

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Joint sessions of the 27th meeting of the Animals Committee and
21st meeting of the Plants Committee
Veracruz (Mexico), 2-3 May 2014

Compliance and enforcement

REVIEW OF REPORTING REQUIREMENTS (DECISION 16.45)

1. This document has been prepared by the Chair of the Standing Committee Working Group on Special Reporting Requirements, in consultation with the interim Chairs of the Animals and Plants Committees and the Secretariat. It is being submitted by the interim Chairs of the Animals and Plants Committees*.

Background

2. The Standing Committee Working Group on Special Reporting Requirements have been undertaking a review of the reporting requirements that have been agreed by CITES Parties over the years. The Working Group made a recommendation at the 62nd meeting of the Standing Committee (SC62, Geneva, July 2012) in paragraph 40 of document SC62 Doc 24.2 to involve the Animals and Plants Committees in assessing which of these reporting requirements are still current and valid, or whether they are outdated or otherwise unnecessary and can be considered for deletion. At its 16th meeting (CoP16, Bangkok, 2013), the Conference of the Parties adopted Decision 16.45 on Review of Reporting Requirements to implement that recommendation.
3. More detail on the review of reporting requirements, including the mandate for the Animals and Plants Committee contained in Decision 16.45, is provided in document AC27/PC21 Doc.11. Paragraph 9 of document AC27/PC21 Doc.11 states:

It is recommended that the Animals and Plants Committees review each of the reporting requirements identified in Annex 1 as being relevant to them (and others if they consider they are also relevant to the Animals or Plants Committees' remits) and advise in the last column of Annex 1 whether the requirement is:

- a) *still current and valid, or;*
 - b) *outdated or otherwise unnecessary, and therefore no longer required.*
4. The interim Chairs of the Animals and Plants Committees considered that it would be useful for a proposal to be provided for each of the requirements to be reviewed by the Animals and Plants Committees as a

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starting point for the Committees' discussions and to facilitate an efficient review. That is the purpose of this information document; Annex 1 to this document contains a draft recommendation for each requirement.

5. Annex 1 to this document is a subset of the requirements presented in Annex 1 of Document AC27/PC20 Doc.11, focussing on the requirements for which Animals or Plants Committee input is requested. It provides (in its last column):
 - A recommendation whether each requirement should be maintained or deleted (**bold text**);
 - A few suggested changes to the Committee(s) being requested to review particular reporting requirements (plain text preceded by 'Note from WG SRR chair');
 - Explanatory points (plain text); and
 - A proposal for the advice required from Animals and Plants Committees to fulfil the mandate in Decision 16.45 (*italic text*).

Reporting Requirements, and whether they should be reviewed by Standing, Animals, or Plants Committee(s).

Row Key is the number of the reporting requirement in the spreadsheet developed by the Working Group on Special Reporting Requirements, and is included to allow cross reference with the spreadsheet, which is the master version of this information. If any reader wishes to have access to the actual spreadsheet they are recommended to contact the Chair of the Working Group via the Secretariat.

SC = Standing Committee, AC = Animals Committee, PC = Plants Committee. A '1' in any of these columns indicates that the relevant committee should review the requirement and provide comment in the last column of the table on whether it is (a) still current and valid or (b) outdated or otherwise unnecessary, and therefore no longer required (see paragraph 9 of document AC27/PC21 Doc.11).

