Party	
Period covered in this report (e.g. 1 January 2003	New Zealand
to 31 December 2004)	1 January 2013 - 31 December 2014
Details of agency preparing this report	Department of Conservation
Contributing agencies, organizations or individuals	

B. Legislative and regulatory measures

1	Has information on CITE				•			
	provided under the CITE		-	tion Project?	Yes (pa	artly)		
	If yes, ignore questions	2, 3 and 4			No			
					No info	rmation	/unknown	
2	If any CITES-relevant le following details:	gislation h	nas been	planned, drafted	or enact	ed, plea	se provide t	he
	Title and date:			Status:				
	Brief description of cont	ents:						
3	Is enacted legislation av	on available in one of the working						
	languages of the Conve	the Convention? No			No			
		Ν			No info	rmation	1	
4	If yes, please attach a c	copy of the full legislative text				legislation attached		
	or key legislative provisi				provided previously			
			-			not available, will send		
					later	-		
5	Which of the following is				domestic	; T	ick all applic	cable
	measures adopted for C							
	accordance with Article							
		Т	he condit	ions for:		·······	prohibition	
	Issue	Yes	No	No	Yes	No	No informa	ation
		<u> </u>		information				
	Trade							
	Taking							
	Possession					\square		
	Transport		\square			\square		
	Other (specify)	\boxtimes				\square		
	Additional comments Le effects exemption.	gislation h	nas stricte	er domestic meas	sures for	persona	al or househ	old

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				ck all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities					
	Clarity of legal obligations					
	Control over CITES trade					
	Consistency with existing policy on wildlife management and use					
	Coverage of law for all types of offences					
	Coverage of law for all types of penalties					
	Implementing regulations					
	Coherence within legislation					
	Other (please specify)		\square			
	 Please provide details if available: A Conservation (Infringement System) Bill has been drafted that would introduce an infringement and offences regime into conservation legislation including the Trade in Endangered Species Act. The bulk of offending against conservation laws is at the less serious end of the spectrum but the lack of an infringement system means prosecution is the only option. An infringement system would simplify and streamline the procedure and reduce the resources required to deal with less serious offending against conservation laws, providing greater efficiency for administrating and enforcement agencies. Current sanctions under the TIES Act are either forfeiture of illegally imported specimens for minor offences or, on conviction for serious offending, imprisonment for a term not exceeding five years and/or fines of up to \$100,000 for a natural person or \$200,000 for a body corporate. Introducing a parallel infringement regime (with most fees in the \$200-\$500 range) would be useful in responding proportionately to offences under the TIES Act that fall within these two extremes. Progression of the Conservation (Infringement System) Bill will depend on Government legislative priorities. The Wildlife (Increased Powers) Amendment Bill (the Increased Powers Bill) has been drafted that would enable more efficient investigation, effective enforcement and prosecution of wildlife offences. This would complement increases made to the penalties in the Wildlife Act and other conservation legislative and administrative safeguards), and would enhance and standardise powers under the Wildlife Act in relation to (i) interfering to prevent or stop offending; (ii) stopping a person or thing; (iii) using force that is reasonable and necessary; (iv) requiring suspected offenders to give their date of birth and evidence of their identification; and (v) seizing a wider range of materials used in the commission of a crime or that may be evidence. 					
7	planned for the next reporting per	If no review or assessment has taken place, is one planned for the next reporting period?Yes No No information				
	Please provide details if available 2018 period.			ing a review i	n the 2015-	
8	Has there been any review of legislation on the followingTick all applicablesubjects in relation to implementation of the Convention?Tick all applicable					

	Subject	Yes	No	No information
	Access to or ownership of natural resources		\boxtimes	
	Harvesting		\boxtimes	
	Transporting of live specimens		\boxtimes	
	Handling and housing of live specimens		\square	
	Please provide details if available:			
9	Please provide details of any additional measures ta	aken:		

C. Compliance and enforcement measures

		Yes	No		No rmation
1	Have any of the following compliance monitoring operations	been unde	rtaken?		
	Review of reports and other information provided by traders and producers				
	Inspections of traders, producers, markets	\square			
	Border controls	\square			
	Other (specify):				
2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?				
3	If Yes, please indicate how many and for what types of violations. If available, please attach details.				
	2013 2 individuals and 1 company were charged under the Act.	Trade in En	dangere	ed Sp	ecies
	A Chinese Medicine Practitioner in Auckland was charged w Saussurea costus and Cibotium barometz with out the appr convicted and fined.	•		•	ng
	An Auckland picture framing company was charged with 1 representative charge of importing Ramin (Gonostylus spp.) timber without the appropriate permit. The company was convicted and fined.				
	A Chinese national living in Auckland was charged with 8 charges of importing elephant ivory carvings. He was convicted and fined.				
	2014 1 indiviudal was charged under the TIES Act				
	A Chinese Medicine Practitioner in Auckland was charged we Saussurea costus without the appropriate permits. He was				ng
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?]	

