Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva

Switzerland

Email: <u>info@cites.org</u>

Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	P. R. China, Mainland
Period covered in this report	2015-2017
Department or agency preparing this report	CITES Management Authority
Contributing departments, agencies and organizations	CITES SA, National Forestry and Grassland Administration, General Administration of Customs, Ministry of Agriculture and Rural Affairs

GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.

All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report? If 'Yes', have you shared information with the Secretariat? Yes No Not Applicable If 'No', please provide details to the Secretariat with this report:
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes No If 'No', please provide details of the constraints faced: It does not require special revision and procedure in law, and it will be converted automatically and announce through the public notice.

Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.

Aichi Target 3.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?			
	Are the procedures publicly available?			
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?			
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries			
	Electronic permit information exchange to Management Authorities of all countries?			

	Electronic permit data exchange between Management Authorities and customs?							
	Electronic permit used to cross border with electronic validation by customs?							
	If 'Yes' to any of the above, please provide information on challenges faced or issues overcome:							
	If 'No', do you have any plans to move towards e-permitting ¹ ?							
	If you are planning to move towards e-permitting, please explain what might help you to do so: 22222Financial, technical support and sharing experiences.							
Indicator 1	1.2.2: The number of Parties making use of the simplified pro <u>Conf. 12.3 (Rev. CoP16)</u> .	cedures p	rovided f	or in <u>Resolution</u>				
1.2.2a	Has your country developed simplified procedures for any of the fo	_	Field all and	alicablo				
			Tick all app					
		Yes	No	No information				
	Where biological samples of the type and size specified in Annex 4 of Resolution Conf. 12.3 (Rev. CoP16) are urgently required.							
	For the issuance of pre-Convention certificates or equivalent documents in accordance with <u>Article VII</u> , paragraph 2.							
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.							
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.							
	Are there other cases judged by a Management Authority to merit the use of simplified procedures?							
	If 'Yes', please provide details: 277777							
Objective Indicator 1	the Conference of the Parties. All Aichi targets relevant to CITES, particularly To	arget 9, Ta t reportin	rget 14 an g under	d Target 18. Resolutions and				
1.3.1a								
	Responses provided to ALL relevant reporting requirements Responses provided to SOME of the relevant reporting requirements Responses provided to NONE of the relevant reporting requirements No special reporting requirements applicable							

e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

1.3.1b Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?								
	Yes No							
	If 'Yes', please provide details of which Resolution(s) or Dewere / are being encountered?	ecision(s),	and, for	each, what diff	iculties			
	The new Resolutions and Decisions have been included too many	reports.						
	Some required information could not be submitted just by the mathematical The deadlines of some reports are a little tight.	nagement	authority	and it is hard to o	collect.			
Objective	1.4 The Appendices correctly reflect the conservation no Aichi Target 1, Target 12, Target 14 and		ecies.					
1.4.1:	The number and proportion of species that have bee Resolution Conf. 9.24 or its successors. This includes both the							
1.4.1a	Have you undertaken any reviews of whether species woo on the CITES Appendices?	uld benefi	t from lis	iting Yes	No 🗌			
	If 'Yes', please provide a summary here, or a link to the re (or a copy of that report to the Secretariat if the work is n Jiang et al. "Domestic and CITES regulations controlling to Oryx 47.04 (2013): 532-534.	ot availab	le online	-	china."			
	Li L, Jiang Z (2014) International Trade of CITES Listed Bir e85012. doi:10.1371/journal.pone.0085012	d Species	in China	. PLoS ONE 9(2):			
Objective	1.5 Best available scientific information is the basis for Aichi Target 2, Target 4, Target 5, Target			-	d Target 14.			
Indicator 1	L.5.1: The number of surveys, studies or other analyses the sources of information cited in Resolution Con a) the population status of Appe b) the trends and impact of trad c) the status of and trend in na impact of any recovery plans.	f. 16.7 on endix-II sp le upon Ap	Non-det ecies; opendix-	riment findings	related to:			
1.5.1a	Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to:	Yes	No	Not Applicable	If Yes, How			
	the population status of Appendix II appairs?				many? □□			
	- the population status of Appendix II species?- the trends and impact of trade on Appendix II							
	species?							
	 the status of and trend in naturally-occurring Appendix I species? 							
	- the impact of any recovery plans on Appendix I species?							
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?							

	If there are such studies that you are willing to share, please provide:				
	Species name (scientific)	A brief summary of the results of the survey, study or other analysis (e.g. population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.			
	Shinisaurus crocodilurus	This species was firstly proposed to be listed in CITES Appendix II. We did surveys on population and habitat of this species in 2015 and found the population of this species remained small in fragmented sites. Thus we proposed to transfer this species to Appendix I with Viet Nam in 2016.			
	Endangered Flora in China	State Forestry Administration of China. 2009. Investigation on the resources of Key Protected Wild Plants in China. Chinese Forestry Press. Beijing. China. ISBN: 978-7-5038-5137-7			
	Endangered Fauna in China	State Forestry Administration of China. 2009. Investigation on the resources of Key Protected Wild Animals in China. Chinese Forestry Press. Beijing. China. ISBN: 978-7-5038-5138-4			
	CITES listed Species in China	Jiang et al. editor. 2018. Important Strategic Species Resources in China: Status, Trade and Conservation. Shanghai Scientific & Technical Publishers. In press			
1.5.1b	How are the results of such surveys, studies of findings (NDFs)?	or other analyses used in making non-detriment Please tick all that			
	apply				
		Revised harvest or export quotas Banning export Stricter domestic measures			
		Changed management of the species			
		Discussion with Management Authorities			
		Discussion with other stakeholders?			
	0	ther (please provide a short summary): 22222			
1.5.1c	Do you have specific conservation measure plans for naturally occurring Appendix-I liste	d species?			
	, , , , , ,	Not Applicable			
		No information			
	If 'Yes', please provide a brief summary, inclu				
		e and crested ibis, conservation measures and			
		gnificant progress. In addition, national parks have servation of naturally occurring Appendix-I listed			
	species like tiger, leopard and giant panda.	retruction of nucturary occurring Appendix 1 instead			
1.5.1d	Have you published any non-detriment findir	ngs that can be shared? Yes No			
	If 'Yes', please provide links or examples to the	•			
	Jiang et al. editor. 2018. Important Strateg Conservation. Shanghai Scientific & Technic	ic Species Resources in China: Status, Trade and al Publishers. In press			
1.5.1e	Which of the following (A to F of paragraph a do you use in making non-detriment findings				

	A. relevant scientific literature concerning species biology, life history, distribution and population trends.	
	B. details of any ecological risk assessments conducted.	
	C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.	
	D. relevant knowledge and expertise of local and indigenous communities.	
	E. consultations with relevant local, regional and international experts.	
	F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a				No			
		Yes	No	informatio			
				n			
	Do you have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7?						
	If 'Yes', please briefly describe your procedures for making no or attach as an annex to this report, or provide a link to where on the internet:			found			
	China SA conducts NDF under procedures and standards of CITES on a case by case basis. Upon receiving a consulting letter regarding trade of CITES Appendix species from the Division of Fauna Affairs or the Division of Flora Affairs in China CITES Management Authority, the Executive Office of ESSC will collect data, consult specialists or experts in relevant fields, or will carry out on site survey. Until sufficient evidences have been gathered for making a NDF of the trade, then a NDF will be made.						
	Processes of NDF conducted by the SA China are classificategory A: including the importing or exporting of captive Appendix species and stock materials with national certification will be done within one working day;	e-bred or arti	ficial pr				
	Category B: the background and source of the exporting or importing are not clear. ESSC members, other biologists or national competent authorities will be consulted by the ESSC Executive Office. When necessary, a site inspection is organized by the executive Office of ESSC, members of ESSC, experts, representative from SA will form an inspecting team. A finding report will be written after the on-site inspection. Such a NDF will be finished within 10 working days; Category C: normally involves of a periodic review of significant trade and a task specific NDF, such a NDF normally takes three weeks. The SA-China closely watch special attention to those specimens harvested from the wild and are traded for commercial purpose in large quantity.						
1.5.2b	All NDF are signed by the Executive Director of ESSC then pass to MA China. When establishing non-detriment findings, have any of the following						
1.5.20	guidance been used?	J	asa tick	all that apply			
	Virtual	College	ase tick	ан спас арргу			
		College Checklist					
	Resolution Co	-					
	2008 NDF w	•					
	Species specific g	uidance					
		Other					
	If 'Other' or 'Species specific guidance', please specify details: 2	????					
	We have used the "CITES Non-detriment Findings Guidance for process" to help us doing NDF for <i>Dendrobium</i> spp. and <i>Cistan</i>			e nine-step			
1.5.2c	How often do you review and/or change your non-	Case by case					
	detriment findings?	Annually					
		Every two yea	rs				
		Less frequent	У				
		A mix of the a	bove				
	Please describe the circumstances under which non-detriment	findings would	be char	nged:			

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	Do you set annual export quotas?	Yes	
		No	
	If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how		
	quotas are set:		Other,
		Population Survey?	please
	Species Name (scientific)		specify
	2 2 2 2 2	$\overline{\sqcap}$?????
	22222	\Box	?????
	2222		?????
1.5.3b	Have annual export quotas been set at levels which will	Yes	
	ensure sustainable production and consumption?	No	
	If 'Yes', please describe how this fits into your non-detriment	finding process:	
	2/2/2/2		

Objective 1.6 Parties cooperate in managing shared wildlife resources.

Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.

Indicator 1.6.1: The number of bilateral and multilateral agreements that specifically provide for comanagement of shared CITES listed species by range States.

1.6.1a	Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species? Yes No				
	If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:				
	Sino-US, Protocol on Natural Protection				
	Sino-U.E., Cooperation Arrangement on CITES Related Matters				
	Sino-Germany, Cooperation Arrangement on CITES Related Matters				
	Sino-Japan, MOU on Wildlife and Ecosystem Protection				
	Sino-Japan, Crested ibis Protection Agreement				
	Sino-Korea, Crested ibis Protection Agreement				
	Sino-New Zealand, Migratory Waterbird Protection Agreement				
	Sino-Mongolia, Amer tiger Breeding Cooperation Agreement				
	Sino-Nepal, MOU on Wildlife Protection Cooperation				

Indicator 1.6.2: The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

1.6.2a	Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species? Yes No
	If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species.
	Species Name (scientific) Link or reference to a published plan
	Amer tiger (Panthera tigris ssp.altaica)
	Crested ibis(Nipponia Nippon)
	Rhinoceros

Indicator 1.6.3: The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

1.6.3a	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?							
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources ¹ ?	
	Staff of Management Authority						CITES Secretariat, some NGOs, the other Parties, specialists and so on	

Please provide the names of Parties, and any non-Parties, involved.

	Staff of Scientific Authority Staff of enforcement authorities						CITES Secretariat, TRAFFIC International supported the Chinese translation and a workshop of NDF guidance for perennial plants in China. CITES Secretariat, some NGOs
	Traders						CITES Secretariat, CITES MA, SA, Law enforcement authorities, NGOs
	NGOs						
	Public						
	Other (please specify): ?????						????? <mark>-</mark>
1.6.3b	Have the CITES authorities been the pother range States?	roviders	of any	of the fo	ollowir	ng cap	pacity-building activities to
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Target group	Or ad	Te	ii	<u></u>	ğ	Details
	Staff of Management Authority						?????
	Staff of Scientific Authority						?????
	Staff of enforcement authorities						?????
	Traders						?????
	NGOs						?????
	Public						?????
	Other Parties/International meetings						?????
1.0.20	Other (please specify) 22222 In what ways do you collaborate with	athar C	ITEC Dow	tios2		Ш	?????
1.6.3c	in what ways do you conaborate with	other C	IIES Par	uesr			
		Never	Rarely	Sometimes	Very Often	Alwavs	Further detail / examples
	Information exchange						?????
	Monitoring / survey					E	??????
	Habitat management						2????
	Species management						??????
	Law enforcement					Ε	?????
	Capacity building						7277
	Other (please provide details) 22222						

Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade.

Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.

Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:

- an international enforcement strategy and/or action plan;
- formal international cooperation, such as an international enforcement

network;

- a national enforcement strategy and/or action plan; and
- formal national interagency cooperation, such as a national interagency enforcement committee.

1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No	No Information			
	– an international enforcement strategy and/or action plan?						
	– formal international cooperation, such as an international enforcement network?						
	– a national enforcement strategy and/or action plan?						
	– formal national interagency cooperation, such as a national interagency enforcement committee?						
	If 'Yes' to any of the above, please specify the level of engagement and provide additional details:						
	For international enforcement action plan, For instance, we organized the Cobra III in 2015, participant in the Operation "Thunder bird" organized by Interpol. For national interagency cooperation, we establish China's National Inter-agency CITES Enforcement Coordination Group including 9 ministry and the Inter-Ministerial Joint Conference against Illegal Wildlife Trade including 22 ministry.						

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?	Yes No, but review is under consideration No No information	
	If 'Yes', what do you do? 222222		
	If 'Yes' or 'No, but review is under consideration', which tools do yo	ou find of value?	
	For instance, we organized the annual meeting of China's National Coordination Group to review our enforcement activities.	I Inter-agency CITES Enforcer	nent
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	Yes No, but toolkit use is unde consideration No No No No I	r
	If 'Yes', please provide feedback on the parts of the toolkit used and	d how useful the toolkit or	

equivalent tools have been. Please specify improvements that could be made:

We translated the ICCWC) Wildlife and Forest Crime Analytic into Chinese version and delivered it to all law enforcement authorities for them to use.

If 'No', please provide feedback on why not or what is needed to make the toolkit or equivalent tools useful to you:

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?	Yes III
	If 'Yes', please provide the title of the legislation and a summary of the penalties available ?????	No information
	Criminal Laws, Law of Customs, Law of wildlife conservation, Regulation of import and export of wildlife	
1.7.3b	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime ¹ in your country?	Yes
	If 'Yes', please explain what criteria must be met for poaching or will treated as serious crimes: 22222	ldlife trafficking offences to be
	The penalize of smuggle of CITES Appendix I and II species and its the species as fellow. (1) less than 2 hundred thousand RMB: fixe than five years and fined; (2) 2 hundred thousand to 1 million RMB and fined; (3) more than 1 million RMB imprisonment of more than	ed-term imprisonment of not more : imprisonment of five to ten years
1.7.3c	Do you have capacity to use forensic technology ² to support the investigation of CITES offences?	Yes No No information
	If 'Yes', please provide a brief summary of any samples from CITES-I and submitted to an appropriate forensic analysis facility (located in country) during the period covered in this report:	•
	If 'Yes', and your country has an appropriate forensic analysis facility indicate which species it applies to: 22222	y for CITES-listed species, please
	We have more than 20 facilities including universities and research group focus on forensic technology in wildlife.	h institutions who has the typical
1.7.3d	Did your authorities participate in or initiate any multi- disciplinary ³ law enforcement operation(s) targeting CITES-listed species during the period covered in this report?	Yes No No information
	If 'Yes', please provide a brief summary, including any lessons learne	ed which might be helpful for

The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

² Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

³ A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

	other Parties: 22222				
1.7.3e	Do you have a standard operating procedure among ragencies for submitting information related to CITES of INTERPOL and/or the World Customs Organization?			Yes No No informatio	on
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?
	General crime ¹				?????
	Predicate offences ²				?????
	Asset forfeiture ³				?????
	Corruption ⁴				?????
	International cooperation in criminal matters ⁵				?????
	Organized crime ⁶				?????
	Specialized investigation techniques ⁷				?????
	If 'Yes' to any of the above, please explain how each i summary, including any lessons learned which might				
1.7.3g	Do you have institutional capacity to implement the liprovisions listed in question 1.7.3f against CITES offer	nces?		Yes No No informati	_
	If 'No', please provide a brief summary of your major	capacit	y-build	iing needs: 222	<u>: : </u>

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always	
		Very often	
		Sometimes	
		Rarely	
		Never	
		No information	

General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

1.7.4b	Do you have capacity to analyse information gathered on illegal trade in CITES-listed species?	Yes No No information	
1.7.4c	Do you use criminal intelligence ¹ to inform investigations into illegal trade in CITES-listed species?	Always Very often Sometimes Rarely Never No information	
1.74d	Have you implemented any supply-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes No, but activities are under development No No information	
1.7.4e	Have you implemented any demand-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes No, but activities are under development No No information	

¹ Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the	e period covered in this report:	Yes	No	No Information
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?			
	If 'Yes', please indicate how many and for what types of offence fines, bans, suspensions	s. If availa	ble, please a	attach details:
1.7.5b	Have there been any criminal prosecutions of CITES-related offences?			
	If 'Yes', how many and for what types of offences? If available, p	olease atta	ch details: 🛚	?????
1.7.5c	Have there been any other court actions against CITES-related offences?			
	If 'Yes', what were the offences involved and what were the results?	Please atta	ach details: 🛭	????
1.7.5d	How were any confiscated specimens disposed of?		Tick	all that apply
	 Return to country of export 			
	 Public zoos or botanical gardens 			
	Designated rescue centres			
	 Approved private facilities 			
	– Euthanasia			
	Other (please specify): 222222			
	Have you encountered any challenges in disposing of confiscate 22222	ed specime	ens?	
	Do you have good practice that you would like to share with oth	ner Parties	;?	
	Such as: Destruction, public awareness, scientific purpose.			

Objective 1.8 Parties and the Secretariat have adequate capacity-building programmes in place.

Aichi Target 1, Target 12 and Target 19.

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support:	Yes	No
	The making of non-detriment findings?		
	Permit officers?		
	Enforcement officers?		
1.8.1b	Is the CITES Virtual College used as part of your capacity building	Yes	
	work?	No	
		No information	
	What improvements could be made in using the Virtual College for capacity building? 22222		

1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building? 2727272	Yes No No information	
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GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

Objective 2.1 Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.

Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	Do you have an approved service standard(s) ¹ for your Management Authority(ies)?		Yes No	
	If 'No', please go to Question 2.2.1d.			_
	If 'Yes', for which services are there standards, and what are those standards?	?????		
	Including but not limited to time constraint for permit verification, licensing period, and other requirement.			
	If 'Yes', do you have performance targets for these standards ² ?		Yes	
	If 'Yes', what are your performance targets?		No	
		?????		
	Do you publish your performance against service standard targets?		Yes	
			No	
	If possible, please provide your performance against service standards during the period covered in this report:	?????		
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No
	availability of funding?			
	number of staff?			
	a shortage of skills?			
	If 'Yes' to a shortage of skills, which skills do you need more of?			
2.2.1b	Do you have an approved service standard(s) ⁴⁷ for your Scientific Authority(ies)?		Yes No	
	If 'No', please go to Question 2.2.1d.		-	
	If 'Yes', for which services are there standards, and what are those standards?	?????		
	If 'Yes', do you have performance targets for these standards ⁴⁸ ?		Yes	

For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

For example, 85% of all decisions will take place within the service standard.

	If 'Yes', what a	are your performance target	rs?	?????	No	
		ease provide your performa ring the period covered in th	_	?????		
	If you did not a result of:	meet your performance tar	gets then was this shortfall	Yes		No
	 availabilit 	y of funding?				
	number o	f staff?				
	a shortage	e of skills?				
	If 'Yes' to a sh	ortage of skills, which skills	do you need more of?			
2.2.1c	-	an approved service standar	d(s) ⁴⁷ for your		Yes	
		authority(ies)?			No	
	-	go to Question 2.2.1d. nich services are there stand	ards and what are those			
	standards?	iich services are there stand	arus, ariu wiiat are tiiose	?????		
	•	u have performance targets			Yes	
	If 'Yes', what a	are your performance target	rs?		No	
				?????		
		ease provide your performa ring the period covered in th	_	?????		
	•	meet your performance tar	gets then was this shortfall			
	a result of:			Yes		No
	– availabilit	y of funding?				
	– number o	f staff?				Ш
	a shortage	e of skills?				
	have one divi coordination law enforcem	ortage of skills, which skills ision under the CITES MA for a condition when the CITES makes are the condition with a custon and the condition are custoned to the condition will be condition.	or the law enforcement aff and funding under the			
2.2.1d	•	• • •	r answered 'No' to the first peof approved service standa	•	-	
	Do you have s	sufficient of the following fo	r your authorities to function	n effectively?		
		Management Authority(ies)	Scientific Authority(ies)	Enforc Author	cement ity(ies)	
	Funding?	Yes ☐ No ☐	Yes No 🗌	Yes 🗍	No 🗌	
	Staff?	Yes No No	Yes No 🗌	Yes	No 🗌	
	Skills?	Yes No	Yes No 🗌	Yes	No	
						i

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities;
- hired more staff;
- developed implementation tools;
- $\,$ $\,$ purchased technical equipment for implementation, monitoring or enforcement.

	Hiring of more staff Development of implementation tools		Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES implementation at the national level?						
	Development of implementation tools		Hiring of more staff						
		Development of implementation tools							
-	Purchase of technical equipment for im enforcement	plementati	on, monitorin	g or					
2.2.2b	Other (please specify): 22727								
	During the period covered in this report budget for your:	t, was the	Increased	Stable	Decreased				
	Management Authority(ies)								
	Scientific Authority(ies)								
	Enforcement authorities								
	Have you been able to use internationa development funding assistance to incr level of implementation of your		Yes	No	Not applicable				
	Management Authority(ies)?								
	Scientific Authority(ies)?								
-	Enforcement authorities?								
	What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities?								
	Activity	High	Medium	Low	Not a Priority				
	Hiring of more staff								
	Development of implementation tools								
	Purchase of new technical equipment for implementation, monitoring or enforcement								
	e-permitting								
	Other (please specify): ??????								
2.2.2e	Do you have a operational system (e.g. database) for managing	electronic	Yes	Under development	No				
	Species information								
	Species information Trade information								

	 Licensing or registration of operations that produce CITES species 		
	 Harvesting of CITES-listed species 		
	Use of CITES-listed species		
	 Assignment of quotas for CITES-listed species 		
	– Other (please specify): 22222		
	We don't have any charges.		
2.2.3b	Is a fee schedule publicly available?	Yes No	
	If 'Yes', please provide an internet link, or a copy of the schedule to the Secretaria	t:	
2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife co	onservation?	
		Entirely	
		Partly	
		Not at all	
		Not relevant	
2.2.3d		Yes	No
	Do you raise funds for CITES management through charging user fees?		
	Do your fees recover the full economic cost of issuing permits?		
	Do you have case studies on charging or using fees?		
	If 'Yes' to any of the above, please provide brief details: 22222		
	Do you use innovative financial mechanisms to raise funds for CITES implementation?		
	If 'Yes', please provide brief details: থারেরে		

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	Do you use incentive measures ¹ such as those described in CoP14 Doc 14.32 to	implement	the
	Convention?		
	Yes No		
	Due diligence		
	Compensatory mechanisms		
	Certification		
	Communal property rights		
	Auctioning of quotas		
	Cost recovery or environmental charges		
	Enforcement incentives		
	If 'Yes' to any of the above, or if you use other measures, please provide a summinformation: 22222	nary or link	to further
2.2.4b	Have incentives harmful to biodiversity been eliminated?	ot at all	
	Ve	ery little	
	S	omewhat	
		Completely	

Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

Objective 2.3 Sufficient resources are secured at the national and international levels to implement capacity-building programmes.

Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many training and capacity building you run during the period covered in this	-		e	Withou from th Secreta	ie	stance	Conducted or assisted by the Secretariat
			N	lone				
				1				
				2-5				
				6-10				
			1 Jore tha	1-20				
		ľ	viore tri	an 20				Ш
	Please list the Resolutions or Decisions in	nvolved:	???? A	lmost	all Res	and D	ecision	s ?
2.3.1b	What sorts of capacity building activiti Training course for all law enforcement Training course for developing countries.	ent auth	ority o	f Chir	na	l Δmi	erica	
	Workshop of identify and court justice f				nan and	4 7111	crica	
2.3.1c	What capacity building needs do you have	ve?						
	Please tick all boxes which apply to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)		Details
	Staff of Management Authority						??????	
	Staff of Scientific Authority						??????	
	Staff of enforcement authorities						??????	
	Traders / other user groups						??????	
	NGOs						??????	
	Public						??????	
	Other (please specify)						??????	

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An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

GOAL 3 CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.

Aichi Target 2 and Target 20.

Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes No Not applicable No information	
	If 'Yes', please provide brief details: 22222		
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased Remained stable Decreased	

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.7

3.1.2a	3.1.2a Have you provided technical or financial assistance to another country or countries in relation to CITES?							information
	If 'Yes', please tick boxes to indicate type of assistance provided Country(ies)	Species Management¹	Habitat Management ²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)
	All the countries in Africa, some countries in Asia							?????
								?????
								?????
								?????
	?????							?????
	?????							?????

Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

Objective 3.2 Awareness of the role and purpose of CITES is increased globally.

Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following		Relevant
3.2.10	activities to bring about better awareness of the Convention's		User
	requirements by the wider public and relevant user groups?	Wider public	Groups
	Press conferences		
	 Press releases 		
	 Newspaper articles, brochures, leaflets 		
	 Television appearances 		
	 Radio appearances 		
	Presentations		
	 Public consultations / meetings 		
	 Market surveys 		
	- Displays		
	 Information at border crossing points 		
	 Telephone hotline 		
	- Website(s) − if so please provide link(s) 22222		
	- Other (specify): 22222		
	Please attach copies of any items or describe examples:		
	Website: http://www.cites.gov.cn,		
	http://www.cites.org.cn/		

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?						
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary). Target group	Daily	Weekly	Monthly	Less frequently	Not known	
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
3.2.2b	What has been your experience with using the C	ITES we	bsite?	Exce	llent		
				Goo	d		
				Avei	rage		
				Poor	r		
				Very	Poor		
				No i	nformation		
	Any further comments on the CITES Website? (e which authorities find which functions/tools mo	_	•	•		ered,	

Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

Objective 3.3 Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) ¹ to which your country is party?	Yes No No information	
	If 'Yes', please give a brief description: All these relevant conventions we launched some activities and meetings to protect wildlife species. Suc Ramsar		ИS,

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your contributed towards?	r country	Around 30	
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?			
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No	
	Agencies for development?			
	Agencies for trade?			
	Provincial, state or territorial authorities?			
	Local authorities or communities?			
	Indigenous or local peoples?			
	Trade or other private sector associations?			
	NGOs?			
	Other (please specify) 22222			
3.3.2d	Are CITES requirements integrated into?	Yes	No	
	National and local development strategies?			
	National and local poverty reduction strategies?			
	Planning processes?			
	National accounting?			

CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and non-governmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?		CITES
	Non-governmental organizations?		CWCA, CATCM, TRAFFIC, WWF,

Objective 3.4 The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions.

Aichi Target 1, Target 2, Target 3, Target 4, Target 5, Target 6, Target 7, Target 12, Target 14, Target 17, Target 18 and Target 19.

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

	1					 1
3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved? Yes					Not Applicable
			Appendix I			
			Appendix II			
		A	Appendix III			
	If the	ere are such studies that you are willing to sh	are, please pro	vide:		
`		Species name (scientific)	Link to the da	ta, or a brief	summar	у
Species Link to the data, or a brief summary name (scientific)						
Uncia u	ncia	http://www.iucnredlist.org/details/22732/0				
Ailurop melano		http://www.iucnredlist.org/details/712/0				
Panthoi hodgsoi	-	http://www.iucnredlist.org/details/15967/0				
3.4.1b		Do you have examples of specific examples of emerging problems with any CITES listed specific		s or	Yes No	
If 'Yes', please provide details: Tibetan antelope is a perfect example of success story with CITES listed species. The population of Tibetan antelope underwent a severe decline in the 1980s and early 1990s as a result of targeted poaching for the valuable underfur, leading to an estimated 65,000-72,500 by the mid-1990s (Schaller 1998). Since then, rigorous protection and international cooperation have given the antelopes enough breathing space to breed. Leslie and Schaller (2008) suggested there may be 100,000. Feng (1999)					formation	

estimated 100,000 to 120,000, while Xi and Wang (2004) estimated
150,000. Liu (2009) said the population had doubled since the mid-1990s
(i.e. now ca 150,000). Densities of 0.03-9.21 individuals/km², with an
average of 1.77/km² were reported by Leslie and Schaller (2008). The
species was recently assessed as Near Threatened in the Chinese Red
List of Vertebrates (Jiang et al. 2016). This species was assessed as NT
in IUCN Red List in 2016, which was previously assessed as EN.

Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes No No information	
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes No No information	

Objective 3.5	Parties and the Secretariat cooperate with other relevant international organizations and
	agreements dealing with natural resources, as appropriate, in order to achieve a coherent and
	collaborative approach to species which can be endangered by unsustainable trade, including
	those which are commercially exploited.

Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.

Indicator 3.5.1: The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details: 2727272	Yes No No information	
	We have already signed MOU with more than seventy countries, all these MOU included the part of wildlife protection, management and enforcement. Species refer to Giant panda, tiger, Crested Ibis and so on.		

Indicator 3.5.2: The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
	Management Authority(ies)						?????
	Scientific Authority(ies)						?????
	Enforcement Authority(ies)						?????

General feedback

Please provide any additional comments you would like to make, including comments on this format.

ltem					
Copy of full text of CITES-relevant legislation if changed	Enclosed				
Web link(s) ??????	Not available				
	Previously provided				
Please list any materials annexed to the report, e.g. fee schedules, awareness raising materials, etc:					
Have any constraints to implementation of the Convention arisen in	n Yes				
your country requiring attention or assistance?	No				
	No Information				

If 'Yes', please describe the constraint and the type of attention or assistance that is required. 277777					
There are more departments involved in CITES implementation, so it bring out some difficulties in					
management and coordination.					
International pressure is still remain.					
Illegal trade and smuggling is still exist.					
It still requires the understanding, support and cooperation of the inter	national community.				
The import and export trade procedures of species need to be simplifie	d.				
Are there examples of good practice you would like to share with other	Yes				
Parties?	No				
	No Information				
If 'Yes' please provide details / links: ??????					
Implementation enforcement operations and actions with customs and	public security.				
Carry out training and publicizing for developing countries in Africa and	Asia				
Species identification and protection training to public					
Carry out wildlife protection and promotion activities across the country on World Wildlife day					
How could this report format be improved? 22222					

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.

Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: info@cites.org

Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party							
Period c	covered in this report	January 2015 -	015 - 31 December 2017 🗆 🗆 🗆				
Departm	Department or agency preparing this report ☐ Agriculture, Department, H			Fisheries and Conservation ong Kong SAR of China□□□□			
Contribu	uting departments, agencies and organizations						
GOAL 1	ENSURE COMPLIANCE WITH AND IMPLE THE CONVENTION	EMENTATION	I AND	ENFOR	RCEMENT OF		
Objective	Parties comply with their obligations under legislation and procedures. All Aichi Targets relevant to CITES, particularl Target 17 and Target 18.						
Indicator	1.1.1: The number of Parties that are in category 1 un	nder the natior	nal legis	slation pro	ject.		
1.1.1a	Have any CITES relevant policies or legislation been of report? Yes ☑ No ☐ If 'Yes', have you shared information with the Secretar If 'No', please provide details to the Secretariat with the	at? Yes ⊠ No s report:	o 🗌 No	t Applicab	ole 🗌		
1.1.1b	 Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes ☐ No ☐ If 'No', please provide details of the constraints faced: ☐ To reflect changes in CITES Appendices, we need to amend the existing ordinance through a legislative exercise which would take more than 90 days. ☐ ☐ ☐ 						
Objective	Parties have in place administrative procedure user-friendly, and reduce unnecessary adminis Aichi Target 3.			nt, practica	al, coherent and		
Indicator	1.2.1: The number of Parties that have adopted st issuance of permits in accordance with Article			orocedure	s for the timely		
			Yes	No	No information		
1.2.1a	Do you have standard operating procedures for appl and issuance of permits?	ication for	\boxtimes				
	Are the procedures publicly available?		\boxtimes				
1.2.1b	Do you have:						
	Electronic data management and a paper-based permissuance system?	it	\boxtimes				
	Electronic permit information exchange between Mana Authorities of some countries If 'Yes', please list countries	gement		\boxtimes			
	Electronic permit information exchange to Managemer Authorities of all countries?	it		\boxtimes			
	Electronic permit data exchange between Managemer Authorities and customs?	nt		\boxtimes			
	Electronic permit used to cross border with electronic vby customs?	alidation		\boxtimes			

	If 'Yes' to any of the above, please provide information on challen	ges faced	or issues	overcome:
	If 'No', do you have any plans to move towards e-permitting¹? If you are planning to move towards e-permitting, please explain value = = = = = = = = = = = = = = = = = = =	⊠ what migh	t help you	u to do so:
Indicator ′		cedures p	provided t	or in Resolution
1.2.2a	Has your country developed simplified procedures for any of the f	-	Гіск all ap	nlicable
		Yes	No	No information
	Where biological samples of the type and size specified in Annex 4 of Resolution Conf. 12.3 (Rev. CoP16) are urgently required.			
	For the issuance of pre-Convention certificates or equivalent documents in accordance with <u>Article VII</u> , paragraph 2.			
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.			
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.			
	Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details: □□□□□□			
Objective Indicator	the Conference of the Parties. All Aichi targets relevant to CITES, particularly Target 9, Ta	arget 14 a	ind Target g under	18. Resolutions and
1.3.1a	Has your country responded to all relevant special reporting requirements the period covered in this report, including those in the Resolution Conference of the Parties, Standing Committee recommendation the Secretariat (see [link to location on the CITES website where listed])?	ons and Dons, and Notes the repo	ecisions o otification <mark>rting requ</mark>	of the s issued by irements are
	Responses provided to ALL r Responses provided to SOME of the r Responses provided to NONE of the r No special rep	elevant re	eporting re	equirements 🖂
1.3.1b	Were any difficulties encountered during the period covered in the implementing specific Resolutions or Decisions adopted by the of the Parties?		e	Yes □ No ⊠
	If 'Yes', please provide details of which Resolution(s) or Decision were / are being encountered?	(s), and, f	or each, v	vhat difficulties

e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

Objective 1.4 The Appendices correctly reflect the conservation needs of species.

Aichi Target 1, Target 12, Target 14 and Target 19.

1.4.1:	The number and proportion of species that have been found to meet the criteria contained in Resolution
	Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

1.4.1a	Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices?	Yes ⊠ No □
	If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):	
	□We have provided comments upon request e.g. the listing proposals of some from Hong Kong newt, nautilus, silky shark and thresher sharks.□□□□	eshwater turtles,

Objective 1.5 Best available scientific information is the basis for non-detriment findings.

Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.

- Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:
 - a) the population status of Appendix-II species;
 - b) the trends and impact of trade upon Appendix-II species; and
 - c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys, studies or other analyses been undertaken in your country in relation to:	Yes	No	Not Applicable	If Yes, How many?
	- the population status of Appendix II species?				
	- the trends and impact of trade on Appendix II species?				
	- the status of and trend in naturally-occurring Appendix I species?				
	- the impact of any recovery plans on Appendix I species?				
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?				

