.00	400.00		400.00	0.00
Lithuania	0.00	302.00		302.00	0.00
Luxembourg	0.00	4,528.00		4,528.00	0.00
Madagascar	(641.56)	200.00			(441.56)
Malawi	2,700.00	133.00			2,833.00
Malaysia	0.00	12,186.00			12,186.00
Mali	3,979.00	133.00			4,112.00
Malta	0.00	932.00		932.00	0.00
Mauritania	1,728.00	67.00			1,795.00
Mauritius	0.00	599.00			599.00
Mexico	13,089.67	66,259.00	13,089.67	38,318.24	27,940.76
Monaco	0.00	266.00		266.00	0.00

Country	Unpaid for 1992-2001	Contributions due for 2002	Received in 2002		Unpaid for 2002 & prior years
			For 1992- 2001	For 2001 & future years	
Mongolia	(510.00)	133.00			(377.00)
Morocco	5,786.00	2,730.00			8,516.00
Mozambique	67.00	67.00			134.00
Myanmar	41.52	533.00	41.52	460.48	72.52
Namibia	0.00	466.00		466.00	0.00
Nepal	0.00	266.00			266.00
Netherlands	0.00	108,679.00		108,679.00	0.00
New Zealand	0.00	14,717.00		29,434.00	(14,717.00)
Nicaragua	1,040.77	67.00	762.15		345.62
Niger	6,230.00	133.00			6,363.00
Nigeria	(6,629.78)	2,131.00			(4,498.78)
Norway	0.00	40,621.00		40,621.00	0.00
Pakistan	8,802.00	3,929.00	8,802.00		3,929.00
Panama	2,527.32	866.00	2,527.32	4,018.94	(3,152.94)
Papua New Guinea	2,086.20	466.00			2,552.20
Paraguay	1,814.48	932.00			2,746.48
Peru	5,349.17	6,593.00			11,942.17
Philippines	5,394.00	5,394.00	5,394.00	11,553.16	(6,159.16)
Poland	0.00	13,052.00		13,052.00	0.00
Portugal	0.00	28,701.00		28,701.00	0.00
Qatar	(2,208.00)	736.00			(1,472.00)
Republic of Korea	0.00	66,992.00		66,992.00	0.00
Republic of Moldova	333.00	333.00	333.00	619.56	(286.56)
Romania	3,729.00	3,729.00	3,729.00	3,729.00	0.00
Russian Federation	71,730.00	71,720.00	71,730.00	71,720.00	0.00
Rwanda	0.00	67.00			67.00
Saint Kitts and Nevis	67.00	67.00	67.00	67.00	0.00
Saint Lucia	0.00	67.00			67.00
Saint Vincent and the Grenadines	67.00	67.00			134.00
Sao Tome and Principe	0.00	67.00			67.00
Saudi Arabia	0.00	37,425.00		37,425.00	0.00
Senegal	6,497.00	400.00			6,897.00
Seychelles	3,887.00	133.00			4,020.00
Sierra Leone	0.00	67.00		61.51	5.49
Singapore	0.00	11,920.00		11,920.00	0.00
Slovakia	0.00	2,331.00		2,331.00	0.00
Slovenia	0.00	4,062.00		4,062.00	0.00
Somalia	6,164.00	67.00			6,231.00
South Africa	0.00	24,373.00		24,373.00	0.00
Spain	0.00	172,541.00		172,541.00	0.00
Sri Lanka	0.00	799.00		799.00	0.00
Sudan	6,563.00	466.00			7,029.00
Suriname	4,240.08	266.00			4,506.08
Swaziland	2,434.00	133.00			2,567.00
Sweden	0.00	71,853.00		71,853.00	0.00
Switzerland	0.00	80,910.00		80,910.00	0.00
Thailand	0.00	11,321.00		11,321.00	0.00
The former Yugoslav Republic of Macedonia	266.00	266.00			532.00

Country	Unpaid for 1992-2001	Contributions due for 2002	Received in 2002		Unpaid for 2002 & prior years
			For 1992- 2001	For 2001 & future years	
Togo	5,324.79	67.00			5,391.79
Trinidad and Tobago	1,065.00	1,065.00	1,065.00	1,065.00	0.00
Tunisia	3,330.56	1,865.00	3,330.56	400.64	1,464.36
Turkey	0.00	29,301.00		29,301.00	0.00
Uganda	(311.00)	266.00		2,701.50	(2,746.50)
Ukraine	21,820.00	12,653.00			34,473.00
United Arab Emirates	0.00	11,853.00		11,853.00	0.00
United Kingdom	0.00	339,088.00		339,088.00	0.00
United Republic of Tanzania	200.00	200.00	200.00	254.86	(54.86)
United States of America	0.00	1,664,809.00		1,826,809.00	(162,000.00)
Uruguay	5,799.33	3,196.00	2,573.00		6,422.33
Uzbekistan	15,681.27	1,665.00			17,346.27
Vanuatu	80.00	67.00	80.00	118.12	(51.12)
Venezuela	44,313.00	10,655.00			54,968.00
Viet Nam	0.00	466.00			466.00
Yemen	2,595.00	666.00			3,261.00
Yugoslavia	0.00	1,015.00		1,015.00	0.00
Zambia	2,700.00	133.00			2,833.00
Zimbabwe	2,528.00	599.00	2,528.00	599.00	0.00
TOTAL	898,442.06	6,704,127.00	314,739.53	6,601,459.44	686,370.09