The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

### **CITES vision statement**

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: <u>info@cites.org</u> Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

| Party  | BARBADOS  |
|--|---|
| Period covered in this report                        | 2015-2017   |
| Department or agency preparing this report           | MINISTRY OF ENVIRONMENT AND NATIONAL BEAUTIFICATION |
| Contributing departments, agencies and organizations | BARBADOS CITES SCIENTIFIC AUTHORITY                 |

# GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1Parties comply with their obligations under the Convention through appropriate policies,<br/>legislation and procedures.<br/>All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12,<br/>Target 17 and Target 18.

### Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

| 1.1.1a | Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes No X<br>If 'Yes', have you shared information with the Secretariat? Yes No Not Applicable I<br>If 'No', please provide details to the Secretariat with this report: |
|--------|--|
| 1.1.1b | Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes No I If 'No', please provide details of the constraints faced:                     |

### **Objective 1.2** Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens. Aichi Target 3.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

|        |   | Yes         | No          | No<br>information |  |
|--------|---|-------------|-------------|-------------------|--|
| 1.2.1a | Do you have standard operating procedures for application for and issuance of permits?  | $\boxtimes$ |             |                   |  |
|        | Are the procedures publicly available?  | $\boxtimes$ |             |                   |  |
| 1.2.1b | Do you have:  |             |             |                   |  |
|        | Electronic data management and a paper-based permit issuance system?  | $\boxtimes$ |             |                   |  |
|        | Electronic permit information exchange between Management<br>Authorities of some countries<br>If 'Yes', please list countries |             |             |                   |  |
|        | Electronic permit information exchange to Management<br>Authorities of all countries?   |             |             |                   |  |
|        | Electronic permit data exchange between Management Authorities and customs?   |             | $\boxtimes$ |                   |  |
|        | Electronic permit used to cross border with electronic validation by customs?   |             | $\boxtimes$ |                   |  |
|        | If 'Yes' to any of the above, please provide information on challenges faced or issues overcome:                              |             |             |                   |  |

| If 'No', do you have any plans to move towards e-permitting <sup>1</sup> ?                     |  |  | $\boxtimes$ |  |  |
|--|--|--|-------------|--|--|
| If you are planning to move towards e-permitting, please explain what might help you to do so: |  |  |             |  |  |
|  |  |  |             |  |  |

### Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in <u>Resolution</u> <u>Conf. 12.3 (Rev. CoP16)</u>.

| 1.2.2a | Has your country developed simplified procedures for any of the following?  |                     |             |                   |
|--------|---|---------------------|-------------|-------------------|
|        |   | Tick all applicable |             |                   |
|        |   | Yes                 | No          | No<br>information |
|        | Where biological samples of the type and size specified in Annex 4 of <u>Resolution Conf. 12.3</u> (Rev. CoP16) are urgently required.            |                     |             |                   |
|        | For the issuance of pre-Convention certificates or equivalent documents in accordance with <u>Article VII</u> , paragraph 2.                      |                     | $\boxtimes$ |                   |
|        | For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.                       |                     |             |                   |
|        | For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4. |                     |             |                   |
|        | Are there other cases judged by a Management Authority to merit the use of simplified procedures?<br>If 'Yes', please provide details:            |                     |             |                   |

**Objective 1.3** Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties. All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.

Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.

| 1.3.1a | Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see [link to location on the CITES website where the reporting requirements are listed])? |  |  |  |  |
|--------|---|--|--|--|--|
|        | Responses provided to ALL relevant reporting requirements   |  |  |  |  |
|        | Responses provided to SOME of the relevant reporting requirements $\Box$  |  |  |  |  |
|        | Responses provided to NONE of the relevant reporting requirements $\Box$  |  |  |  |  |
|        | No special reporting requirements applicable $\boxtimes$  |  |  |  |  |
| 1.3.1b | Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes 🗌 No 🖂   |  |  |  |  |
|        | If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?  |  |  |  |  |
|        |   |  |  |  |  |

<sup>&</sup>lt;sup>1</sup> e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

#### **Objective 1.4** The Appendices correctly reflect the conservation needs of species. Aichi Target 1, Target 12, Target 14 and Target 19.

1.4.1: The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

| 1.4.1a | Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices?   | Yes 🗌 No 🖂 |
|--------|--|------------|
|        | If 'Yes', please provide a summary here, or a link to the report of the work<br>(or a copy of that report to the Secretariat if the work is not available online): |            |

| Objective 1.5 | Best available scientific information is the basis for non-detriment findings.             |  |  |  |  |  |  |
|---------------|--|--|--|--|--|--|--|
| -             | Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14. |  |  |  |  |  |  |
|               |  |  |  |  |  |  |  |

- Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to: a) the population status of Appendix-II species;
  - b) the trends and impact of trade upon Appendix-II species; and
  - c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

| 1.5.1a | Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to:                     | Yes | No          | Not<br>Applicable | lf Yes,<br>How<br>many? |
|--------|--|-----|-------------|-------------------|-------------------------|
|        | - the population status of Appendix II species?  |     | $\square$   |                   |                         |
|        | - the trends and impact of trade on Appendix II species?   |     | $\boxtimes$ |                   |                         |
|        | - the status of and trend in naturally-occurring<br>Appendix I species?  |     | $\boxtimes$ |                   |                         |
|        | - the impact of any recovery plans on Appendix I species?  |     | $\boxtimes$ |                   |                         |
|        | Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities? |     |             | $\boxtimes$       |                         |

