

SUMMARY OF SECRETARIAT TASKS UNDER RESOLUTIONS AND DECISIONS – COP16-COP17

Note that this annex refers only to specific instructions to the Secretariat from the Conference of the Parties and does not represent a full analysis of all the activities carried by the Secretariat on the subjects concerned.

Resolutions directed to the Secretariat <sup>1</sup>	Implementation status
16.1 World Wildlife Day	The Secretariat spear-headed the celebration of World Wildlife Day in 2014, 2015 and 2016. Detailed reports of activities were presented to the Standing Committee in documents <a href="#">SC65 Doc. 15</a> and <a href="#">SC66 Doc. 15.1 (Rev. 1)</a>
16.2 A Financing and the costed programme of work for the Secretariat for the triennium 2014-2016	The Secretariat maintained careful control of the Trust Fund budget including all the detailed points mentioned in Resolution Conf. 16.2 and kept the Standing Committee fully informed through its Finance and Budget Sub-committee. Regarding the need for a closer working relationship with the GEF to address CITES priorities within the context of its Biodiversity Strategy, that Secretariat stressed the CITES priorities at a meeting between GEF and the of Biodiversity-related conventions to facilitate the coordination of their priorities for possible inclusion in the GEF-6 Global Wildlife Programme, held 2-3 September 2013. Further details are found in <a href="#">document SC65 Doc. 17</a> .
16.5 Cooperation with the Global Strategy for Plant Conservation of the Convention on Biological Diversity	Work on this topic was led by Mexico and the Secretariat did not undertake any significant activity in the past inter-sessional period
16.7 Non-detriment findings	The Secretariat created a <a href="#">new portal on the CITES website</a> with function to upload <a href="#">Party reports</a> . The CITES Virtual College was populated with <a href="#">new materials</a>
16.9 African Elephant Action Plan and African Elephant Fund	The Secretariat promoted fundraising for the implementation of the African Elephant Action Plan as part of its overall fundraising initiatives.
15.2 Wildlife trade policy reviews	The Secretariat kept the Standing Committee informed of reviews of wildlife trade policies, latterly in <a href="#">document SC66 Doc. 19</a>
14.4 Cooperation between CITES and ITTO regarding trade in tropical timber	Progress on the major joint project between the Secretariat and ITTO was reported regularly to the Plants Committee in documents <a href="#">PC21 Doc. 18.1</a> and <a href="#">PC22 Doc. 17.1</a>
14.7 (Rev. CoP15) Management of nationally established export quotas	The Secretariat receives details of many hundreds of voluntary export quotas each year, checks them for any possible technical problems, or technical or administrative clarification required and makes them <a href="#">available to Parties on the CITES website</a> .
14.8 (Rev. CoP16) Periodic Review of species included in Appendices I and II	The Secretariat supports the Animals and Plants Committee in their Periodic Review of species included in Appendices I and II, by corresponding with all affected range States, the Committees and the Depository Government and maintaining a record of the <a href="#">animal</a> and <a href="#">plant</a> species selected for review, including: species previously and currently reviewed; dates of relevant Committee documents.
13.3 Cooperation and synergy with the Convention on the Conservation of Migratory Species of Wild Animals (CMS)	The Secretariat kept the Standing Committee informed of cooperation with CMS and the Committee endorsed a new <a href="#">CMS-CITES Joint Work Programme 2015-2020</a> which is now being implemented.

<sup>1</sup> The precise instructions to the Secretariat can be found in the text of the Resolution concerned.