	If there are such studies that you are willing to share, please provide:					
	Species name (scientific)	A brief summary of the results of the survey, study or other analysis (e.g. population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.			line /	
1.5.1b	How are the results of such surveys, studies or other analyses used in making non-detriment findings (NDFs)? Please tick all that apply					
	Revised harvest or export quotas					
	Banning export					
	Stricter domestic measures Changed management of the species					
	Discussion with Management Authorities					
	Discussion with other stakeholders?					
	Othe	er (please provi	de a short summa	ry): 🗆 🗆 🗆 🗆		
1.5.1c	Do you have specific conservation measure plans for naturally occurring Appendix-I liste		Yes			
	plans for naturally occurring Appendix-i liste	a species:	No Not Applicable			
			No information			
	If 'Yes', please provide a brief summary, inclimpact: □□□□□	If 'Yes', please provide a brief summary, including, if possible, an evaluation of their				
1.5.1d	Have you published any non-detriment findings that can be shared? Yes ☐ No ☐ If 'Yes', please provide links or examples to the Secretariat within this report:					
1.5.1e	Which of the following (A to F of paragraph a do you use in making non-detriment findings		ion Conf. 16.7)	Yes	No	
	A. relevant scientific literature concerning sp distribution and population trends.	ecies biology, l	ife history,			
	B. details of any ecological risk assessment	s conducted.				
	C. scientific surveys conducted at harvest lo from harvest and other impacts.	cations and at	sites protected			
	D. relevant knowledge and expertise of loca	l and indigenoเ	us communities.			
	E. consultations with relevant local, regional	and internation	nal experts.			
	F. national and international trade information the CITES trade database maintained by UN Monitoring Centre (UNEP-WCMC), publication trade and investigations of sales at mark example.	NEP World Cor ions on trade, l	nservation ocal knowledge			

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a				No			
		Yes	No	information			
	Do you have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7?						
	If 'Yes', please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:						
1.5.2b	When establishing non-detriment findings, have any of the following						
	guidance been used?	Ple	Please tick all that apply				
	Virtual Co	Virtual College					
	IUCN Chec	IUCN Checklist					
	Resolution Conf.	Resolution Conf. 16.7					
	2008 NDF works	2008 NDF workshop Species specific guidance					
		Other					
	If 'Other' or 'Species specific guidance', please specify details: □□□□□						
1.5.2c		ase by case	9				
		nually					
	Ev	ery two ye	ars				
	Le	tly					
	A	A mix of the above					
	Please describe the circumstances under which non-detriment findings would be changed:						
		,					

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	Do you set annual export quotas?	Yes No			
	If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how				
	quotas are set:		Other,		
		Population	please		
	Species Name (scientific)	Survey?	specify		
1.5.3b	Have annual export quotas been set at levels which will	Yes			
	ensure sustainable production and consumption?	No			
	If 'Yes', please describe how this fits into your non-detriment finding process:				

Indicator 1.6.1: The number of bilateral and multilateral agreements that specifically provide for comanagement of shared CITES listed species by range States.								
1.6.1a	Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species?Yes \(\subseteq \text{No } \subseteq \) If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved: \(\subseteq \subseteq \subseteq \subseteq \subseteq \subseteq \)							
Indicator 1.	6.2: The number of cooperat populations of CITES-list			nt plans	, includi	ng red	covery	y plans, in place for shared
1.6.2a	Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species? Yes No							
	If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species.							
	Species Name (scientific)	·						
		00000						
		00000						
Indicator 1.6.3: The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species. 1.6.3a Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?								
	Please tick boxes to indicate target group and which activit		Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources¹?
	Staff of Management Authorit	У						
	i		. —		. —			
	Staff of Scientific Authority				Ш		ш	
	Staff of Scientific Authority Staff of enforcement authoritie	es						
	Staff of enforcement authorition	es						
	Staff of enforcement authorition Traders NGOs	es						
	Staff of enforcement authorition							00000

Parties cooperate in managing shared wildlife resources. Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.

Objective 1.6

Please provide the names of Parties, and any non-Parties, involved.

	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	De	etails
	Staff of Management Authority				П			, cano
	Staff of Scientific Authority							
	Staff of enforcement authorities							
	Traders							
	NGOs							
	Public							
	Other Parties/International meetings							
	Other (please specify)							
1.6.3c	In what ways do you collaborate with	other C	ITES P	arties?		· · · · · ·		
] Never] Rarely	Sometimes] Very Often] Always	exa	er detail / amples
	Information exchange							
	Monitoring / survey							
	Habitat management							
	Species management							
	Law enforcement							
	Capacity building			Ш				
	Other (please provide details)							
-	Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade. Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19. Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with: - an international enforcement strategy and/or action plan; - formal international cooperation, such as an international enforcement network; - a national enforcement strategy and/or action plan; and - formal national interagency cooperation, such as a national interagency enforcement committee.							
1.7.1a	Do you have, are you engaged in, or co					Yes	No	No Information
_	 – an international enforcement strategy and/or action plan? – formal international cooperation, such as an international enforcement network? 							
					\boxtimes			
	– a national enforcement strategy and/or action plan?							
	 formal national interagency cooperation, such as a national interagency enforcement committee? 					\boxtimes		
	f 'Yes' to any of the above, please spec details: Wildlife Crime Task Force has been se	-				·		

Have the CITES authorities been the *providers* of any of the following capacity-building activities to other range States?

1.6.3b

enforcement agents, namely CITES MA, HK Customs and HK Police Force. The Task Force develops strategies and plans for large scale joint enforcement operations.

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?	Yes No, but review is under consideration No No information	
	If 'Yes', what do you do?		
	Enforcement strategies will be reviewed from time to time and/or a	after joint operations.	
	If 'Yes' or 'No, but review is under consideration', which tools do yo	ou find of value? □□□□□	
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	Yes	er
	If 'Yes', please provide feedback on the parts of the toolkit used ar equivalent tools have been. Please specify improvements that could be a specify improvement that could be a specify in the specific beautiful to specify improvement that could be a specify in the specific beautiful to specify improvement that could be a specify improvement that could be a specific beautiful to specify improvement that could be a specific beautiful to specify in the specific beautiful to specific beautiful to specify in the specific beautiful to specify in the specific beautiful to specify in the specific beautiful to specific beautiful to specify in the specific beautiful to specific beautiful to specify in the specific beautiful to specify in	ıld be made:	t

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?	Yes 🖂 No 🖂 No information
	If 'Yes', please provide the title of the legislation and a summary of the penalties available	
	The Protection of Endangered Species of Animals and Plants Ordinance is the local legislation that gives effect to CITES implementation in Hong Kong. A maximum fine of \$5,000,000 and two years of imprisonment can be imposed for summary offences concerning Appendix I species; and a fine of \$500,000 and one year of imprisonment for summary offences concerning Appendices II and III species. A maximum fine of \$10,000,000 and imprisonment for ten years can be imposed for indictable offences concerning Appendix I species; and a fine of \$1,000,000 and imprisonment for seven years can be imposed for indictable offences concerning Appendices II and III species.	
1.7.3b	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime ¹ in your country?	Yes
		No information
	If 'Yes', please explain what criteria must be met for poaching or w treated as serious crimes: □□□□□	ildlife trafficking offences to be

¹ The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

1.7.3c	Do you have capacity to use forensic technology ¹ to s investigation of CITES offences?	support	the	Yes No No information	n 🗆	
	If 'Yes', please provide a brief summary of any samples from CITES-listed species that were collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report: For suspected CITES listed specimens that required DNA analysis on species identification, samples will be collected and sent to Government Laboratory or local competant laboratories. There were also a couple of cases that ivory samples were sent to overseas laboratories for radiocarbon dating to analyse when the ivory samples were obtained.					
	If 'Yes', and your country has an appropriate forensic analysis facility for CITES-listed species, please indicate which species it applies to: CITES Isited shark species, European eel, Bear (bile)					
1.7.3d	Did your authorities participate in or initiate any multi- law enforcement operation(s) targeting CITES-listed s during the period covered in this report?		y² Yes \Box \Box \Box \Box \Box \Box \Box \Box			
	If 'Yes', please provide a brief summary, including any other Parties:				·	
	Threre were 2 major joint opeartions between Hong K Conservation Department targeted on enforcement of reproting period. 7 seizures and 2.3 tonnes of shark t	f shark	fin shi	pments by sea		
1.7.3e	Do you have a standard operating procedure among agencies for submitting information related to CITES INTERPOL and/or the World Customs Organization?			Yes No No information		
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?	
	General crime ³		\boxtimes			
	Predicate offences ⁴		\boxtimes			
	Asset forfeiture ⁵		\boxtimes			
	Corruption ⁶		\boxtimes		00000	
	International cooperation in criminal matters ⁷					
	Organized crime ⁸		\boxtimes			

¹ Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

² A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

⁴ Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

⁵ Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials

International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

	Specialized investigation techniques ¹	\boxtimes		
	If 'Yes' to any of the above, please explain how each is use brief summary, including any lessons learned which might			
1.7.3g	Do you have institutional capacity to implement the legislat provisions listed in question 1.7.3f against CITES offences		Yes	
	provisions listed in question 1.7.31 against CTTE3 offences	f	No	
			No information	on 🗌
	If 'No', please provide a brief summary of your major capacity-building needs: □□□□□			

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always	\boxtimes
		Very often	
		Sometimes	
		Rarely	
		Never	
		No information	
1.7.4b	Do you have capacity to analyse information gathered on illegal	Yes	\boxtimes
	trade in CITES-listed species?	No	
		No information	
1.7.4c	Do you use criminal intelligence ² to inform investigations into	Always	
	illegal trade in CITES-listed species?	Very often	
		Sometimes	\boxtimes
		Rarely	
		Never	
		No information	
1.74d	Have you implemented any supply-side activities to address illegal	Yes	\boxtimes
	trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	
1.7.4e	Have you implemented any demand-side activities to address	Yes	\boxtimes
	illegal trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	

Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

² Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences

	OT LO TOIALOU GIIGIIGGG.			
During the	e period covered in this report:	Yes	No	No Information
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?		\boxtimes	
	If 'Yes', please indicate how many and for what types of offenc details: □□□□□	es. If avai	lable, please	e attach
1.7.5b	Have there been any criminal prosecutions of CITES-related offences?	\boxtimes		
	If 'Yes', how many and for what types of offences? If available,	please at	tach details	: 00000
1.7.5c	Have there been any other court actions against CITES-related offences?			
	If 'Yes', what were the offences involved and what were the results' of CITES related offences were dominated by smuggling of Cl and 170 convictions in 2015, 2016 and 2017 respectively. Specimens included pangolin scales, ivory, timber, reptile leath	TES spec The more	ies. There w	vere 153, 126
1.7.5d	How were any confiscated specimens disposed of?		Tick	all that apply
	 Return to country of export 			
į	 Public zoos or botanical gardens 			\boxtimes
	 Designated rescue centres 			\boxtimes
•	 Approved private facilities 			
	 Euthanasia 			
	Other (please specify): □Destruction□□□□			
	Have you encountered any challenges in disposing of confiscal Disposal of large stockpiled timber where return to the country etc were not feasible. Donation for CITES approved purposes amount of wood. Limited space and resources in taking care of live animals.	of export, only remo	auction ve small	
	Do you have good practice that you would like to share with of $\Box \Box \Box \Box \Box$	her Partie	s?	
Objective 1	.8 Parties and the Secretariat have adequate capacity-build	ing progra	ımmes in pl	ace.

Aichi Target 1, Target 12 and Target 19.

The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement. Indicator 1.8.1:

1.8.1a	Do you have information resources or training in place to support: Yes The making of non-detriment findings? Permit officers? Enforcement officers?	No	
1.8.1b	Is the CITES Virtual College used as part of your capacity building work? What improvements could be made in using the Virtual College for capacity building?	Yes No No information	

1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes No No information	
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GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

Objective 2.1 Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.

Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	Do you have an approved service standard(s) ¹ for your Management Authority(ies)? If 'No', please go to Question 2.2.1d.		Yes No	
	If 'Yes', for which services are there standards, and what are those standards?	☐ To issue Cl ⁻ licence/ certific within two working days upon receipt completed application.☐ ☐	cate	
	If 'Yes', do you have performance targets for these standards ² ? If 'Yes', what are your performance targets?	□100%□□□□	Yes No	
	Do you publish your performance against service standard targets?		Yes No	
	If possible, please provide your performance against service standards during the period covered in this report:	0000		
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No
	availability of funding?			\boxtimes
	– number of staff?			\boxtimes
	– a shortage of skills?			\boxtimes
	If 'Yes' to a shortage of skills, which skills do you need more of? □□□□□□			
2.2.1b	Do you have an approved service standard(s) ⁴⁷ for your Scientific Authority(ies)?		Yes No	
	If 'No', please go to Question 2.2.1d.			
	If 'Yes', for which services are there standards, and what are those standards?			
	If 'Yes', do you have performance targets for these standards ⁴⁸ ?		Yes	
	If 'Yes', what are your performance targets?		No	Ш

For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

² For example, 85% of all decisions will take place within the service standard.

		ease provide your performa ing the period covered in th				
	If you did not i a result of:	meet your performance targ	gets then was this shortfall	Yes		No
	 availability 	of funding?				
	number of	staff?				
	a shortage	of skills?				
	If 'Yes' to a sh □□□□□	ortage of skills, which skills	do you need more of?			
2.2.1c	Do you have a enforcement a	an approved service standa authority(ies)?	ard(s) ⁴⁷ for your		Yes No	
	•	go to Question 2.2.1d.				
	If 'Yes', for wh standards?	ich services are there stand	dards, and what are those			
	-	u have performance targets			Yes	
	If 'Yes', what a	are your performance targe	ts?		No	
		ease provide your performa ing the period covered in th				
	If you did not i a result of:	meet your performance targ	gets then was this shortfall	Yes		No
	 availability 	of funding?				
	number of	staff?				
	a shortage	of skills?				
	If 'Yes' to a sh	ortage of skills, which skills	do you need more of?			
2.2.1d			r answered 'No' to the first e of approved service stand			
	Do you have s	sufficient of the following for	r your authorities to functior	effectively?		
		Management Authority(ies)	Scientific Authority(ies)		ement ity(ies)	
	Funding?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes ⊠	No 🗌	
	Staff?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes ⊠	No 🗌	
	Skills?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes ⊠	No 🗌	

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities;
- hired more staff;
- developed implementation tools;
- purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES	
	implementation at the national level?	Tick if applicable
	Hiring of more staff	\boxtimes
	Development of implementation tools	\boxtimes
	Purchase of technical equipment for implementation, monitoring or enforcement	\boxtimes
	Other (please specify): □□□□□	

2.2.2b	During the period covered in this repor budget for your:	t, was the	Increased	Stable	Decreased	
	Management Authority(ies)		\boxtimes			
	Scientific Authority(ies)	thority(ies)				
	Enforcement authorities	forcement authorities				
2.2.2c	Have you been able to use international development funding assistance to inclevel of implementation of your		Yes	No	Not applicable	
	Management Authority(ies)?					
	Scientific Authority(ies)?					
	Enforcement authorities?					
2.2.2d	What is the respective level of priority f the national level through the following		ng the effectiv	reness of CITES	implementation at	
	Activity	High	Medium	Low	Not a Priority	
	Hiring of more staff		\boxtimes			
	Development of implementation tools			\boxtimes		
	Purchase of new technical equipment for implementation, monitoring or enforcement			\boxtimes		
	e-permitting		\boxtimes			
	Other (please specify): □□□□□					
2.2.2e	Do you have a operational system (e.g. electronic database) for managing		Yes	Under development	No	
	Species information		\boxtimes			
	Trade information		\boxtimes			
	Non-detriment findings					

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for:	Tick all that are appli	cable			
	 Administrative procedures 					
	 Issuance of CITES documents (e.g. for import, exports, re-export, o the sea) 	r introduction from				
	Shipment clearance (e.g. for the import, export, re-export, or introduction from the sea of CITES-listed species)					
	 Licensing or registration of operations that produce CITES species 					
	 Harvesting of CITES-listed species 					
	 Use of CITES-listed species 					
	 Assignment of quotas for CITES-listed species 					
	Other (please specify): □□□□□					
2.2.3b	Is a fee schedule publicly available?	Yes 🛛 N	o 🗌			
	If 'Yes', please provide an internet link, or a copy of the schedule to the □https://www.elegislation.gov.hk/hk/cap586?xpid=ID_1438403490807_					
2.2.3c	Have revenues from fees been used for the implementation of CITES of	r wildlife conservation	?			
		Entirely				
		Partly				
		Not at all				
		Not relevant	\boxtimes			

2.2.3d		Yes	No
	Do you raise funds for CITES management through charging user fees?		\boxtimes
	Do your fees recover the full economic cost of issuing permits?		\boxtimes
	Do you have case studies on charging or using fees?	\boxtimes	
	If 'Yes' to any of the above, please provide brief details:		
	□We conduct fee revision on the basis of cost recovery from time to time.□□□□		
	Do you use innovative financial mechanisms to raise funds for CITES implementation?		\boxtimes
	If 'Yes', please provide brief details: □□□□□		

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	Do you use incentive measures¹ such as those described in CoP14 Doc 14.32 to implement the Convention?
	Due diligence
	Compensatory mechanisms 🔲 🖂
	Certification \square
	Communal property rights
	Auctioning of quotas
	Cost recovery or environmental charges □ ⊠
	Enforcement incentives $\square \boxtimes$
	If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to further information:
	☐ We have adopted standard operating procedures to complete all the formalities required for trade in an efficient manner.☐☐☐☐
2.2.4b	Have incentives harmful to biodiversity been eliminated? Not at all
	Very little☐
	Somewhat
	Completely

⁻

Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

Objective 2.3 Sufficient resources are secured at the national and international levels to implement capacitybuilding programmes. Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many training and capacity building activities¹ have you run during the period covered in this report? Without assistance from the Secretariat						stance	assi	ducted or sted by the retariat
	Please list the Resolutions or Decisions		(1: More tha	1 2-5 6-10 1-20 an 20					
2.3.1b	What sorts of capacity building activities have taken place? ☐ We have conducted training on identification of shark fins, ray gill plates, newly listed species; CITES implementation training etc. but these are not mandated by any Resolution and Decision.☐☐☐☐								
	What capacity building needs do you hat Please tick all boxes which apply to indicate which target group and which activity. Target group	Oral or written 3 advice/guidance 3	Technical assistance	Financial assistance	Training	Other (specify)		De	rtails
	Staff of Management Authority				\boxtimes		□Speci	es	identification
	Staff of Scientific Authority Staff of enforcement authorities								
	Traders / other user groups NGOs Public								
	Other (please specify)					Ш			

An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

GOAL 3CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.

Aichi Target 2 and Target 20.

Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes No Not applicable No information	
	If 'Yes', please provide brief details: □□□□□		
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased Remained stable Decreased	

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?							information
	If 'Yes', please tick boxes to indicate type of assistance provided Country(ies)	Species Management¹	Habitat Management²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)
	00000							
	00000							
	00000							

Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

Objective 3.2 Awareness of the role and purpose of CITES is increased globally. Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

	T		
3.2.1a	Have CITES authorities been involved in any of the following		Relevant
	activities to bring about better awareness of the Convention's		User
	requirements by the wider public and relevant user groups?	Wider public	Groups
	Press conferences	\boxtimes	
	- Press releases		
	Newspaper articles, brochures, leaflets	\boxtimes	\boxtimes
	Television appearances		
	Radio appearances		
	- Presentations		\boxtimes
	Public consultations / meetings		
	Market surveys		
	- Displays		
	Information at border crossing points		
	Telephone hotline		
	Website(s) – if so please provide link(s) □	\boxtimes	
	http://www.afcd.gov.hk/english/conservation/con_end/con_end.html		
	Other (specify): □□□□□		
	Please attach copies of any items or describe examples: □□□□□		

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?							
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary). Target group	Daily	Weekly	Monthly	Less frequently	Not known		
	Staff of Management Authority	\boxtimes						
	Staff of Scientific Authority							
	Staff of enforcement authorities		\boxtimes					
3.2.2b	What has been your experience with using the	CITES w	vebsite?	Exc	ellent			
				Goo	d	\boxtimes		
				Ave	rage			
				Poo	r			
				Very	Poor			
				No i	nformation			
	Any further comments on the CITES Website? which authorities find which functions/tools mos					ıntered,		

Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

If 'Yes', please give a brief description: □□□□□

Objective 3.3 Cooperation with relevant international environmental, trade and development organizations is enhanced. The number of Parties which report that they have achieved synergies in their implementation Indicator 3.3.1 of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements. 3.3.1a Have measures been taken to achieve coordination and reduce Yes duplication of activities between the national CITES authorities and No national focal points for other multilateral environmental agreements No information (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC)¹ to which your country is party?

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?					
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?					
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No			
	Agencies for development?					
	Agencies for trade?					
	Provincial, state or territorial authorities?					
	Local authorities or communities?					
	Indigenous or local peoples?					
	Trade or other private sector associations?					
	NGOs?					
	Other (please specify)					
3.3.2d	Are CITES requirements integrated into?	Yes	No			
	National and local development strategies?					
	National and local poverty reduction strategies?					
	Planning processes?					
	National accounting?					

CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

	governmental organization and capacity-building a	ations to participate in and/or fun activities.	id CITES	workshop	s and other trair	าเทฐ
3.3.3a	Has funding been provided of workshops, training or other to / from:	or received to facilitate CITES r capacity building activities	Tick if a	pplicable	Which organizations	;?
	Inter-governmental organiza	ations?	. [
	Non-governmental organiza	utions?		<u> </u>		
Objective 3	development goals so relevant Aichi Biodivi Conference on Sustain in wild fauna and flora This objective may al including action taken Aichi Target 1, Target 18 a	CITES to the relevant Millennium set at WSSD, the Strategic Planersity Targets, and the relevant inable Development is strengther is conducted at sustainable level lso be assessed by a variety of to implement many of the CITES 2, Target 3, Target 4, Target 5, Tand Target 19.	an for Bio ant outco ned by en els. of means S resolutio Farget 6, T	beyond thons and de	2011-2020 and the United National transfer reporting form ecisions. Target 12, Target	the ions rade mat,
3.4.1a	of naturally occurring species CITES Appendices has stabi	ows that the conservation status in your country listed on the object of improved? Appendix I Appendix II Appendix III Section 2007 Appendix III	•	No \Bigsilon	Not Applicat	ole
3.4.1b		pecific examples of success stor y CITES listed species?	ies or	Yes No No inform	nation]
Indicator 3.	.4.2: The number of Parties Plan (NBSAP).	s incorporating CITES into their N	lational Bi	iodiversity	Strategy and Ac	tion
3.4.2a	Has CITES been incorporate Biodiversity Strategy and Ac	ted into your country's National ction Plan (NBSAP)?		Yes No No info	ormation	
3.4.2b		in funds from the Global Environ ces to support CITES aspects of		Yes No No info	crmation]

Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and non-

Objective 3	3.5	Parties and the Secretariat co agreements dealing with natural collaborative approach to specie those which are commercially ex Aichi Target 2, Target 4, Target Target 19.	l resourd es which xploited	ces, as a can be	ıppropi endan	riate, in gered l	orde by un:	r to achieve a cohere sustainable trade, inc	ent and cluding
Indicator 3.	5.1:	The number of cooperative actio to prevent species from being un							ments
3.5.1a	mult bein	s your country taken action under tilateral agreements other than Cl ng unsustainably exploited througl es', please provide details: □□□□	ITES to h interna	prevent	specie			Yes No No information	
Indicator 3.	5.2:	The number of times other relenatural resources are consulted							
3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities		Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment which organization issues consulted	s and
		agement Authority(ies)							
		ntific Authority(ies)							
		rcement Authority(ies)							
Please pro	vide aı	Gerny additional comments you woul	neral fee		ncludir	ng com	ment	s on this format.	
0	.11 44							<u> </u>	
		of CITES-relevant legislation if chatters://www.elegislation.gov.hk/hk/d	-		Not	losed availab ⁄iously		⊠ □ ded □	
□□Fee so	hedul	materials annexed to the report, e es : https://www.elegislation.gov.h ng materials: http://www.afcd.gov	nk/hk/ca	p586?xp	oid=ID_	_14384	0349	0807_001□	
your coun	try rec	raints to implementation of the Co quiring attention or assistance?					nform	_	
-		ples of good practice you would li				Yes No	nform		
If 'Yes' ple	ase pi	rovide details / links: □□□□□							

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.

