Α.	General	information
<i>_</i>	Concia	mormation

Party	
Period covered in this report (e.g. 1 January 2003 to 31 December 2004)	1 January 2011 - 31 December 2012
Details of agency preparing this report	Ministry of Environment, Government of the Republic of Korea
Contributing agencies, organizations or individuals	CITES Management Authorities of Korea CITES Scientific Authorities of Korea CITES Enforcement Authorities of Korea

B. Legislative and regulatory measures

1		ES-relevant legislation already been			Yes (fu	lly)		
		ES National Legislation Project?			Yes (pa	artly)		
	If yes, ignore questions	2, 3 and 4			No			
					No info	rmation	/unknown	
2	If any CITES-relevant le following details:	gislation h	nas been	planned, drafted	or enacte	ed, plea	se provide tl	ne
	Title and date:			Status:				
	Brief description of cont	ents:						
3	Is enacted legislation av	/ailable in	one of the	e working	Yes			
	languages of the Conve	ention?			No			
					No information			
4		opy of the full legislative text			legislation attached			
	or key legislative provis	ions that were gazetted.			provided previously			
					not ava	ailable, v	will send	
					later			
5	Which of the following is				domestic	: Т	Tick all applic	able
	measures adopted for C							
	accordance with Article			,	T I			- (
			he condit			······	prohibition	
	Issue	Yes	No	No information	Yes	No	No informa	ation
	Trade							
	Taking	\boxtimes						
	Possession	\boxtimes						
	Transport	\boxtimes						
	Other (specify)							
	Additional comments		-			·	·	

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?Tick all applicable						
	Item	Adequate	Partially Inadequate	Inadequate	No information		
	Powers of CITES authorities	\square					
	Clarity of legal obligations	\boxtimes					
	Control over CITES trade	\boxtimes					
	Consistency with existing policy on wildlife management and use						
	Coverage of law for all types of offences	\boxtimes					
	Coverage of law for all types of penalties	\boxtimes					
	Implementing regulations						
	Coherence within legislation						
	Other (please specify)						
	Please provide details if available	:					
7	If no review or assessment has ta		ne	Yes			
	planned for the next reporting per	iod?		No	\boxtimes		
				No info	ormation		
	Please provide details if available						
8	Has there been any review of legi subjects in relation to implementa			Ti	ck all applicable		
	Subject		Yes	No	No information		
	Access to or ownership of natural	resources					
	Harvesting						
	Transporting of live specimens						
	Handling and housing of live spec	cimens					
	Please provide details if available	:	·				
9	Please provide details of any additional measures taken:						

C. Compliance and enforcement measures

		Yes	No	No information	
1	Have any of the following compliance monitoring operations been undertaken?				
	Review of reports and other information provided by traders and producers				
	Inspections of traders, producers, markets	\square			
	Border controls	\square			
	Other (specify):				

2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?					
3	If Yes, please indicate how many and for what types of viola details.	tions. If ava	ailable, pleas	e attach		
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?					
5	If information available:		Number			
	Significant seizures/confiscations	3,237 ou	ut of 9 cases i	n 2011		
	Total seizures/confiscations		rtles, 3 monk			
	If possible, please specify per group of species or attach	•	s and other b	,		
	details.	170 out of 2 cases in 2012 (Crocodylus siamensis, 165 parrot eggs)				
6	Have there been any criminal prosecutions of significant CITES-related violations?	\boxtimes				
7	If Yes, how many and for what types of violations? If availab Annex.	le, please a	attach details	as		
8	Have there been any other court actions of CITES-related violations?	\square				
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.					
10	How were the confiscated specimens usually disposed of?		Tick if ap			
	 Return to country of export 			\boxtimes		
	 Public zoos or botanical gardens 			\boxtimes		
	 Designated rescue centres 					
	– Approved, private facilities					
-	- Euthanasia					
	 Other (specify): Comments: Found in dead bodies and reverted to the nation 	in accord	anco with the			
	Customs Act					
11	Has detailed information been provided to the Secretariat	Yes	6			
	on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicte	d No		\bowtie		
	illegal traders and persistent offenders?	Not	applicable			
		No	information			
	Comments:					
12	Have there been any cooperative enforcement activities with		3			
	other countries (e.g. exchange of intelligence, technical sup investigative assistance, joint operation, etc.)?	^{port,} No		\boxtimes		
		No	information			
13	If Yes, please give a brief description:					
14	Have any incentives been offered to local communities to as	sist Yes	3			

	in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	No No information	
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related	Yes	\boxtimes
	enforcement?	No	
		Not applicable	
		No information	
	Comments:		
17	Please provide details of any additional measures taken:		