Resolutions directed to the Secretariat <sup>1</sup>	Implementation status
13.4 (Rev. CoP16) Conservation of and trade in great apes	The Secretariat undertook extensive work on great apes, which was reported to the Standing Committee in documents <a href="#">SC65 Doc. 37</a> and <a href="#">SC66 Doc. 48.1</a> . It also maintained its membership of the Great Apes Survival Partnership (GRASP) Executive Committee, attending its 8 <sup>th</sup> (August 2013) meeting, hosting its 9 <sup>th</sup> meeting (August 2014) and attending its 10 <sup>th</sup> meeting (July 2015) in addition participating in a GRASP Regional meeting – South East Asia (September 2015)
13.7 (Rev. CoP.16) Control of trade in personal and household effects	The Secretariat maintained a <a href="#">reference list</a> of Parties requiring export permits for the movement of personal effects of Appendix-II species and advised Parties on the application of the rules for personal and household effects.
13.8 (Rev. CoP16) Participation of observers at meetings of the Conference of the Parties	In relation to the preparations for CoP17, the Secretariat has been carefully applying the Parties' policy in relation to the participation of observers at the CoP and has ensured that the host country agreement with South Africa provides for suitable space for them.
(12.3 Rev. CoP16) Permits and certificates	The Secretariat has provided advice and guidance to Parties on the format for CITES permits and certificate and prints permit and certificate forms on security paper for Parties that request these. Maintained sample copies of permits and certificates and signatures of signatories for most Parties Answered queries from Parties about the acceptability of particular CITES permits and certificates and facilitating dialogue between Parties on such matters and circulated Notifications to the Parties in relation to cancelled, lost, stolen or destroyed permits or certificates. The Secretariat has maintained a <a href="#">reference list</a> of Parties using phytosanitary certificates as certificates of artificial propagation and, <i>with the concurrence of the Animals Committee</i> , a <a href="#">list of coral taxa where identification to genus level is acceptable</a> . The Secretariat has finalized a revised version of the <i>Guidelines for the preparation and submission of CITES annual reports</i> .
12.5 (Rev. CoP16) Conservation of and trade in tigers and other Appendix-I Asian big cat species	As instructed, the Secretariat has reported regularly to the Standing Committee on the status of Asian big cats in the wild, their conservation, and trade controls in place in Parties and its work with ICCWC in this regard and has provided technical assistance regional workshops on law enforcement needs associated with illegal cross-border movement of specimens of Asian big cat species.
12.6 (Rev. CoP16) Conservation and management of sharks	The Secretariat has undertaken significant activity in engaging FAO over the concerns of the CITES Parties regarding the significant lack of progress in implementing the International Plan of Action for Sharks and provides full details in <a href="#">document CoP17 Doc. 56.1</a> .
12.7 (Rev. CoP16) Conservation of and trade in sturgeons and paddlefish	The Secretariat maintains a <a href="#">register of caviar processing plants, including aquaculture operations, and repackaging plants</a> and published <a href="#">annual export quotas</a> for caviar and meat of Acipenseriformes species from stocks shared between different range States – or a zero quota if no such quotas are established. The Secretariat has reported at each meeting of the Animals Committee on the availability of information on shared stocks of Acipenseriformes species and its activities related to the conservation of and trade in sturgeons and paddlefish. However, due to the lack of fishing and trade in specimens from such stocks, further analysis and follow-up has been limited.
12.8 (Rev. CoP13) Review of Significant Trade in specimens of Appendix-II species	The Secretariat supports the Animals, Plants and Standing Committees in their Review of Significant Trade in specimens of Appendix-II species, by corresponding with all affected range States, commissioning required trade data a technical reports, maintaining a <a href="#">record of the animal and plant species selected for review</a> and reporting at each meeting of the Committees. This involves a significant amount of staff time and resources.

Resolutions directed to the Secretariat <sup>1</sup>	Implementation status
12.10 (Rev. CoP15) Registration of operations that breed Appendix-I animal species in captivity for commercial purposes	The Secretariat maintains <a href="#">a register of operations that breed Appendix-I animal species in captivity for commercial purposes</a> , checks all requests for the inclusion of facilities against the criteria for inclusion and liaises with proposing Parties in case of errors or omissions.
12.11 (Rev. CoP16) Standard nomenclature	The Secretariat worked closely with the nomenclature specialists of the Animals and Plants Committees over proposals to amend the Appendices presented for CoP17 and inter-sessionally over nomenclatural queries from Parties and others. It has also promoted efforts to <a href="#">harmonization of the taxonomy and nomenclature</a> used by biodiversity-related multilateral environmental agreements
11.1 (Rev. CoP16) Establishment of committees	The Secretariat serviced the Animals, Plants and Standing Committees, including all the meeting arrangements, ensuring that qualifying members to receive financial support to attend meetings, advising the chairs and members inter-sessionally and preparations for meetings and publicizing the work of the Committees on the CITES website. Additionally, the Secretariat managed the process of seeking candidates for vacancies on the Committees and the provisions related to Conflict of Interest in the Animals and Plants Committees.
11.3 (Rev. CoP16) Compliance and enforcement	The Secretariat provided extensive support to the Standing Committee in its administration of compliance procedures and undertook actions under Article XIII of the Convention. It fully briefed Parties and the Standing Committee at all stages. It maintained a <a href="#">list of trade suspension recommendations</a> on the CITES website. The Secretariat also included on the CITES website, contact details of the relevant national law-enforcement agencies responsible for investigating illegal trafficking in wild fauna and flora in each Party. In cooperate with ICCWC partner organizations, regional and subregional wildlife enforcement networks, it supported the efforts of Parties to enforce the requirements of the Convention, preparing and distributing training material and facilitating the exchange of technical information between the authorities in charge of border controls. As required, it reported on these efforts at meetings of the Standing Committee in documents <a href="#">SC65 Doc. 27.1</a> and <a href="#">SC66 Doc. 32.1</a> .
11.4 (Rev. CoP12) Conservation of cetaceans, trade in cetacean specimens and the relationship with the International Whaling Commission	The Secretariat updated the <a href="#">list of species or stock protected from commercial whaling by the International Convention for the Regulation of Whaling</a> , but otherwise had relatively little dealings with that Convention
11.8 (Rev. CoP13) Conservation of and control of trade in the Tibetan antelope	As instructed, the Secretariat assisted the Standing Committee with its regular review of the enforcement measures taken by the Parties in eliminating the illicit trade in Tibetan antelope products. No funds were available to provide direct support to range States of the Tibetan antelope in order to improve anti-poaching efforts, to carry out population censuses, to formulate a conservation strategy and to prevent trade in Tibetan antelope parts and derivatives.
11.9 (Rev. CoP13) Conservation of and trade in tortoises and freshwater turtles	Range States have not called upon the Secretariat to assist with the funding and development of CITES-listed tortoises and freshwater turtles management strategies and action plans to deal with seized and confiscated live specimens in accordance with this Resolution.
11.10 (Rev. CoP15) Trade in stony corals	No actions have been carried out in relation to the production of practical guides to recognizing corals and coral rock in trade, during the inter-sessional period.