	!			
5	If information available:		Number	
	Significant seizures/confiscations			
	Total seizures/confiscations	20	013 - 3,683	
	If possible, please specify per group of species or attach details. New Zealand provides this information on seizures to the CITES Secretariat on an annual basis	20	014 - 3,375	
6	Have there been any criminal prosecutions of significant CITES-related violations?			
7	If Yes, how many and for what types of violations? If available, Annex. Refer answer in C.3.	please at	tach details	as
8	Have there been any other court actions of CITES-related violations?			
9	If Yes, what were the violations involved and what were the result Annex.	ts? Please	e attach deta	ils as
	32 charges were laid against a Napier man in 2014 for illegally carvings during 2012. The man has plead not guilty and a trial			
10	How were the confiscated specimens usually disposed of?		Tick if ap	plicable
	 Return to country of export 			
	 Public zoos or botanical gardens 			
	 Designated rescue centres 			
-	 Approved, private facilities 			
	– Euthanasia			
	 Other (specify): 			
	Comments: For non-live specimens: secure destruction or stor educational, enforcement or identification purposes.	age or dis	splay for scie	entific,
11	Has detailed information been provided to the Secretariat	Yes		\boxtimes
	on significant cases of illegal trade (e.g. through an	No		
	ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Not a	applicable	
			nformation	
	Comments: Information was provided by EcoMessage to INTE individual convicted for importing elephant ivory and for the inc charges of illegally importing elephant ivory.			
12	Have there been any cooperative enforcement activities with	Yes		
	other countries (e.g. exchange of intelligence, technical suppo	^{rt,} No		\boxtimes
	investigative assistance, joint operation, etc.)?	No ir	nformation	
13	If Yes, please give a brief description:			
14	Have any incentives been offered to local communities to assis	st Yes		
	in the enforcement of CITES legislation, e.g. leading to the	No		\boxtimes
	arrest and conviction of offenders?		nformation	
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related	Yes		\boxtimes
. •		.00		

	enforcement?	No	
		Not applicable	
		No information	
	Comments: Refer details provided in response to question B. 6.		
17	Please provide details of any additional measures taken:		

D. Administrative measures

D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) which are not yet reflected in the CITES Directory?	Yes No No information	$\Box \boxtimes \Box$
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No	
		No information	
4	If Yes, please name that MA and indicate whether it is identified as CITES Directory.	s the lead MA in the	
	There is only one MA in New Zealand		
5	How many staff work in each MA? 6		
6	Can you estimate the percentage of time they	Yes	\square
	spend on CITES-related matters?	No	
		No information	
	If yes, please give estimation: 3 full time, 3 part time		
7	What are the skills/expertise of staff within the MA(s)?	Tick if appli	cable
	 Administration 		\boxtimes
	– Biology		\square
	 Economics/trade 		
	 Law/policy 		
	 Other (specify): enforcement 		
	 No information 		
8	Have the MA(s) undertaken or supported any research activities	Yes	
	in relation to CITES species or technical issues (e.g. labelling,	No	\square
	tagging, species identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the kin	d of research involve	əd.
10	Please provide details of any additional measures taken:		

