Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: info@cites.org

Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	Canada
Period covered in this report	2015-2017
Department or agency preparing this report	Environment and Climate Change Canada
Contributing departments, agencies and organizations	Fisheries and Oceans Canada, Natural Resources Canada, Global Affairs Canada

GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1	Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.
	All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes ☐ No ☒
	If 'Yes', have you shared information with the Secretariat? Yes ☐ No ☐Not Applicable ☒
	If 'No', please provide details to the Secretariat with this report:
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes No If 'No', please provide details of the constraints faced: The list of CITES protected species is written directly our domestic legislation and this list is updated after each CoP according to a structured Canadian process for making regulatory amendments. Some preliminary work on the regulatory amendments can be started based on CoP proposals and based on CoP decisions; however it is not possible to finalize the regulatory amendments until the final Appendices are published after the CoP. The final Appendices are published a few months after CoP (e.g., about 2.5 months after CoP17). With all of these factors combined, it is not possible to finalize the regulatory amendments within the 90 day timeline.

Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.

Aichi Target 3.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	\boxtimes		
	Are the procedures publicly available?	\boxtimes		
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?	\boxtimes		
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries		\boxtimes	
	Electronic permit information exchange to Management Authorities of all countries?		\boxtimes	

	Electronic permit data exchange between Management Authorities and customs?		\boxtimes			
	Electronic permit used to cross border with electronic validation by customs?		\boxtimes			
	If 'Yes' to any of the above, please provide information on challeng	ges faced	or issues	overcome:		
	If 'No', do you have any plans to move towards e-permitting¹?					
	If you are planning to move towards e-permitting, please explain to Canada is assessing the potential migration to the UNCTAD aCIT preliminary stages of information gathering to determine the feasily	ES syster	n. We are	in the		
Indicator	1.2.2: The number of Parties making use of the simplified pro	ocedures	provided 1	or in <u>Resolutior</u>		
1.2.2a	Has your country developed simplified procedures for any of the f	•	Гick all ар _l	olicable		
		Yes	No	No information		
	Where biological samples of the type and size specified in Annex 4 of Resolution Conf. 12.3 (Rev. CoP16) are urgently required.	\boxtimes				
	For the issuance of pre-Convention certificates or equivalent documents in accordance with <u>Article VII</u> , paragraph 2.		\boxtimes			
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.		\boxtimes			
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.		\boxtimes			
	Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details: Simplified permitting processes are used for commercial and personal American ginseng exports when the ginseng is obtained from certified growers. As well, simplified permitting processes are used for artificially propagated plants from approved greenhouses/nurseries. Canada responded to Notification 2017/071 on simplified procedures which was used for SC70 Information Document 4.					
Objective 1.3 Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties. All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.						
Indicator	1.3.1: The number of Parties that have implemented relevar Decisions of the Conference of the Parties and/or Standing					
1.3.1a	I.3.1a Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see [link to location on the CITES website where the reporting requirements are listed])?					

