

Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in [Resolution Conf. 11.17 \(Rev. CoP16\)](#) which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat
International Environment House
Chemin des Anémones 11-13
CH-1219 Châtelaine-Geneva
Switzerland

Email: info@cites.org
Tel: +41-(0)22-917-81-39/40
Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	United States of America
Period covered in this report	July 1, 2015 through December 31, 2017
Department or agency preparing this report	U.S. Fish and Wildlife Service (FWS) Division of Management Authority 5275 Leesburg Pike, MS:IA Falls Church, Virginia 22041 United States of America Tel: +1 (703) 358 2095 Fax: +1 (703) 358 2280 Email: managementauthority@fws.gov Web: http://www.fws.gov/international
Contributing departments, agencies and organizations	U.S. Fish and Wildlife Service Division of Scientific Authority 5275 Leesburg Pike, MS:IA Falls Church, Virginia 22041 United States of America Tel: +1 (703) 358 1708 Fax: +1 (703) 358 2276 Email: scientificauthority@fws.gov Web: http://www.fws.gov/international U.S. Fish and Wildlife Service Office of Law Enforcement (FWS/OLE) 5275 Leesburg Pike, MS:LE Falls Church, Virginia 22041 United States of America Tel: +1 (703) 358 1949 Fax: +1 (703) 358 2271 Email: lawenforcement@fws.gov Web: http://www.fws.gov/le

GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.
All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes X No <input type="checkbox"/>
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	If 'Yes', have you shared information with the Secretariat? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Applicable <input type="checkbox"/> If 'No', please provide details to the Secretariat with this report:
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If 'No', please provide details of the constraints faced: Although the formal process for revising the U.S. CITES implementing regulations takes longer than 90 days following the conclusion of a meeting of the CoP, we are able to implement changes to the CITES Appendices within the 90 day implementation period. The U.S. CITES implementing regulations state that the official CITES list includes species of wildlife and plants placed in Appendix I, II, and III in accordance with the provisions of Articles XV and XVI of the Treaty; and that the official list is maintained by the Secretariat based on decisions of the Parties and can be accessed on the Secretariat's website.

Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.
[Aichi Target 3.](#)

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Are the procedures publicly available?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries – Email copies of permits to foreign Management Authorities, when requested.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit information exchange to Management Authorities of all countries?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Electronic permit data exchange between Management Authorities and customs?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit used to cross border with electronic validation by customs?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	If 'Yes' to any of the above, please provide information on challenges faced or issues overcome: Electronic validation is different than e-permitting.			
	If 'No', do you have any plans to move towards e-permitting ¹ ?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If you are planning to move towards e-permitting, please explain what might help you to do so: Moving toward e-permitting but a matter of staffing, financial, and technological capability.			

Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in [Resolution Conf. 12.3 \(Rev. CoP16\)](#).

1.2.2a	Has your country developed simplified procedures for any of the following? <div style="text-align: right;">Tick all applicable</div>
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¹ e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

	Yes	No	No information
Where biological samples of the type and size specified in Annex 4 of Resolution Conf. 12.3 (Rev. CoP16) are urgently required.	X	<input type="checkbox"/>	<input type="checkbox"/>
For the issuance of pre-Convention certificates or equivalent documents in accordance with Article VII , paragraph 2.	X	<input type="checkbox"/>	<input type="checkbox"/>
For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.	X	<input type="checkbox"/>	<input type="checkbox"/>
For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.	X	<input type="checkbox"/>	<input type="checkbox"/>
Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details: Issue master files for export where we approve a program file – approve stock of specimens and issue partially completed documents for expedited turnaround of permit applications.	X	<input type="checkbox"/>	<input type="checkbox"/>

Objective 1.3 Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties.
[All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.](#)

Indicator 1.3.1: [The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.](#)

1.3.1a	<p>Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see [link to location on the CITES website where the reporting requirements are listed])?</p> <p style="text-align: right;"> Responses provided to ALL relevant reporting requirements <input checked="" type="checkbox"/> X Responses provided to SOME of the relevant reporting requirements <input type="checkbox"/> Responses provided to NONE of the relevant reporting requirements <input type="checkbox"/> No special reporting requirements applicable <input type="checkbox"/> </p>
1.3.1b	<p>Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered? <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>

Objective 1.4 The Appendices correctly reflect the conservation needs of species.
[Aichi Target 1, Target 12, Target 14 and Target 19.](#)

1.4.1: [The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.](#)

1.4.1a	<p>Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):</p> <p>See attachment.</p>
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Objective 1.5 Best available scientific information is the basis for non-detriment findings.
 Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.

Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:
 a) the population status of Appendix-II species;
 b) the trends and impact of trade upon Appendix-II species; and
 c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to:	Yes	No	Not Applicable	If Yes, How many?
	- the population status of Appendix II species?	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the trends and impact of trade on Appendix II species?	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the status of and trend in naturally-occurring Appendix I species?	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the impact of any recovery plans on Appendix I species?	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	<p>If there are such studies that you are willing to share, please provide:</p> <p style="text-align: right;">A brief summary of the results of the survey, study or other analysis (e.g. population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.</p> <p>Species name (scientific)</p> <p>□□□□□ □□□□□</p> <p>□□□□□ □□□□□</p> <p>□□□□□ □□□□□</p> <p>□□□□□ □□□□□</p> <p>□□□□□ □□□□□</p>																					
1.5.1b	<p>How are the results of such surveys, studies or other analyses used in making non-detriment findings (NDFs)? Please tick all that apply</p> <p style="text-align: right;">Revised harvest or export quotas <input type="checkbox"/></p> <p style="text-align: right;">Banning export <input type="checkbox"/></p> <p style="text-align: right;">Stricter domestic measures <input checked="" type="checkbox"/></p> <p style="text-align: right;">Changed management of the species <input checked="" type="checkbox"/></p> <p style="text-align: right;">Discussion with Management Authorities <input checked="" type="checkbox"/></p> <p style="text-align: right;">Discussion with other stakeholders? <input checked="" type="checkbox"/></p> <p style="text-align: right;">Other (please provide a short summary): □□□□□</p>																					
1.5.1c	<p>Do you have specific conservation measures or recovery plans for naturally occurring Appendix-I listed species?</p> <p style="text-align: right;">Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">Not Applicable <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>If 'Yes', please provide a brief summary, including, if possible, an evaluation of their impact:</p> <p>We have recovery plans for any Appendix-I species that occurs in the United States (is native) and is listed as endangered or threatened under our stricter domestic measure, the Endangered Species Act of 1973. (https://www.fws.gov/endangered/)</p>																					
1.5.1d	<p>Have you published any non-detriment findings that can be shared? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please provide links or examples to the Secretariat within this report:</p> <p>We provide copies of the United States' non-detriment findings for hammerhead, porbeagle, and thresher sharks – links on CITES webpage for sharks.</p>																					
1.5.1e	<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Which of the following (A to F of paragraph a) x) of Resolution Conf. 16.7</th> <th style="text-align: center;">Yes</th> <th style="text-align: center;">No</th> </tr> </thead> <tbody> <tr> <td>A. relevant scientific literature concerning species biology, life history, distribution and population trends.</td> <td style="text-align: center;">X</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>B. details of any ecological risk assessments conducted.</td> <td style="text-align: center;">X</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.</td> <td style="text-align: center;">X</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>D. relevant knowledge and expertise of local and indigenous communities.</td> <td style="text-align: center;">X</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>E. consultations with relevant local, regional and international experts.</td> <td style="text-align: center;">X</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.</td> <td style="text-align: center;">X</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>	Which of the following (A to F of paragraph a) x) of Resolution Conf. 16.7	Yes	No	A. relevant scientific literature concerning species biology, life history, distribution and population trends.	X	<input type="checkbox"/>	B. details of any ecological risk assessments conducted.	X	<input type="checkbox"/>	C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.	X	<input type="checkbox"/>	D. relevant knowledge and expertise of local and indigenous communities.	X	<input type="checkbox"/>	E. consultations with relevant local, regional and international experts.	X	<input type="checkbox"/>	F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	X	<input type="checkbox"/>
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Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a	<p>Do you have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7?</p> <p>If 'Yes', please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:</p> <p>Please see the U.S. CITES implementing regulations at – https://www.ecfr.gov/cgi-bin/text-idx?SID=afd03c677896daa278c42588e79d6a13&mc=true&node=pt50.9.23&rgn=div5</p>	<table> <tr> <td></td> <td>Yes</td> <td>No</td> <td>No information</td> </tr> <tr> <td></td> <td>X</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>		Yes	No	No information		X	<input type="checkbox"/>	<input type="checkbox"/>				
	Yes	No	No information											
	X	<input type="checkbox"/>	<input type="checkbox"/>											
1.5.2b	<p>When establishing non-detriment findings, have any of the following guidance been used?</p> <p>Virtual College</p> <p>IUCN Checklist</p> <p>Resolution Conf. 16.7</p> <p>2008 NDF workshop</p> <p>Species specific guidance</p> <p>Other</p> <p>If 'Other' or 'Species specific guidance', please specify details: <input type="checkbox"/><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/></p>	<p>Please tick all that apply</p> <table> <tr> <td></td> <td><input type="checkbox"/></td> </tr> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td><input type="checkbox"/></td> </tr> </table>		<input type="checkbox"/>		X		X		X		X		<input type="checkbox"/>
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1.5.2c	<p>How often do you review and/or change your non-detriment findings?</p> <p>Case by case</p> <p>Annually</p> <p>Every two years</p> <p>Less frequently</p> <p>A mix of the above</p> <p>Please describe the circumstances under which non-detriment findings would be changed:</p> <p><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/></p>	<table> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td><input type="checkbox"/></td> </tr> <tr> <td></td> <td><input type="checkbox"/></td> </tr> <tr> <td></td> <td><input type="checkbox"/></td> </tr> <tr> <td></td> <td>X</td> </tr> </table>		X		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		X		
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	X													

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	<p>Do you set annual export quotas?</p> <p>If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:</p> <p>Species Name (scientific)</p> <p><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/></p> <p><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/></p> <p><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/></p>	<table> <tr> <td>Yes</td> <td><input type="checkbox"/></td> </tr> <tr> <td>No</td> <td>X</td> </tr> </table> <table> <tr> <td>Population Survey?</td> <td>Other, please specify</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/></td> </tr> </table>	Yes	<input type="checkbox"/>	No	X	Population Survey?	Other, please specify	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
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1.5.3b	<p>Have annual export quotas been set at levels which will ensure sustainable production and consumption?</p> <p>If 'Yes', please describe how this fits into your non-detriment finding process:</p> <p><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/></p>	<table> <tr> <td>Yes</td> <td><input type="checkbox"/></td> </tr> <tr> <td>No</td> <td><input type="checkbox"/></td> </tr> </table>	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>								
Yes	<input type="checkbox"/>													
No	<input type="checkbox"/>													

Objective 1.6 Parties cooperate in managing shared wildlife resources.
 Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.

Indicator 1.6.1: The number of bilateral and multilateral agreements that specifically provide for co-management of shared CITES listed species by range States.

1.6.1a	<p>Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:</p> <p>ICCAT, Polar Bear Range States Agreement, Migratory Bird Treaty Act.</p>
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Indicator 1.6.2: The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

1.6.2a	<p>Do you have any cooperative management plans, including recovery plans in place for shared populations of CITES-listed species? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>Although the United States does not cooperatively manage shared species, we collaborate extensively with other range States for shared species in ensuring their conservation.</p> <p>If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;">Species Name (scientific)</th> <th style="width: 60%;">Link or reference to a published plan</th> </tr> </thead> <tbody> <tr> <td>□□□□□</td> <td>□□□□□</td> </tr> <tr> <td>□□□□□</td> <td>□□□□□</td> </tr> <tr> <td>□□□□□</td> <td>□□□□□</td> </tr> </tbody> </table>	Species Name (scientific)	Link or reference to a published plan	□□□□□	□□□□□	□□□□□	□□□□□	□□□□□	□□□□□
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Indicator 1.6.3: The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

1.6.3a	<p>Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?</p> <p>Please tick boxes to indicate which target group and which activity.</p>						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources ¹ ?
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□

¹ Please provide the names of Parties, and any non-Parties, involved.

