# CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA



Twenty-seventh meeting of the Animals Committee Veracruz (Mexico), 28 April – 3 May 2014

### Interpretation and implementation of the Convention

### Compliance and enforcement

# REVIEW OF REPORTING REQUIREMENTS (DECISION 16.45) (Agenda item 11)

## Membership (as decided by the Committee)

- Co-Chair: AC representative of Europe (Mr. Lörtscher) and PC representative of Oceania (Mr. Leach);
- Members: acting PC representative of Africa (Mr. Luke);
- Party: Australia, Germany, Mexico, Poland, the United Kingdom of Great Britain and Northern Ireland and the United States of America; and

IGOs and NGOs: IUCN, UNEP-WCMC, Defenders of Wildlife and Humane Society International.

### Mandate

Review each of the reporting requirements identified in Annex 1 as being relevant to them (and others if they consider they are also relevant to the Animals or Plants Committees' remits) and advise in the last column of Annex 1 whether the requirement is:

- a) still current and valid, or;
- b) outdated or otherwise unnecessary, and therefore no longer required.

# Recommendations by the Animals and Plants Committee on reporting requirements identified as relevant to one, or both, Committee's

| Row<br>key | Short<br>subject           | Text of requirement  | Links                                | S<br>C | A<br>C | P<br>C | AC/PC Recommendation   |
|------------|----------------------------|--|--------------------------------------|--------|--------|--------|--|
| 11         | Ranching                   | Annual reports on all relevant aspects of each approved<br>ranching operation be submitted to the Secretariat by the Party<br>concerned, including the following:<br>i) the status of the wild population concerned established by<br>monitoring at an appropriate frequency and with sufficient<br>precision to allow recognition of changes in population size and<br>structure owing to ranching;<br>ii) the number of specimens (eggs, young or adults) taken<br>annually from the wild and the percentage of this off take used to<br>supply ranching operations; and<br>iii) details of the annual production levels, and product types and<br>quantity produced for export;     | <u>Res. Conf. 11.16 (Rev. CoP15)</u> | 1      | 1      |        | MAINTAIN – still current and valid<br>but SC WG should consider extending<br>the timeline (perhaps through biennial<br>report) |
| 42         | Export<br>quotas           | In accordance with Resolution Conf. 12.3 (Rev. CoP15), Parties<br>should inform the CITES Secretariat of their nationally<br>established export quotas and of revisions of such quotas. Such<br>information can be provided at any time but, as far as possible,<br>should be communicated at least 30 days before the start of the<br>period to which the export quota relates  | <u>Res. Conf. 14.7 (Rev. CoP15)</u>  | 1      | 1      | 1      | MAINTAIN – still current and valid   |
| 4          | Marking of<br>live animals | (b) The Management Authority of each Party to inform all known<br>manufacturers of microchip-implants and associated equipment<br>on its territory about the present Resolution, urge them to strive<br>towards the production of compatible equipment that can be<br>applied universally and ask them for information about their<br>products compatible with CITES needs; and to advise the<br>Secretariat about the results, for the information of the Parties<br>(c) the Animals Committee to monitor developments in<br>microchip-implant technology and application techniques and to<br>advise the Secretariat about such developments, for the<br>information of the Parties. | <u>Res. Conf. 8.13 (Rev.)</u>        | 1      | 1      |        | NO LONGER REQUIRED<br>(noting (c) wasn't considered to be a<br>reporting requirement)  |
| 38         | Nurseries                  | Any Management Authority that wishes to register any<br>commercial nursery artificially propagating specimens of species<br>included in Appendix I for export purposes shall provide to the<br>Secretariat, for inclusion in its Register, all appropriate<br>information to obtain and maintain the registration of each such   | <u>Res. Conf. 9.19 (Rev. CoP15)</u>  |        |        | 1      | MAINTAIN – still current and valid   |