Resolutions directed to the Secretariat <sup>1</sup>	Implementation status
11.11 (Rev. CoP15) Regulation of trade in plants	No specific actions have been carried out relating to liaison with international plant-trade organizations and botanic garden associations during the inter-sessional period and no information on the potential conservation benefits of artificial propagation of CITES plant specimens or encouragement of artificial propagation as an alternative to the removal of specimens from the wild has been distributed.
11.15 (Rev. CoP12) Non-commercial loan, donation or exchange of museum and herbarium specimens	The Secretariat has maintained a <a href="#">Register of scientific institutions entitled to the exemption provided by Article VII, paragraph 6, of the Convention</a> , updating it regularly in the light of instructions from Parties.
11.16 (Rev. CoP15) Ranching and trade in ranched specimens of species transferred from Appendix I to Appendix II	One <a href="#">proposal to amend of the Appendices</a> at CoP17 pursuant to this Resolution was received by the Secretariat and in consultation with the Animals Committee, the Secretariat sought appropriate scientific and technical advice to verify that the criteria specified in the Resolution had been complied with. Annual reports on relevant aspects of each approved ranching operation which were received from Parties were posted <a href="#">on the CITES website</a> . No visits to examine ranching operations were undertaken during the inter-sessional period.
11.17 (Rev. CoP16) National reports	The Secretariat maintained <a href="#">Guidelines for the preparation and submission of CITES annual reports</a> on the CITES website. The <a href="#">Secretariat drew the attention of the Standing Committee</a> to case of Parties failing to fulfil the obligations of the treaty with respect to annual reports and supported the Committee with the establishment of resulting compliance measures. The Secretariat supported Parties developing <a href="#">e-permitting systems</a> in order to ensure optimal harmonization and compatibility of systems.
11.19 (Rev. CoP16) Identification Manual	The CITES Identification Manual is now available as an <a href="#">online WIKI</a> and during the inter-sessional period, the Secretariat has not been involved in the preparation or publication of texts as envisioned in this Resolution
11.21 (Rev. CoP16) Use of annotations in Appendices I and II	As no relevant cases have occurred during the past inter-sessional period, the Secretariat has not introduced, amended or deleted any reference annotations, notified Parties of any interim definitions of terms in annotations or reported to the Standing committee on any species transferred from Appendix I to Appendix II subject to a substantive annotation.
10.3 Designation and role of the Scientific Authorities	The Secretariat's maintains the National contacts & information page on the CITES website, which lists all Scientific Authorities. Reference to the Secretariat's reports on alleged infractions in the Resolution are outdated, but the Secretariat continues to support Scientific Authorities and address instances where the Parties draw its attention to concerns as to whether the proper Scientific Authority findings are being made take.
10.4 (Rev. CoP14) Cooperation and synergy with the Convention on Biological Diversity	The Secretariat maintains close contacts with the Secretariat of the Convention on Biological Diversity, in particular through the <a href="#">Liaison Group of the Biodiversity-related Conventions</a>
10.7 (Rev. CoP15) Disposal of confiscated live specimens of species included in the Appendices	The Secretariat has been called upon to provide advice to Parties on the disposal of commercial quantities of confiscated live specimens of CITES-listed species on a limited number of occasions in the past inter-sessional period.
10.9 Consideration of proposals for the transfer of African elephant populations from Appendix I to Appendix II	No proposal to transfer a population of the African elephant from Appendix I to Appendix II was made to CoP17 and therefore the Standing Committee did not direct the CITES Secretariat to convene a Panel of Experts.