Responses provided to ALL relevant reporting requirements oximes

e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

	Responses provided to SOME of the relevant reporting requirements Responses provided to NONE of the relevant reporting requirements No special reporting requirements applicable					
1.3.1b	Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes ☐ No ☑ If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered? □□□□□□					
Objectiv	e 1.4 The Appendices correctly reflect the conservation in Aichi Target 1, Target 12, Target 14 and Target 19.	eeds of s	pecies.			
1.4.1:	The number and proportion of species that have bee Resolution Conf. 9.24 or its successors. This includes proposals.					
1.4.1a	Have you undertaken any reviews of whether species wou on the CITES Appendices?	uld benefi	t from lis		No 🖂	
	If 'Yes', please provide a summary here, or a link to the re (or a copy of that report to the Secretariat if the work is no Canada has not undertaken reviews of whether species was Appendices. However, at CoP17 Canada submitted three criteria in Resolution Conf. 9.24 were not met (Two of the Note that information would meet the objective stated in 1 question Q1.4.1.a.	t available ould ben proposal se propos	e online) efit from s based als were	listing on the C on our review to adopted by the	hat the e CoP).	
Objectiv	e 1.5 Best available scientific information is the basis for Aichi Target 2, Target 4, Target 5, Target 6, Target				t 14.	
Indicator	 1.5.1: The number of surveys, studies or other analyse on the sources of information cited in Resolutive related to: a) the population status of Appendix-II species; b) the trends and impact of trade upon Appendic; c) the status of and trend in naturally-occurring recovery plans. 	tion Conf x-II speci	es; and	on Non-detrime	ent findings	
1.5.1a	Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to:	Yes	No	Not Applicable	If Yes, How many?	
	- the population status of Appendix II species?	\boxtimes				
	- the trends and impact of trade on Appendix II species?	\boxtimes				
	- the status of and trend in naturally-occurring Appendix I species?	\boxtimes				
	- the impact of any recovery plans on Appendix I species?					
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?	\boxtimes				

	If there are such studies that you are willing to share, please provide: Canada has a national process to assess the conservation status of Canadian species on an ongoing basis. Information on the assessment, listing and recovery of Canadian species is available in Canada's Species at Risk Public Registry (https://www.canada.ca/en/environment-climate-change/services/species-risk-public-registry.html). Subnational jurisdictions also conduct assessments of conservation status of species, routine monitoring, and research of species. This work is into their management regimes for species.				
	Species name (scientific)	A brief summary of the results or other analysis (e.g. population stable / increase, off-take levels links to published reference ma	on status, dec s etc), or prov	cline /	
	00000				
	00000				
	00000				
1.5.1b	How are the results of such surveys, studies findings (NDFs)? Please tick all that appl	у			
		Revised harve		quotas ⊠ export ⊠	
		Stricter d	lomestic mea		
		Changed managen			
		Discussion with Mana	-		
	Oll (1) T I	Discussion with o			
	Other (please provide a short summary): The on Canadian CITES listed species and incorp			of work	
1.5.1c	Do you have specific conservation measures plans for naturally occurring Appendix-I listed				
	plans for flaturally occurring Appendix-Flisted	species? No Not Applicable			
		No information			
	If 'Yes', please provide a brief summary, incluimpact: Canada does not have specific meast Conservation measures and recovery plans at take into account the CITES listing and any that and recovery plans are based on the status of See also response for 1.5.1a. Some of these	sures/plans for Appendix-I listed are undertaken independent of C nreats from trade. Canada's con of species in Canada not on thei	species. CITES listing: servation me	easures	
1.5.1d	Have you published any non-detriment findin	_	No 🗌		
	If 'Yes', please provide links or examples to the property of the provided HTML of th	eports for all of the most frequent anada.ca/en/environment-clima	ite-	•	
1.5.1e	Which of the following (A to F of paragraph a do you use in making non-detriment findings:		Yes	No	
	A. relevant scientific literature concerning spedistribution and population trends.	ecies biology, life history,			
	B. details of any ecological risk assessments	conducted.	\boxtimes		
	C. scientific surveys conducted at harvest loc from harvest and other impacts.	ations and at sites protected			
	D. relevant knowledge and expertise of local	and indigenous communities.	\boxtimes		
	E. consultations with relevant local, regional	and international experts.	\boxtimes		
	F. national and international trade information the CITES trade database maintained by UN Monitoring Centre (UNEP-WCMC), publication trade and investigations of sales at marke	EP World Conservation on trade, local knowledge			

example.	