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|------------|--|---|--|--------|--------|---|--|
|            |  | nursery.  |  |        |        |   |  |
| 9          | Plants                                   | RECOMMENDS that Parties develop and maintain a good<br>liaison with national plant-trade organizations, to inform them<br>about all aspects of the implementation of CITES for plants, and<br>to communicate to the Secretariat specific implementation<br>problems presented by these national organizations, for<br>consideration by the Plants Committee   | <u>Res. Conf. 11.11 (Rev. CoP15)</u>               |        |        | 1 | DELETE the following reporting<br>requirement:<br>'and to communicate to the Secretariat<br>specific implementation problems<br>presented by these national<br>organizations, for consideration by the<br>Plants Committee |
| 7          | Regional reports                         | RESOLVES that the duties of members elected by the regions<br>of the Animals and Plants Committees and their alternates are<br>as follows: the members should submit a written report, covering<br>the preceding period, to each meeting of the Committee;  | Res. Conf. 11.1 (Rev. CoP16):<br>See AC25 Doc. 6.7 | 1      | 1      | 1 | .MAINTAIN: but AC/PC might want to<br>review the content of and how<br>reports are presented to the<br>Committee   |
| 36         | Registered operations                    | The Management Authority shall provide the Secretariat with<br>appropriate information to obtain, and to maintain, the<br>registration of each captive-breeding operation as set out in<br>Annex 1.   | <u>Res. Conf. 12.10 (Rev. CoP15)</u>               | 1      | 1      | 1 | MAINTAIN – still current and valid   |
| 37         | Registered<br>scientific<br>institutions | Wording from Conf Res 11.15: Each Management Authority<br>should communicate to the Secretariat as soon as practicable<br>the names and addresses of those scientific institutions so<br>registered, and the Secretariat without delay then communicate<br>this information to all other Parties.   | Article VII, paragraph 6<br>Conf Res 11.15         | 1      | 1      | 1 | MAINTAIN – still current and valid   |
| 12         | Review of<br>significant<br>trade        | The Secretariat shall, within 30 days after the meeting of the<br>Animals or Plants Committee at which species are selected,<br>notify range States of the species selected, providing an<br>explanation for this selection and requesting comments<br>regarding possible problems of implementing Article IV identified<br>by the Committee. Range States shall be given 60 days to<br>respond; the Secretariat shall report to the Animals or Plants<br>Committee on the response of the range States concerned,<br>including any other pertinent information | <u>Res. Conf 12.8 (Rev. CoP13)</u>                 | 1      | 1      | 1 | MAINTAIN – still current and valid   |
| 27         | Tortoise                                 | Commercial trade in specimens of this species is apparently<br>taking place on some parts of the world. The CITES<br>Management Authority of Madagascar has advised the<br>Secretariat that no export permit has ever been issued to<br>authorize exports of specimens of this species for commercial   | Notification 2004/44                               |        | 1      |   | NO LONGER REQUIRED   |

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|            |                                | trade but only for scientific purposes. In view of the above information, the Secretariat recommends that any trade in this species should be investigated and be reported to the Secretariat  |                                   |        |        |   |                                    |
| 78         | Agarwood-<br>producing<br>taxa | The Plants Committee shall monitor the implementation of<br>Resolution Conf. 16.10 (Implementation of the Convention for<br>agarwood-producing taxa) to assess any potential conservation<br>impacts to the long-term survival of agarwood-producing species<br>and possible problems arising from the implementation, and<br>shall report on these issues at the 17th meeting of the<br>Conference of the Parties.  | Decision 16.157                   |        |        | 1 | MAINTAIN – still current and valid |
| 75         | Queen conch                    | <ul> <li>Range States of <i>S. gigas</i> should:</li> <li>a) in coordination with the Working Group on Queen Conch<br/>mentioned in Decision 16.141, develop conversion factors at<br/>different levels of processing of <i>S. gigas</i> for standardization of<br/>data and reporting instruments on the catch and trade in meat<br/>and other products;</li> <li>b) adopt these conversion factors by the end of 2015 and report<br/>them to all range States of <i>S. gigas</i>, FAO and the CITES<br/>Secretariat; and</li> <li>c) by the end of 2016, apply the agreed conversion factors in<br/>their <i>S. gigas</i> fishery management and national, regional and<br/>international reporting, and include the degree of processing of<br/><i>S. gigas</i> products in the description field of the export permit</li> </ul> | Decision 16.143                   | 1      | 1      |   | MAINTAIN – still current and valid |
| 14         | Rhinos                         | RECOMMENDS that the IUCN/SSC African and Asian Rhino<br>Specialist Groups and TRAFFIC submit at least six months<br>before each meeting of the Conference of the Parties a written<br>report to the Secretariat on: a) the national and continental<br>conservation status of African and Asian rhinoceros species; b)<br>trade in specimens of rhinoceroses; c) stocks of specimens of<br>rhinoceroses and stock management; d) incidents of illegal<br>killing of rhinoceroses; e) enforcement issues; f) conservation<br>actions and management strategies with an evaluation of their<br>effectiveness; and g) measures by implicated States to end the<br>illegal use and consumption of rhinoceros parts and derivatives   | <u>Res Conf. 9.14 (Rev CoP15)</u> | 1      | 1      |   | MAINTAIN – still current and valid |
| 64         | Rhinos                         | Vietnam should provide a comprehensive report on progress<br>made to the Secretariat with regard to steps taken to effectively<br>implement the requirements of Resolution Conf. 9.14 (Rev.<br>CoP15)  | Decision 16.86                    | 1      | 1      |   | MAINTAIN – still current and valid |