1		e been any chan n for the SA(s) w ectory?		Yes No No informati	ion		
2	If Yes, plea	ase use the oppo	ortunity to provide	e those cha	anges here.		
3			Authority indepe	endent fron	n the	Yes	\boxtimes
	Managem	ent Authority?				No	
						No informati	on 🗌
4	What is the	e structure of the	e SA(s)?			Tick	if applicable
	– Govern	ment institution					
	– Acader	nic or research i	nstitution				
	– Permar	nent committee					\boxtimes
	 Pool of 	individuals with	certain expertise				
	- Other (· Other (specify):					
5	How many	/ staff work in ea	ch SA on CITES	issues? 6			
6	Can you estimate the percentage of time they spend on CITES- Yes						\boxtimes
	related ma	lated matters					
							on 🗌
	If yes, please give estimation: The Chair of the Committee spends 0.1-0.2 FTE on CITES-						
			committee mem	bers spend	d considerat	oly less time b	ut this has
		assessed on a pe	-				
7		the skills/expertis	se of staff within t	he SA(s)?		lick	if applicable
	– Botany						
	– Ecology						
	– Fisherie	es					\bowtie
	 Forestr 						
	 Welfare 	9					
	– Zoology	y					\square
	– Other (specify):					
	 No info 	rmation					
8			es been undertak	en by the S	SA(s) in	Yes	\square
	relation to	CITES species?	•			No	
						No informati	on 🗌
9	If Yes, plea	ase give the spe	cies name and pr	ovide deta	ils of the kin	d of research	involved.
	Species	Populations	Distribution	Off	Legal	Illegal	Other
	name		Distribution	take	trade	trade	(specify)
	Lamna	NZ	NZ				
	nasus						
]				
	No information						

10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No	\square
		No information	
11	Please provide details of any additional measures taken:		

D3 Enforcement Authorities

1	Has the Secretariat been informed of any enforcement	Yes				
	authorities that have been designated for the receipt of confidential enforcement information related to CITES?	No				
		No information				
2	If No, please designate them here (with address, phone, fax a	nd email).				
3	Is there a specialized unit responsible for CITES-related	Yes	\boxtimes			
	enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	No				
	the police, public prosecutor's onice):	Under consideration				
		No information				
4	If Yes, please state which is the lead agency for enforcement: The Wildlife Enforcement Group is a multiagency initiative consisting of representatives from the Department of Conservation, Ministry for Primary Industries and New Zealand Customs Service. The lead agency for enforcement depends on the species involved.					
5	Please provide details of any additional measures taken:					

D4 Communication, information management and exchange

1	To what extent is C	ITES in	nformatior	n compute	erized?	>	Tick if applicable
	 Monitoring and 	reportir	ng of data	on legal t	rade		\boxtimes
	 Monitoring and 	reportir	ng of data		\boxtimes		
	 Permit issuance 	9					\boxtimes
	 Not at all 						
	- Other (specify):						
2	Do the following au	uthoritie	s have ac	cess to th	ne Inte	rnet?	Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	Management					There is continued access but some restrictions to certain websites e.g. on-line trading websites

	Scientific Authority						There is continued access some restrictions to certa websites e.g. on-line trad websites	in
	Enforcement Authority							
3	Is there an electror	nic infor	mation sy	stem prov	/iding i	nforma	tion on Yes	\boxtimes
	CITES species?						No	
							No information	
4	If Yes, does it provi	de infoi	rmation o	า:			Tick if app	licable
	 Legislation (nati 	onal, re	gional or	internatio	nal)?			\bowtie
	 Conservation st 	atus (na	ational, re	gional, int	ernatio	onal)?		
	- Other (please s	pecify):						
5	Is it available throu	gh the l	nternet:				Yes	
							No	
							Not applicable	
							No information	
		citesnz					e/international/endangered FrontPage can be accesse	

6	Do the authorities indicated have access to	the following pul	blications?	Tick if applica	ble			
	Publication	Management Authority	Scientific Authority	Enforcemer Authority	nt			
	2003 Checklist of CITES Species (book)							
	2003 Checklist of CITES Species and Image: Comparison of Cites Species and Cites Species a							
	Identification Manual							
	CITES Handbook							
7	If not, what problems have been encounter Checklist of CITES species are used in pre			Species+ and				
8	Have Enforcement Authorities reported to t on:	he Management	Authority	Tick if applica	ble			
	– Mortality in transport?							
	– Seizures and confiscations?				\square			
	 Discrepancies in number of items in per of items actually traded? 	mits and number						
	Comments:							
9	Is there a government website with informa requirements?	ation on CITES ar	nd its Yes No		\square			
			No i	nformation				
	If Yes, please give the URL: http://www.doc doc/role/international/endangered-species/ http://citesnz.pbworks.com/w/page/848615 officials	and	be accessed	by Governmen	t			
10	Have CITES authorities been involved in a activities to bring about better accessibility of the Convention's requirements to the wid	to and understan		Tick if applica	ble			
	 Press releases/conferences 				\square			
	 Newspaper articles, radio/television app 	earances						
	 Brochures, leaflets 			<	\square			
	 Presentations 				\square			
	– Displays				\square			
	 Information at border crossing points 				∇			
1								
	 Telephone hotline 							
	 Telephone hotline Other (specify): Website http://www.doc agreements/endangered-species/cites-spe 							
	- Other (specify): Website http://www.doc	cies/, email addre ttached "World W	ess cites@doo ildlife Day - re	c.govt.nz eview of events				