Resolutions directed to the Secretariat <sup>1</sup>	Implementation status
10.10 (Rev. CoP16) Trade in elephant specimens	<p>The Secretariat received and collated reports on ivory stockpiles sent to it by Parties.</p> <p>It identified <a href="#">those Parties that have unregulated internal markets for ivory, where ivory is found to be illegally traded, where ivory stockpiles are not well secured, or that have significant levels of illegal trade in ivory and drew these to the attention of the Standing Committee</a>, providing technical assistance to improve legislative, regulatory and enforcement measures concerning trade in ivory and in developing practical measures to control the trade in ivory.</p> <p>The Secretariat published <a href="#">export quotas for raw ivory as part of elephant hunting trophies</a> (or zero quotas in the absence of such quotas established by range States).</p> <p>It supported activities to enhance traceability of elephant specimens in trade by: informing Parties about and evaluating relevant forensic facilities and research institutions; reviewing relevant developments and research activities, and <a href="#">advising the Parties and the Standing Committee accordingly</a>; encouraging the sharing of forensic samples and data, including through existing DNA databases.</p> <p>Finally, it engaged in a major exercise of <a href="#">running MIKE</a> and <a href="#">supporting ETIS</a> together with the associated reporting obligations.</p>
10.13 (Rev. CoP15) Implementation of the Convention for timber species	<p>The Secretariat sought the views of <a href="#">ITTO</a>, <a href="#">FAO</a> and <a href="#">IUCN</a> in relation to proposals submitted to CoP17 to amend the Appendices for timber species and made their views known to Parties.</p>
10.14 (Rev. CoP16) Quotas for leopard hunting trophies and skins for personal use	<p>The Secretariat report deficiencies of the system of quotas for leopard hunting trophies and skins for personal use of concern to <a href="#">the Standing Committee</a> and the relevant Parties</p>
10.21 (Rev. CoP16) Transport of live specimens	<p>The Secretariat maintained close <a href="#">contacts with the International Air Transport Association</a> (IATA), signing a <a href="#">Memorandum of Understanding</a>, with the World Organization for Animal Health (OIE), signing a <a href="#">Cooperation Agreement</a> and with the International Plants Protection Convention (IPPC) who are a member of the <a href="#">Liaison Group of Biodiversity-related Conventions</a>. No contact was made with the Animals Transportation Association.</p> <p>As the <i>CITES guidelines for the non-air transport of live wild animals and plants</i> were revised by the Secretariat at CoP16, they were not reviewed during the past inter-sessional period.</p>
9.5 (Rev. CoP16) Trade with States not party to the Convention	<p>The Secretariat maintained <a href="#">details of the competent authorities and scientific institutions of States not party to the Convention</a> on the CITES website and answered queries from Parties in relation to particular transaction involving such States.</p>
9.14 (Rev. CoP15) Conservation of and trade in African and Asian rhinoceroses	<p>The Secretariat <a href="#">assisted Parties</a> with inadequate legislation, enforcement, or control of stocks of rhinoceros specimens, by providing them technical advice and relevant information.</p> <p>The CoP17 <a href="#">report of the IUCN/SSC African and Asian Rhino Specialist Groups and TRAFFIC</a> did not arrive in time for the Secretariat to distribute it to range and implicated States for any comments, but on the basis of it the Secretariat did formulate <a href="#">recommendations and draft decisions for consideration by the Conference of the Parties</a></p>
9.19 (Rev. CoP15) Registration of nurseries that artificially propagate specimens of Appendix-I plant species for export purposes	<p>The Secretariat maintained a <a href="#">Register of commercial nurseries that artificially propagate specimens of Appendix-I plant species for export purposes</a> and added to it with information provided by Parties.</p>
9.25 (Rev. CoP16) Inclusion of species in Appendix III	<p>The Secretariat published the revised Appendices after CoP16 and has subsequently published four revisions of Appendix III, which were made after checking that the listing requests complied with the terms agreed by the Conference of the Parties.</p>