<p>Other (please specify):</p> <p>Shark identification, tarantula, and fresh water turtle & tortoises Workshop for North American Species (shared/traded by Canada, Mexico, and the United States)</p>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<p>Commission on Environment Cooperation (CEC) CITES Listed Project</p>
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1.6.3b	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?																																																															
	<p>Please tick boxes to indicate which target group and which activity.</p> <table border="1"> <thead> <tr> <th data-bbox="300 403 730 448">Target group</th> <th data-bbox="734 224 821 448">Oral or written advice/guidance</th> <th data-bbox="825 224 912 448">Technical assistance</th> <th data-bbox="916 224 1003 448">Financial assistance</th> <th data-bbox="1007 224 1094 448">Training</th> <th data-bbox="1098 224 1185 448">Other (specify)</th> <th data-bbox="1189 403 1444 448">Details</th> </tr> </thead> <tbody> <tr> <td data-bbox="300 452 730 497">Staff of Management Authority</td> <td data-bbox="734 452 821 497"><input type="checkbox"/></td> <td data-bbox="825 452 912 497">X</td> <td data-bbox="916 452 1003 497"><input type="checkbox"/></td> <td data-bbox="1007 452 1094 497"><input type="checkbox"/></td> <td data-bbox="1098 452 1185 497"><input type="checkbox"/></td> <td data-bbox="1189 452 1444 497">□□□□□</td> </tr> <tr> <td data-bbox="300 501 730 546">Staff of Scientific Authority</td> <td data-bbox="734 501 821 546"><input type="checkbox"/></td> <td data-bbox="825 501 912 546">X</td> <td data-bbox="916 501 1003 546"><input type="checkbox"/></td> <td data-bbox="1007 501 1094 546"><input type="checkbox"/></td> <td data-bbox="1098 501 1185 546"><input type="checkbox"/></td> <td data-bbox="1189 501 1444 546">□□□□□</td> </tr> <tr> <td data-bbox="300 551 730 595">Staff of enforcement authorities</td> <td data-bbox="734 551 821 595"><input type="checkbox"/></td> <td data-bbox="825 551 912 595">X</td> <td data-bbox="916 551 1003 595"><input type="checkbox"/></td> <td data-bbox="1007 551 1094 595"><input type="checkbox"/></td> <td data-bbox="1098 551 1185 595"><input type="checkbox"/></td> <td data-bbox="1189 551 1444 595">□□□□□</td> </tr> <tr> <td data-bbox="300 600 730 645">Traders</td> <td data-bbox="734 600 821 645"><input type="checkbox"/></td> <td data-bbox="825 600 912 645"><input type="checkbox"/></td> <td data-bbox="916 600 1003 645"><input type="checkbox"/></td> <td data-bbox="1007 600 1094 645"><input type="checkbox"/></td> <td data-bbox="1098 600 1185 645"><input type="checkbox"/></td> <td data-bbox="1189 600 1444 645">□□□□□</td> </tr> <tr> <td data-bbox="300 649 730 694">NGOs</td> <td data-bbox="734 649 821 694"><input type="checkbox"/></td> <td data-bbox="825 649 912 694"><input type="checkbox"/></td> <td data-bbox="916 649 1003 694"><input type="checkbox"/></td> <td data-bbox="1007 649 1094 694"><input type="checkbox"/></td> <td data-bbox="1098 649 1185 694"><input type="checkbox"/></td> <td data-bbox="1189 649 1444 694">□□□□□</td> </tr> <tr> <td data-bbox="300 698 730 743">Public</td> <td data-bbox="734 698 821 743"><input type="checkbox"/></td> <td data-bbox="825 698 912 743"><input type="checkbox"/></td> <td data-bbox="916 698 1003 743"><input type="checkbox"/></td> <td data-bbox="1007 698 1094 743"><input type="checkbox"/></td> <td data-bbox="1098 698 1185 743"><input type="checkbox"/></td> <td data-bbox="1189 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assistance	Financial assistance	Training	Other (specify)	Details	Staff of Management Authority	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□	Staff of Scientific Authority	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□	Staff of enforcement authorities	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□	Other Parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input 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1.6.3c	In what ways do you collaborate with other CITES Parties?																																																															
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Capacity building	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	□□□□□																																																										

Other (please provide details). Technical assistance and training undertaken during the reporting period, in addition to those already reported to the Standing Committee and the scientific committees, include:

- FWS/OLE wildlife inspectors participated as trainers at three separate CITES training workshops that were held in Costa Rica, El Salvador, and Panama. In addition, a wildlife inspector and a special agent conducted a shark identification and CITES implementation workshop in Senegal.
- An FWS/OLE wildlife inspector provided training to U.S. Customs and Border Protection officers and Agriculture specialists stationed at Vancouver International Airport, Canada.
- In Canada, two FWS/OLE forensic scientists co-chaired and delivered scientific presentations at the concurrent annual meetings of The American College of Veterinary Pathologists and The American Society of Veterinary Clinical Pathology.
- The Deputy Director of the U.S. Fish & Wildlife Service Forensics Laboratory travelled to Austria and provided expert technical input concerning the establishment of international guidelines on best practices for timber and wood identification in order to curtail illegal logging.
- In France, an FWS/OLE forensic scientist trained global law enforcement officers on identifying shahtoosh products.
- The Deputy Director of the U.S. Fish & Wildlife Service Forensics Laboratory travelled to Kenya to support Kenya Wildlife Service's newly established forensics laboratory. OLE forensics scientists collaborated with their Kenyan counterparts to create this lab.
- In 2015, together with the National Association of Conservation Law Enforcement Chiefs (NACLEC), FWS created the National Conservation Law Enforcement Leadership Academy. State conservation law enforcement executives received training to prepare them to effectively carry out their job responsibilities adaptively in a rapidly changing world.
- In 2016, FWS with NACLEC, co-created the International Conservation Chiefs Academy (ICCA). During this reporting period, two ICCAs were successfully completed. Eighty-one international law enforcement officials representing 17 countries received training focused on adaptive leadership, CITES, investigation intelligence sharing, wildlife forensics, evidence training, and case studies. Training also included a one-week overlap where state and international officials trained together with federal counterparts facilitating.

Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade.
[Aichi Target 4](#), [Target 5](#), [Target 6](#), [Target 7](#), [Target 9](#), [Target 10](#), [Target 12](#) and [Target 19](#).

Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:

- an international enforcement strategy and/or action plan;
- formal international cooperation, such as an international enforcement network;
- a national enforcement strategy and/or action plan; and
- formal national interagency cooperation, such as a national interagency enforcement committee.

1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No	No Information
	- an international enforcement strategy and/or action plan?	X	<input type="checkbox"/>	<input type="checkbox"/>
	- formal international cooperation, such as an international enforcement network?	X	<input type="checkbox"/>	<input type="checkbox"/>
	- a national enforcement strategy and/or action plan?	X	<input type="checkbox"/>	<input type="checkbox"/>
	- formal national interagency cooperation, such as a national interagency enforcement committee?	X	<input type="checkbox"/>	<input type="checkbox"/>
	If 'Yes' to any of the above, please specify the level of engagement and provide additional details:			
	FWS routinely shared intelligence on potential CITES violations with the CITES Secretariat, appropriate enforcement authorities of other CITES Parties, and Interpol during the reporting period. Examples of FWS cooperative enforcement efforts during the reporting period, in addition to those already reported to the Standing Committee and the scientific committees, include:			

- Provided intelligence to South Korea concerning a Virginia (U.S.) business owner and a Korean buyer who sold, bought, and smuggled squirrel monkey blood falsely labelled as human blood.
- Worked with Mexican law enforcement to extradite a fugitive of a four-year manhunt who smuggled arapaima.
- Repatriated seven offspring from a rare, white boa constrictor, which were illegally taken from the wild in Brazil and smuggled into the United States. The repatriation request came from the Government of Brazil.
- Met with representatives from the Russian Federation and the Alaska Nanuuq Commission concerning a polar bear treaty.
- Conducted cooperative inspection blitzes with Canadian wildlife and customs authorities at various ports of entry along the U.S.-Canada land border.
- Expanded the wildlife law enforcement attaché program to include seven attachés stationed at U.S. embassies in global areas where wildlife trafficking is high.