D5 Permitting and registration procedures

1	Have any changes in perm signatures of officials empo certificates been reported p If no, please provide details		Yes No Not applicable No information				
	 Changes in permit form 		<u> </u>				
	 Changes in designation 	-			. (Tiele if each lie shile	
2	To date, has your country of any of the following?	developed writte	en permit		s tor	Tick if applicable	
				Yes	No	No information	
	Permit issuance/acceptance	e					
	Registration of traders						
	Registration of producers						
3	Please indicate how many period? (Note that actual tr question refers to issued de	ade is reported					
	Year 1	Import or introduction from the sea	Export	Re- export	Other	Comments	
	How many documents were issued?					As per information provided in Annual Report	
	How many applications were denied because of serious omissions or misinformation?					No information	
	Year 2 How many documents were issued?					As per information provided in Annual Report	
	How many applications were denied because of serious omissions or misinformation?	tions No information Ise of					
4	Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation? Yes No No No No						
5	If Yes, please give the reas	ons for this.					

6	Please give the reasons for rejection of CITES document other countries.	nts from		Tick if applicable					
	Reason	No information							
	Technical violations								
	Technical violations								
	Insufficient basis for finding of non-detriment								
	Insufficient basis for finding of legal acquisition								
	Other (specify):								
7	Are harvest and/or export quotas used as a manageme procedure for issuance of permits?	nt tool ir	the	Yes No No No information					
	Comments	(] (.							
8	How many times has the Scientific Authority been reque imports and exports of Appendix I and exports of Appen live birds	dix II an	d re-e	exports of Appendix II					
9	Has the MA charged fees for permit issuance, registration CITES activities?	on or rel	ated	Tick if applicable					
	 Issuance of CITES documents: 			\boxtimes					
	 Licensing or registration of operations that produce 	CITES s	pecie	s 🗌					
	 Harvesting of CITES-listed species 								
	 Use of CITES-listed species 								
	 Assignment of quotas for CITES-listed species 								
	 Importing of CITES-listed species 								
	 Other (specify): 								
10	If Yes, please provide the amounts of such fees.								
	Regular import/export permit\$81.78								
	Permit authorising export of live parrots bred in captivity	\$613.	33						
	Second or subsequent permit for export of live parrots b		aptivit	y \$265.78					
	Certificate authorising the re-export of live parrots \$265.								
	Fee for the grant of a certificate authorising the multiple plants \$429.33	-	of artif						
11	Have revenues from fees been used for the implementa CITES or wildlife conservation?	ation of		Tick if applicable					
	– Entirely								
	– Partly			\boxtimes					
	 Not at all 								
	 Not relevant 								
	Comments:								
12	Please provide details of any additional measures taker	ו:							
	•								

D6 Capacity building

1	Have any of the following activities be effectiveness of CITES implementation					;	Tick if applicable
	Increased budget for activities		Improvement of national I				al networks
	Hiring of more staff			nase of oring/e			equipment for
	Development of implementation tools		Comp	outeriza	tion		
	Other (specify):						
2	Have the CITES authorities received building activities provided by extern			rom any	/ of th	ne fol	lowing capacity-
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidanc	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	\square					CITES Secretariat,
	Staff of Scientific Authority						taxonomic experts CITES Secretariat, taxonomic experts
	Staff of enforcement authorities					\square	Interpol
	Traders						
	NGOs						
	Public						
	Other (specify):						
3	Have the CITES authorities been the activities?	provide	ers of a	ny of th	e foll	owinę	g capacity-building
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	\square					SA, enforcement
	Staff of Scientific Authority	\square					enforcement
	Staff of enforcement authorities	\boxtimes					MA, SA