Row key	Short subject	Text of requirement	Links	Categorisation	S C	A C	P C	Interim AC/PC Chairs' recommendation
11	Ranching	Annual reports on all relevant aspects of each approved ranching operation be submitted to the Secretariat by the Party concerned, including the following: i) the status of the wild population concerned established by monitoring at an appropriate frequency and with sufficient precision to allow recognition of changes in population size and structure owing to ranching; ii) the number of specimens (eggs, young or adults) taken annually from the wild and the percentage of this off take used to supply ranching operations; and iii) details of the annual production levels, and product types and quantity produced for export;	Res. Conf. 11.16 (Rev. CoP15)	1. Essential	1	1		Maintain. Are <u>annual</u> ranching reports required? Recommend keep but incorporate into implementation reports so requirement becomes triennial, or just require Parties to provide information on request. <i>Draft AC/PC advice = (a)</i>
42	Export quotas	In accordance with Resolution Conf. 12.3 (Rev. CoP15), Parties should inform the CITES Secretariat of their nationally established export quotas and of revisions of such quotas. Such information can be provided at any time but, as far as possible, should be communicated at least 30 days before the start of the period to which the export quota relates	Res. Conf. 14.7 (Rev. CoP15)	2. Implementation	1	1	1	Maintain. Still current and valid and needed annually. <i>Draft AC/PC advice = (a)</i>
4	Marking of live animals	(b) The Management Authority of each Party to inform all known manufacturers of microchip-implants and associated equipment on its territory about the present Resolution, urge them to strive towards the production of compatible equipment that can be applied universally and ask them for information about their products compatible with CITES needs; and to advise the Secretariat about the results, for the information of the Parties (c) the Animals Committee to monitor developments in microchip-implant technology and application techniques and to advise the Secretariat about such developments, for the information of the Parties.	Res. Conf. 8.13 (Rev.)	2. Implementation	1	1		Delete paragraph (b), but Maintain paragraph (c). No longer new technology – this information should be widely available. But is paragraph (c) a reporting requirement? <i>Draft AC/PC advice = (b) and (a)</i>
38	Nurseries	Any Management Authority that wishes to register any	Res. Conf. 9.19 (Rev. CoP15)	2. Implementation			1	Maintain.

Row key	Short subject	Text of requirement	Links	Categorisation	S C	A C	P C	Interim AC/PC Chairs' recommendation
		commercial nursery artificially propagating specimens of species included in Appendix I for export purposes shall provide to the Secretariat, for inclusion in its Register, all appropriate information to obtain and maintain the registration of each such nursery.						Still current and valid. <i>Draft AC/PC advice = (a)</i>
9	Plants	RECOMMENDS that Parties develop and maintain a good liaison with national plant-trade organizations, to inform them about all aspects of the implementation of CITES for plants, and to communicate to the Secretariat specific implementation problems presented by these national organizations, for consideration by the Plants Committee	Res. Conf. 11.11 (Rev. CoP15)	2. Implementation			1	Delete last part of paragraph as the reporting requirement seems unnecessary and Maintain the first part of the paragraph as a recommendation of good practice. <i>Draft AC/PC advice = (b)</i>
7	Regional reports	RESOLVES that the duties of members elected by the regions of the Animals and Plants Committees and their alternates are as follows: the members should submit a written report, covering the preceding period, to each meeting of the Committee;	Res. Conf. 11.1 (Rev. CoP16) ; See AC25 Doc. 6.7	2. Implementation	1	1	1	Possible Deletion. It is not clear if these reports are used. If maintained, their purpose and content should be clarified. <i>Draft AC/PC advice = (a) or (b); more input or views from Committee members needed.</i>
36	Registered operations	The Management Authority shall provide the Secretariat with appropriate information to obtain, and to maintain, the registration of each captive-breeding operation as set out in Annex 1.	Res. Conf. 12.10 (Rev. CoP15)	2. Implementation	1	1	1	Maintain. Still current and valid. <i>Draft AC/PC advice = (a)</i>
37	Registered scientific institutions	Wording from Conf Res 11.15: Each Management Authority should communicate to the Secretariat as soon as practicable the names and addresses of those scientific institutions so registered, and the Secretariat without delay then communicate this information to all other Parties.	Article VII, paragraph 6 Conf Res 11.15	2. Implementation	1	1	1	Maintain. Treat as administrative information which needs to be provided if changes have occurred. <i>Draft AC/PC advice = (a)</i>
12	Review of significant trade	The Secretariat shall, within 30 days after the meeting of the Animals or Plants Committee at which species are selected, notify range States of the species selected, providing an explanation for this selection and requesting comments regarding possible problems of implementing Article IV identified by the Committee. Range States shall be given 60 days to respond; the Secretariat shall report to the Animals or Plants Committee on the response of the range States concerned, including any other pertinent information	Res. Conf 12.8 (Rev. CoP13)	3. Ongoing work	1	1	1	Maintain. This is an important on-going process. <i>Draft AC/PC advice = (a)</i>
27	Tortoise	Commercial trade in specimens of this species is apparently taking place on some parts of the world. The CITES Management Authority of Madagascar has advised the Secretariat that no export permit has ever been issued to authorize exports of specimens of this species for commercial trade but only for scientific purposes. In view of the above information, the Secretariat recommends that any trade in this species should be investigated and be reported	Notification 2004/44	4. Enforcement			1	If still a significant and live issue then Maintain , if not, Delete . Notification is 10 years old, relating to the endemic <i>Geochelone yniphora</i> . Consultation with Madagascar essential. <i>Draft AC/PC advice = (a) or (b) depending on view from Madagascar.</i>