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	<p>Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?</p> <p>If 'Yes', what do you do?</p> <p>FWS/OLE has in place a Strategic Plan through 2020 for enforcement priorities and the metrics against which we assess the achievements of those goals. This plan is available to the public on our website: www.fws.gov/le/pdf/OLE-Strategic-Plan.pdf.</p> <p>If 'Yes' or 'No, but review is under consideration', which tools do you find of value? □□□□</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No, but review is under consideration <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
1.7.2b	<p>Have you used the International Consortium on Combating Wildlife Crime (ICWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?</p> <p>If 'Yes', please provide feedback on the parts of the toolkit used and how useful the toolkit or equivalent tools have been. Please specify improvements that could be made: □□□□</p> <p>If 'No', please provide feedback on why not or what is needed to make the toolkit or equivalent tools useful to you:</p> <p>FWS/OLE implements a variety of methods for illegal wildlife detection, investigations, evidence management, and case presentation. Although the ICWC toolkit is helpful, the methods in it have already been developed and utilized within our enforcement agencies. We welcome the continued partnerships with other countries to enhance their capacities to counter wildlife trafficking efforts.</p>	<p>Yes <input type="checkbox"/></p> <p>No, but toolkit use is under consideration <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences.

1.7.3a	<p>Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?</p> <p>If 'Yes', please provide the title of the legislation and a summary of the penalties available</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
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	The United States implements CITES under the Endangered Species Act. However, other national wildlife-related laws, such as the Lacey Act, Migratory Bird Treaty Act, Marine Mammal Protection Act, etc. can be utilized, as well as other non-wildlife laws, including smuggling, conspiracy, and other Federal criminal statutes. These laws and their associated penalties can be found on our website, https://www.fws.gov/le/laws-regulations.html				
1.7.3b	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime ¹ in your country? If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes: In the United States, there are two levels of wildlife law enforcement, the national federal level, and the State level. The States establish their own laws, and poaching and wildlife trafficking may be treated differently from State to State. Generally speaking, an officer must prove that the wildlife was taken illegally and that the individual had knowledge of such. The federal system is similar, with the added criteria of individual rights provided in our constitution and that the knowledge component is almost, if not always required. Federal cases are often felony criminal cases that are more complex, and involve cross border (state to state or country to country) illegal acts.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	X <input type="checkbox"/> <input type="checkbox"/>		
1.7.3c	Do you have capacity to use forensic technology ² to support the investigation of CITES offences? If 'Yes', please provide a brief summary of any samples from CITES-listed species that were collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report: If 'Yes', and your country has an appropriate forensic analysis facility for CITES-listed species, please indicate which species it applies to: <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	X <input type="checkbox"/> <input type="checkbox"/>		
1.7.3d	Did your authorities participate in or initiate any multi-disciplinary ³ law enforcement operation(s) targeting CITES-listed species during the period covered in this report? If 'Yes', please provide a brief summary, including any lessons learned which might be helpful for other Parties: Multi-disciplinary law enforcement operations targeting CITES-listed species during the reporting period already reported to the Standing Committee and the scientific committees.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	X <input type="checkbox"/> <input type="checkbox"/>		
1.7.3e	Do you have a standard operating procedure among relevant agencies for submitting information related to CITES offences to INTERPOL and/or the World Customs Organization?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	<input type="checkbox"/> X <input type="checkbox"/>		
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate? General crime ⁴	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	<input type="checkbox"/> X <input type="checkbox"/>		If yes, how many times was this used during the period covered by this report? <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

¹ The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

² Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

³ A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

⁴ General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

	Predicate offences ¹	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Asset forfeiture ²	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Corruption ³	X	<input type="checkbox"/>	X	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	International cooperation in criminal matters ⁴	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Organized crime ⁵	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Specialized investigation techniques ⁶	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	If 'Yes' to any of the above, please explain how each is used for CITES offences? Please provide a brief summary, including any lessons learned which might be helpful for other Parties:				
	We use all laws and regulations available to us to combat wildlife trafficking. We do not track our investigations in general terms. However, all cases involve one or more of the above categories.				
1.7.3g	Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences?	Yes	X		
		No	<input type="checkbox"/>		
		No information	<input type="checkbox"/>		
	If 'No', please provide a brief summary of your major capacity-building needs: <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always	<input type="checkbox"/>
		Very often	X
		Sometimes	<input type="checkbox"/>
		Rarely	<input type="checkbox"/>
		Never	<input type="checkbox"/>
		No information	<input type="checkbox"/>
1.7.4b	Do you have capacity to analyse information gathered on illegal trade in CITES-listed species?	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
1.7.4c	Do you use criminal intelligence ⁷ to inform investigations into illegal trade in CITES-listed species?	Always	<input type="checkbox"/>
		Very often	X
		Sometimes	<input type="checkbox"/>
		Rarely	<input type="checkbox"/>
		Never	<input type="checkbox"/>
		No information	<input type="checkbox"/>

¹ Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence as an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