Traders	\square				MA
NGOs	\boxtimes				MA, SA
Public	\square				MA, SA
Other parties/International meetings					Legislative advice to Solomon Islands, funding for CITES awareness and consultation activities in Samoa, taining provided to CITES staff in Fiji
Other (specify)					
Please provide details of any addition	al mea	sures ta	aken:	-	5

D7 Collaboration/cooperative initiatives

1	Is there an inter-	there an inter-agency or inter-sectoral committee on CITES?							\boxtimes
							No		
							No informa	ation	
2	If Yes, which age	encies a	are represe	ented and h	now often do	es it mee	et?		
	agencies of the	A formal "Border Operations Group" meeting is held quarterly, with all the member agencies of the Environmental Crime Network (formerly "WEG") - Department of Conservation, Ministry for Primary Industries and New Zealand Customs Services.							
	Informal meeting needs. These us	0		•		ad-hoc ba	asis as per en	forcem	ent
	CITES operation and meet on a q			the Manag	ement Autho	ority hold	weekly teleco	onferen	се
3	If No, please ind Authority to ensu police, others):								
		Daily	Weekly	Monthly	Annually	None	No information	Otł (spe	-
	Meetings							MA telecc ence	onfer
	Consultations							ECE/\ meeti	-
4	At the national level have there been any efforts to Collaborate with:					applicable	Deta avail		
	Agencies for dev	Agencies for development and trade							
	Provincial, state	or territ	orial autho	rities					
	Local authorities	s or com	munities						

I	Indigenous peoples		
	Trade or other private sector associations	\boxtimes	MOU with online trading company
	NGOs	\boxtimes	Occassion al meetings
	Other (specify)		
5	To date, have any Memoranda of Understanding or other for arrangements for institutional cooperation related to CITES agreed between the Management Authority and the followin agencies?	been	if applicable
	Scientific Authority		
	Customs		
	Police		
	Other border authorities (specify): Ministry for Primary Induenforcement)	stries (border	\boxtimes
	Other government agencies		
	Private sector bodies		
	NGOs		
	Other (specify): Trade Me (online trading platform)		\boxtimes
6	Have government staff participated in any regional activities related to CITES?	s Tick	if applicable
	Workshops		\boxtimes
	Meetings		\boxtimes
	Other (specify): Awareness raising events		\boxtimes
7	Has there been any effort to encourage any non-Party to a	ccede Yes	\square
	to the Convention?	No	
		No inform	ation
8	If Yes, which one(s) and in what way? Niue, nominating cor	mpetent authorities	
9	Has technical or financial assistance been provided to	Yes	\square
	another country in relation to CITES?	No	
		No inform	ation
10	If Yes, which country(ies) and what kind of assistance was	provided? Refer and	swer in D6.3
11	Has any data been provided for inclusion in the CITES	Yes	
	Identification Manual?	No	\boxtimes
		No inform	ation
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve coordination and re- duplication of activities between the national authorities for		\boxtimes

	and other multilateral environmental agreements (e.g. the	No	
	biodiversity-related conventions)?	No information	
14	If Yes, please give a brief description. Lead MA is national focal per departmental focal point for the CBD.	pint for the CMS and	
15	Please provide details of any additional measures taken:		

D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness implementation at the national level and what is the respective lev			
	Activity	High	Medium	Low
	Increased budget for activities			\boxtimes
	Hiring of more staff			
	Development of implementation tools		\square	
	Improvement of national networks		\square	
	Purchase of new technical equipment for monitoring and enforcement			
	Computerization		\square	
	Other (specify):			
2	Were any difficulties encountered in implementing specific	Yes		
	Resolutions or Decisions adopted by the Conference of the	No		
	Parties?	No infor	mation	
3	If Yes, which one(s) and what is the main difficulty? Consistent ap 13.7 on Control of trade in personal or household effects	plication	of Resolutio	on
4	Have any constraints to implementation of the Convention arisen	Yes		
	in your country requiring attention or assistance?	No		\boxtimes
		No infor	mation	
5	If Yes, please describe the constraint and the type of attention or a	assistanc	e that is rec	quired.
6	Have any measures, procedures or mechanisms been identified	Yes		
	within the Convention that would benefit from review and/or	No		\boxtimes
	simplification?	No infor	mation	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments referred to in the report. For convenience, these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	
		Not available	
		Not relevant	
D4(10)	Details of nationally produced brochures or leaflets on CITES	Enclosed	
	produced for educational or public awareness purposes	Not available	
		Not relevant	
	Comments		