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		to the Secretariat						
78	Agarwood-producing taxa	The Plants Committee shall monitor the implementation of Resolution Conf. 16.10 (Implementation of the Convention for agarwood-producing taxa) to assess any potential conservation impacts to the long-term survival of agarwood-producing species and possible problems arising from the implementation, and shall report on these issues at the 17th meeting of the Conference of the Parties.	Decision 16.157	5. Species			1	Maintain Consider how best to obtain information needed for PC assessment (e.g. workshop reports and discussion rather than a Notification as recommended in document PC21 Doc. 18.5) <i>Draft AC/PC advice = (a)</i>
15	Elephants	Regarding trade in elephant specimens: URGES e) those Parties in whose jurisdiction there is an ivory carving industry, a legal domestic trade in ivory, an unregulated market for or illegal trade in ivory, or where ivory stockpiles exist, and Parties that may be designated as ivory importing countries, to ensure that they have put in place comprehensive internal legislative, regulatory, enforcement and other measures to maintain an inventory of government-held stockpiles of ivory and, where possible, of significant privately held stockpiles of ivory within their territory, and inform the Secretariat of the level of this stock each year before 28 February, indicating: the number of pieces and their weight per type of ivory (raw or worked); for relevant pieces, and if marked, their markings in accordance with the provisions of this Resolution; the source of the ivory; and the reasons for any significant changes in the stockpile compared to the preceding year Regarding quotas for trade in raw ivory as part of elephant hunting trophies: RECOMMENDS that b) each export quota be communicated to the CITES Secretariat in writing by 1 December for the following calendar year (1 January to 31 December); Details of submitting information to the MIKE and ETIS programmes are provided in Annexes 1 and 2 of Res. Conf. 10.10 (Rev. CoP16).	Res. Conf. 10.10 (Rev. CoP16)	5. Species			1	Note from WG SRR Chair: On further reflection, this should be considered by Standing Committee rather than by Animals Committee. There is a possible link with Ivory Action Plans. Maintain. This is a live and high profile issue. This requirement is potentially gathering a lot of information, so clarity is needed on what needs to be reported, when, and by whom. <i>Draft AC/PC advice = (a)</i>
75	Queen conch	Range States of <i>S. gigas</i> should: a) in coordination with the Working Group on Queen Conch mentioned in Decision 16.141, develop conversion factors at different levels of processing of <i>S. gigas</i> for standardization of data and reporting instruments on the catch and trade in meat and other products; b) adopt these conversion factors by the end of 2015 and report them to all range States of <i>S. gigas</i> , FAO and the CITES Secretariat; and c) by the end of 2016, apply the agreed conversion factors in their <i>S. gigas</i> fishery management and national, regional and international reporting, and include the degree of processing	Decision 16.143	5. Species			1 1	Note from WG SRR Chair: On further reflection, Animals Committee should consider this issue as well as Standing Committee. Maintain. Reports are due by the end of 2015; a decision on whether to delete the requirement should be taken in 2016. <i>Draft AC/PC advice = (a)</i>