How could this report format be improved? □□□□□

Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: info@cites.org

Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	Macao SAR, People's Republic of China
Period covered in this report	2015 to 2017
Department or agency preparing this report	Macao Economic Bureau
Contributing departments, agencies and organizations	Foreign Trade & Economic Cooperation Department

GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.

All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes No □ If 'Yes', have you shared information with the Secretariat? Yes No □Not Applicable □ If 'No', please provide details to the Secretariat with this report:
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes ☑ No ☐ If 'No', please provide details of the constraints faced: □□□□□□

Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.

Aichi Target 3.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	\boxtimes		
	Are the procedures publicly available?			
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?	\boxtimes		
	Electronic permit information exchange between Management Authorities of some countries			
	If 'Yes', please list countries Countries that are Parties to CITES if they request confirmation of data on particular permits.			
	Electronic permit information exchange to Management Authorities of all countries?		×	
	Electronic permit data exchange between Management Authorities and customs?		×	
	Electronic permit used to cross border with electronic validation by customs?		×	

1	If 'Voo'	to any of the above please provide information on abellan	aco focad	or ioouoo	avaraama:		
		to any of the above, please provide information on challen $\hfill\Box$	ges laced	or issues	overcome.		
	If 'No',	do you have any plans to move towards e-permitting¹?	×				
	If you are planning to move towards e-permitting, please explain what might help you to do so:						
	permitt Curren possibl place a based	acao SAR has already built up the hardware environning among the applicant, the issuing, the scientifically, e-communication procedures between the aforemente. However, since both an electronic and a paper-base and it is the discretion of the applicant in the selection of waystem is used, increased promotion will be carried out in hefits of e-permitting.	and the tioned ag dipermit in the	enforcements and ssuance electron	ent authorities. authorities are systems are in ic or the paper-		
Indicator	1	The number of Parties making use of the simplified pro Conf. 12.3 (Rev. CoP16).		orovided t	for in <u>Resolution</u>		
1.2.2a	Has yo	ur country developed simplified procedures for any of the	•				
				ick all ap	1		
			Yes	No	No information		
		biological samples of the type and size specified in 4 of Resolution Conf. 12.3 (Rev. CoP16) are urgently d.					
		e issuance of pre-Convention certificates or equivalent ents in accordance with <u>Article VII</u> , paragraph 2.					
		e issuance of certificates of captive breeding or artificial ation in accordance with Article VII, paragraph 5.					
	accord	e issuance of export permits or re-export certificates in ance with Article IV for specimens referred to in VII, paragraph 4.					
	merit th	ere other cases judged by a Management Authority to ne use of simplified procedures? please provide details: □□□□□□					
Objective 1.3 Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties. All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18. Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.							
1.3.1a	the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see [link to location on the CITES website where the reporting requirements are						
	listed])? Responses provided to ALL relevant reporting requirements Responses provided to SOME of the relevant reporting requirements Responses provided to NONE of the relevant reporting requirements No special reporting requirements applicable						

e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

					n	
1.3.1b	Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes No If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?					
Objectiv	re 1.4 The Appendices correctly reflect the conservation Aichi Target 1, Target12, Target 14 and Target 19.		pecies.			
1.4.1:	The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.					
1.4.1a	Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices? Yes ☐ No ✓					
	If 'Yes', please provide a summary here, or a link to the to (or a copy of that report to the Secretariat if the work is recording to the secretariat if the work is recorded to the secretariat in the secretariat in			ı:		
Objective 1.5 Best available scientific information is the basis for non-detriment findings. Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14. Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings						
	related to: a) the population status of Appendix-II species b) the trends and impact of trade upon Appen c) the status of and trend in naturally-occurri recovery plans.	dix-II specie		cies and the im	pact of any	
1.5.1a	Have any surveys, studies or other analyses been undertaken in your country in relation to:	Yes	No	Not Applicable	If Yes, How many?	
	- the population status of Appendix II species?					
	- the trends and impact of trade on Appendix II species?					
	the status of and trend in naturally-occurring Appendix I species?					
	- the impact of any recovery plans on Appendix I species?					
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?					

	If there are such studies that you are willing to share, please provide:					
	Species name (scientific)	A brief summary of the results of the survey, study or other analysis (e.g. population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.				
1.5.1b	How are the results of such surveys, studies findings (NDFs)? Please tick all that app	oly	-			
		Revised harves				
		Stricter d	Banning e omestic mea			
		Changed managem				
		Discussion with Manag	gement Autho	orities 🗌		
	2	Discussion with of		ders?		
4.5.4		er (please provide a short summa	ry): 🗆 🗆 🗆 🗆			
1.5.1c	Do you have specific conservation measure plans for naturally occurring Appendix-I lister					
	, , , , , , , , , , , , , , , , , , , ,	Not Applicable		ᆸ		
		No information				
	If 'Yes', please provide a brief summary, incl impact: □□□□□	uding, if possible, an evaluation c	f their			
1.5.1d	Have you published any non-detriment finding if 'Yes', please provide links or examples to the state of the s	_	lo 🗌			
1.5.1e	Which of the following (A to F of paragraph a do you use in making non-detriment findings		Yes	No		
	A. relevant scientific literature concerning sp distribution and population trends.	ecies biology, life history,				
	B. details of any ecological risk assessments	s conducted.				
	C. scientific surveys conducted at harvest lo from harvest and other impacts.	cations and at sites protected				
	D. relevant knowledge and expertise of loca	l and indigenous communities.				
	E. consultations with relevant local, regional	and international experts.				
	F. national and international trade informatio the CITES trade database maintained by UN Monitoring Centre (UNEP-WCMC), publication trade and investigations of sales at market example.	NEP World Conservation ons on trade, local knowledge				

The number of Parties that have adopted standard procedures for making non-detriment findings. Indicator 1.5.2:

	illidings.					
1.5.2a					No	
			Yes	No	information	
	Do you have standard procedures for making non-detrime findings in line with Resolution Conf. 16.7?	nt				
	If 'Yes', please briefly describe your procedures for making or attach as an annex to this report, or provide a link to wh on the internet:				found	
1.5.2b	When establishing non-detriment findings, have any of the	following				
	guidance been used?	-	Pleas	se tick	all that apply	
	Virt	tual College		ŀ		
	IUC	N Checklist		1		
	Resolution	n Conf. 16.7		ļ		
	2008 ND	F workshop		1		
	Species specif			ſ		
	· ·	Other		-		
	If 'Other' or 'Species specific guidance', please specify det	ai <u>ls: □□□□</u>				
1.5.2c	How often do you review and/or change your non-	Case by	case			_
	detriment findings?	Annually				
		Every tw	•			
		Less free	. ,			
		A mix of				
	Please describe the circumstances under which non-detrin	ment findings	would	be cha	anged:	
						_
Indicator 1.	5.3: The number and proportion of annual export quotas	based on por	pulation	n surve	eys.	
1.5.3a	Do you set annual export quotas?	Yes				
		No				

1.5.3a	Do you set annual export quotas?	Yes No	
	If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:	NO	Other,
	Species Name (scientific)	Population Survey?	please specify
1.5.3b	Have annual export quotas been set at levels which will	Yes	
	ensure sustainable production and consumption?	No	
	If 'Yes', please describe how this fits into your non-detriment	finding process:	

Objective 1.6 Parties cooperate in managing shared wildlife resources. Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.						nd Target 19.		
Indicator 1	.6.1: The number of bilaters management of shared (pecifically provide for co-
1.6.1a	1.6.1a Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species?Yes \(\) No \(\) If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved: \(\) \(\) \(\) \(\) \(\) \(\)							
Indicator 1.6.2: The number of cooperative management plans, including recovery plans, in place for sharp populations of CITES-listed species.					y plans, in place for shared			
1.6.2a	1.6.2a Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species? Yes No					Yes ☐ No ☐		
	If 'Yes', please list the species for to a published plan for each species.		these	plans a	re in pla	ce an	d pro	vide a link or reference
	Species Name (scientific)	Link or	referen	ce to a p	oublishe	d plar	า	
Indicator 1	species. Have the CITES authorities	receive	rvation ed or be	and ma	anagem	ent r	eeds	of shared, CITES listed
	activities provided by external	source	es?	T	T	T	ī	
	Please tick boxes to indicate value target group and which activity		Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources ¹ ?
	Staff of Management Authority	Staff of Management Authority						
	Staff of Scientific Authority							
	Staff of enforcement authorities							
Traders								
	NGOs							00000
	Public							00000
	Other (please specify): □□□□] [

Please provide the names of Parties, and any non-Parties, involved.

1.6.3b	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?							
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	De	etails
	Staff of Management Authority							zians
	Staff of Scientific Authority							
	Staff of enforcement authorities							
	Traders							
	NGOs							
	Public							
	Other Parties/International meetings							
	Other (please specify)							
1.6.3c	In what ways do you collaborate with	nother (LITES P	ˈᠴrties?		<u> Lu </u>		
	III WHAL HAYO AO JOS COMME	04.5.						
		Never	Rarely	Sometimes	Very Often	Always		ner detail / amples
	Information exchange			×				ertaining the
	Monitoring / survey							Гренню
	Habitat management							
	Species management							
	Law enforcement							
	Capacity building							
	Other (please provide details)							
Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade. Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19. Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with: — an international enforcement strategy and/or action plan; — formal international cooperation, such as an international enforcement network; — a national enforcement strategy and/or action plan; and — formal national interagency cooperation, such as a national interagency enforcement committee.								
1.7.1a	Do you have, are you engaged in, or co	vered t	oy:			Yes	No	No Information
	– an international enforcement strategy	and/or a	action pl	an?				
	– formal international cooperation, such enforcement network?	as an ir	nternatio	nal				
	 a national enforcement strategy and/o 	r action	plan?					
	 formal national interagency cooperation interagency enforcement committee? 	n, such	ı as a na	itional				
	If 'Yes' to any of the above, please specify the level of engagement and provide additional details:							

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?	Yes No, but review is under consideration No No information				
	If 'Yes', what do you do? □□□□□	110 mornadon	Ш			
Í	•					
	If 'Yes' or 'No, but review is under consideration', which tools do yo	ou find of value?				
1.7.2b	Have you used the International Consortium on Combating	Yes				
	Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	No, but toolkit use is unde consideration	r			
		No				
		No information				
	If 'Yes', please provide feedback on the parts of the toolkit used and how useful the toolkit or equivalent tools have been. Please specify improvements that could be made:					
If 'No', please provide feedback on why not or what is needed to make the toolkit or equivools useful to you:						
	CITES enforcement and implementation are carried out based on	our domestic laws.				

