



# **Biennial report**

#### A. General information

Party	Viet Nam
Period covered in this report (e.g. 1 January 2003 to 31 December 2004)	1 January 2013 to 31 December 2014
Details of agency preparing this report	Viet Nam CITES Management Authority, Ministry of Agriculture and Rural Development
Contributing agencies, organizations or individuals	Viet Nam CITES Scientific Authority

# B. Legislative and regulatory measures

1	been provided under the	s information on CITES-relevant legislation already en provided under the CITES National Legislation biect?							
	Project? If yes, ignore questions	2 2 and /	1		No		, .		
-	, ,				No information/unknown				
2	If any CITES-relevant le following details:	egislation f	nas been	planned, drafted	l or enact	ed, plea	ise provide th	ie	
	Title and date: Amendm 1999	mendment of Penal Code Status: Draft							
	Brief description of contents: Penal Code will be amended with focusing on several articles of the level penalty of wildlife crime and forest crime.								
3	Is enacted legislation available in one of the working Yes								
	languages of the Convention?							$\square$	
				No information					
4	If yes, please attach a c			slative text or key		tion atta			
	legislative provisions the	at were ga	zetted.		provide	ed previ	ously	Ц	
					not ava later	ailable, v	will send		
5	Which of the following measures adopted for (						ick all applica	able	
	XIV of the Convention)?		eu specie						
			he condit	ions for:	The c	omplete	prohibition o	of:	
	Issue	Yes	No	No	Yes	No	No informat		
				information					
	Trade	$\square$							
	Taking	$\boxtimes$							
	Possession	$\boxtimes$							
	Transport	$\boxtimes$							
	Other (specify)								
	Additional comments C areas, illegal possession included in the list of na	on and tra	ansportati	ion of CITES lis					

6	What were the results of any revi			tiveness Ti	ck all applicable
	ltem	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities				
	Clarity of legal obligations	$\square$			
	Control over CITES trade	$\square$			
	Consistency with existing policy on wildlife management and use				
	Coverage of law for all types of offences				
	Coverage of law for all types of penalties				
	Implementing regulations				
	Coherence within legislation				
	Other (please specify)				
	Please provide details if available are adapted with CITES regula resources for enforcement and im	ations and othe			
7	If no review or assessment has ta	•	ne	Yes	
	planned for the next reporting per			No	
	Please provide details if available	:		No info	ormation 🛛
8	Has there been any review of le relation to implementation of the t	egislation on th	e following sub	ojects in Ti	ck all applicable
	Subject		Yes	No	No information
	Access to or ownership of natural	l resources			
	Harvesting				
	Transporting of live specimens				
	Handling and housing of live spec	cimens			
	Please provide details if available	:			
9	Please provide details of any speciments listed in CITES Appe apply for transportation permit fro	endices and Lis	t of National P	rotection Spe	cies requires to

## C. Compliance and enforcement measures

		Yes	No	No information		
1 Have any of the following compliance monitoring operations been undertaken?						
	Review of reports and other information provided by traders and producers					
	Inspections of traders, producers, markets	$\square$				
	Border controls	$\square$				
	Other (specify):					