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a			/	NI-	No information
		-	es	No	
	Do you have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7?		\boxtimes		
	If 'Yes', please briefly describe your procedures for making non-or attach as an annex to this report, or provide a link to where the on the internet: Our Standing NDF reports are based on IUCN good Authorities published by Rosser and Haywood, 2002. We also resuch as the CITES Non-detriment Findings Guidance for Perenr (https://www.bfn.de/en/activities/species-conservation/species-conventions/cites/perennial-plants.html). We also have procedured other federal departments for making NDFs.	e informa juidance f efer to oth nial Plants <u>onservati</u>	ation for Cl ner a s on-le	can be ITES S vailable	Scientific e guidance on-and-
1.5.2b	When establishing non-detriment findings, have any of the follow	ving			
	guidance been used?		Plea	se tick	all that apply
	Virtual Co	ollege			
	IUCN Che	ecklist			\boxtimes
	Resolution Conf	. 16.7			\boxtimes
	2008 NDF work	kshop			\boxtimes
	Species specific guid	dance		1	\boxtimes
		Other			
	If 'Other' or 'Species specific guidance', please specify details: □				
1.5.2c		Case by c	ase		
	detriment findings?	Annually			
	E	Every two	year	S	
	L	.ess frequ	iently	/	
	A	mix of th	ne ab	ove	\boxtimes
	Please describe the circumstances under which non-detriment fi	indings w	ould	be cha	anged:
	□□□□□ NDFs are done on a case-by-case basis for permits. We reports every three years or earlier when there is a change in the regime				

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	Do you set annual export quotas?	Yes	
		No	\boxtimes
	If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how		
	quotas are set:		Other,
		Population	please
	Species Name (scientific)	Survey?	specify
1.5.3b	Have annual export quotas been set at levels which will	Yes	
	ensure sustainable production and consumption?	No	
	If 'Yes', please describe how this fits into your non-detriment □□□□□□	finding process:	

Objective 1.6 Parties cooperate in managing shared wildlife resources.

Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.

Indicator 1.6.1: The number of bilateral and multilateral agreements that specifically provide for comanagement of shared CITES listed species by range States.

1.6.1a Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species?Yes ☒ No ☐ If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved: ☐☐☐☐☐	agreements for co-management of shared species?Yes ⊠ No □
Many, including: -1973 Agreement on the Conservation of Polar bears (USA, Norway, Russia, Greenland and Canada). https://polarbearagreement.org/ -1916 Migratory Birds Convention (Canada and USA) https://www.canada.ca/en/environment-climate-change/services/migratory-birds-legal-protection/convention-act.html - Memorandum of Understanding Between Environment Canada and the United States Department of the Interior for the Conservation and Management of Shared Polar Bear Populations (Canada and United States) - Memorandum of Understanding between the Government of Canada, the Government of Nunavut, and the Government of Greenland for the Conservation and Management of Polar Bear Populations (Canada, Nunavut and Greenland) -others, under "Biodiversity or ecosystems": https://www.canada.ca/en/environment-climate-change/corporate/international-affairs/partnerships-organizations/participation-international-	l

Indicator 1.6.2: The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

1.6.2a	Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species? There are many. Refer to the list under 1.6.1a. If 'Yes', please list the species for which these plans are in place and provide a link of to a published plan for each species.		
	Species Name (scientific)	Link or reference to a published plan	
		Zini, et l'electrice de la passione de passione	
	00000	00000	

Indicator 1.6.3: The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

1.6.3a Have the CITES authorities *received or benefited* from any of the following capacity-building activities provided by external sources? Yes, the Commission for Environmental Cooperation provided funding for a collaborative project with Canada, Mexico and the United States to strengthen conservation and trade of North American species listed on CITES Appendix II.