|        | If there are such studies that you are willing   | to share, please provide:  |                                  |             |
|--------|--|--|----------------------------------|-------------|
|        | Species name (scientific)  | A brief summary of the results<br>or other analysis (e.g. populati<br>stable / increase, off-take level<br>links to published reference ma | on status, de<br>s etc), or prov | cline /     |
|        |  |  |                                  |             |
|        |  |  |                                  |             |
|        |  |  |                                  |             |
|        |  |  |                                  |             |
|        |  |  |                                  |             |
| 1.5.1b | How are the results of such surveys, studies findings (NDFs)? Please tick all that app   |  | ng non-detrin                    | nent        |
|        |  | Revised harve  |                                  |             |
|        |  |  | Banning                          | ·           |
|        |  |  | domestic mea                     | _           |
|        |  | Changed manage   |                                  |             |
|        |  | Discussion with o  | 0                                |             |
|        | Othe   | er (please provide a short summa   |                                  |             |
| 1.5.1c | Do you have specific conservation measure  | s or recovery Yes  |                                  | $\boxtimes$ |
|        | plans for naturally occurring Appendix-I liste   |  |                                  |             |
|        |  | Not Applicable   |                                  |             |
|        |  | No information   | <b>6</b> 11 - 1                  |             |
|        | If 'Yes', please provide a brief summary, incl<br>impact:  | luding, if possible, an evaluation   | of their                         |             |
|        | Marine turtles including the hawksbill and th<br>Barbados Fisheries Management Plan whic<br>Work of the Barbados Sea Turtle Project als<br>activities which include:                               | h prevents the taking of turtles o   | r their eggs.                    | The         |
|        | - The Operation of the Sea Turtle Hotline  |  |                                  |             |
|        | <ul> <li>Research into population trends and three</li> <li>Education and public outreach</li> </ul>   | eats to nesting turtles and hatchli  | ngs                              |             |
|        | - Monitoring of nesting females, juveniles   | and hatchlings.  |                                  |             |
|        |  |  |                                  |             |
| 1.5.1d | Have you published any non-detriment findi<br>If 'Yes', please provide links or examples to  | -  |                                  |             |
| 1.5.1e | Which of the following (A to F of paragraph<br>do you use in making non-detriment findings   |  | Yes                              | No          |
|        | A. relevant scientific literature concerning sp<br>distribution and population trends.   | becies biology, life history,  |                                  |             |
|        | B. details of any ecological risk assessment   | s conducted.   |                                  |             |
|        | C. scientific surveys conducted at harvest lo<br>from harvest and other impacts.   | ocations and at sites protected  |                                  |             |
|        | D. relevant knowledge and expertise of loca  | I and indigenous communities.  |                                  |             |
|        | E. consultations with relevant local, regional   | and international experts.   |                                  |             |
|        | F. national and international trade informatic<br>the CITES trade database maintained by UI<br>Monitoring Centre (UNEP-WCMC), publicat<br>on trade and investigations of sales at mark<br>example. | NEP World Conservation<br>ions on trade, local knowledge   |                                  |             |

# Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

| 1.5.2a |   |            |        |         | No<br>information |
|--------|---|------------|--------|---------|-------------------|
|        |   | ·          | Yes    | No      | information       |
|        | Do you have standard procedures for making non-detriment findings in line with <u>Resolution Conf. 16.7</u> ?   |            |        |         | $\boxtimes$       |
|        | If 'Yes', please briefly describe your procedures for making non<br>or attach as an annex to this report, or provide a link to where th<br>on the internet: |            |        |         | found             |
| 1.5.2b | When establishing non-detriment findings, have any of the follo   | wing       |        |         |                   |
|        | guidance been used?   | -          | Plea   | se tick | all that apply    |
|        | Virtual C   | College    |        |         |                   |
|        | IUCN Ch   | necklist   |        |         |                   |
|        | Resolution Con  | nf. 16.7   |        |         |                   |
|        | 2008 NDF wo   | rkshop     |        |         |                   |
|        | Species specific gu   | idance     |        |         |                   |
|        |   | Other      |        |         |                   |
|        | If 'Other' or 'Species specific guidance', please specify details:  |            |        |         |                   |
| 1.5.2c |   | Case by o  | case   |         | $\boxtimes$       |
|        | detriment findings?   | Annually   |        |         |                   |
|        |   | Every two  | o year | S       |                   |
|        |   | Less freq  | uently | /       |                   |
|        |   | A mix of t | he ab  | ove     |                   |
|        | Please describe the circumstances under which non-detriment   | findings v | would  | be cha  | anged:            |
|        |   |            |        |         |                   |

### Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

| 1.5.3a | Do you set annual export quotas?   | Yes              |             |
|--------|--|------------------|-------------|
|        |  | No               | $\boxtimes$ |
|        | If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how |                  |             |
|        | quotas are set:  |                  | Other,      |
|        |  | Population       | please      |
|        | Species Name (scientific)  | Survey?          | specify     |
|        |  |                  |             |
|        |  |                  |             |
|        |  |                  |             |
| 1.5.3b | Have annual export quotas been set at levels which will  | Yes              |             |
|        | ensure sustainable production and consumption?   | No               | $\boxtimes$ |
|        | If 'Yes', please describe how this fits into your non-detriment  | finding process: |             |
|        |  |                  |             |

# Objective 1.6 Parties cooperate in managing shared wildlife resources. Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19. Indicator 1.6.1: The number of bilateral and multilateral agreements that specifically provide for comanagement of shared CITES listed species by range States. 1.6.1a Is your country a signatory to any bilateral and/or multilateral agreements for comanagement of shared species?Yes □ No ⊠

| agreements for co-management of shared species?Yes $\Box$ No $oxtimes$                         |
|--|
| If 'Yes', please provide brief details, including the names of the agreements, and which other |
| countries are involved:  |

# Indicator 1.6.2: The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

| 1.6.2a |  | tive management plans, including recovery plans,<br>tions of CITES-listed species? Yes ⊠ No □ |  |  |  |  |  |
|--------|--|---|--|--|--|--|--|
|        | If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species. |   |  |  |  |  |  |
|        | Species Name<br>(scientific)   | Link or reference to a published plan   |  |  |  |  |  |
|        | Sea Turtle Recovery<br>Management Plans<br>(Horrocks)  | http://www.widecast.org/Resources/Docs/STRAP_Barbados_1992.pdf                                |  |  |  |  |  |
|        |  |   |  |  |  |  |  |
|        |  |   |  |  |  |  |  |