2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?							
3	If Yes, please indicate how many and for what types of attach details. 13 cases of illegal import of Ivory	violations.	lf available,	please				
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	$\square$						
5	If information available:		Number					
	Significant seizures/confiscations	5	tons of ivory					
	Total seizures/confiscations	88 to	ons of Pango	lin				
	If possible, please specify per group of species or attach details. ETIS and Tiger report							
6	Have there been any criminal prosecutions of significant       Image: Circle of the second seco							
7	If Yes, how many and for what types of violations? If ava Annex. Detail information not available.	ilable, plea	se attach de	etails as				
8	Have there been any other court actions of CITES-related							
9	If Yes, what were the violations involved and what were the in Annex. The offenders were sent into prison for years and 10			etails as				
10	How were the confiscated specimens usually disposed of?		Tick if ap	plicable				
	<ul> <li>Return to country of export</li> </ul>							
	<ul> <li>Public zoos or botanical gardens</li> </ul>			$\boxtimes$				
ľ	<ul> <li>Designated rescue centres</li> </ul>			$\boxtimes$				
İ	<ul> <li>Approved, private facilities</li> </ul>							
ĺ	- Euthanasia			$\boxtimes$				
	<ul> <li>Other (specify): set to stock pile</li> </ul>							
	Comments:							
11	Has detailed information been provided to the Secret			$\boxtimes$				
	on significant cases of illegal trade (e.g. through ECOMESSAGE or other means), or information on convi	otod						
	illegal traders and persistent offenders?	NOL	applicable					
			information					
	Comments: specimens of tiger and ivory involved in violation	ns						
12	Have there been any cooperative enforcement activities			$\boxtimes$				
	other countries (e.g. exchange of intelligence, technical sup investigative assistance, joint operation, etc.)?							
	investigative assistance, joint operation, etc./?	No i	information					
13	If Yes, please give a brief description: within ASEAN-WEN MOU on cooperation in wildlife enforcement with Lao P South Africa.							
14	Have any incentives been offered to local communities to a			$\boxtimes$				
	in the enforcement of CITES legislation, e.g. leading to	the No						
	arrest and conviction of offenders?	No i	information					
15	If Yes, please describe: Giving ward for intelligence informat	ion provide	rs					
16	Has there been any review or assessment of CITES-rel	ated Yes		$\boxtimes$				
	enforcement?	No						
	Has there been any review or assessment of CITES-rel	ated Yes						

	Not applicable No information	
	Comments:	
17	Please provide details of any additional measures taken:	

#### D. Administrative measures

# D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) which are not yet reflected in the CITES Directory?	Yes No No information						
2	If Yes, please use the opportunity to provide those changes here.							
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information						
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.							
5	How many staff work in each MA? 14							
6	Can you estimate the percentage of time they spend on CITES-related matters?	Yes No No information	$\square$					
	If yes, please give estimation: Full time							
_								
7	What are the skills/expertise of staff within the MA(s)?	Tick if appl	icable					
7	What are the skills/expertise of staff within the MA(s)? – Administration	Tick if appl	icable					
7		Tick if appl						
7	- Administration	Tick if appl						
7	<ul><li>Administration</li><li>Biology</li></ul>	Tick if appl						
7	<ul> <li>Administration</li> <li>Biology</li> <li>Economics/trade</li> </ul>	Tick if appl						
7	<ul> <li>Administration</li> <li>Biology</li> <li>Economics/trade</li> <li>Law/policy</li> </ul>	Tick if appl						
8	<ul> <li>Administration</li> <li>Biology</li> <li>Economics/trade</li> <li>Law/policy</li> <li>Other (specify):</li> </ul>	Tick if appl Yes No No information						
	<ul> <li>Administration</li> <li>Biology</li> <li>Economics/trade</li> <li>Law/policy</li> <li>Other (specify):</li> <li>No information</li> <li>Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8)</li> </ul>	Yes No No information						

# D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the CITES Directory?	Yes No No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	Is the designated Scientific Authority independent from the Management Authority?	Yes No No information	
4	What is the structure of the SA(s)? <ul> <li>Government institution</li> </ul>	Tick if app	licable