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime? If 'Yes', please provide the title of the legislation and a summary of the penalties available Law no.2/2017 Enforcement Law of CITES- A penalty of 200,000 to 500,000 patacas and the confiscation of specimens for violations concerning Appendix I specimens.	Yes No No information
1.7.3b	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime¹ in your country? If 'Yes', please explain what criteria must be met for poaching or w treated as serious crimes: □□□□□□	Yes No No information rildlife trafficking offences to be
1.7.3c	Do you have capacity to use forensic technology² to support the investigation of CITES offences? If 'Yes', please provide a brief summary of any samples from CITE collected and submitted to an appropriate forensic analysis facility another country) during the period covered in this report: □□□□□ If 'Yes', and your country has an appropriate forensic analysis facil please indicate which species it applies to: □□□□□	(located in your country and/or

¹ The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

² Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

1.7.3d	Did your authorities participate in or initiate any multi- law enforcement operation(s) targeting CITES-listed		Yes				
	during the period covered in this report?		No informatio	n 🔲			
	If 'Yes', please provide a brief summary, including any lessons learned which might be helpful for other Parties:						
1.7.3e	Do you have a standard operating procedure among agencies for submitting information related to CITES INTERPOL and/or the World Customs Organization?	Yes No No information					
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?		
	General crime ²						
	Predicate offences ³						
	Asset forfeiture ⁴	×			None		
	Corruption ⁵						
	International cooperation in criminal matters ⁶						
	Organized crime ⁷						
	Specialized investigation techniques ⁸						
	If 'Yes' to any of the above, please explain how each is used for CITES offences? Please provide a brief summary, including any lessons learned which might be helpful for other Parties: For CITES violations relevant to Appendix I specimens including the import or export without the necessary import or export permits, the asset can be seized and confiscated in addition to the pecuniary penalty imposed.						
1.7.3g	Do you have institutional capacity to implement the le provisions listed in question 1.7.3f against CITES offer If 'No', please provide a brief summary of your major	on					

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

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A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

³ Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials

International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always Very often	
		Sometimes	
		Rarely	
		Never	
		No information	
1.7.4b	Do you have capacity to analyse information gathered on illegal	Yes	\boxtimes
	trade in CITES-listed species?	No	
		No information	
1.7.4c	Do you use criminal intelligence ¹ to inform investigations into	Always	
	illegal trade in CITES-listed species?	Very often	
		Sometimes	
		Rarely	
		Never	
		No information	\boxtimes
1.74d	Have you implemented any supply-side activities to address illegal	Yes	\boxtimes
	trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	
1.7.4e	Have you implemented any demand-side activities to address	Yes	\boxtimes
	illegal trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	

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¹ Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the period covered in this report:			No	No Information			
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?	×					
	If 'Yes', please indicate how many and for what types of offend details:	es. If avai	lable, pleas	e attach			
	The use of criminal penalties and confiscation measures is empowered by means of the enactment of Law no. 2/2017 whose effectiveness began since 1 September 2017. Therefore, CITES-related offences imposed before that date were all administrative fines. Since the enforcement of Law no. 2/017, all violations were associated with CITES Appendix II specimens and are all administrative offences. The details of the offences are summarized as follow:						
	2015 1 administrative offence of ivory products 1 offence of live turtles and Andrias Davidianus 13 offences of orchids, cactus, Ravenea rivularis and Euphorbia						
	2 offences of American ginseng4 offences of agarwood						
	2016 18 offences of orchids 1 offence of orchids with live Garrulax Canoru 2 offences of live turtles 1 offences of agarwood 1 offence of crocodilian products						
	2017 1 offence of orchids and Nepenthes 92 offences of orchids 1 offence of live snake and turtles 3 offences of live turtles 1 offence of live snake, turtles, lizards and frogs 1 offence of civets 1 offence of seahorse 1 offence of crocodilian meat 1 offence of euhporbia						
1.7.5b	Have there been any criminal prosecutions of CITES-related offences?		×				
1.7.5c	If 'Yes', how many and for what types of offences? If available, Have there been any other court actions against CITES- related offences?	please at	tach details				
	If 'Yes', what were the offences involved and what were the result	s? Please	attach detai	ls: 🗆 🗆 🗆 🗆			
1.7.5d	How were any confiscated specimens disposed of?		Tick	all that apply			
	 Return to country of export 						
	 Public zoos or botanical gardens 						
	Designated rescue centres						
	Approved private facilities						
	– Euthanasia						
	Other (please specify): □□□□□						
	Have you encountered any challenges in disposing of confisca	ated specir	mens?				

Objective	Parties and the Secretariat have adequate capacity-building parties and Target 1, Target 12 and Target 19.	rogrammes in place	
ndicator ′	1.8.1: The number of Parties with national and regional training resources in place to implement CITES including the mal issuance of permits and enforcement.		
1.8.1a	Do you have information resources or training in place to support: Yes	sNo	
	The making of non-detriment findings? ☐ ☐ Permit officers?		
	Enforcement officers?		
1.8.1b	Is the CITES Virtual College used as part of your capacity building	Yes	
	work?	No	
		No information	
	What improvements could be made in using the Virtual College for capacity building? □□□□□		
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the	Yes	
	development of capacity-building programmes, or does it form part of the curriculum of such programmes?	No	
	What improvements could be made in using the ICCWC Toolkit for	No information	
Objective	SECURE THE NECESSARY FINANCIAL RESOURCES OPERATION AND IMPLEMENTATION OF THE CONVENT	TION onvention.	
GOAL 2 Objective of the company of	SECURE THE NECESSARY FINANCIAL RESOURCES OPERATION AND IMPLEMENTATION OF THE CONVENT 2.1 Financial resources are sufficient to ensure operation of the Control to be provided through records held by the Secretariat on financial manages 2.2 Sufficient resources are secured at the national and internation	TION onvention.	onventio
Objective	SECURE THE NECESSARY FINANCIAL RESOURCES OPERATION AND IMPLEMENTATION OF THE CONVENT 2.1 Financial resources are sufficient to ensure operation of the Convention to be provided through records held by the Secretariat on financial management.	TION onvention. nanagement of the C nal levels to ensure	onventio
Objective nformatio Objective	SECURE THE NECESSARY FINANCIAL RESOURCES OPERATION AND IMPLEMENTATION OF THE CONVENT 2.1 Financial resources are sufficient to ensure operation of the Convention to be provided through records held by the Secretariat on financial necessary sufficient resources are secured at the national and internation with and implementation and enforcement of the Convention.	onvention. nanagement of the Conal levels to ensure reget 20. for Management	convention complia
Objective nformatio Objective	SECURE THE NECESSARY FINANCIAL RESOURCES OPERATION AND IMPLEMENTATION OF THE CONVENT 2.1 Financial resources are sufficient to ensure operation of the Control to be provided through records held by the Secretariat on financial model of the Convention of the Conven	onvention. nanagement of the Conal levels to ensure reget 20. for Management Yes	compliar Authoriti
Objective Objective	SECURE THE NECESSARY FINANCIAL RESOURCES OPERATION AND IMPLEMENTATION OF THE CONVENT 2.1 Financial resources are sufficient to ensure operation of the Control to be provided through records held by the Secretariat on financial model of the Convention of the Conven	onvention. nanagement of the Conal levels to ensure reget 20. for Management	compliar Authoriti
Objective Informatio Objective Indicator 2	SECURE THE NECESSARY FINANCIAL RESOURCES OPERATION AND IMPLEMENTATION OF THE CONVENT 2.1 Financial resources are sufficient to ensure operation of the Convention to be provided through records held by the Secretariat on financial moderates are secured at the national and internation with and implementation and enforcement of the Convention. Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 2.2.1: The number of Parties with dedicated staff and funding Scientific Authorities and wildlife trade enforcement agencies. Do you have an approved service standard(s)1 for your Management Authority(ies)?	onvention. nanagement of the Conal levels to ensure reget 20. for Management Yes	compliar Authoriti
Objective Objective	SECURE THE NECESSARY FINANCIAL RESOURCES OPERATION AND IMPLEMENTATION OF THE CONVENT 2.1 Financial resources are sufficient to ensure operation of the Control to be provided through records held by the Secretariat on financial model in the second	onvention. nanagement of the Conal levels to ensure reget 20. for Management Yes	compliar Authoriti
Objective Objective	SECURE THE NECESSARY FINANCIAL RESOURCES OPERATION AND IMPLEMENTATION OF THE CONVENTED BY 2.1 Financial resources are sufficient to ensure operation of the Control of the provided through records held by the Secretariat on financial moderates and implementation and enforcement of the Convention. Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 2.2.1: The number of Parties with dedicated staff and funding Scientific Authorities and wildlife trade enforcement agencies. Do you have an approved service standard(s)1 for your Management Authority(ies)? If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards? Permits are issued within three days of their application. If 'Yes', do you have performance targets for these standards??	onvention. nanagement of the Conal levels to ensure reget 20. for Management Yes	convention Authorit S D
Objective Objective	SECURE THE NECESSARY FINANCIAL RESOURCES OPERATION AND IMPLEMENTATION OF THE CONVENT 2.1 Financial resources are sufficient to ensure operation of the Control to be provided through records held by the Secretariat on financial must and implementation and enforcement of the Convention. Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 1. 2.2.1: The number of Parties with dedicated staff and funding Scientific Authorities and wildlife trade enforcement agencies. Do you have an approved service standard(s)1 for your Management Authority(ies)? If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards? Permits are issued within three days of their application. If 'Yes', do you have performance targets for these standards? If 'Yes', what are your performance targets?	onvention. nanagement of the Conal levels to ensure reget 20. for Management Yes	convention compliant Authorities Compliant Com
Objective Objective	SECURE THE NECESSARY FINANCIAL RESOURCES OPERATION AND IMPLEMENTATION OF THE CONVENTED BY 2.1 Financial resources are sufficient to ensure operation of the Control of the provided through records held by the Secretariat on financial moderates and implementation and enforcement of the Convention. Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 2.2.1: The number of Parties with dedicated staff and funding Scientific Authorities and wildlife trade enforcement agencies. Do you have an approved service standard(s)1 for your Management Authority(ies)? If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards? Permits are issued within three days of their application. If 'Yes', do you have performance targets for these standards??	onvention. nanagement of the Conal levels to ensure reget 20. for Management Yes	convention compliant Authorities Compliant Com
Objective Objective	SECURE THE NECESSARY FINANCIAL RESOURCES OPERATION AND IMPLEMENTATION OF THE CONVENT 2.1 Financial resources are sufficient to ensure operation of the Control to be provided through records held by the Secretariat on financial must and implementation and enforcement of the Convention. Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 1. 2.2.1: The number of Parties with dedicated staff and funding Scientific Authorities and wildlife trade enforcement agencies. Do you have an approved service standard(s)1 for your Management Authority(ies)? If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards? Permits are issued within three days of their application. If 'Yes', do you have performance targets for these standards? If 'Yes', what are your performance targets?	onvention. nanagement of the Conal levels to ensure reget 20. for Management Yes	convention compliant Authorities S

Do you have good practice that you would like to share with other Parties?

For example, 85% of all decisions will take place within the service standard.

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	standards during the period covered in this report: Over 99% achieved			
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No
	availability of funding?			
	– number of staff?			
	– a shortage of skills?			
	If 'Yes' to a shortage of skills, which skills do you need more of? \Box \Box \Box \Box			
2.2.1b	Do you have an approved service standard(s) ⁴⁷ for your Scientific Authority(ies)?		Yes No	
	If 'No', please go to Question 2.2.1d.			
	If 'Yes', for which services are there standards, and what are those standards?			
	According to Administrative Regulation no. 19/2017 - Complementary regulations to Law no. 2/2017 - Enforcement Law of the CITES, the Scientific Authority has to respond to MA's request of opinions within 15 days after receipt of the request.			
	If 'Yes', do you have performance targets for these standards ⁴⁸ ?		Yes No	
	If 'Yes', what are your performance targets?			
	If possible, please provide your performance against service standards during the period covered in this report:			
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No
	availability of funding?			
	– number of staff?			
	– a shortage of skills?			
	If 'Yes' to a shortage of skills, which skills do you need more of? □□□□□□			
2.2.1c	Do you have an approved service standard(s) ⁴⁷ for your enforcement authority(ies)?		Yes No	
	If 'No', please go to Question 2.2.1d.			
	If 'Yes', for which services are there standards, and what are those standards?			
	If 'Yes', do you have performance targets for these standards ⁴⁸ ?		Yes	
	If 'Yes', what are your performance targets?		No	
	If possible, please provide your performance against service standards during the period covered in this report:			
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No
	availability of funding?			
	number of staff?			
	a shortage of skills?			
	If 'Yes' to a shortage of skills, which skills do you need more of? □□□□□□			
2.2.1d	Please only complete this question if your answered 'No' to the first p 2.2.1b, or 2.2.1c, relating to the existence of approved service standards.			
	Do you have sufficient of the following for your authorities to function	•		
	Management Scientific Authority(ies)	Enforc	ement	

	Authority(ies)		Authority(ies)
Funding?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes 🔀 No 🗌
Staff?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes 🔀 No 🗌
Skills?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes 🔀 No 🗌
****			ud.