<b>Resolutions directed to the Secretariat<sup>1</sup></b>	<b>Implementation status</b>
8.4 (Rev. CoP15) National laws for implementation of the Convention	The Secretariat, through the National Legislation Project, has continued to identify Parties whose domestic measures do not allow them to implement the key provisions of the Convention and has reported regularly to the <a href="#">Standing Committee</a> and the <a href="#">Conference of the Parties</a> . External resources have been leveraged to enable the provision of technical assistance to Parties in this regard as described in the Secretariat's reports.
8.13 (Rev.) Use of coded-microchip implants for marking live animals in trade	The Secretariat has had no consultations with Central Secretariat of the International Organization for Standardization (ISO) over the use of coded-microchip implants for marking live animals in trade, neither has it received information from Parties about the compatibility of microchip-implants and associated equipment with CITES needs.
8.21 (Rev. CoP16) Consultation with range States on proposals to amend Appendices I and II	One Party requested the Secretariat to consult range States on its behalf in relation to a proposal to amend Appendices I and II to be discussed at CoP17.
5.20 (Rev. CoP16) Guidelines for the Secretariat when making recommendations in accordance with Article XV	In formulating its recommendations to Parties on proposals to amend the Appendices to be discussed at Cop17, the Secretariat has followed the guidelines provided in Resolution Conf. 5.20 (Rev. CoP16)
4.6 (Rev. CoP16) Submission of draft resolutions, draft decisions and other documents for meetings of the Conference of the Parties	Following CoP16 the Secretariat revised the current Resolutions to correct the texts of already existing Resolutions to ensure that all references to other Resolutions were accurate, updated the <a href="#">list of Decisions that remain in effect</a> . The Secretariat has ensured that the recommendations in relation to the submission of draft resolutions, draft decisions and other documents for CoP17 are adhered to. It has also provide justification for each of its proposals to amend, delete or continue any current Decisions.
4.22 Proof of foreign law	The Secretariat has circulated details of stricter domestic measures adopted by Parties through a Notification to the Parties whenever it has been advised of these. Five such <a href="#">Notifications</a> were issued in the past inter-sessional period.
4.25 (Rev. CoP14) Reservations	Following CoP16, there were no extant reservations by Parties liable to be rendered invalid by the deleted of a species from one Appendix of the Convention and simultaneously inclusion in another.
3.4 Technical cooperation	The Secretariat continued to seek external funding for technical assistance projects for the benefits of Parties;

<b>Decisions directed to the Secretariat<sup>2</sup></b>	<b>Implementation status</b>
<b>Administrative matters</b>	
<b>Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties</b>	
16.1 Credentials Committee	Guidance for submission of credentials of representatives of Parties to CoP meetings available on the <a href="#">CITES website</a>
16.2 Access to Global Environment Facility funding	See para. 16 to 26 <a href="#">SC65 Doc. 17</a> and para. 6 to 16 of <a href="#">CoP17 Doc. 7.5</a> . The Standing Committee at its 66th meeting decided to recommend to the Conference of the Parties at the 17th meeting that it not proceed with exploring the necessity and feasibility as well as the legal and other implications of the GEF becoming a financial mechanism for CITES.

<sup>2</sup> The precise instructions to the Secretariat can be found in the text of the Decisions concerned

Decisions directed to the Secretariat <sup>2</sup>	Implementation status
16.5 and 16.6 Access to other sources of funding	Wildlife Donor Roundtable organized on 7 July 2015, see para. 41 and 42 <a href="#">SC65 Doc. 17</a> The Secretariat reported back to SC66, SC67 and CoP17 in the following documents: <a href="#">SC66 Doc. 10.4</a> , SC67 Doc. 10 and <a href="#">CoP17 Doc. 7.5</a> .
<b>Strategic matters</b>	
16.10 Potential conflicts of interest in the Animals and Plants Committees	Report on conflict of interest procedures submitted to the Standing Committee. See <a href="#">SC65 Doc. 13.3</a> and para. 10 to 13 of <a href="#">SC66 Doc. 8</a>
16.16 Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES)	Participation of CITES in IPBES See para. 10 to 13 of <a href="#">SC65 Doc. 16.5</a> , <a href="#">AC27/PC21 Doc. 8.1</a> , <a href="#">AC28 Doc. 6.1</a> , <a href="#">PC22 Doc. 7.1 (Rev. 1)</a> , <a href="#">SC66 Doc. 16.4</a> and <a href="#">CoP17 Doc. 14.4</a>
16.24 and 16.25 CITES and livelihoods	Notification issue on 17 December 2014 <a href="#">No. 2014/063</a> Workshops and side-events organized. See <a href="https://cites.org/eng/prog/livelihoods">https://cites.org/eng/prog/livelihoods</a> See <a href="#">SC65 Doc. 19</a> , <a href="#">SC66 Doc. 18</a> , and <a href="#">CoP17 Doc. 16</a>
16.27 Wildlife trade policy reviews	Information about Parties' wildlife policies or wildlife trade policies and reviews is available on the <a href="#">CITES website</a> . No external funding was available to assist interested Parties in undertaking wildlife trade policy reviews or organize a regional or sub-regional workshops on the subject. The Secretariat reported back to SC66 and CoP17 in the following documents: <a href="#">SC66 Doc. 19</a> and <a href="#">CoP17 Doc. 21</a> .
16.29 Capacity building	The Secretariat undertook a review of capacity-building activities (see Annex 2 of <a href="#">SC66 Doc. 20.1</a> ); organized workshops in partnership with other institutions and organizations and undertook a needs assessments and gap analyses to improve CITES capacity-building efforts. See also <a href="https://cites.unia.es">https://cites.unia.es</a> . See <a href="#">SC66 Doc. 20.1</a> and <a href="#">CoP17 Doc. 15</a>
16.30 Needs assessment for strengthening the implementation of CITES	Notification <a href="#">No. 2014/013</a> issued on 26 March 2014 and <a href="#">2014/035</a> (in response to the requested extension of deadline for collecting more responses to the questionnaire) A full needs assessment study was undertaken on the basis of the questionnaire, and reported to SC65 and SC66. The Secretariat informed the Standing Committee that no funding was secured to undertake a study of new and appropriate information and communication technologies needed to implement the Convention. See <a href="#">SC65 Doc. 20.1</a> and <a href="#">SC66 Doc. 20.2 (Rev. 1)</a>
12.91 (Rev. CoP16) Capacity-building programme for science-based establishment and implementation of voluntary national export quotas for Appendix-II species	No specific activities have been undertaken with regard to Decision 12.91 (Rev. CoP16) during the current intersessional period, but these are part of the broader capacity-building package for Scientific Authorities. See <a href="#">CoP17 Doc. 15</a>
<b>Interpretation and implementation of the Convention</b>	
<b>Compliance and enforcement</b>	
16.38 National laws for implementation of the Convention	The Secretariat regularly updated the legislative status chart that provides a summary of the legislative information compiled and analysed by the Secretariat to date and cooperated with relevant entities to provide legal advice and assistance to Parties on the development of appropriate measures for effective implementation of the Convention. See <a href="#">SC65 Doc. 22</a> , <a href="#">SC66 Doc. 26.1</a> and <a href="#">CoP17 Doc. 22</a>
16.40 Enforcement matters	The Secretariat established Wildlife Incident Support Teams (WIST) in cooperation with partners in the International Consortium on Combating Wildlife Crime.