	<ul> <li>Academic or research institution</li> <li>Permanent committee</li> <li>Pool of individuals with certain expertise</li> <li>Other (specify):</li> <li>How many staff work in each SA on CITES issues? 6</li> </ul>							
5	How many st	aff work in eac	ch SA on CITES	issues? 6				
6	related matte	rs	entage of time the	ey spend o	on CITES-	Yes No No informat	ion	
		-	on: 20 percent	<b>0 1</b> ( ) 0				
7		skills/expertis		lick	if applicable			
	<ul> <li>Botany</li> </ul>							
	<ul> <li>Ecology</li> </ul>						$\boxtimes$	
	- Fisheries							
	<ul> <li>Forestry</li> <li>Welfare</li> </ul>							
	<ul> <li>Zoology</li> </ul>							
		ecify): Marine ı	esouces					
	<ul> <li>No information</li> </ul>		000000					
8	Have any re	search activiti	es been underta	aken by th	ne SA(s) in	Yes		
	relation to CI	TES species?		-		No		
						No informat		
9		give the spec	ies name and pr					
	Species name	Population	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	Shinisaurus crocodilurus	decreasing	Viet Nam and China		Yes		Conservat ion	
	Mauremys annamensi s	decreasing	Viet Nam					
	Coura galbinifrons	decreasing	Asia					
			<u> </u>					
					<u> </u>	No informat	ion 🔄	
10			sals for scienti t under Resolutic			Yes No		
	Submitted to				<u> </u>		ion	
11	Authority has	No information Please provide details of any additional measures taken: Viet Nam CITES Scientific Authority has collaborated with Germany CITES SA and China CITES SA for preparing a proposal for uplisting of <i>Shinisaurus crocodilurus</i> from Appendix II to Appendix I.						

### D3 Enforcement Authorities

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No No information				
2	If No, please designate them here (with address, phone, fax a	nd email).				
3	Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	YesImage: ConstructionNoImage: ConstructionNo informationImage: Construction				
4	4 If Yes, please state which is the lead agency for enforcement: Forest Protection Department, Anti-Smuggling and Investigation Department of Customs and Environmental Police Department.					
5	Please provide details of any additional measures taken:					

# D4 Communication, information management and exchange

1	To what extent is C	CITES in	nformatior	rized	?	Tick if applicable	
	<ul> <li>Monitoring and</li> </ul>	reportir	ng of data	on legal t	rade		$\boxtimes$
	<ul> <li>Monitoring and</li> </ul>	reportir	ng of data	on illegal	trade		$\boxtimes$
	<ul> <li>Permit issuance</li> </ul>	e					$\boxtimes$
	<ul> <li>Not at all</li> </ul>						
	<ul> <li>Other (specify):</li> </ul>						
2	Do the following a	Tick if applicable					
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority						
	Scientific Authority						
	Enforcement Authority						
3	Is there an electron CITES species?	nic infor	mation sy	stem prov	/iding	informa	Ation on Yes No No information

4	If Yes, does it provide information on:	Tick if appli	cable
	- Legislation (national, regional or international)?		$\boxtimes$
	- Conservation status (national, regional, international)?		$\square$
	<ul> <li>Other (please specify):</li> </ul>		
5	Is it available through the Internet:	Yes	$\square$
		No	
		Not applicable	
		No information	
	Please provide URL: www.vnforest.gov.vn		

6	Do the authorities indicated have access to	olications?	Tick if applicable				
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	2003 Checklist of CITES Species (book)	$\square$	$\square$				
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)						
	Identification Manual	$\square$	$\boxtimes$	$\square$			
	CITES Handbook	$\square$	$\boxtimes$				
7	If not, what problems have been encounter	red to access this	information?				
8	Have Enforcement Authorities reported to t on:	he Management	Authority	Tick if applicable			
	– Mortality in transport?						
	– Seizures and confiscations?			$\boxtimes$			
	<ul> <li>Discrepancies in number of items in permits and number</li> <li>of items actually traded?</li> </ul>						
	Comments:						
9	Is there a government website with informa requirements?	ation on CITES ar	No	nformation			
	If Yes, please give the URL: www.vnforest.	gov.vn					
10	Have CITES authorities been involved in activities to bring about better accessibility of the Convention's requirements to the wide	y to and understa		Tick if applicable			
	<ul> <li>Press releases/conferences</li> </ul>		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
	<ul> <li>Newspaper articles, radio/television app</li> </ul>	earances		$\boxtimes$			
	<ul> <li>Brochures, leaflets</li> </ul>			$\boxtimes$			
	- Presentations						
	– Displays						
	<ul> <li>Information at border crossing points</li> </ul>						
	<ul> <li>Telephone hotline</li> </ul>						
	<ul> <li>Other (specify):</li> </ul>						
	Please attach copies of any items.						
11	Please provide details of any additional me	asures taken.					