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| 65         | Rhinos           | Mozambique should:<br>a) take steps to effectively implement the requirements of<br>Resolution Conf. 9.14 (Rev. CoP15);<br>b) give priority attention to the enactment and implementation of<br>legislation with deterrent penalties to effectively combat wildlife<br>crime, prevent illegal killing of rhinoceroses and illegal<br>possession of and trade in rhinoceros horn, taking into<br>consideration the content of Decision 16.84, paragraphs a)-g);<br>and<br>c) assist the Working Group on Rhinoceroses to implement its<br>mandate by providing a comprehensive report on measures<br>implemented, as specified in paragraph a) and b) of this<br>Decision, and on any other activities conducted. This report<br>should be submitted to the Secretariat by 31 January 2014. | Decision 16.87              | 1      | 1      |        | MAINTAIN – still current and valid |
| 21         | Saiga            | All range States of Saiga spp. should provide information on the measures and activities they undertook to implement the Medium-Term International Work Programme for the Saiga Antelope (2011-2015) via the online Saiga Resource Centre and its associated project database, managed under the auspices of the Convention on the Conservation of Migratory Species of Wild Animals (CMS).  | Decision 14.93 (Rev. CoP16) | 1      | 1      |        | MAINTAIN – still current and valid |
| 68         | Saiga            | Important consumer and trading countries of saiga parts and<br>derivatives should provide, via the online Saiga antelope<br>database managed under the auspices of CMS, information on<br>the measures and activities they undertook to implement the<br>Medium-Term International Work Programme for the Saiga<br>Antelope (2011-2015).   | Decision 16.98              | 1      |        |        | MAINTAIN – still current and valid |
| 17         | Sharks           | ENCOURAGES Parties to obtain information on implementation<br>of NPOA-Sharks or regional plans, and to report directly on<br>progress to the CITES Secretariat and at future meetings of the<br>Animals Committee;   | Res. Conf 12.6 (Rev. CoP16) |        | 1      |        | MAINTAIN – still current and valid |
| 69         | Snake trade      | In order to support the sustainable use of Asian snakes, Parties<br>are as necessary invited to establish voluntary conservative<br>annual catch and export quotas for CITES-listed snake species<br>in trade, and to communicate the export quotas to the<br>Secretariat.   | Decision 16.107             |        | 1      |        | MAINTAIN – still current and valid |
| 77         | Snake trade      | Parties should eliminate the important illegal and unreported trade in specimens, whether live or parts and derivatives, of  | Decision 16.106             | 1      | 1      |        | MAINTAIN – still current and valid |

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|------------|------------------|--|-------|--------|--------|---|----------------------|
|            |                  | CITES-listed snake species by: (b) including information on trade in these specimens in their CITES annual reports |       |        |        |   |                      |