Decisions directed to the Secretariat <sup>2</sup>	Implementation status
	See <a href="#">SC65 Doc. 16.4</a> , <a href="#">SC66 Doc. 16.5</a> and <a href="#">CoP17 Doc. 25</a>
14.39 (Rev. CoP16) Reporting on trade in artificially propagated plants	A survey of reporting practices of Parties relating to trade in artificially propagated plants of taxa included in Appendix II issued as Notification No. 2012/032 of 28 March 2012. The Secretariat listed options for streamlining reporting in document <a href="#">PC21 Doc. 16</a> . See also <a href="#">SC66 Doc. 30.3</a> and <a href="#">CoP17 Doc. 35.2</a>
16.46 Review of reporting requirements	The Secretariat collaborated with other organizations to streamline reporting, developed a special reporting format (see Notification <a href="#">No. 2014/050</a> of 5 November 2014) and has made available on the <a href="#">CITES website</a> a list of the currently valid reporting requirements under the Convention. The Secretariat will only be able to complete the publication of the <i>CITES Strategic Vision</i> indicators once the revised indicators have been adopted. See <a href="#">SC65 Doc. 24.2</a> , and <a href="#">CoP17 Doc. 35.2</a>
<b>Trade control and marking</b>	
16.48 Introduction from the sea: chartering	The Secretariat reported on the implementation of the Convention by the Parties concerned in relation to the provision on chartering arrangements. See <a href="#">SC65 Doc. 28</a> , <a href="#">SC66 Doc. 33</a> and <a href="#">CoP17 Doc. 36</a>
16.52 Introduction from the sea: capacity building and special requirements of developing States	The Secretariat developed capacity-building tools and materials related to the implementation of the Convention for specimens taken from the marine environment not under the jurisdiction of any State. See <a href="#">SC65 Doc. 28</a> and <a href="#">CoP17 Doc. 36</a> See also <a href="https://cites.org/prog/shark">https://cites.org/prog/shark</a>
16.53 Non-detriment findings	Parties are invited to submit their experiences on NDFs. See <a href="#">CoP17 Doc. 21</a> See <a href="https://cites.org/eng/prog/ndf/index.php">https://cites.org/eng/prog/ndf/index.php</a>
16.57 Use of taxonomic serial numbers	The Secretariat has received information from two Parties on the use of taxonomic serial numbers. See <a href="#">SC66 Doc. 36</a> and <a href="#">CoP17 Doc. 45</a> .
16.58 Physical inspection of timber shipments	Funding for this activity had to be diverted to production of the timber trade study required under Decision 15.35. See <a href="#">SC65 Doc. 31</a> , <a href="#">SC66 Doc. 38</a> and <a href="#">CoP17 Doc. 21</a>
15.65 Identification of CITES-listed corals in trade	The Secretariat issued the updated list of CITES-listed corals in trade in Notification <a href="#">No. 2013/35</a> of 16 August 2013.
16.61 Identification Manual	The Secretariat issued the requested Notification <a href="#">No. 2013/036</a> of 16 August 2013 and reported to the Animals and Plants Committees in document <a href="#">AC27/PC21 Doc. 14</a> See also <a href="#">SC65 Doc. 32</a>
<b>Exemptions and special trade provisions</b>	
15.52 Production systems for specimens of CITES-listed species	The Secretariat prepared a guide on the appropriate use of source codes and distributed it on its <a href="#">website</a> . See <a href="#">AC28 Doc. 12</a> , and <a href="#">PC22 Doc. 16</a> .
16.63 and 16.64 Implementation of the Convention relating to captive-bred and ranched specimens	The different reports prepared on this issue can be found in documents <a href="#">AC27 Doc. 17 (Rev.1)</a> , the <a href="#">Annex</a> of document AC28 Doc. 13.1 and <a href="#">Annex 3</a> of SC66 Doc. 41.1. The Secretariat prepared a report on its findings and recommendations available in <a href="#">SC66 Doc. 41.1</a> . This document also included significant cases where it entered into a dialogue with Parties on trade in specimens declared as bred in captivity or ranched where there is serious doubt about the identified source of the specimens in trade. See also <a href="#">CoP17 Doc. 32</a>