² *Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.*

³ *Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.*

⁴ *International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.*

⁵ *Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.*

⁶ *Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.*

⁷ *Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.*

1.74d	Have you implemented any supply-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes No, but activities are under development No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
1.7.4e	Have you implemented any demand-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes No, but activities are under development No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the period covered in this report:		Yes	No	No Information
1.7.5a	<p>Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?</p> <p>If 'Yes', please indicate how many and for what types of offences. If available, please attach details:</p> <p>You can find the details in the Seizure section of this report.</p>	X	<input type="checkbox"/>	<input type="checkbox"/>
1.7.5b	<p>Have there been any criminal prosecutions of CITES-related offences?</p> <p>If 'Yes', how many and for what types of offences? If available, please attach details:</p> <p>Most are for commercial actions in violation of CITES. However, the charges may also have been under other criminal laws to effect higher penalties commensurate with the violation.</p>	X	<input type="checkbox"/>	<input type="checkbox"/>
1.7.5c	<p>Have there been any other court actions against CITES-related offences?</p> <p>If 'Yes', what were the offences involved and what were the results? Please attach details: <input type="checkbox"/><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/></p>	<input type="checkbox"/>	<input type="checkbox"/>	X
1.7.5d	<p>How were any confiscated specimens disposed of? Tick all that apply</p> <ul style="list-style-type: none"> – Return to country of export X – Public zoos or botanical gardens X – Designated rescue centres X – Approved private facilities X – Euthanasia X – Other (please specify): Destruction X <p>Have you encountered any challenges in disposing of confiscated specimens?</p> <p>Some facilities are reaching capacity for certain species and those species have become difficult to place. For plants, some large commercial shipments are difficult to place at a single facility and we rely on the cooperation of the institutions participating in the U.S. Plant Rescue Center program to distribute plants to other facilities.</p> <p>Do you have good practice that you would like to share with other Parties?</p> <p>We work with relevant stakeholders and undertake due diligence to determine suitability of placement, including considering a facility's ability to house and care for the animal, any past interactions with the organization or its personnel, etc. In September 2016, confiscated rhinoceros horn, worth an estimated \$1 million on the black market, was burned at an event co-hosted by FWS, San Diego Zoo Global, and the California Department of Fish and Wildlife. Destroyed items included whole horns, ornate objects carved from horns, and items labelled as medicinals, and all items had been seized by FWS. Many of the products were seized during federal search warrant executions, while other products were seized as they were being smuggled into or out of the United States. The burn signalled the US commitment to end the illegal rhinoceros horn trade, the severe poaching threat to rhinoceroses, and the overall wildlife trafficking crisis. Media coverage included a live social media feed that was watched by viewers around the world.</p> <p>The U.S. Plant Rescue Center program functions very rapidly and efficiently. Plant seized by U.S. Department of Agriculture (USDA/APHIS) inspectors are</p>			

typically placed at a participating facility within 24 hours of when the U.S. Management Authority (FWS) is notified. FWS works closely with USDA/APHIS plant inspectors who request placement for CITES-listed plants imported into the United States in violation of CITES. The program would serve as a good model on which to base placement of seized wildlife.

In 2015, we placed 37 shipments consisting of 6,074 plants, 13 envelopes of seeds, and 19 cactus skeletons at 17 participating facilities. No plants were returned to the country of origin but 898 plants from three shipments had to be destroyed.

In 2016, we placed 51 shipments consisting of 4,522 plants and 51 cactus skeletons at 21 participating facilities. No plants were returned to the country of origin but 159 plants from one shipment had to be destroyed.

In 2017, we placed 37 plant shipments consisting of 21,148 plants and 20 cactus parts, at 16 participating facilities. 20,000 of these plants were placed at one participating facility, which then distributed them to several others. No plants were returned to the country of origin and only two plants from one shipment had to be destroyed.

Typically, plants are destroyed because of an infestation of insects or disease and rarely, if ever, because we could not find a participating facility that could take them.

Objective 1.8 Parties and the Secretariat have adequate capacity-building programmes in place.
[Aichi Target 1, Target 12 and Target 19.](#)

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: Yes No The making of non-detriment findings? X <input type="checkbox"/> Permit officers? X <input type="checkbox"/> Enforcement officers? X <input type="checkbox"/>		
1.8.1b	Is the CITES Virtual College used as part of your capacity building work? What improvements could be made in using the Virtual College for capacity building? For some CITES trainings, we will use the CITES Virtual College materials as a starting point and then tailor the presentations to suite our needs.	Yes No No information	X <input type="checkbox"/> <input type="checkbox"/>
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building? <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Yes No No information	<input type="checkbox"/> X <input type="checkbox"/>

GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

Objective 2.1 Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.
 Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	<p>Do you have an approved service standard(s)¹ for your Management Authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>Our U.S. CITES implementing regulations provide information concerning the process for applying for permits. Additionally, our permit application forms provide information concerning the estimated amount of time required to review a completed application.</p> <p>If 'Yes', do you have performance targets for these standards²?</p> <p>If 'Yes', what are your performance targets?</p> <p>We strive to meet the timeframes indicated in our regulations and our on permit application forms.</p> <p>Do you publish your performance against service standard targets?</p> <p>If possible, please provide your performance against service standards during the period covered in this report: □□□□□</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <p>– availability of funding? □ Yes □ No</p> <p>– number of staff? X Yes □ No</p> <p>– a shortage of skills? □ Yes □ No</p> <p>If 'Yes' to a shortage of skills, which skills do you need more of? □□□□□</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>
2.2.1b	<p>Do you have an approved service standard(s)⁴⁷ for your Scientific Authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards? □□□□□</p> <p>If 'Yes', do you have performance targets for these standards⁴⁸?</p> <p>If 'Yes', what are your performance targets? □□□□□</p> <p>If possible, please provide your performance against service standards during the period covered in this report: □□□□□</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <p>– availability of funding? □ Yes □ No</p> <p>– number of staff? □ Yes □ No</p> <p>– a shortage of skills? □ Yes □ No</p> <p>If 'Yes' to a shortage of skills, which skills do you need more of? □□□□□</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>

¹ For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

² For example, 85% of all decisions will take place within the service standard.

2.2.1c	<p>Do you have an approved service standard(s)⁴⁷ for your enforcement authority(ies)?</p> <p>If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards?</p> <p>Service standards for enforcement authorities are generally internal documents, but please see - https://www.fws.gov/le/about-le.html and https://www.fws.gov/le/careers.html.</p> <p>If 'Yes', do you have performance targets for these standards⁴⁸? If 'Yes', what are your performance targets?</p> <p style="text-align: right;">□□□□□</p> <p>If possible, please provide your performance against service standards during the period covered in this report: □□□□□</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 70%;"></td> <td style="width: 15%; text-align: center;">Yes</td> <td style="width: 15%; text-align: center;">No</td> </tr> <tr> <td>– availability of funding?</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>– number of staff?</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>– a shortage of skills?</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table> <p>If 'Yes' to a shortage of skills, which skills do you need more of? □□□□□</p>		Yes	No	– availability of funding?	<input type="checkbox"/>	<input type="checkbox"/>	– number of staff?	<input type="checkbox"/>	<input type="checkbox"/>	– a shortage of skills?	<input type="checkbox"/>	<input type="checkbox"/>	<table style="border: none;"> <tr> <td>Yes</td> <td>X</td> </tr> <tr> <td>No</td> <td><input type="checkbox"/></td> </tr> </table> <table style="border: none;"> <tr> <td>Yes</td> <td><input type="checkbox"/></td> </tr> <tr> <td>No</td> <td><input type="checkbox"/></td> </tr> </table>	Yes	X	No	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
	Yes	No																				
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Yes	<input type="checkbox"/>																					
No	<input type="checkbox"/>																					
2.2.1d	<p>Please only complete this question if you answered 'No' to the first part of question 2.2.1a, 2.2.1b, or 2.2.1c, relating to the existence of approved service standards for your authorities:</p> <p>Do you have sufficient of the following for your authorities to function effectively?</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;"></th> <th style="width: 33%; text-align: center;">Management Authority(ies)</th> <th style="width: 33%; text-align: center;">Scientific Authority(ies)</th> <th style="width: 33%; text-align: center;">Enforcement Authority(ies)</th> </tr> </thead> <tbody> <tr> <td>Funding?</td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> </tr> <tr> <td>Staff?</td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> </tr> <tr> <td>Skills?</td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></td> </tr> </tbody> </table>			Management Authority(ies)	Scientific Authority(ies)	Enforcement Authority(ies)	Funding?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Staff?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Skills?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>				
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Skills?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>																			