	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources ¹ ?
	Staff of Management Authority	\boxtimes					□□□□□ See note
	Staff of Scientific Authority Staff of enforcement authorities Traders						above.
	NGOs Public Other (please specify):						above
1.6.3b	Have the CITES authorities been the to other range States?	provide	ers of ar	ny of the	e follo	wing	capacity-building activities
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Target group	ç g	ass ass	Fin	<u>F</u>	₹	Details
	Staff of Management Authority Staff of Scientific Authority						
	Staff of enforcement authorities					П	
	Traders						
	NGOs						
	Public						0000
	Other Parties/International meetings						
	Other (please specify)						00000
1.6.3c	In what ways do you collaborate with	other C	ITES P	arties?			
		Never	Rarely	Sometimes	Very Often	Always	Further detail / examples
	Information exchange				\boxtimes] 00000
	Monitoring / survey						
	Habitat management						
	Species management						
	Law enforcement					L	
	Capacity building		Ш	Ш		L	
	Other (please provide details)	Ц					

Please provide the names of Parties, and any non-Parties, involved.

Objective	Parties are enforcing the Convention to reduce illegal wildling Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 9		et 12 and ⁻	Target 19.
Indicator '	Indicator 1.7.1: The number of Parties that have, are covered by, or engage an international enforcement strategy and/or action formal international cooperation, such as an international enforcement strategy and/or action plan; an antional enforcement strategy and/or action plan; and formal national interagency cooperation, such as committee.			
1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No	No Information
	– an international enforcement strategy and/or action plan?			
	– formal international cooperation, such as an international enforcement network?			
	– a national enforcement strategy and/or action plan?			
	 formal national interagency cooperation, such as a national interagency enforcement committee? 			
If 'Yes' to any of the above, please specify the level of engagement and provide additional details: □□□□□□				
Indicator 1	.7.2: The number of Parties with a process or mechanism strategies, and the activities taken to implement their strate		ewing the	ir enforcement
1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?	consid No	ut review eration ormation	is under
	If 'Yes', what do you do? □□□□□			
	If 'Yes' or 'No, but review is under consideration', which tools do	you find o	f value? 🗆	
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	consid No	it toolkit us eration ormation	se is under
	If 'Yes', please provide feedback on the parts of the toolkit used a equivalent tools have been. Please specify improvements that co			coolkit or
	If 'No', please provide feedback on why not or what is needed to tools useful to you:	make the	toolkit or	equivalent
Indicator 1	.7.3: The number of Parties that have criminal (penal) law forensic technology, and capacity to use specialize investigating, prosecuting, and penalizing CITES offences.	ed invest		
1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?	Yes No No inforr	mation	
	If 'Yes', please provide the title of the legislation and a summary of the penalties available			

Objective 1.7

1.7.3b	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime ¹ in your country?			Yes No No informatio	<u> </u>
	If 'Yes', please explain what criteria must be met for p treated as serious crimes: □□□□□	oachin	g or w	ildlife trafficking	offences to be
1.7.3c	Do you have capacity to use forensic technology ² to sinvestigation of CITES offences?	support	t the	Yes No No informatio	
	If 'Yes', please provide a brief summary of any sampl collected and submitted to an appropriate forensic an another country) during the period covered in this rep	nalysis t	facility		
	If 'Yes', and your country has an appropriate forensic please indicate which species it applies to: □□□□□	analys	is facil	ity for CITES-lis	sted species,
1.7.3d	Did your authorities participate in or initiate any multi- law enforcement operation(s) targeting CITES-listed during the period covered in this report?			Yes No No information	s 🗆
	If 'Yes', please provide a brief summary, including any other Parties: □□□□□	y lesso	ns leai	ned which mig	ht be helpful for
1.7.3e	Do you have a standard operating procedure among agencies for submitting information related to CITES INTERPOL and/or the World Customs Organization?	offence	nt es to	Yes No No informatio	
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?
	General crime ⁴				
	Predicate offences ⁵				
	Asset forfeiture ⁶				00000
	Corruption ⁷				
	International cooperation in criminal matters ⁸				
	Organized crime ⁹				
	Specialized investigation techniques ¹				

The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

² Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

³ A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

⁵ Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

⁶ Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials

International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

	If 'Yes' to any of the above, please explain how each is used for CIT brief summary, including any lessons learned which might be helpful		
1.7.3g	Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences?	Yes No No information	
	If 'No', please provide a brief summary of your major capacity-buildi	ing needs: □□□□□	