Decisions directed to the Secretariat <sup>2</sup>	Implementation status
<b>Species trade and conservation</b>	
<i>Fauna</i>	
16.70 Asian big cats (Felidae spp.)	The Secretariat has participated in and supported national seminars in Appendix-I Asian big cat range States; developed a leaflet to increase awareness of illegal trade in Asian big cats; conducted a review of implementation of Resolution Conf. 12.5 (Rev. CoP16) and gathered information on incidents of poaching of and illegal trade in all Asian big cats since the beginning of 2010. See <a href="#">SC65 Doc. 38</a> , <a href="#">SC66 Doc. 44.1</a> and <a href="#">CoP17 Doc. 60.1</a>
16.71 Illegal trade in cheetahs ( <i>Acinonyx jubatus</i> )	The Secretariat commissioned the study and communicated its results in documents <a href="#">AC27 Doc. 18</a> and <a href="#">SC65. Doc. 39 (Rev. 2)</a> See also <a href="#">SC66 Doc. 32.5</a> and <a href="#">CoP17 Doc. 49</a> .
16.77 Reporting on the implementation of Resolution Conf. 10.14 (Rev. CoP16) on <i>Quotas for leopard hunting trophies and skins for personal use</i>	The Secretariat provided a report on the implementation of Resolution Conf. 10.14 (Rev. CoP16) and made recommendations to the Standing Committee. See <a href="#">SC 66 Doc. 40</a>
14.78 (Rev. CoP16) Elephant conservation (Elephantidae spp.)	MIKE, ETIS and other reports submitted at SC65 and SC66. See <a href="#">SC65 Doc. 42.1</a> and <a href="#">SC66 Doc. 47.1</a>
16.78 to 16.81 Monitoring of illegal trade in ivory and other elephant specimens (Elephantidae spp.)	All activities on DNA-based and forensic identification techniques, controlled deliveries, anti-money-laundering, national ivory actions plans and trade in elephant ivory were implemented, with the exception of convening of a CITES Ivory Enforcement Task Force due to lack of funding. See <a href="#">SC65 Doc. 42.1</a> , <a href="#">SC66 Doc. 47.1</a> , <a href="#">CoP17 Doc. 24 (Rev. 1)</a> and <a href="#">CoP17 Doc. 57.1</a>
16.89 Rhinoceroses (Rhinocerotidae spp.)	The Secretariat convened a CITES Rhinoceros Enforcement Task Force, developed the <a href="#">Guidelines for forensic methods and procedures of ivory sampling and analysis</a> , examined the implementation of Resolution Conf. 9.14 (Rev. CoP15), undertook a technical mission to the Lao People's Democratic Republic and proposed revisions to Resolution Conf. 9.14 (Rev. CoP15). See <a href="#">SC65 Doc. 43.2</a> , <a href="#">SC66 Doc. 51.1</a> and <a href="#">CoP17 Doc. 68</a> .
16.94 Tibetan antelope ( <i>Pantholops hodgsonii</i> )	Report on seizures and on progress of investigations found in documents <a href="#">SC65 Doc. 41</a> and <a href="#">SC66 Doc. 56</a>
16.100 Saiga antelope ( <i>Saiga tatarica</i> )	Report on the implementation of the Medium-Term International Work Programme for the Saiga Antelope in documents <a href="#">SC65 Doc. 40</a> and <a href="#">SC66 Doc. 52</a>
14.83 to 14.85 Grey parrot ( <i>Psittacus erithacus</i> )	All activities of these decisions implemented with the exception of the development of regional management plans due to the lack of external funding. See <a href="#">SC66 Doc. 28</a> and <a href="#">CoP17 Doc. 21</a>
16.102 Snake trade and conservation management (Serpentes spp.)	Studies undertaken, guidance developed and Notification issued (see Notification <a href="#">No. 2014/024</a> of 2 June 2014) The studies are available on the <a href="#">CITES Virtual College</a> . See documents <a href="#">AC27 Doc. 19.1</a> and <a href="#">Doc. 19.2</a> , <a href="#">AC28 Doc. 14.1</a> and <a href="#">Doc. 14.2.1</a> , <a href="#">SC65 Doc. 44</a> , <a href="#">SC66 Doc. 54.1</a> and <a href="#">CoP17 Doc. 71</a>
16.109, 16.110, 16.116, 16.119 and 16.122 Tortoises and freshwater turtles (Testudines spp.)	Study on NDFs undertaken and submitted to the Animals Committee in <a href="#">Annex 2</a> of document <a href="#">AC28 Doc. 15</a> Data and information on seizures of CITES-listed live tortoises and freshwater turtles, confiscations of international shipments of non-CITES freshwater turtle species, and on enforcement efforts against the illegal trade in parts and derivatives from CITES-listed tortoises and freshwater turtles submitted to the SC in documents <a href="#">SC65 Doc. 45</a> and <a href="#">SC66 Doc.57.1</a> It was not possible to convene a CITES Tortoises and Freshwater Turtles Task Force during the inter-sessional period. Analysis of illegal trade, analysis of identification and capacity-building