# D5 Permitting and registration procedures

1	Have any changes in permit format or the or signatures of officials empowered to sign certificates been reported previously to the Secretar If no, please provide details of any:	Yes No Not applicable No information			
	<ul> <li>Changes in permit format:</li> </ul>				
	<ul> <li>Changes in designation or signatures of relevant</li> </ul>	t officials:	:		
2	To date, has your country developed written perm any of the following?	ures for	Tick if appl	icable	
		Yes	No	No information	on

I	Permit issuance/acceptand	ce	$\square$			
	Registration of traders					
	Registration of producers		$\square$			
3	3 Please indicate how many CITES documents were issued and denied in th period? (Note that actual trade is reported in the Annual Report by some Pa question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re- export	Other	Comments
	How many documents were issued?	325	714	879		
	How many applications were denied because of serious omissions or misinformation?	28	9	1		
	Year 2 How many documents were issued?	1,342	1871	1655		
	How many applications were denied because of serious omissions or misinformation?	5	12	79		
4	Were any CITES documer and replaced because of s				?	Yes Xo No Information
5	If Yes, please give the rea provided in the exporter's a					
6	Please give the reasons for other countries.	r rejection of Cl	TES docu	iments froi	m	Tick if applicable
	Re	ason		Yes	No	No information
	Technical violations					
	Suspected fraud					
	Insufficient basis for finding	g of non-detrime	nt			
	Insufficient basis for finding	g of legal acquis	ition			
	Other (specify):					
7	Are harvest and/or export quotas used as a management tool in the procedure for issuance of permits?					Yes ⊠ No □ No information □
	Comments					
8	How many times has the Scientific Authority been requested to provide opinions? Quite often, especiallyy for cases of importing live animals, taking NDF study, farms inspection and/or report					

9	Has the MA charged fees for permit issuance, registration or related CITES activities?	Tick if applicable
	<ul> <li>Issuance of CITES documents:</li> </ul>	
	<ul> <li>Licensing or registration of operations that produce CITES species</li> </ul>	
	<ul> <li>Harvesting of CITES-listed species</li> </ul>	
	<ul> <li>Use of CITES-listed species</li> </ul>	
	<ul> <li>Assignment of quotas for CITES-listed species</li> </ul>	
	<ul> <li>Importing of CITES-listed species</li> </ul>	
	<ul> <li>Other (specify):</li> </ul>	
10	If Yes, please provide the amounts of such fees.	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable
	– Entirely	
	– Partly	
	<ul> <li>Not at all</li> </ul>	
	<ul> <li>Not relevant</li> </ul>	
	Comments:	
12	Please provide details of any additional measures taken:	

# D6 Capacity building

-								
1	Have any of the following activitie effectiveness of CITES implementation					nhan	ice Tick if applicable	
	Increased budget for activities	$\square$	Impro	vemen	al networks 🛛 🖂			
	Hiring of more staff			nase of oring/e	equipment for 🛛 🖂			
	Development of implementation tools			outeriza	$\boxtimes$			
	Other (specify): Training course for v	vildlife f	orensic	/identifi	catio	n		
2	Have the CITES authorities received or benefited from any of the following capac building activities provided by external sources?							
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidan	Technical assistance	Financial assistance	Training	Other (enacity)	What were the external sources?	
	Staff of Management Authority					Π	CITES Sec.,	
							TRAFFIC, ASEAN- WEN, HSI, WCS, UNODC	
	Staff of Scientific Authority	$\square$	$\square$		$\square$		CITES Sec.	
	Staff of enforcement authorities		$\boxtimes$				CITES Sec., TRAFFIC, ASEAN- WEN, WWF, UNODC	
	Traders						· · · ·	
	NGOs							
	Public						(awareness raising)	
	Other (specify):							
3	Have the CITES authorities been the activities?	he prov	viders o	of any	of th	e foll	lowing capacity-building	
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidanc	Technical assistance	Financial assistance	Training	Other (specify)	Details	
	Target group Staff of Management Authority				Γ			
	Staff of Scientific Authority						Wildlife identification	
	Staff of enforcement authorities				$\square$			
							CITES regulation and wildlife identification	
	Traders						Animal care	
	NGOs							
	Public						Awareness raising	
	Other parties/International meetings					$\square$	Technical reports	
	Other (specify)							