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities;
- hired more staff;
- developed implementation tools;
- purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	<p>Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES implementation at the national level?</p>	Tick if applicable
	Hiring of more staff	X
	Development of implementation tools	X
	Purchase of technical equipment for implementation, monitoring or enforcement	X

	Other (please specify):				
	Expanded the wildlife inspection canine teams from four to seven. Canine teams now work at the following ports: Anchorage, Alaska; Chicago, Illinois; Honolulu, Hawaii; Houston, Texas; Los Angeles, California; Miami, Florida; and San Juan, Puerto Rico.				
	In 2016, the FWS National Forensics Lab acquired a computed tomography (CT) scanner, which allows pathologists to see the injuries within minutes and prior to physical manipulation of the carcass.				
	On July 29, 2016, the FWS, Branch of Training and Inspections, graduated 38 new special agents and 22 new wildlife inspectors.				
	In 2016, FWS, in collaboration with the National Association of Conservation Law Enforcement Chiefs, created the International Conservation Chiefs Academy to train and build long-term working relationships between U.S. state and federal conservation law enforcement and their international counterparts.				
	In 2015, wildlife inspectors received training in the use of the "Mini Z," a hand held screening system that uses infrared scanning, and can detect temperature fluctuations to interpret images quickly. This technology assists them in locating organic contraband behind non-metallic surfaces; detecting organic threats and explosives; and detecting illegal wildlife in packages and cargo.				
2.2.2b	During the period covered in this report, was the budget for your:	Increased	Stable	Decreased	
	Management Authority(ies)	X	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority(ies)	X	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement authorities	X	<input type="checkbox"/>	<input type="checkbox"/>	
2.2.2c	Have you been able to use international development funding assistance to increase the level of implementation of your	Yes	No	Not applicable	
	Management Authority(ies)?	<input type="checkbox"/>	<input type="checkbox"/>	X	
	Scientific Authority(ies)?	<input type="checkbox"/>	<input type="checkbox"/>	X	
	Enforcement authorities?	<input type="checkbox"/>	<input type="checkbox"/>	X	
2.2.2d	What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities?				
	Activity	High	Medium	Low	Not a Priority
	Hiring of more staff	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for implementation, monitoring or enforcement	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	e-permitting	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.2.2e	Do you have an operational system (e.g. electronic database) for managing	Yes	Under development	No	
	Species information	X	<input type="checkbox"/>	<input type="checkbox"/>	
	Trade information	X	<input type="checkbox"/>	<input type="checkbox"/>	
	Non-detriment findings	X	<input type="checkbox"/>	<input type="checkbox"/>	

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for:	Tick all that are applicable	
	– Administrative procedures		X
	– Issuance of CITES documents (e.g. for import, exports, re-export, or introduction from the sea) – fee charged for processing of applications; not purchasing CITES documents; fees charged do not cover all costs associated with reviewing applications and issuing CITES documents.		X
	– Shipment clearance (e.g. for the import, export, re-export, or introduction from the sea of CITES-listed species)		X
	– Licensing or registration of operations that produce CITES species		X
	– Harvesting of CITES-listed species – harvesting of CITES-listed species is regulated by U.S. States and any associated fees would be charged by the relevant States agencies.		<input type="checkbox"/>
	– Use of CITES-listed species		<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species		<input type="checkbox"/>
	– Other (please specify): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>
2.2.3b	Is a fee schedule publicly available? If 'Yes', please provide an internet link, or a copy of the schedule to the Secretariat: US CITES permit application fees can be found at: https://www.gpo.gov/fdsys/pkg/CFR-2010-title50-vol1/pdf/CFR-2010-title50-vol1-sec13-11.pdf .	Yes X	No <input type="checkbox"/>
2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Entirely <input type="checkbox"/>	Partly X
		Not at all <input type="checkbox"/>	Not relevant <input type="checkbox"/>
2.2.3d	Do you raise funds for CITES management through charging user fees?	Yes <input type="checkbox"/>	No X
	Do your fees recover the full economic cost of issuing permits?	<input type="checkbox"/>	X
	Do you have case studies on charging or using fees?	<input type="checkbox"/>	<input type="checkbox"/>
	If 'Yes' to any of the above, please provide brief details: <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
	Do you use innovative financial mechanisms to raise funds for CITES implementation?	<input type="checkbox"/>	X
	If 'Yes', please provide brief details: <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	Do you use incentive measures ¹ such as those described in CoP14 Doc 32 to implement the Convention?	Yes	No
	Due diligence	X <input type="checkbox"/>	<input type="checkbox"/>
	Compensatory mechanisms	<input type="checkbox"/>	X
	Certification	<input type="checkbox"/>	X
	Communal property rights	<input type="checkbox"/>	X
	Auctioning of quotas	<input type="checkbox"/>	X
	Cost recovery or environmental charges	X <input type="checkbox"/>	<input type="checkbox"/>
	Enforcement incentives	<input type="checkbox"/>	X
	If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to further information: United States charges fees for inspection of shipments of CITES-listed specimens. See also information above concerning CITES permit application fees.		
2.2.4b	Have incentives harmful to biodiversity been eliminated?	N/A	

¹ Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and fauna and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

	Not at all <input type="checkbox"/> Very little <input type="checkbox"/> Somewhat <input type="checkbox"/> Completely <input type="checkbox"/>
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Objective 2.3 Sufficient resources are secured at the national and international levels to implement capacity-building programmes.
 Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many training and capacity building activities ¹ have you run during the period covered in this report?	Without assistance from the Secretariat	Conducted or assisted by the Secretariat				
	None <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
	1 <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
	2-5 <input type="checkbox"/>	<input type="checkbox"/>	X				
	6-10 <input type="checkbox"/>	X	<input type="checkbox"/>				
	11-20 <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
	More than 20 <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
Please list the Resolutions or Decisions involved: The United States is actively engaged in improving the capacity of Parties to implement CITES and, as a result, regularly funds, conducts, or participates in CITES training workshops.							
2.3.1b	What sorts of capacity building activities have taken place? CITES training workshops for Management and Scientific Authorities, and enforcement personnel; workshops have been on both general CITES implementation and specific topics, as appropriate.						
2.3.1c	What capacity building needs do you have? Please tick all boxes which apply to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Modernizing permitting system
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□
	Traders / other user groups	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Record keeping
	NGOs	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□
	Public	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□
	Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□