# Indicator 1.6.3: The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

| 1.6.3a | Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources? |                                    |                         |                         |          |                 |   |  |
|--------|--|------------------------------------|-------------------------|-------------------------|----------|-----------------|---|--|
|        | Please tick boxes to indicate which target group and which activity.<br>Target group   | Oral or written<br>advice/guidance | Technical<br>assistance | Financial<br>assistance | Training | Other (specify) | What were the external sources <sup>1</sup> ? |  |
|        | Staff of Management Authority  |                                    |                         |                         |          |                 |   |  |
|        | Staff of Scientific Authority  |                                    |                         |                         |          |                 |   |  |
|        | Staff of enforcement authorities   |                                    |                         |                         |          |                 |   |  |
|        | Traders  |                                    |                         |                         |          |                 |   |  |
|        | NGOs   |                                    |                         |                         |          |                 |   |  |
|        | Public   |                                    |                         |                         |          |                 |   |  |
|        | Other (please specify):  |                                    |                         |                         |          |                 |   |  |

<sup>&</sup>lt;sup>1</sup> Please provide the names of Parties, and any non-Parties, involved.

| 1.6.3b | Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States? |                                    |                         |                         |            |                 |                              |
|--------|--|------------------------------------|-------------------------|-------------------------|------------|-----------------|------------------------------|
|        | Please tick boxes to indicate which target group and which activity.   | Oral or written<br>advice/guidance | Technical<br>assistance | Financial<br>assistance | Training   | Other (specify) | Details                      |
|        | Staff of Management Authority  |                                    |                         |                         |            |                 |                              |
|        | Staff of Scientific Authority  |                                    |                         |                         |            |                 |                              |
|        | Staff of enforcement authorities   |                                    |                         |                         |            |                 |                              |
|        | Traders  |                                    |                         |                         |            |                 |                              |
|        | NGOs   |                                    |                         |                         |            |                 |                              |
|        | Public   |                                    |                         |                         |            |                 |                              |
|        | Other Parties/International meetings   |                                    |                         |                         |            |                 |                              |
|        | Other (please specify)   |                                    |                         |                         |            |                 |                              |
| 1.6.3c | In what ways do you collaborate with   | other C                            | ITES P                  | arties?                 |            |                 |                              |
|        |  | Never                              | Rarely                  | Sometimes               | Very Often | Alwavs          | Further detail /<br>examples |
|        | Information exchange   |                                    |                         |                         |            |                 |                              |
|        | Monitoring / survey  |                                    |                         |                         |            |                 |                              |
|        | Habitat management   |                                    |                         |                         |            |                 |                              |
|        | Species management   |                                    |                         |                         |            | Ľ               |                              |
|        | Law enforcement  |                                    |                         |                         |            |                 |                              |
|        | Capacity building  |                                    |                         |                         |            |                 |                              |
|        | Other (please provide details)   | □Verific                           | ation of                | the valio               | dity of    | perm            | iits                         |

**Objective 1.7**Parties are enforcing the Convention to reduce illegal wildlife trade.<br/>Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.

Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:

The number of Parties that have, are covered by, or engaged with: – an international enforcement strategy and/or action plan;

- an international enforcement strategy and/or action plan;
   formal international cooperation, such as an international enforcement network;
- a national enforcement strategy and/or action plan; and
- formal national interagency cooperation, such as a national interagency enforcement committee.

| 1.7.1a | Do you have, are you engaged in, or covered by:  | Yes         | No          | No<br>Information |  |  |  |  |
|--------|--|-------------|-------------|-------------------|--|--|--|--|
|        | – an international enforcement strategy and/or action plan?  |             | $\square$   |                   |  |  |  |  |
|        | – formal international cooperation, such as an international<br>enforcement network?                 |             | $\boxtimes$ |                   |  |  |  |  |
|        | – a national enforcement strategy and/or action plan?  |             | $\square$   |                   |  |  |  |  |
|        | – formal national interagency cooperation, such as a national interagency enforcement committee?     | $\boxtimes$ |             |                   |  |  |  |  |
|        | If 'Yes' to any of the above, please specify the level of engagement and provide additional details: |             |             |                   |  |  |  |  |

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

| 1.7.2a | Do you have a process or mechanism for reviewing your<br>enforcement strategy(ies) and the activities taken to implement<br>your strategy(ies)?   | Yes<br>No, but review is under<br>consideration<br>No<br>No information         |   |
|--------|---|---|---|
|        | If 'Yes', what do you do? □□□□  |   |   |
|        | If 'Yes' or 'No, but review is under consideration', which tools do yo  | ou find of value? □□□□□   |   |
| 1.7.2b | Have you used the International Consortium on Combating<br>Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic<br>Toolkit, or equivalent tools?   | Yes     No, but toolkit use is unde     consideration     No     No information | r |
|        | If 'Yes', please provide feedback on the parts of the toolkit used an<br>equivalent tools have been. Please specify improvements that cou<br>If 'No', please provide feedback on why not or what is needed to n<br>tools useful to you: | ıld be made:  | t |

# Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

| 1.7.3a | Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?   | Yes 🛛 No  |
|--------|---|---|
|        | If 'Yes', please provide the title of the legislation and a summary<br>of the penalties available<br>International Trade in Endangered Species of Wild Fauna and<br>Flora CAP 262                 | No information  |
|        | The Act provides for the implementation of the Convention in<br>Barbados  |   |
| 1.7.3b | Are criminal offences such as poaching and wildlife trafficking recognized as serious crime <sup>1</sup> in your country?   | YesNoNo information   |
|        | If 'Yes', please explain what criteria must be met for poaching or w treated as serious crimes: □□□□□   | ildlife trafficking offences to be  |
| 1.7.3c | Do you have capacity to use forensic technology <sup>2</sup> to support the investigation of CITES offences?  | YesImage: Constraint of the second secon |
|        | If 'Yes', please provide a brief summary of any samples from CITE collected and submitted to an appropriate forensic analysis facility another country) during the period covered in this report: |   |
|        | If 'Yes', and your country has an appropriate forensic analysis facil please indicate which species it applies to:  | lity for CITES-listed species,  |

<sup>&</sup>lt;sup>1</sup> The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

<sup>&</sup>lt;sup>2</sup> Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

| 1.7.3d | Did your authorities participate in or initiate any multi-<br>law enforcement operation(s) targeting CITES-listed<br>during the period covered in this report?<br>If 'Yes', please provide a brief summary, including any<br>other Parties: | Yes<br>No<br>No informatio<br>med which mig |               |                             |  |
|--------|---|---|---------------|-----------------------------|--|
| 1.7.3e | Do you have a standard operating procedure among<br>agencies for submitting information related to CITES<br>INTERPOL and/or the World Customs Organization?   | Yes<br>No<br>No informatio                  | □<br>⊠<br>n □ |                             |  |
| 1.7.3f | Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?   | Yes   | No            | No<br>information           | If yes, how many<br>times was this<br>used during the<br>period covered<br>by this report? |
|        | General crime <sup>2</sup>  | $\square$                                   |               |                             |  |
|        | Predicate offences <sup>3</sup>   |   |               |                             |  |
|        | Asset forfeiture <sup>4</sup>   |   |               |                             |  |
|        | Corruption <sup>5</sup>   |   |               |                             |  |
|        | International cooperation in criminal matters <sup>6</sup>  |   |               |                             |  |
|        | Organized crime <sup>7</sup>  |   |               |                             |  |
|        | Specialized investigation techniques <sup>8</sup>   |   |               |                             |  |
|        | If 'Yes' to any of the above, please explain how each brief summary, including any lessons learned which r  |   |               |                             |  |
| 1.7.3g | Do you have institutional capacity to implement the le<br>provisions listed in question 1.7.3f against CITES offer<br>If 'No', please provide a brief summary of your major   | ences?                                      |               | Yes<br>No<br>No information |  |

#### Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITESlisted species.

<sup>&</sup>lt;sup>1</sup> A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

<sup>&</sup>lt;sup>2</sup> General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

<sup>&</sup>lt;sup>3</sup> Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

<sup>&</sup>lt;sup>4</sup> Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

<sup>&</sup>lt;sup>5</sup> Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

<sup>&</sup>lt;sup>6</sup> International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

<sup>&</sup>lt;sup>7</sup> Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

<sup>&</sup>lt;sup>8</sup> Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

| 1.7.4a          | Do you use risk assessment to target CITES enforcement effort?                  | Always                                      |  |
|-----------------|---|---|--|
| 1. <i>1</i> .4a | bo you use hisk assessment to target of LS enforcement enort?                   | Very often                                  |  |
|                 |   | Sometimes                                   |  |
|                 |   |   |  |
|                 |   | Rarely<br>Never                             |  |
|                 |   |   |  |
|                 |   | No information                              |  |
| 1.7.4b          | Do you have capacity to analyse information gathered on illegal                 | Yes   |  |
|                 | trade in CITES-listed species?  | No  |  |
|                 |   | No information                              |  |
| 1.7.4c          | Do you use criminal intelligence <sup>1</sup> to inform investigations into     | Always                                      |  |
|                 | illegal trade in CITES-listed species?  | Very often                                  |  |
|                 |   | Sometimes                                   |  |
|                 |   | Rarely                                      |  |
|                 |   | Never                                       |  |
|                 |   | No information                              |  |
| 1.74d           | Have you implemented any supply-side activities to address illegal              | Yes   |  |
|                 | trade in CITES-listed species during the period covered in this report?         | No, but activities are<br>under development |  |
|                 |   | No  |  |
|                 |   | No information                              |  |
| 1.7.4e          | Have you implemented any demand-side activities to address                      | Yes   |  |
|                 | illegal trade in CITES-listed species during the period covered in this report? | No, but activities are<br>under development |  |
|                 |   | No  |  |
|                 |   | No information                              |  |

<sup>&</sup>lt;sup>1</sup> Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

# Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

| During the | e period covered in this report:  | Yes         | No           | No<br>Information |
|------------|---|-------------|--------------|-------------------|
| 1.7.5a     | Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences? |             |              |                   |
|            | If 'Yes', please indicate how many and for what types of offenc details:                                  | es. If avai | lable, pleas | e attach          |
| 1.7.5b     | Have there been any criminal prosecutions of CITES-related offences?                                      |             |              |                   |
|            | If 'Yes', how many and for what types of offences? If available,  | please at   | tach details | :                 |
| 1.7.5c     | Have there been any other court actions against CITES-<br>related offences?                               |             |              |                   |
|            | If 'Yes', what were the offences involved and what were the result  | s? Please   | attach detai | s:                |
| 1.7.5d     | How were any confiscated specimens disposed of?   |             | Tick         | all that apply    |
|            | <ul> <li>Return to country of export</li> </ul>   |             |              | $\boxtimes$       |
|            | <ul> <li>Public zoos or botanical gardens</li> </ul>  |             |              |                   |
|            | <ul> <li>Designated rescue centres</li> </ul>   |             |              |                   |
|            | <ul> <li>Approved private facilities</li> </ul>   |             |              |                   |
|            | – Euthanasia  |             |              |                   |
|            | – Other (please specify): □□□□□   |             |              |                   |
|            | Have you encountered any challenges in disposing of confisca  | ited specir | mens?        |                   |
|            | Do you have good practice that you would like to share with ot  | her Partie  | s?           |                   |

### **Objective 1.8** Parties and the Secretariat have adequate capacity-building programmes in place. Aichi Target 1, Target 12 and Target 19.