Please provide details of any additional measures taken:

# D7 Collaboration/cooperative initiatives

4

1	Is there an inter	-agency	or inter-se	ectoral con	nmittee on C	ITES?	Yes No	
							No inform	
2	If Yes, which agencies are represented and how often does it meet? National Committee on Wildlife trade management/ meeting twice a year and regular consultation							
3	If No, please indicate the frequency of meetings or consultancies us Management Authority to ensure coordination among CITES authorities (e.g. SAs, Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings							
	Consultations							
4	At the national le collaborate with		ve there be	en any eff	orts to	Tick if	applicable	Details if available
ĺ	Agencies for de	velopme	ent and tra	de			$\boxtimes$	
	Provincial, state or territorial authorities					$\boxtimes$		
	Local authorities or communities					$\boxtimes$		
	Indigenous peoples					$\boxtimes$		
	Trade or other p	orivate s	ector asso	ciations			$\boxtimes$	
	NGOs						$\boxtimes$	
	Other (specify)							
5	To date, have arrangements f agreed betwee agencies?	for insti	tutional co	operation	related to	CITES	been	if applicable
	Scientific Author	rity						
	Customs							$\boxtimes$
	Police							$\boxtimes$
	Other border au	thorities	s (specify):					
	Other governme	ent ager	ncies					
	Private sector b	odies						
	NGOs							$\boxtimes$
	Other (specify):							
6	Have governme related to CITES		ff participa	ated in ar	iy regional	activities	s Tick	if applicable
	Workshops							$\boxtimes$
	Meetings							$\boxtimes$
	Other (specify):							
7	Has there been	any effo	ort to enco	urage any	non-Party to	accede	Yes	

r			<u>N</u>
	to the Convention?	No	$\boxtimes$
		No information	
8	If Yes, which one(s) and in what way?		
9	Has technical or financial assistance been provided to	Yes	
	another country in relation to CITES?	No	$\boxtimes$
		No information	
10	If Yes, which country(ies) and what kind of assistance was provided	1?	
11	Has any data been provided for inclusion in the CITES	Yes	
	Identification Manual?	No	$\boxtimes$
		No information	
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve coordination and reduce	Yes	$\boxtimes$
	duplication of activities between the national authorities for CITES	No	
	and other multilateral environmental agreements (e.g. the biodiversity-related conventions)?	No information	
14	If Yes, please give a brief description. Regular contact and informat	ion exchange	
15	Please provide details of any additional measures taken:		

### D8 Areas for future work

1	Are any of the following activities needed to enhance implementation at the national level and what is the respective level			CITES
	Activity	High	Medium	Low
	Increased budget for activities	$\square$		
	Hiring of more staff			
	Development of implementation tools	$\square$		
	Improvement of national networks			
	Purchase of new technical equipment for monitoring and enforcement		$\square$	
	Computerization		$\square$	
	Other (specify):			
2	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes No		
		No infor		
3	If Yes, which one(s) and what is the main difficulty? Resolution on specimen. Lack of financial and technical sources to conduct NDF specimen, especially confiscated ivory; language barrier.			
4	Have any constraints to implementation of the Convention	Yes		$\boxtimes$
	arisen in your country requiring attention or assistance?	No		
		No infor		
5	If Yes, please describe the constraint and the type of attent required. Mechanism to share intelligence information with assistance: training technical support and funding support			
6	Have any measures, procedures or mechanisms been identified			
	within the Convention that would benefit from review and/or	No		
L	simplification?	No infor	mation	$\bowtie$
7	If Yes, please give a brief description.			

8 Please provide details of any additional measures taken:

#### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments referred to in the report. For convenience, these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	$\boxtimes$
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	
		Not available	
		Not relevant	
D4(10)	Details of nationally produced brochures or leaflets on CITES	Enclosed	$\square$
	produced for educational or public awareness purposes	Not available	
		Not relevant	
	Comments		