D. Administrative measures

D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact	Yes	
	information for the MA(s) which are not yet reflected in the	No	\boxtimes
	CITES Directory?	No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead	Yes	\boxtimes
	MA been designated?	No	
		No information	
4	If Yes, please name that MA and indicate whether it is identified as CITES Directory.	s the lead MA in the	
5	How many staff work in each MA?		
6	Can you estimate the percentage of time they	Yes	
	spend on CITES-related matters?	No	\boxtimes
		No information	
	If yes, please give estimation:		
7	What are the skills/expertise of staff within the MA(s)?	Tick if appl	icable
	– Administration		
	– Biology		
	 Economics/trade 		
	– Law/policy		
	 Other (specify): Environment, Pharmacy 		\square
	 No information 		
8	Have the MA(s) undertaken or supported any research activities	Yes	
	in relation to CITES species or technical issues (e.g. labelling,	No	\square
	tagging, species identification) not covered in D2(8) and D2(9)?	No information	

9	If Yes, please give the species name and provide details of the kind of research involved.
10	Please provide details of any additional measures taken:

D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the	Yes	
	CITES Directory?	No	\boxtimes
		No information	
2	If Yes, please use the opportunity to provide those changes here.	-	
3	Is the designated Scientific Authority independent from the	Yes	\boxtimes
	Management Authority?	No	
		No information	
4	What is the structure of the SA(s)?	Tick if app	licable
	 Government institution 		\square
	 Academic or research institution 		
	 Permanent committee 		
	 Pool of individuals with certain expertise 		
	 Other (specify): 		
5	How many staff work in each SA on CITES issues?		
6	Can you estimate the percentage of time they spend on CITES-	Yes	
	related matters	No	\boxtimes
		No information	
	If yes, please give estimation:		
7	What are the skills/expertise of staff within the SA(s)?	Tick if app	licable
	– Botany		
	– Ecology		\boxtimes
Ī	– Fisheries		\boxtimes
	– Forestry		
	– Welfare		
	– Zoology		\boxtimes
	– Other (specify):		\boxtimes
	- No information		
8	Have any research activities been undertaken by the SA(s) in	Yes	
-	relation to CITES species?	No	
		No information	\square

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
						No information	on 🗌
10	Have any	project proposals	s for scientific res	search bee	n	Yes	
	submitted	to the Secretaria	t under Resolution	on Conf. 12	2.2?	No	\boxtimes
						No information	on 🗌
11	Please pro	vide details of a	ny additional me	asures take	en:		

D3 Enforcement Authorities

2 If No, please designate them here (with address, phone, fax and email). 3 Is there a specialized unit responsible for CITES-related Yes	ion 🗌
and a management of a second data the according of a second second second second second second second second se	\square
enforcement (e.g. within the wildlife department, Customs, No the police, public prosecutor's office)?	
Under consi	ideration
No informati	ion 🗌
4 If Yes, please state which is the lead agency for enforcement:	
5 Please provide details of any additional measures taken:	

D4 Communication, information management and exchange

1	To what extent is CITES information computerized?	Tick if applicable
	 Monitoring and reporting of data on legal trade 	\boxtimes
	 Monitoring and reporting of data on illegal trade 	\boxtimes
	- Permit issuance	
	- Not at all	
	– Other (specify):	

2	Do the following au	uthoritie	s have ac	cess to th	e Inte	rnet?	Tick if appli	cable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details wł appropriate	nere
	Management Authority							
	Scientific Authority							
	Enforcement Authority							
3	Is there an electror	nic infor	mation sy	stem prov	/iding	informa	tion on Yes	\boxtimes
	CITES species?						No	
							No information	
4	If Yes, does it prov	ide info	rmation or	า:			Tick if appli	cable
	 Legislation (nati 	onal, re	egional or	internatio	nal)?			\square
	 Conservation st 	atus (n	ational, re	gional, int	ernati	onal)?		
	 Other (please s 	pecify):						\square
5	Is it available throu	gh the	Internet:				Yes	\square
							No	
							Not applicable	
							No information	
	Please provide UR	L:						