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

474	D '1 (11 10)TEO (' ' '' ')	A.I.	
1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always	
		Very often	Ш
		Sometimes	
		Rarely	
		Never	
		No information	
1.7.4b	Do you have capacity to analyse information gathered on illegal	Yes	
	trade in CITES-listed species?	No	
		No information	
1.7.4c	Do you use criminal intelligence ² to inform investigations into	Always	
	illegal trade in CITES-listed species?	Very often	
		Sometimes	
		Rarely	
		Never	
		No information	
1.74d	Have you implemented any supply-side activities to address illegal	Yes	
	trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	
1.7.4e	Have you implemented any demand-side activities to address	Yes	
	illegal trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	

Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

² Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During th	ne period covered in this report:	Yes	No	No Information
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?			
	If 'Yes', please indicate how many and for what types of offence details:	es. If avail	able, please	e attach
1.7.5b	Have there been any criminal prosecutions of CITES-related offences?			
	If 'Yes', how many and for what types of offences? If available,	please at	tach details:	
1.7.5c	Have there been any other court actions against CITES-related offences?			
	If 'Yes', what were the offences involved and what were the results	s? Please	attach detail	s: 🗆 🗆 🗆 🗆
1.7.5d	How were any confiscated specimens disposed of?		Tick	all that apply
	Return to country of export			
	Public zoos or botanical gardens			
	Designated rescue centres			
	Approved private facilities			
	– Euthanasia			
	Other (please specify): □□□□□			
	Have you encountered any challenges in disposing of confiscat	ted specir	nens?	
	Do you have good practice that you would like to share with oth	ner Parties	s?	
Objective	Aichi Target 1, Target 12 and Target 19.		·	
Indicator '	1.8.1: The number of Parties with national and regional tra resources in place to implement CITES including the issuance of permits and enforcement.			
1.8.1a	Do you have information resources or training in place to support The making of non-detriment findings? Permit officers? Enforcement officers?	:: YesNo		
1.8.1b	Is the CITES Virtual College used as part of your capacity buildir work?	N	es o o informatio	on 🗆
	What improvements could be made in using the Virtual College to capacity building? $\Box\Box\Box\Box$			
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form partition of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit to capacity building?	art _N N	es o o informatic	on 🗌

GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

Objective 2.1 Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.

Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	Do you have an approved service standard(s)¹ for your Management Authority(ies)?	Yes No	\boxtimes
	If 'No', please go to Question 2.2.1d.	110	Ш
	If 'Yes', for which services are there standards, and what are those standards?	Service standards apply for the issuance of 3 categories of permits – Hunting Trophy Export (21 calendar days), Polar bear specimen Export (70 calendar days) and All other permit types (35 calendar days).	
	If 'Yes', do you have performance targets for these standards ² ? If 'Yes', what are your performance targets?	Yes No See response in 2.2.1a, our service standard is to be met for 90% of requests.	
	Do you publish your performance against service standard targets?	Yes No	
	If possible, please provide your performance against service standards during the period covered in this report:	https://www.canada.ca/en/env ironment-climate- change/services/convention- international-trade- endangered- species/permits/service- standards-performance- targets.html	
	If you did not meet your performance targets then was this shortfall a result of:	Yes	No
	availability of funding?		\boxtimes
	- number of staff?	\boxtimes	
	a shortage of skills?		
	If 'Yes' to a shortage of skills, which skills do you need more of? □□□□□□		
2.2.1b	Do you have an approved service standard(s) ⁴⁷ for your Scientific Authority(ies)?	Yes No	
	If 'No', please go to Question 2.2.1d.		
	If 'Yes', for which services are there standards, and what are those standards?		

For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

For example, 85% of all decisions will take place within the service standard.