¹ An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

GOAL 3 CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.
[Aichi Target 2 and Target 20.](#)

Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	X
		No information	<input type="checkbox"/>
If 'Yes', please provide brief details: <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased	<input type="checkbox"/>
		Remained stable	<input type="checkbox"/>
		Decreased	<input type="checkbox"/>

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?						Yes	X
							No	<input type="checkbox"/>
						No information	<input type="checkbox"/>	
If 'Yes', please tick boxes to indicate type of assistance provided								
Country(ies)		Species Management ¹	Habitat Management ²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)
FWS has provided funding for on-the-ground conservation projects involving CITES in numerous countries. Summaries can be found at - https://www.fws.gov/international/grants-and-reporting/project-summaries.html . Other U.S. government agencies also provide funding for CITES projects around the world.		X	X	X	X	X	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

¹ Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

² Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

□□□□□	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□
□□□□□	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□
□□□□□	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□
□□□□□	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	□□□□□

Objective 3.2 Awareness of the role and purpose of CITES is increased globally.

Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups?	Wider public	Relevant User Groups
	– Press conferences	<input type="checkbox"/>	X
	– Press releases	X	<input type="checkbox"/>
	– Newspaper articles, brochures, leaflets	X	<input type="checkbox"/>
	– Television appearances	X	X
	– Radio appearances	X	<input type="checkbox"/>
	– Presentations	X	X
	– Public consultations / meetings	X	X
	– Market surveys	<input type="checkbox"/>	X
	– Displays	X	<input type="checkbox"/>
	– Information at border crossing points	<input type="checkbox"/>	<input type="checkbox"/>
	– Telephone hotline	X	<input type="checkbox"/>
	– Website(s) – if so please provide link(s) www.fws.gov	X	<input type="checkbox"/>
	– Other (specify): □□□□□	<input type="checkbox"/>	<input type="checkbox"/>
Please attach copies of any items or describe examples: □□□□□			

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?					
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).					
	Target group	Daily	Weekly	Monthly	Less frequently	Not known
	Staff of Management Authority	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Staff of enforcement authorities	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3.2.2b	What has been your experience with using the CITES website?	Excellent	<input type="checkbox"/>			
		Good	X			
		Average	<input type="checkbox"/>			
		Poor	<input type="checkbox"/>			
		Very Poor	<input type="checkbox"/>			
		No information	<input type="checkbox"/>			
Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc):		The new CITES				

website format has been difficult to get used to, and sometimes it is still difficult to find topics on it.

Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

Objective 3.3 Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) ¹ to which your country is party? If 'Yes', please give a brief description: □□□□□	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	X

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?		>20
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?		~10
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No
	Agencies for development?	<input type="checkbox"/>	X
	Agencies for trade?	X	<input type="checkbox"/>
	Provincial, state or territorial authorities?	X	<input type="checkbox"/>
	Local authorities or communities?	X	<input type="checkbox"/>
	Indigenous or local peoples?	X	<input type="checkbox"/>
	Trade or other private sector associations?	X	<input type="checkbox"/>
	See Attachment 2 for details of working with the trade.		
	NGOs?	X	<input type="checkbox"/>
Other (please specify) □□□□□	<input type="checkbox"/>	<input type="checkbox"/>	
3.3.2d	Are CITES requirements integrated into?	Yes	No
	National and local development strategies? N/A	<input type="checkbox"/>	<input type="checkbox"/>
	National and local poverty reduction strategies? N/A	<input type="checkbox"/>	<input type="checkbox"/>
	Planning processes?	X	<input type="checkbox"/>
	National accounting? N/A	<input type="checkbox"/>	<input type="checkbox"/>

¹ CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and non-governmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?	X	Commission for Environmental Cooperation
	Non-governmental organizations?	X	Various

Objective 3.4 The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions.

[Aichi Target 1](#), [Target 2](#), [Target 3](#), [Target 4](#), [Target 5](#), [Target 6](#), [Target 7](#), [Target 12](#), [Target 14](#), [Target 17](#), [Target 18](#) and [Target 19](#).

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved?			
		Yes	No	Not Applicable
	Appendix I	X	<input type="checkbox"/>	<input type="checkbox"/>
	Appendix II	X	<input type="checkbox"/>	<input type="checkbox"/>
	Appendix III	X	<input type="checkbox"/>	<input type="checkbox"/>
	If there are such studies that you are willing to share, please provide:			
	Species name (scientific)	Link to the data, or a brief summary		
	□□□□□	□□□□□		
	□□□□□	□□□□□		
	□□□□□	□□□□□		
3.4.1b	Do you have examples of specific examples of success stories or emerging problems with any CITES listed species?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	X	
	If 'Yes', please provide details: □□□□□			

Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes	X
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

Objective 3.5 Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited.
 Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.

Indicator 3.5.1: The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details: □□□□	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	X

Indicator 3.5.2: The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Management Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	□□□□
	Scientific Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	□□□□
	Enforcement Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	X	<input type="checkbox"/>	□□□□

General feedback

Please provide any additional comments you would like to make, including comments on this format.

Item		
Copy of full text of CITES-relevant legislation if changed	Enclosed	<input type="checkbox"/>
Web link(s): https://www.ecfr.gov/cgi-bin/text-idx?SID=612d075319574c4e5bee945ba7c55578&mc=true&node=pt50.9.23&rgn=div5#sp50.9.23.a	Not available	<input type="checkbox"/>
	Previously provided	<input type="checkbox"/>
	Please list any materials annexed to the report, e.g. fee schedules, awareness raising materials, etc: □□□□	
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
	No	X
	No Information	<input type="checkbox"/>
If 'Yes', please describe the constraint and the type of attention or assistance that is required. □□□□		
Are there examples of good practice you would like to share with other Parties?	Yes	<input type="checkbox"/>
	No	X
	No Information	<input type="checkbox"/>
If 'Yes' please provide details / links: □□□□		
How could this report format be improved? Many of the questions do not seem to address the issue relevant to the objective. The format is unwieldy and difficult to use.		