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

| 1.8.1a | Do you have information resources or training in place to support: Yes<br>The making of non-detriment findings?  Permit officers? Enforcement officers?   | No                          |  |
|--------|---|-----------------------------|--|
| 1.8.1b | Is the CITES Virtual College used as part of your capacity building work?<br>What improvements could be made in using the Virtual College for capacity building?  | Yes<br>No<br>No information |  |
| 1.8.1c | Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes?<br>What improvements could be made in using the ICCWC Toolkit for capacity building? | Yes<br>No<br>No information |  |

# GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

**Objective 2.1** Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

**Objective 2.2** Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention. Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

| 2.2.1a | Do you have an approved service standard(s) <sup>1</sup> for your<br>Management Authority(ies)?                  |             | Yes<br>No | $\square$   |
|--------|--|-------------|-----------|-------------|
|        | If 'No', please go to Question 2.2.1d.   |             | 110       |             |
|        | If 'Yes', for which services are there standards, and what are those standards?                                  |             |           |             |
|        | If 'Yes', do you have performance targets for these standards <sup>2</sup> ?                                     |             | Yes       | $\boxtimes$ |
|        | If 'Yes', what are your performance targets?   |             | No        |             |
|        |  |             |           |             |
|        | Do you publish your performance against service standard targets?  |             | Yes<br>No | $\square$   |
|        | If possible, please provide your performance against service standards during the period covered in this report: |             |           |             |
|        | If you did not meet your performance targets then was this shortfall   |             |           |             |
|        | a result of:   | Yes         |           | No          |
|        | <ul> <li>availability of funding?</li> </ul>   |             |           |             |
|        | – number of staff?   | $\boxtimes$ |           |             |
|        | – a shortage of skills?  |             |           |             |
|        | If 'Yes' to a shortage of skills, which skills do you need more of?  |             |           |             |
| 2.2.1b | Do you have an approved service standard(s) <sup>47</sup> for your Scientific Authority(ies)?                    |             | Yes<br>No | $\square$   |
|        | If 'No', please go to Question 2.2.1d.   |             |           |             |
|        | If 'Yes', for which services are there standards, and what are those standards?                                  |             |           |             |
|        | If 'Yes', do you have performance targets for these standards <sup>48</sup> ?                                    |             | Yes       |             |
|        | If 'Yes', what are your performance targets?   |             | No        |             |
|        | If people a provide your performance against convice   |             |           |             |
|        | If possible, please provide your performance against service standards during the period covered in this report: |             |           |             |
|        | If you did not meet your performance targets then was this shortfall a result of:                                | Yes         |           | No          |
|        | <ul> <li>availability of funding?</li> </ul>   |             |           |             |
|        | – number of staff?   |             |           |             |
|        | – a shortage of skills?  |             |           |             |
|        | If 'Yes' to a shortage of skills, which skills do you need more of?  |             |           |             |
| 2.2.1c | Do you have an approved service standard(s) <sup>47</sup> for your   |             | Yes       |             |
|        | enforcement authority(ies)?  |             | No        | $\boxtimes$ |
|        | If 'No', please go to Question 2.2.1d.   |             |           |             |
|        |  |             |           |             |

<sup>&</sup>lt;sup>1</sup> For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

<sup>&</sup>lt;sup>2</sup> For example, 85% of all decisions will take place within the service standard.

|        | If 'Yes', for wh<br>standards?   | ich services are there stand                               | dards, and what are those                                      |              |                   |    |
|--------|----------------------------------|--|--|--------------|-------------------|----|
|        | lf 'Yes', do you                 | u have performance targets                                 | s for these standards48?                                       |              | Yes               |    |
|        | If 'Yes', what a                 | are your performance targe                                 | ts?  |              | No                |    |
|        |                                  |  |  |              |                   |    |
|        |                                  | ease provide your performa<br>ing the period covered in th |  |              |                   |    |
|        | If you did not i<br>a result of: | meet your performance targ                                 | gets then was this shortfall                                   | Yes          |                   | No |
|        | <ul> <li>availability</li> </ul> | of funding?  |  |              |                   |    |
|        | <ul> <li>number of</li> </ul>    | staff?   |  |              |                   |    |
|        | <ul> <li>a shortage</li> </ul>   | of skills?   |  |              |                   |    |
|        | lf 'Yes' to a sh<br>□□□□□        | ortage of skills, which skills                             | do you need more of?   |              |                   |    |
| 2.2.1d |                                  |  | r answered 'No' to the first p<br>e of approved service standa |              |                   | 6: |
|        | Do you have s                    | sufficient of the following for                            | r your authorities to function                                 | effectively? |                   |    |
|        |                                  | Management<br>Authority(ies)                               | Scientific Authority(ies)                                      |              | ement<br>ity(ies) |    |
|        | Funding?                         | Yes 🗌 No 🖂   | Yes 🗌 No 🖾   | Yes 🗌        | No 🗌              |    |
|        | Staff?                           | Yes 🗌 No 🖂   | Yes 🗌 No 🗌   | Yes 🗌        | No 🗌              |    |
|        | Skills?                          | Yes 🗌 No 🗌   | Yes 🗌 No 🗌   | Yes 🗌        | No 🗌              |    |
|        |                                  |  |  |              |                   |    |

#### Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities; hired more staff; developed implementation tools;
- \_
- -
- purchased technical equipment for implementation, monitoring or enforcement. \_

| 2.2.2a | Have any of the following activities been undertak<br>covered in this report to enhance the effectiveness<br>implementation at the national level? | Tick if applicable |                 |                |
|--------|--|--------------------|-----------------|----------------|
|        | Hiring of more staff   |                    |                 |                |
|        | Development of implementation tools  |                    |                 |                |
|        | Purchase of technical equipment for implementati   | on, monitoring     | g or enforcemen | t 🗌            |
|        | Other (please specify):  |                    |                 |                |
| 2.2.2b | During the period covered in this report, was the budget for your:   | Increased          | Stable          | Decreased      |
|        | Management Authority(ies)  |                    | $\square$       |                |
|        | Scientific Authority(ies)  |                    | $\boxtimes$     |                |
|        | Enforcement authorities  |                    | $\boxtimes$     |                |
| 2.2.2c | Have you been able to use international development funding assistance to increase the level of implementation of your                             | Yes                | No              | Not applicable |
|        | Management Authority(ies)?   |                    | $\square$       |                |
|        | Scientific Authority(ies)?   |                    |                 |                |
|        | Enforcement authorities?   |                    | $\square$       |                |

| 2.2.2d | What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities? |      |           |                      |                |  |  |  |  |
|--------|--|------|-----------|----------------------|----------------|--|--|--|--|
|        | Activity   | High | Medium    | Low                  | Not a Priority |  |  |  |  |
|        | Hiring of more staff   |      | $\square$ |                      |                |  |  |  |  |
|        | Development of implementation tools  |      |           |                      | $\boxtimes$    |  |  |  |  |
|        | Purchase of new technical<br>equipment for implementation,<br>monitoring or enforcement  |      |           |                      |                |  |  |  |  |
|        | e-permitting   |      |           |                      | $\boxtimes$    |  |  |  |  |
|        | Other (please specify):  |      |           |                      |                |  |  |  |  |
| 2.2.2e | Do you have a operational system (e.g.<br>electronic database) for managing  |      | Yes       | Under<br>development | No             |  |  |  |  |
|        | Species information  |      |           |                      | $\boxtimes$    |  |  |  |  |
|        | Trade information  |      |           |                      |                |  |  |  |  |
|        | Non-detriment findings   |      |           | $\boxtimes$          |                |  |  |  |  |

# Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

| 0.0.0- |   | Tiele all the stand and | in a la la |
|--------|---|-------------------------|------------|
| 2.2.3a | Does the Management Authority charge fees for:  | Tick all that are appl  |            |
|        | <ul> <li>Administrative procedures</li> </ul>   |                         |            |
|        | <ul> <li>Issuance of CITES documents (e.g. for import, exports, re-export, or<br/>the sea)</li> </ul>               | r introduction from     |            |
|        | <ul> <li>Shipment clearance (e.g. for the import, export, re-export, or introdu of CITES-listed species)</li> </ul> | ction from the sea      |            |
|        | <ul> <li>Licensing or registration of operations that produce CITES species</li> </ul>                              |                         |            |
|        | <ul> <li>Harvesting of CITES-listed species</li> </ul>  |                         |            |
|        | <ul> <li>Use of CITES-listed species</li> </ul>   |                         |            |
|        | <ul> <li>Assignment of quotas for CITES-listed species</li> </ul>   |                         |            |
|        | – Other (please specify): □□□□□   |                         |            |
| 2.2.3b | Is a fee schedule publicly available?   | Yes 🗌 N                 | lo 🗌       |
|        | If 'Yes', please provide an internet link, or a copy of the schedule to the S                                       | Secretariat:            |            |
| 2.2.3c | Have revenues from fees been used for the implementation of CITES or  | wildlife conservatior   | ?          |
|        |   | Entirely                |            |
|        |   | Partly                  |            |
|        |   | Not at all              |            |
|        |   | Not relevant            |            |
| 2.2.3d |   | Yes                     | No         |
|        | Do you raise funds for CITES management through charging user fees  | ?                       |            |
|        | Do your fees recover the full economic cost of issuing permits?   |                         |            |
|        | Do you have case studies on charging or using fees?   |                         |            |
|        | If 'Yes' to any of the above, please provide brief details: $\Box \Box \Box \Box \Box$                              |                         |            |
|        | Do you use innovative financial mechanisms to raise funds for CITES implementation?                                 |                         |            |
|        | If 'Yes', please provide brief details:   |                         |            |

# Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

| 2.2.4a | Do you use incentive measures <sup>1</sup> such as<br>Convention?      | those described in <u>CoP14 Doc 14.32</u> to implement the<br>YesNo |
|--------|--|---|
|        | Due diligence  |   |
|        | Compensatory mechanisms  |   |
|        | Certification  |   |
|        | Communal property rights   | $\Box$  |
|        | Auctioning of quotas   |   |
|        | Cost recovery or environmenta  | I charges 🗌 🖂   |
|        | Enforcement incentives   | $\Box \boxtimes$  |
|        | If 'Yes' to any of the above, or if you use further information: □□□□□ | other measures, please provide a summary or link to                 |
| 2.2.4b | Have incentives harmful to biodiversity b                              | een eliminated? Not at all  |
|        | Very little  |   |
|        | Somewhat   |   |
|        | Completely   |   |