6	Do the authorities indicated have access to	the following put	olications?	Tick if applicable			
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	2003 Checklist of CITES Species (book)						
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)						
	Identification Manual		\square				
	CITES Handbook						
7	If not, what problems have been encounter species are disclosed on the national law ir problem						
8	Have Enforcement Authorities reported to t on:	he Management	Authority	Tick if applicable			
	– Mortality in transport?			\boxtimes			
	– Seizures and confiscations?						
	 Discrepancies in number of items in permits and number of items actually traded? 						
	Comments:						
9	Is there a government website with informa requirements?	ition on CITES ar	No	nformation			
	If Yes, please give the URL: http://cites.kbr.	ao kr					
10	Have CITES authorities been involved in an activities to bring about better accessibility of the Convention's requirements to the wide	ny of the following		Tick if applicable			
	 Press releases/conferences 			\boxtimes			
	- Newspaper articles, radio/television app	earances					
	 Brochures, leaflets 						
	 Presentations 						
	– Displays						
	 Information at border crossing points 						
	 Telephone hotline 			\boxtimes			
	 Other (specify): 						
	Please attach copies of any items.						
11	Please provide details of any additional me	asures taken:					

D5 Permitting and registration procedures

1	Have any changes in perm signatures of officials emp certificates been reported If no, please provide detail – Changes in permit form		Yes No Not applicable No information				
	 Changes in designation 		of relevan	t officials.			
2	To date, has your country any of the following?				s for	Tick if appli	icable
		No	No informatio	on			
	Permit issuance/acceptane	се					
	Registration of traders						
	Registration of producers						
3	Please indicate how many period? (Note that actual to question refers to issued of	rade is reported locuments).					
	Year 1	Import or introduction from the sea	Export	Re- export	Other	Comments	6
	How many documents were issued?	8,252	2,498	21			
	How many applications were denied because of serious omissions or misinformation?						
	Year 2 How many documents were issued?	6,027	4,201	24			
	How many applications were denied because of serious omissions or misinformation?						
4	Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation?					Yes No No information	
5	If Yes, please give the reas	sons for this.					

6	Please give the reasons for rejection of CITES documents from Tick if app other countries.							
	Reason	Yes	No	No information	on			
	Technical violations							
	Suspected fraud			\square				
	Insufficient basis for finding of non-detriment							
	Insufficient basis for finding of legal acquisition			\square				
	Other (specify):			\square				
7	Are harvest and/or export quotas used as a management procedure for issuance of permits?	nt tool in	the	Yes No	\square			
				No information				
	Comments			NO INIOMATION				
8	How many times has the Scientific Authority been reque	ested to	nrovic	le oninions?				
9	Has the MA charged fees for permit issuance, registration or related Tick if application							
Ŭ	CITES activities?		atou		loablo			
	 Issuance of CITES documents: 							
	- Licensing or registration of operations that produce (CITES s	pecie	s				
	 Harvesting of CITES-listed species 							
	 Use of CITES-listed species 							
	 Assignment of quotas for CITES-listed species 							
	 Importing of CITES-listed species 							
	 Other (specify): 				\square			
10	If Yes, please provide the amounts of such fees.							
11	Have revenues from fees been used for the implementa CITES or wildlife conservation?	ition of		Tick if appl	icable			
	– Entirely							
	– Partly							
	 Not at all 							
	 Not relevant 				\boxtimes			
	Comments:							
12	Please provide details of any additional measures taken	1:						

D6 Capacity building

1	Have any of the following activities be effectiveness of CITES implementation					9	Tick if applicable
	Increased budget for activities		Impro	vemen	t of n	ation	al networks
	Hiring of more staff			nase of oring/e			equipment for
	Development of implementation tools		Comp	outeriza	tion		
	Other (specify):						
2	Have the CITES authorities received building activities provided by externation	al sourc		rom any	/ of th	ne fol	lowing capacity-
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidanc	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Target group	a U	9 H				
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other (specify):						
3	Have the CITES authorities been the activities?		ers of a	ny of th	e foll	owing	g capacity-building
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Target group Staff of Management Authority	a C					
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders						
	NGOs						Policy briefing session in 2012
	Public						
	Other parties/International meetings						
	Other (specify)						

4

Please provide details of any additional measures taken:

D7 Collaboration/cooperative initiatives

1	Is there an inter-	agency	or inter-se	ectoral com	mittee on C	ITES?	Yes	
		• •					No	\boxtimes
							No informa	ation
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicate the frequency of meetings or consultancies used by the Management Authority to ensure coordination among CITES authorities (e.g. other MAs, SAs, Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings							
	Consultations							
4	At the national le collaborate with:		e there be	en any effo	orts to	Tick if applicable		Details if available
	Agencies for development and trade						Korea Pharmace utical Traders Associatio n	
	Provincial, state	or territ	orial autho	rities				
	Local authorities	or com	munities					
	Indigenous peoples							
	Trade or other private sector associations							
	NGOs	NGOs						
	Other (specify)							