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		of <i>S. gigas</i> products in the description field of the export permit						
14	Rhinos	RECOMMENDS that the IUCN/SSC African and Asian Rhino Specialist Groups and TRAFFIC submit at least six months before each meeting of the Conference of the Parties a written report to the Secretariat on: a) the national and continental conservation status of African and Asian rhinoceros species; b) trade in specimens of rhinoceroses; c) stocks of specimens of rhinoceroses and stock management; d) incidents of illegal killing of rhinoceroses; e) enforcement issues; f) conservation actions and management strategies with an evaluation of their effectiveness; and g) measures by implicated States to end the illegal use and consumption of rhinoceros parts and derivatives	Res Conf. 9.14 (Rev CoP15)	5. Species	1	1		Note from WG SRR Chair: On further reflection, Standing Committee input is needed in addition to views from Animals Committee. Maintain. This is a live and high profile issue. This requirement is potentially gathering a lot of information, so clarity is needed on what needs to be reported, when, and by whom. <i>Draft AC/PC advice = (a)</i>
64	Rhinos	Vietnam should provide a comprehensive report on progress made to the Secretariat with regard to steps taken to effectively implement the requirements of Resolution Conf. 9.14 (Rev. CoP15)	Decision 16.86	5. Species	1	1		Maintain. But once report has been provided the requirement should be able to be deleted. <i>Draft AC/PC advice = (a)</i>
65	Rhinos	Mozambique should: a) take steps to effectively implement the requirements of Resolution Conf. 9.14 (Rev. CoP15); b) give priority attention to the enactment and implementation of legislation with deterrent penalties to effectively combat wildlife crime, prevent illegal killing of rhinoceroses and illegal possession of and trade in rhinoceros horn, taking into consideration the content of Decision 16.84, paragraphs a)-g); and c) assist the Working Group on Rhinoceroses to implement its mandate by providing a comprehensive report on measures implemented, as specified in paragraph a) and b) of this Decision, and on any other activities conducted. This report should be submitted to the Secretariat by 31 January 2014.	Decision 16.87	5. Species	1	1		Maintain. But once report has been provided the requirement should be able to be deleted. <i>Draft AC/PC advice = (a)</i>
66	Rhinos	Mozambique and South Africa should further enhance bilateral cooperation with each other and with their neighbouring States, to enhance current efforts to combat illegal killing of rhinoceroses and illegal trade in rhinoceros horn, and should provide a comprehensive report to the Secretariat, by 31 January 2014, with regard to activities conducted in this regard	Decision 16.88	5. Species	1			Note from WG SRR Chair: On further reflection, and to be consistent with requirement 63, this should be discussed by Standing Committee rather than by Animals Committee as it focuses on enforcement. Maintain. But once report has been provided the requirement should be able to be

Row key	Short subject	Text of requirement	Links	Categorisation	S C	A C	P C	Interim AC/PC Chairs' recommendation
								deleted. <i>Draft AC/PC advice = (a)</i>
21	Saiga	All range States of Saiga spp. should provide information on the measures and activities they undertook to implement the Medium-Term International Work Programme for the Saiga Antelope (2011-2015) via the online Saiga Resource Centre and its associated project database, managed under the auspices of the Convention on the Conservation of Migratory Species of Wild Animals (CMS).	Decision 14.93 (Rev. CoP16)	5. Species	1	1		Note from WG SRR Chair: On further reflection, this should be considered by Standing Committee as well as by Animals Committee. Maintain. Continue while work programme is in progress. Review in 2016. CITES Secretariat should check with CMS Secretariat if the requirement is still needed. <i>Draft AC/PC advice = (a)</i>
68	Saiga	Important consumer and trading countries of saiga parts and derivatives should provide, via the online Saiga antelope database managed under the auspices of CMS, information on the measures and activities they undertook to implement the Medium-Term International Work Programme for the Saiga Antelope (2011-2015).	Decision 16.98	5. Species	1			Note from WG SRR Chair: On further reflection this should be considered by Animals Committee as well as by Standing Committee. Maintain. Continue while work programme is in progress. Review in 2016. CITES Secretariat should check with CMS Secretariat if the requirement is still needed. <i>Draft AC/PC advice = (a)</i>
17	Sharks	ENCOURAGES Parties to obtain information on implementation of NPOA-Sharks or regional plans, and to report directly on progress to the CITES Secretariat and at future meetings of the Animals Committee;	Res. Conf 12.6 (Rev. CoP16)	5. Species		1		Delete. It is not clear that this information is still needed. <i>Draft AC/PC advice = (b)</i>
69	Snake trade	In order to support the sustainable use of Asian snakes, Parties are as necessary invited to establish voluntary conservative annual catch and export quotas for CITES-listed snake species in trade, and to communicate the export quotas to the Secretariat.	Decision 16.107	5. Species		1		Maintain. Still current and valid and needed annually. Look to bring within requirement 42 on Export Quotas. <i>Draft AC/PC advice = (a)</i>
77	Snake trade	Parties should eliminate the important illegal and unreported trade in specimens, whether live or parts and derivatives, of CITES-listed snake species by: (b) including information on trade in these specimens in their CITES annual reports	Decision 16.106	5. Species	1	1		Note from WG SRR Chair: On further reflection this should be considered by Standing Committee as well as by Animals Committee. Maintain. Recommend keep but incorporate into implementation reports so requirement becomes triennial. <i>Draft AC/PC advice = (a)</i>