<sup>&</sup>lt;sup>1</sup> Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

**Objective 2.3** Sufficient resources are secured at the national and international levels to implement capacitybuilding programmes. Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

| 2.3.1a | you run during the period covered in this report?  |                                    |                         | f                       | Nithou<br>from th<br>Secreta | е               | stance Conducted or<br>assisted by the<br>Secretariat |
|--------|--|------------------------------------|-------------------------|-------------------------|------------------------------|-----------------|---|
|        |  |                                    | Ν                       | lone                    |                              | $\boxtimes$     | $\boxtimes$   |
|        |  |                                    |                         | 1                       |                              |                 |   |
|        |  |                                    |                         | 2-5<br>6-10             |                              |                 |   |
|        |  |                                    |                         | 0-10<br>1-20            |                              |                 |   |
|        |  | ſ                                  | dore tha                | -                       |                              |                 |   |
|        | Please list the Resolutions or Decisions   | involve                            | d:                      |                         |                              | _               | _   |
| 2.3.1b | What sorts of capacity building activities   | have ta                            | aken pla                | ce? □□                  |                              |                 |   |
| 2.3.1c | What capacity building needs do you ha   | ave?                               |                         |                         |                              |                 |   |
|        | Please tick all boxes which apply to<br>indicate which target group and which<br>activity.<br>Target group | Oral or written<br>advice/guidance | Technical<br>assistance | Financial<br>assistance | Training                     | Other (specify) | Details   |
|        | Staff of Management Authority  |                                    |                         |                         |                              |                 |   |
|        | Staff of Scientific Authority  |                                    |                         |                         |                              |                 |   |
|        | Staff of enforcement authorities   |                                    |                         |                         |                              |                 |   |
|        | Traders / other user groups  |                                    |                         |                         |                              |                 |   |
|        | NGOs   |                                    |                         |                         |                              |                 |   |
|        | Public   |                                    |                         |                         |                              |                 |   |
|        | Other (please specify)   |                                    |                         |                         |                              |                 |   |

<sup>&</sup>lt;sup>1</sup> An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

### GOAL 3CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

- **Objective 3.1** Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities. Aichi Target 2 and Target 20.
- Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

| 3.1.1a | Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements? | Yes<br>No<br>Not applicable<br>No information |  |
|--------|--|---|--|
|        | If 'Yes', please provide brief details:  |   |  |
| 3.1.1b | During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:   | Increased<br>Remained stable<br>Decreased     |  |

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

| 3.1.2a | Have you provided technical or financial assistance to another country or countries in relation to CITES? |                                    |                                    |                 |                 |             | YesNoImage: Second s |  |
|--------|---|------------------------------------|------------------------------------|-----------------|-----------------|-------------|--|--|
|        | If 'Yes', please tick boxes to indicate<br>type of assistance provided<br>Country(ies)                    | Species<br>Management <sup>1</sup> | Habitat<br>Management <sup>2</sup> | Sustainable use | Law Enforcement | Livelihoods | Other (specify)  | Details<br>(provide more<br>information in an<br>Appendix if<br>necessary) |
|        |   |                                    |                                    |                 |                 |             |  |  |
|        |   |                                    |                                    |                 |                 |             |  |  |
|        |   |                                    |                                    |                 |                 |             |  |  |
|        |   |                                    |                                    |                 |                 |             |  |  |
|        |   |                                    |                                    |                 |                 |             |  |  |
|        |   |                                    |                                    |                 |                 |             |  |  |
|        |   |                                    |                                    |                 |                 |             |  |  |

<sup>&</sup>lt;sup>1</sup> Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

<sup>&</sup>lt;sup>2</sup> Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

### **Objective 3.2** Awareness of the role and purpose of CITES is increased globally. Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

| 3.2.1a | Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups? | Wider public | Relevant<br>User<br>Groups |
|--------|--|--------------|----------------------------|
|        |  |              |                            |
|        | <ul> <li>Press conferences</li> </ul>  |              |                            |
|        | <ul> <li>Press releases</li> </ul>   |              |                            |
|        | <ul> <li>Newspaper articles, brochures, leaflets</li> </ul>  |              |                            |
|        | <ul> <li>Television appearances</li> </ul>   |              |                            |
|        | <ul> <li>Radio appearances</li> </ul>  |              |                            |
|        | - Presentations  |              | $\boxtimes$                |
|        | <ul> <li>Public consultations / meetings</li> </ul>  |              |                            |
|        | <ul> <li>Market surveys</li> </ul>   |              |                            |
|        | – Displays   |              |                            |
|        | <ul> <li>Information at border crossing points</li> </ul>  |              |                            |
|        | <ul> <li>Telephone hotline</li> </ul>  |              |                            |
|        | <ul> <li>Website(s) – if so please provide link(s) □□□□□</li> </ul>  | $\boxtimes$  |                            |
|        | – Other (specify): □□□□□   |              |                            |
|        | Please attach copies of any items or describe examples:  |              |                            |

### Indicator 3.2.2: The number of visits to the CITES website.

| 3.2.2a | How regularly do your Authorities consult the CITES website?  |         |             |         |                    |             |
|--------|---|---------|-------------|---------|--------------------|-------------|
|        | Please tick boxes to indicate the most frequent<br>usage (decide on an average amongst staff if<br>necessary).<br>Target group  | Daily   | Weekly      | Monthly | Less<br>frequently | Not known   |
|        | Staff of Management Authority   |         | $\square$   |         |                    |             |
|        | Staff of Scientific Authority   |         | $\boxtimes$ |         |                    |             |
|        | Staff of enforcement authorities  |         |             |         |                    | $\boxtimes$ |
| 3.2.2b | What has been your experience with using the  | CITES w | vebsite?    | Exc     | ellent             |             |
|        |   |         |             | Goo     | d                  | $\boxtimes$ |
|        |   |         |             | Ave     | rage               |             |
|        |   |         |             | Poo     | r                  |             |
|        | Very Poor   |         |             |         |                    |             |
|        |   |         |             | No i    | nformation         |             |
|        | Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc): |         |             |         |                    |             |

### Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

- **Objective 3.3** Cooperation with relevant international environmental, trade and development organizations is enhanced.
- Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

| 3.3.1a | Have measures been taken to achieve coordination and reduce<br>duplication of activities between the national CITES authorities and<br>national focal points for other multilateral environmental agreements<br>(e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR,<br>Ramsar, WHC) <sup>1</sup> to which your country is party?<br>If 'Yes', please give a brief description: | Yes<br>No<br>No information                                       |      |
|--------|---|---|------|
|        | Matters related to CITES are addressed through the Ministry of Enviror<br>acts as Secretary to the CITES Scientific Authority. The Ministry is also<br>implementation of Biodiversity Related MEAS and their implementation<br>CITES is coordinated by one officer, the Environmental Officer (Biodive<br>Secondary Focal point for all the MEAs and this leads to synergies in the           | o responsible for the<br>and implementation<br>ersity) who is the | n of |

### Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

| 3.3.2a | How many international projects which integrate CITES issues has yo contributed towards?                                    | our country | 0         |  |  |
|--------|---|-------------|-----------|--|--|
| 3.3.2b | .3.2b In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?    |             |           |  |  |
| 3.3.2c | Have there been any efforts at a national scale for your CITES<br>Management or Scientific Authorities to collaborate with: | Yes         | No        |  |  |
|        | Agencies for development?   |             | $\square$ |  |  |
|        | Agencies for trade?   |             | $\square$ |  |  |
|        | Provincial, state or territorial authorities?   |             | $\square$ |  |  |
|        | Local authorities or communities?   |             | $\square$ |  |  |
|        | Indigenous or local peoples?  |             | $\square$ |  |  |
|        | Trade or other private sector associations?   |             | $\square$ |  |  |
|        | NGOs?   |             | $\square$ |  |  |
|        | Other (please specify)  |             | $\square$ |  |  |
| 3.3.2d | Are CITES requirements integrated into?   | Yes         | No        |  |  |
|        | National and local development strategies?  |             | $\square$ |  |  |
|        | National and local poverty reduction strategies?  |             | $\square$ |  |  |
|        | Planning processes?   |             | $\square$ |  |  |
|        | National accounting?  |             | $\square$ |  |  |

<sup>&</sup>lt;sup>1</sup> CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

### Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and nongovernmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

| 3.3.3a | 3.3.3a Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from: |  | Which organizations? |
|--------|---|--|----------------------|
|        | Inter-governmental organizations?   |  |                      |
|        | Non-governmental organizations?   |  |                      |

**Objective 3.4** The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets,* and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions. Aichi Target 1, Target 2, Target 3, Target 4, Target 5, Target 6, Target 7, Target 12, Target 14, Target 17, Target 18 and Target 19.

### Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

| 3.4.1a | Do you have data which shows that the conservation status<br>of naturally occurring species in your country listed on the |                     |                |             |  |  |  |
|--------|---|---------------------|----------------|-------------|--|--|--|
|        | CITES Appendices has stabil   | No I                | Not Applicable |             |  |  |  |
|        |   | $\boxtimes$         |                |             |  |  |  |
|        |   | Appendix II         |                | $\boxtimes$ |  |  |  |
|        |   | Appendix III        |                | $\boxtimes$ |  |  |  |
|        | If there are such studies that you are willing to share, please provide:  |                     |                |             |  |  |  |
|        | Species name (scientific)   | nary                |                |             |  |  |  |
|        |   |                     |                |             |  |  |  |
|        |   |                     |                |             |  |  |  |
|        |   |                     |                |             |  |  |  |
| 3.4.1b | Do you have examples of sp  | s or                | Yes            |             |  |  |  |
|        | emerging problems with any  | No<br>No informatio |                |             |  |  |  |
|        | If 'Yes', please provide details:   |                     |                |             |  |  |  |

### Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

| 3.4.2a | Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?  | Yes<br>No<br>No information |  |
|--------|--|-----------------------------|--|
| 3.4.2b | Have you been able to obtain funds from the Global Environment<br>Facility (GEF) or other sources to support CITES aspects of NBSAP<br>implementation? | Yes<br>No<br>No information |  |

- **Objective 3.5** Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited. Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.
- Indicator 3.5.1: The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

| 3.5.1a | Has your country taken action under established bilateral or<br>multilateral agreements other than CITES to prevent species from<br>being unsustainably exploited through international trade? | Yes<br>No<br>No information |  |
|--------|--|-----------------------------|--|
|        | If 'Yes', please provide details: □□□□□  |                             |  |

# Indicator 3.5.2: The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

| 3.5.2a | Average number of times per year<br>that international organizations or<br>agreements have been consulted<br>by CITES Authorities | Once | 2-5 times | 6-20 times | More than 20<br>times | No consultation | Optional comment about<br>which organizations and<br>issues consulted on |
|--------|---|------|-----------|------------|-----------------------|-----------------|--|
|        | Management Authority(ies)   |      |           |            |                       | $\square$       |  |
|        | Scientific Authority(ies)   |      |           |            |                       | $\square$       |  |
|        | Enforcement Authority(ies)  |      |           |            |                       | $\boxtimes$     |  |

### **General feedback**

Please provide any additional comments you would like to make, including comments on this format.

| Item   |                     |             |  |  |  |
|--|---------------------|-------------|--|--|--|
| Copy of full text of CITES-relevant legislation if changed   | Enclosed            |             |  |  |  |
| Web link(s)  | Not available       |             |  |  |  |
|  | Previously provided | $\boxtimes$ |  |  |  |
| Please list any materials annexed to the report, e.g. fee schedules, awareness raising materials, etc: |                     |             |  |  |  |
| Have any constraints to implementation of the Convention arisen in                                     | n Yes               |             |  |  |  |
| your country requiring attention or assistance?  | No                  | $\boxtimes$ |  |  |  |
|  | No Information      |             |  |  |  |
| If 'Yes', please describe the constraint and the type of attention or assistance that is required.     |                     |             |  |  |  |
| Are there examples of good practice you would like to share with o                                     | ther Yes            |             |  |  |  |
| Parties?   | No                  | $\boxtimes$ |  |  |  |
|  | No Information      |             |  |  |  |
| If 'Yes' please provide details / links:   |                     |             |  |  |  |
| How could this report format be improved?  |                     |             |  |  |  |

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.