	_	u have performance targets are your performance targe		00000	Yes No	
		ease provide your performa ring the period covered in th				
	If you did not a result of:	meet your performance targ	gets then was this shortfall	Yes		No
	availability	of funding?				
	number of	staff?				
	a shortage	e of skills?				
	If 'Yes' to a sh	ortage of skills, which skills	do you need more of?			
2.2.1c		an approved service standa	ard(s) ⁴⁷ for your		Yes	
		authority(ies)?			No	\boxtimes
	• •	go to Question 2.2.1d. hich services are there stand	dards, and what are these			
	standards?	iich services are there stant	dalus, aliu wilat ale tilose			
	If 'Yes', do you	u have performance targets	for these standards ⁴⁸ ?		Yes	
		are your performance targe			No	
		ease provide your performa ring the period covered in th	•			
	If you did not a result of:	meet your performance tar	gets then was this shortfall	Yes		No
	availability	of funding?				
	number of	staff?				
	a shortage	e of skills?				
	If 'Yes' to a sh	ortage of skills, which skills	do you need more of?			
2.2.1d		omplete this question if you 1c, relating to the existence				
	Do you have s	sufficient of the following for	your authorities to function	effectively?		
		Management Authority(ies)	Scientific Authority(ies)		ement ity(ies)	
	Funding?	Yes ☐ No ⊠	Yes ⊠ No □	Yes 🗌	No 🗌	
	Staff?	Yes □ No ⊠	Yes ⊠ No □	Yes 🗌	No 🗌	
	Skills?	Yes ⊠ No □	Yes ⊠ No □	Yes 🗌	No 🗌	
				i		
	l					
Indicator 2	2.2. The nur	mber of Parties that have ur	ndertaken one or more of th	e following activ	vities:	

- changed the budget for activities;
 hired more staff;
 developed implementation tools;
 purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES implementation at the national level?	Tick if applicable
	implementation at the national level?	rick ii applicable
	Hiring of more staff	
	Development of implementation tools	
	Purchase of technical equipment for implementation, monitoring or enforcement	

2.2.2b	During the period covered in this report budget for your:	, was the	Increased	Stable	Decreased	
	Management Authority(ies)			\boxtimes		
	Scientific Authority(ies)			\boxtimes		
	Enforcement authorities					
2.2.2c	Have you been able to use international development funding assistance to increase of implementation of your		Yes	No	Not applicable	
	Management Authority(ies)?				\boxtimes	
	Scientific Authority(ies)?					
	Enforcement authorities?				\boxtimes	
2.2.2d	What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities?					
	Activity	High	Medium	Low	Not a Priority	
	Hiring of more staff		\boxtimes			
	Development of implementation tools		\boxtimes			
	Purchase of new technical equipment for implementation, monitoring or enforcement					
	e-permitting		\boxtimes			
	Other (please specify):					
2.2.2e	Do you have a operational system (e.g. electronic database) for managing		Yes	Under development	No	
	Species information		\boxtimes			
	Trade information		\boxtimes			
	Non-detriment findings		\square			
dicator :	 2.2.3: The number of Parties raising fumechanisms. Does the Management Authority charges Administrative procedures 				I that are applicab	
	Issuance of CITES documents (e.g the sea)Shipment clearance (e.g. for the in					
	of CITES-listed species) - Licensing or registration of operation				<i></i>	
	· ·	•	Oduce Crico	Species		
	Harvesting of CITES-listed speciesUse of CITES-listed species	5				
	Assignment of quotas for CITES-line	atad enecia	20			
	Other (please specify): □□□□□	Sieu specie	38			
	- Other (piease specify).					
2 2 3 A	la a fac achadula publicly available?				VacilNal	
2.2.3b	Is a fee schedule publicly available? If 'Yes', please provide an internet link,	, or a copy	of the schedu	ıle to the Secreta	Yes	
	If 'Yes', please provide an internet link,				riat:	
2.2.3b 2.2.3c	If 'Yes', please provide an internet link,				riat:	