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the puuhired more staff; changed the budget for activities;
- developed implementation tools;
- purchased technical equipment for implementation, monitoring or enforcement.

			'	, 3		
2.2.2a	Have any of the following activities bee covered in this report to enhance the e implementation at the national level?	Tick if applicable				
	Hiring of more staff		<u> </u>			
	Development of implementation tools					
	Purchase of technical equipment for im	plementati	on, monitorin	g or enforcement	: 🗆	
	Other (please specify): □□□□□					
2.2.2b	During the period covered in this report budget for your:	t, was the	Increased	Stable	Decreased	
	Management Authority(ies)					
	Scientific Authority(ies)					
	Enforcement authorities					
2.2.2c	Have you been able to use international development funding assistance to increase the level of implementation of your		Yes	No	Not applicable	
	Management Authority(ies)?					
	Scientific Authority(ies)?					
	Enforcement authorities?					
2.2.2d	What is the respective level of priority f the national level through the following		ng the effectiv	veness of CITES	implementation at	
	Activity	High	Medium	Low	Not a Priority	
	Hiring of more staff					
	Development of implementation tools					
	Purchase of new technical equipment for implementation, monitoring or enforcement					
	e-permitting					
	Other (please specify): □□□□□					
2.2.2e	Do you have a operational system (e.g electronic database) for managing		Yes	Under development	No	
	Species information					
	Trade information					
	Non-detriment findings					
ndicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other						

mechanisms.

2.2.3a	Does the Management Authority charge fees for:	Tick all that are applicable
	Administrative procedures	

	 Issuance of CITES documents (e.g. for import, exports, re-export, or introduthe sea) 	uction from	
	 Shipment clearance (e.g. for the import, export, re-export, or introduction from of CITES-listed species) 	om the sea	
	Licensing or registration of operations that produce CITES species		
	Harvesting of CITES-listed species		
	Use of CITES-listed species		
	Assignment of quotas for CITES-listed species		
	Other (please specify): □□□□□		
2.2.3b	Is a fee schedule publicly available?	Yes 🔀 No	<u> </u>
	If 'Yes', please provide an internet link, or a copy of the schedule to the Secreta A fee of MOP 70 for each permit issued is laid down in Article 3 of the Administr no. 19/2017 - Complementary regulations to Law no. 2/2017 - Enforcement Law The regulation can be downloaded on the website of Economic Bureau whose www.economia.gov.mo.	ariat: rative Regulation w of the CITES	ion
2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife	e conservation	?
		Entirely	
		Partly	
		Not at all	
		Not relevant	
2.2.3d		Yes	No
	Do you raise funds for CITES management through charging user fees?		
	Do your fees recover the full economic cost of issuing permits?		\boxtimes
	Do you have case studies on charging or using fees?		\boxtimes
	If 'Yes' to any of the above, please provide brief details: □□□□□		<u></u> !
	Do you use innovative financial mechanisms to raise funds for CITES		ļ
	implementation?		
	If 'Yes', please provide brief details: □□□□□		
Indicator	Convention.		
2.2.4a	Do you use incentive measures¹ such as those described in CoP14 Doc 14.32 to Convention? YesNo Due diligence	implement the	Э
	Compensatory mechanisms		
	Certification		
	Communal property rights		
	Auctioning of quotas		
	Cost recovery or environmental charges		
	Enforcement incentives		
	If 'Yes' to any of the above, or if you use other measures, please provide a summ further information: Refunding the permit applicant the fees paid for permit applic circumstances where permit invalidation is not imputable to any act on his part.		
2.2.4b	Have incentives harmful to biodiversity been eliminated? Not at all ☐		-
	Very little		
	Somewhat		
	Completely		

Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

Objective 2.3Sufficient resources are secured at the national and international levels to implement capacity-building programmes.

Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

	ow many training and capacity building activities ¹ have Without assistant from the Secretariat				stance Conducted or assisted by the Secretariat		
		None 🔀					
		1 🔲					
				2-5			
		6-10					
		ľ	ı More tha				
	Please list the Resolutions or Decisions	-					
2.3.1b	What sorts of capacity building activities	have ta	aken pla	ce? □			
	What capacity building needs do you ha		•				
	Please tick all boxes which apply to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority						
	Staff of Scientific Authority						Seminars,workshops etc.
	Staff of enforcement authorities						,
	Traders / other user groups						Seminars,workshops etc.
İ	NGOs						
	Public						
	Other (please specify)						
GOAL 3CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities. Aichi Target 2 and Target 20.							
Indicator 3.1.1: The number of Parties funded by international financial mechanistitutions to develop activities that include CITES-related condevelopment elements.							
3.1.1a	Has funding from international finance related institutions been used to dever CITES-related conservation and sust	elop acti	vities tha	at inclu	ude	ts?	Yes

An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

	If 'Yes', please provide brief details: □□□□□		
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased Remained stable Decreased	

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?						Yes No No	information
	If 'Yes', please tick boxes to indicate type of assistance provided Country(ies)	Species Management¹	Habitat Management²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)
	00000							
	00000							

Objective 3.2 Awareness of the role and purpose of CITES is increased globally.

Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups?	Wider public	Relevant User Groups
	 Press conferences 	\square	\boxtimes
	 Press releases 	\boxtimes	
	 Newspaper articles, brochures, leaflets 	igwidz	
	 Television appearances 	\square	
	 Radio appearances 		
	 Presentations 	\square	
	 Public consultations / meetings 		
	 Market surveys 		
	- Displays	\square	
	 Information at border crossing points 		

Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

_	Telephone hotline	\boxtimes	
_	Website(s) – if so please provide link(s) □□□□□		
	www.economia.gov.mo		
_	Other (specify):		
Ple	ase attach copies of any items or describe examples:		
•	Promotional articles, brochures and leaflets Promotional articles on the enactment of Law no.2/2017 Enforcement Law of CITES together with the Administrative Regulation no. 19/2017 were released on different mass media, including newspaper. Stationery including folders, post-it, pens and highlighters bearing the name of the event were dispersed to the public during seminars and our visit to the important trade associations and traders. Brochures and leaflets (See attachment) were also produced for raising the awareness of the general public.		
•	Posters (See attachment)		
•	Television and radio appearances during peak hours of the day Public consultations and meetings held in co-operation with our Scientific Authority and Enforcement Authority		
	Information at border crossing points like the posting of EasyStand Banners (See attachment) at the various ports Telephone hotline dedicated for answering enquiries on CITES was established and this telephone hotline is noted on all promotional articles and posters etc. mentioned above		
•	Website promotion on the official homepage of Macao		

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?								
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary). Target group	Daily	Weekly	Monthly	Less frequently	Not known			
	Staff of Management Authority								
	Staff of Scientific Authority					\boxtimes			
	Staff of enforcement authorities					\boxtimes			
3.2.2b	What has been your experience with using the	CITES w	vebsite?	Exc	ellent				
				Goo	d				
				Ave	rage				
				Poo	r				
	Very Poor □								
	No information								
	Any further comments on the CITES Website? (which authorities find which functions/tools mos					ıntered,			

Indicator 3.2.3:The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

Objective 3.3 Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1	The i	number	of	Parties	which	report	that	they	have	achieved	syne	rgies	in	their
	implen	nentatior	ı o	CITES	, other	biodiv	ersity/	-relate	ed cor	ventions	and	other	rel	evant
	multila	iteral env	iron	mental, t	rade an	d devel	opmei	nt agre	ement	S.				

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) ¹ to which your country is party?	Yes No No information	
	If 'Yes', please give a brief description: □□□□□		

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?							
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?							
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No					
	Agencies for development?							
	Agencies for trade?							
	Provincial, state or territorial authorities?							
	Local authorities or communities?							
	Indigenous or local peoples?							
	Trade or other private sector associations?							
	NGOs?							
	Other (please specify)							
3.3.2d	Are CITES requirements integrated into?	Yes	No					
	National and local development strategies?							
	National and local poverty reduction strategies?							
	Planning processes?							
	National accounting?							

CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3	.3.3: The number of Parties cooperating / collaborating governmental organizations to participate in and/or fur and capacity-building activities.		
3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?		
	Non-governmental organizations?		
Objective	3.4 The contribution of CITES to the relevant Millennium development goals set at WSSD, the Strategic Plarelevant Aichi Biodiversity Targets, and the relevance Conference on Sustainable Development is strengthe in wild fauna and flora is conducted at sustainable levelopment in the conference on Sustainable Development is strengthe in wild fauna and flora is conducted at sustainable levelopment. This objective may also be assessed by a variety of including action taken to implement many of the CITES Aichi Target 1, Target 2, Target 3, Target 4, Target 14, Target 17, Target 18 and Target 19.	an for Biodiversity ant outcomes of ned by ensuring the els. of means beyond to S resolutions and de	2011-2020 and the the United Nations at international trade he reporting format, lecisions.
Indicator 3	.4.1: The conservation status of species listed on the improved.	CITES Appendice	s has stabilized or
3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved? Appendix I Appendix II Appendix III	Yes No	Not Applicable
	If there are such studies that you are willing to share, please	provide:	
	Species name (scientific) Link to the data, or a brief sum	mary	
	00000		
3.4.1b	Do you have examples of specific examples of success storic emerging problems with any CITES listed species?	es or Yes No No inform	□ □ ation
	If 'Yes', please provide details: □□□□□		
Indicator 3	.4.2: The number of Parties incorporating CITES into th Action Plan (NBSAP).	eir National Biodiv	versity Strategy and
3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes No No infe	ormation
3.4.2b	Have you been able to obtain funds from the Global Environ Facility (GEF) or other sources to support CITES aspects of implementation?	NBSAP No	ormation

	and collaborative approach to including those which are commaich Target 2, Target 4, Target 19.	mercially	exploite	ed.		Ū	•	
Indicator 3.	 The number of cooperative agreements to prevent specie trade. 							
3.5.1a	Has your country taken action under multilateral agreements other than C being unsustainably exploited through if 'Yes', please provide details:	Yes No No information						
Indicator 3.	5.2: The number of times other rele natural resources are consulted							
3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment which organization issues consulte	ns and
	Management Authority(ies)							
	Scientific Authority(ies)							
	Enforcement Authority(ies)							
Please prov	Ge vide any additional comments you wou	eneral fee			ng com	ımeni	ts on this format.	
	ltem							
Copy of ful Web link(s	ll text of CITES-relevant legislation if cl) □□□□□	hanged		Not	losed availab ⁄iously		□ □ ded <mark>⊠</mark>	
	any materials annexed to the report, es raising materials mentioned in 3.2.1.	•	chedule	s, awa	reness	raisi	ng materials, etc:	
	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance? No No Information □							
If 'Yes', ple	ease describe the constraint and the ty	pe of atte	ention o	r assis	tance t	hat is	required.	
Are there e Parties?	examples of good practice you would li	ike to sha	are with	other	Yes No No Ir	nform	ation	
	ase provide details / links: □□□□□							
vertical axi	this report format be improved? We so is for easy reading. Besides, sometime to us but such an option is not availa	es the an						

Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent

Objective 3.5

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.