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?	Tick if appl	icable
	Scientific Authority		
	Customs		
	Police		
	Other border authorities (specify):		
	Other government agencies		
	Private sector bodies		
	NGOs		
	Other (specify):		
6	Have government staff participated in any regional activities related to CITES?	Tick if appl	icable
	Workshops		
	Meetings		
	Other (specify):		\boxtimes
7	Has there been any effort to encourage any non-Party to accede	Yes	
	to the Convention?	No	\boxtimes
		No information	
8	If Yes, which one(s) and in what way?		
9	Has technical or financial assistance been provided to	Yes	
	another country in relation to CITES?	No	\boxtimes
		No information	
10	If Yes, which country(ies) and what kind of assistance was provided	!?	
11	Has any data been provided for inclusion in the CITES	Yes	
	Identification Manual?	No	\boxtimes
		No information	
12	If Yes, please give a brief description.	-	
13	Have measures been taken to achieve coordination and reduce	Yes	
	duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the	No	\boxtimes
	biodiversity-related conventions)?	No information	
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?						
	Activity	High	Medium	Low			
	Increased budget for activities	\square					
	Hiring of more staff	\square					
	Development of implementation tools		\square				
	Improvement of national networks						
	Purchase of new technical equipment for monitoring and enforcement						
	Computerization	\square					
	Other (specify):						
2	Were any difficulties encountered in implementing specific	Yes		\boxtimes			
	Resolutions or Decisions adopted by the Conference of the	No					
	Parties?	No infor	mation				
3	If Yes, which one(s) and what is the main difficulty? Too many spe	cies to b	e managed				
4	Have any constraints to implementation of the Convention arisen	Yes					
	in your country requiring attention or assistance?	No		\boxtimes			
		No infor	mation				
5	If Yes, please describe the constraint and the type of attention or a	assistanc	e that is rec	quired.			
6	Have any measures, procedures or mechanisms been identified	Yes					
	within the Convention that would benefit from review and/or	No		\boxtimes			
	simplification?	No infor	mation				
7	If Yes, please give a brief description.						
8	Please provide details of any additional measures taken:						

E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments referred to in the report. For convenience, these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	\boxtimes
		Not available	
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	
		Not available	
		Not relevant	
D4(10)	Details of nationally produced brochures or leaflets on CITES	Enclosed	
	produced for educational or public awareness purposes	Not available	
		Not relevant	
	Comments		

PHARMACEUTICAL AFFAIRS ACT

Article 43 (International Trade, etc. in Endangered Species of Wild Fauna and Flora)

① Any person who desires to export, import, or carry into Korea by sea, <u>drugs</u> made from processed goods of animals and plants as prescribed by the Convention on International Trade in Endangered Species of Wild Fauna and Flora, shall obtain approval from the Commissioner of the Korea Food and Drug Administration, as prescribed by Ordinance of the Ministry of Health and Welfare. *<Amended by Act No. 8852, Feb. 29, 2008; Act No. 9932, Jan. 18, 2010>*

Regulation on Safety of Medical Products, etc.

Article 61 (Permission of Exportation or Importation of Endangered Species of Wild Fauna and Flora)

person who desires to export, import, or carry into Korea by sea, medicinal ① Anv products made from processed goods or animals and plants (hereinafter referred "wild fauna and flora") shall submit an approval application of Attached to as Form No. 58 (including an electronic document) to export, import or carry in endangered species of wild fauna or flora, together with document of the following one subparagraphs (including an electronic document) on every business and the Ministry of Food and Drug Safety shall review the application and, when granting the approval of exportation, importation or introduction, shall issue an approval certificate or exportation, importation of introduction of Attached Form No. 59.

I. For export:

A. When re-exporting processed products of wild fauna and flora of foreign origin, the copy of the export certificate issued by the country that exported the processed products of wild fauna and flora, in accordance with the Convention on International Trade in Endangered Species of Wild Fauna and Flora;

B. When exporting processed products of wild fauna and flora of Korean origin, the written explanation on the details of acquisition of the wild fauna and flora and supporting documents thereof.

2. For import;

A. The copy of the export certificate issued by the country that exported the processed products of wild fauna and flora, in accordance with the Convention on International Trade in Endangered Species of Wild Fauna and Flora;

B. The copy of offer sheet or import contract.

3. For introduction;

The written explanation on the details of acquisition of the wild fauna and flora and supporting documents thereof.

② A person who applies for approval of exportation, importation or introduction of wild fauna and flora pursuant to paragraph ① shall pay a fee as notified by the Minister of Food and Drug Safety.