Not at all

		Not relevant	\boxtimes
2.2.3d		Yes	No
	Do you raise funds for CITES management through charging user fees?		\boxtimes
	Do your fees recover the full economic cost of issuing permits?		\boxtimes
	Do you have case studies on charging or using fees?		\boxtimes
	If 'Yes' to any of the above, please provide brief details: □□□□□		
	Do you use innovative financial mechanisms to raise funds for CITES implementation? If 'Yes', please provide brief details: □□□□□□		\boxtimes

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	Do you use incentive measures¹ such as those described in CoP14 Doc 14.32 to implement the
	Convention? YesNo
	Due diligence
	Compensatory mechanisms 🔲 🔯
	Certification \square
	Communal property rights
	Auctioning of quotas
	Cost recovery or environmental charges
	Enforcement incentives
	If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to further information: □□□□□
2.2.4b	Have incentives harmful to biodiversity been eliminated? Not at all⊠
	Very little□
	Somewhat⊡
	Completely

⁻

Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

Objective 2.3 Sufficient resources are secured at the national and international levels to implement capacity-building programmes.

Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	you run during the period covered in this report?					t assi e ariat	stance Conducted or assisted by the Secretariat
		None					
				1 2-5			
			(6-10			
			1	1-20			
			More tha	_			
	Please list the Resolutions or Decisions	involve	d: 🗆 🗆 🗆				
2.3.1b	What sorts of capacity building activities have taken place? □□□□□						
2.3.1c	What capacity building needs do you have?						
	Please tick all boxes which apply to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders / other user groups						
	NGOs						
	Public						
	Other (please specify)						

An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

GOAL 3CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.

Aichi Target 2 and Target 20.

Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes No Not applicable No information	
	If 'Yes', please provide brief details: □□□□□		
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased Remained stable Decreased	

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?							information
	If 'Yes', please tick boxes to indicate type of assistance provided Country(ies)	Species Management¹	Habitat Management²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)
	0000							
	00000							
	00000							

Objective 3.2 Awareness of the role and purpose of CITES is increased globally. Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups?	Wider public	Relevant User Groups
	Press conferences		
	- Press releases		
	Newspaper articles, brochures, leaflets	\boxtimes	
	Television appearances	\boxtimes	
	Radio appearances		
	- Presentations	\boxtimes	\boxtimes
	Public consultations / meetings	\boxtimes	\boxtimes
	Market surveys		
	- Displays	\boxtimes	
	Information at border crossing points	\boxtimes	
	Telephone hotline	\boxtimes	
	Website(s) – if so please provide link(s) https://www.canada.ca/en/services/environment/wildlife-plants- species/international-trade-protected-animals-plants.html		
	Other (specify): □□□□□		
	Please attach copies of any items or describe examples:		

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the Cl	TES wel	neite?				
J.Z.Zd	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary). Target group	Daily	Weekly	Monthly	Less frequently	Not known	
	Staff of Management Authority	\boxtimes					
	Staff of Scientific Authority	\boxtimes					
	Staff of enforcement authorities						
3.2.2b	What has been your experience with using the	CITES v	vebsite?	Exc	ellent		
				Goo	d	\boxtimes	
				Ave	rage		
				Poo	-		
				•	/ Poor		
				No i	nformation		
	Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc): \(\begin{align*}						

reference document to indicate on which days discussions can be found. Would be useful to have each Decision number listed so that individual Decisions can be found by number (not only by subject) because they are usually referenced individually by number.

Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

Objective 3.3 Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) ¹ to which your country is party?	Yes No No information				
	If 'Yes', please give a brief description: □□□□□Ongoing communication with colleagues as required.					