Decisions directed to the Secretariat <sup>2</sup>	Implementation status
	materials, and additional materials submitted to the Standing Committee in document <a href="#">SC66 Doc.57.1</a> . See also <a href="#">CoP17 Doc. 73</a>
16.126 Roti Island snake-necked turtle ( <i>Chelodina mccordi</i> )	The Secretariat liaised with the range States . See documents <a href="#">AC28 Doc. 20.1 (Rev. 1)</a> and <a href="#">CoP17 Doc. 21</a>
16.127 Hawksbill turtle ( <i>Eretmochelys imbricata</i> )	The Secretariat encouraged the implementation of outstanding recommendations arising from the 2009 regional workshop on the hawksbill turtle in the wider Caribbean and western Atlantic region. See document <a href="#">CoP17 Doc. 59</a>
16.128 Sharks and stingrays	Notification <a href="#">No. 2015/027</a> issued on 11 May 2015 The FAO developed a database of measures on the conservation and management of sharks (see <a href="http://www.fao.org/ipoa-sharks/database-of-measures/en">http://www.fao.org/ipoa-sharks/database-of-measures/en</a> ). See also document <a href="#">CoP17 Doc. 56.1</a>
16.130 Freshwater stingrays ( <i>Potamotrygonidae</i> spp.)	Notification <a href="#">No. 2013/056</a> issued on 6 December 2013
16.136 Sturgeons and paddlefish ( <i>Acipenseriformes</i> spp.)	No funds were available to conduct a full study See <a href="#">AC27 Doc. 15</a> , <a href="#">AC28 Doc. 11</a> , and <a href="#">CoP17 Doc. 50</a> A preliminary expert review was submitted to Parties as <a href="#">AC28 Inf. 18</a>
16.147 and 16.148 Regional cooperation on the management of and trade in the queen conch ( <i>Strombus gigas</i> )	The Secretariat concluded in 2014 a UN Agency to UN Agency Contribution Agreement with the Food and Agriculture Organization (FAO) for a joint capacity building project on queen conch ( <i>Strombus gigas</i> ) in the Caribbean region to implement Decision 16.147. See document <a href="#">CoP17 Doc. 72</a>
<b>Flora</b>	
16.154 East African sandalwood ( <i>Osyris lanceolata</i> )	The Secretariat was unable to find external funding required for the implementation of Decision 16.153. See document <a href="#">CoP17 Doc. 65</a>
15.95 (Rev. CoP16) and 16.155 Agarwood-producing taxa ( <i>Aquilaria</i> spp. and <i>Gyrinops</i> spp.)	The Secretariat a regional workshop on the management of wild and planted agarwood taxa, from 19 to 23 January 2015. Indonesia and Thailand submitted a document containing a Glossary of Agarwood Products that was circulated as a document of the Plants Committee. See document <a href="#">PC21 Doc. 14.1 (Rev. 1)</a> See also documents <a href="#">CoP17 Doc. 53.1</a> and <a href="#">Doc. 53.2</a>
15.93 (Rev. CoP16) Neotropical tree species	The Working Group didn't request the Secretariat to seek external funding to support the work of the working group.
<b>Amendment of the Appendices</b>	
14.149 and 15.35 Annotations for tree species included in Appendices II and III	ITTO is providing support through a contractual agreement with the CITES Secretariat. Initial results reported in document <a href="#">PC22 Doc. 6.1</a> See also document <a href="#">SC66 Doc. 20.1 (Rev. 1)</a> .