The U.S. CITES Implementation Report 2015 - 2017

1.4.1a CoP17 proposals to amend the CITES Appendices that the United States co-sponsored:

- Indian Pangolin (*Manis crassicaudata*) – CoP17 Prop. 9, India, Nepal, Sri Lanka and the United States of America – Transfer from Appendix II to Appendix I.
- Philippine pangolin (*Manis culionensis*) – CoP17 Prop. 10, Philippines and the United States of America – Transfer from Appendix II to Appendix I.
- Sunda pangolin (*Manis javanica*) and Chinese pangolin (*Manis pentadactyla*) – CoP17 Prop. 11, the United States of America and Viet Nam – Transfer from Appendix II to Appendix I.
- Giant pangolin (*Manis gigantean*), South African pangolin (*Manis temminckii*), Long-tailed pangolin (*Manis tetradactyla*), and White-bellied pangolin (*Manis tricuspis*) – CoP17 Prop. 12, Angola, Botswana, Chad, Côte d'Ivoire, Gabon, Guinea, Kenya, Liberia, Nigeria, Senegal, South Africa, Togo and the United States of America – Transfer from Appendix II to Appendix I.
- African grey parrot (*Psittacus erithacus*) – CoP17 Prop. 19, Angola, Chad, the European Union, Gabon, Guinea, Nigeria, Senegal, Togo and the United States of America Transfer from Appendix II to Appendix I.
- Pygmy chameleons (*Rhampholeon spp.*, *Rieppeleon spp.*) – CoP17 Prop. 27 – Central African Republic, Chad, Gabon, Kenya, Nigeria and the United States of America. Inclusion in Appendix II.
- Trionychidae: Nubian flapshell turtle (*Cyclanorbis elegans*), Senegal flapshell turtle (*Cyclanorbis senegalensis*), Aubry's flapshell turtle (*Cycloderma aubryi*), Zambezi flapshell turtle (*Cycloderma frenatum*), Nile soft-shell turtle (*Trionyx triunguis*), and Euphrates soft-shell turtle (*Rafetus euphraticus*) – CoP17 Prop. 36, Burkina Faso, Chad, Gabon, Guinea, Liberia, Mauritania, Nigeria, Togo and the United States of America - Inclusion in Appendix II.
- Myliobatidae: Devil rays (*Mobula spp.*) – CoP17 Prop. 44, Bahamas, Bangladesh, Benin, Brazil, Burkina Faso, Comoros, Costa Rica, Ecuador, Egypt, European Union, Fiji, Ghana, Guinea, Guinea-Bissau, Maldives, Mauritania, Palau, Panama, Samoa, Senegal, Seychelles, Sri Lanka and the United States of America - Inclusion in Appendix II.
- Nautilidae: Nautilus (*Nautilidae spp.*) – CoP17 Prop. 48 (Rev.1), Fiji, India, Palau and the United States of America - Inclusion in Appendix II.
- New Mexico fishhook cactus (*Sclerocactus cloverae*), Siler's fishhook cactus (*Sclerocactus sileri*), and Blaine's fishhook cactus (*Sclerocactus spinosior blainei*) – CoP17 Prop. 52, the United States of America – Transfer fishhook cacti *Sclerocactus spinosior* ssp. *blainei* (= *Sclerocactus blainei*), *Sclerocactus cloverae* (CITES-listed synonym of *Sclerocactus parviflorus*), and *Sclerocactus sileri* from Appendix II to Appendix I.
- Agarwood (*Aquilaria spp.*, *Gyrinops spp.*) – CoP17 Prop. 60, the United States of America - Amend the listings of *Aquilaria spp.* and *Gyrinops spp.* in Appendix II:
Amend Annotation #14 with the underlined text:
All parts and derivatives except:
 - a) seeds and pollen;
 - b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 - c) fruits;
 - d) leaves;
 - e) exhausted agarwood powder, including compressed powder in all shapes; and
 - f) finished products packaged and ready for retail trade, this exemption does not apply to wood chips, beads, prayer beads and carvings.

- Holy wood (*Bulnesia sarmientoi*) – CoP17 Prop. 6, the United States of America - Amend the listing of *Bulnesia sarmientoi* in Appendix II. Amend Annotation #11 with the underlined text: Logs, sawn wood, veneer sheets, plywood, powder and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.

1.4.1a Periodic Reviews Conducted:

- At the 24th Meeting of The Plants Committee, the United States submitted a periodic review for *Lewisia serrata*.

1.5.1a - Surveys of Appendix I and Appendix II species

The Division of Scientific Authority itself does not conduct surveys. Rather, State wildlife agencies, the National Marine Fisheries Service (NMFS), the U.S. Department of Agriculture (including U.S. Forest Service), and academic scientists at museums, universities, and other organizations undertake such work. They are too extensive to list here and the specifics/description of many are not known to us until we are making a non-detriment finding and do a literature search and/or query our State wildlife agency partners.

3.4.1a – Data on Native (U.S.) Appendix I, II and III species.

Too extensive to list here.

Working with the Trade

In 2016, in Detroit, Michigan, a wildlife inspector participated in the annual Customs and Border Protection (CBP) Detroit Field Office Trade Day, which allows government agencies to present material and answer questions from the trade community. Over 300 attendees from a diverse group of U.S. and Canadian customhouse brokers, carriers, importers, and other trade groups networked with commodity teams, specialists from the Centers for Excellence and Expertise, and frontline officers from CBP and other partner agencies. In addition to FWS, other participating government agencies included Homeland Security Investigations, Immigration and Customs Enforcement; U.S. Department of Agriculture; U.S. Consumer Product Safety Commission; U.S. Environmental Protection Agency; U.S. Patent and Trademark Office; U.S. Food and Drug Administration (FDA); Canadian Border Services Agency; and the Canadian Food Inspection Service.

In 2016, wildlife inspectors in California participated in an import/export forum to educate National Association of Music Merchants members who are impacted by plant or animal products import/export regulations. The presentations focused on wood import regulations, electronic documentation requirements, the Lacey Act, ivory regulations, and CITES regulations. Following the forum, the wildlife inspectors scheduled one-on-one meetings with distributors and suppliers to discuss FWS regulations and practices and explain what constitutes a wildlife product.

In 2015, a wildlife inspector from Newark, New Jersey, along with the Food and Drug Administration and CBP's Centers of Excellence, presented an overview of FWS and federal laws at the Luxury Fashion & Beauty Products Trade Seminar in Bryant Park, New York. The inspector explained the FWS's laws that pertain to wildlife in fashion and beauty products, the process and required documentation, and included a discussion concerning the ITDS integration and how the "one-stop" Internet interface should, and will, be used by the trade. The focus of this trade seminar was to educate U.S. and international importers associated with the fashion and beauty products industries.

In 2015, wildlife inspectors performed numerous outreach events where FWS wildlife inspectors participated included the Seattle-Tacoma (SeaTac) International Airport Air Cargo Stakeholders Meeting; the Dallas Safari Club convention; the Chicago CBP Trade Show; the convention of the National Association of Music Merchants; multiple Smithsonian's National Zoo events; the Turtle Mountain Band of the Chippewa Indians Reservation; Alaskan furriers; and an Earth Day weekend event at the Aquarium of the Pacific in Long Beach, California, which was attended by thousands of visitors.