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has yo contributed towards?	our country	10000	
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?			
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No	
	Agencies for development?			
	Agencies for trade?			
	Provincial, state or territorial authorities?	\boxtimes		
	Local authorities or communities?			
	Indigenous or local peoples?	\boxtimes		
	Trade or other private sector associations?	\boxtimes		
	NGOs?			
	Other (please specify)			
3.3.2d	Are CITES requirements integrated into?	Yes	No	
	National and local development strategies?			
	National and local poverty reduction strategies?			
	Planning processes?			
	National accounting?	\boxtimes		

CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3		rties cooperating / collaborati rations to participate in and/or fu activities.		
3.3.3a	Has funding been provided workshops, training or other to / from:	or received to facilitate CITES capacity building activities	Tick if applicable	Which organizations?
	Inter-governmental organiza	ations?		
	Non-governmental organiza	itions?		
Objective Indicator 3	development goals so relevant Aichi Biodio Conference on Susta in wild fauna and flora This objective may a including action taken Aichi Target 1, Target 17, Target 14, Target 17,	CITES to the relevant Millennium set at WSSD, the Strategic Playersity Targets, and the relevinable Development is strengthed is conducted at sustainable levels be assessed by a variety of to implement many of the CITE let 2, Target 3, Target 4, Target 18 and Target 19.	an for Biodiversity ant outcomes of ened by ensuring the els. of means beyond t S resolutions and d get 5, Target 6, T	2011-2020 and the the United Nations at international trade the reporting format, lecisions. arget 7, Target 12,
3.4.1a	improved.		CITES Appendice	s has stabilized or
3.4.1b	of naturally occurring specie CITES Appendices has stab If there are such studies that Species name (scientific) Bison bison athabascae Falco peregrinus	ws that the conservation status in your country listed on the ilized or improved? Appendix I Appendix II Appendix III you are willing to share, please Link to the data, or a brief sum CoP17 Prop.1 CoP17 Prop. 17	nmary	Not Applicable
3.4.10	emerging problems with any If 'Yes', please provide detail	CITES listed species?	No No inform	ation 🔲
Indicator 3	3.4.2: The number of Parti Action Plan (NBSAP)	ies incorporating CITES into th	neir National Biodiv	versity Strategy and
3.4.2a	Biodiversity Strategy and Ad In Canada, the Canadian B This strategy was develope required to meet Canada's Biological Diversity and to e aimed at the conservation a resources. Canada's biodiv conservation and sustainab those subject to CITES con	iodiversity Strategy is Canada's d to identify the measures which obligations under the Conventior inhance coordination of national and sustainable use of biological versity strategy includes a goal of le use of all flora and fauna, inclutols.	are n on efforts f uding	ormation
3.4.2b	Have you been able to obta	in funds from the Global Enviror	iment Yes	

No

Facility (GEF) or other sources to support CITES aspects of NBSAP

implementation?	No information	
Not applicable to Canada		

Objective :	3.5	Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited. Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.						
Indicator 3.5.1: The number of cooperative act agreements to prevent species fruit trade.								
3.5.1a	3.5.1a Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details: □□□□□□ See 1.6.1						Yes 🖂 No 🖂 No information	
Indicator 3.	5.2:	The number of times other relenatural resources are consulted						
3.5.2a	that i	rage number of times per year international organizations or ements have been consulted ITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
	Mana	agement Authority(ies)		\boxtimes				Nationally, we work to ensure coherence in meeting our obligations for wild resources in all fora for which Canada is a Party
	Scien	ntific Authority(ies)						
	Enfor	cement Authority(ies)						
General feedback Please provide any additional comments you would like to make, including comments on this format. Item Copy of full text of CITES-relevant legislation if changed Enclosed □ Web link(s) □□□□□□ Not available □ Previously provided □								
Please list	any m	naterials annexed to the report, e.	g. fee s	chedule	es, awa	areness	raisi	ng materials, etc:
Have any constraints to implementation of the Convention arisen in Yes your country requiring attention or assistance? No No Information If 'Yes', please describe the constraint and the type of attention or assistance that is required.								
Are there e Parties?	examp	escribe the constraint and the typoles of good practice you would like				Yes No		ation
How could	this re	eport format be improved? □□□□] [

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.