A. General information

Party	Japan
Period covered in this report (e.g. 1 January 2003 to 31 December 2004)	1 January 2011– 31 December 2012
Details of agency preparing this report	Ministry of Foreign Affairs, Government of Japan
Contributing agencies, organizations or individuals	CITES Management Authorities of Japan CITES Scientific Authorities of Japan CITES Enforcement Authorities of Japan

B. Legislative and regulatory measures

1		TES-relevant legislation already been TES National Legislation Project?			Yes (fully)			
	If yes, ignore questions	•			Yes (partly)			님
	ii yes, ignore questions	2, 3 and 2	٠.		No		, .	님
				 			/unknown	<u>. U</u>
2	If any CITES-relevant le following details:	egislation b	nas been	planned, drafted (or enacto	ed, plea	se provide t	:he
	Title and date:			Status:				
	Brief description of cont	ents:						
3	Is enacted legislation av		one of the	e working	Yes			
	languages of the Conve	ention?			No			
					No info	rmation	1	
4	If yes, please attach a c				legislat	ion atta	ched	
	or key legislative provisi	ions that v	vere gaze	tted.	provide	ed previ	ously	
						ailable, v	will send	
					later			
5	Which of the following is						ick all appli	cable
	measures adopted for (XIV of the Convention)?		ed specie:	s (in accordance v	with Artic	cle		
			he condit	ions for:	The c	omplete	prohibition	of:
	Issue	Yes	No	No information	Yes	No	No inform	ation
	Trade					\boxtimes		
	Taking					\boxtimes		
	Possession					\boxtimes		
	Transport					\boxtimes		
	Other (specify)							
	Additional comments							

6	What were the results of any review or assessment of the effectiveness Tick all applicable of CITES legislation, with regard to the following items?					
	Item	Adequate	Partially Inadequate	Inadequate	No informa	ation
	Powers of CITES authorities					
	Clarity of legal obligations					
	Control over CITES trade					
	Consistency with existing policy on wildlife management and use					
	Coverage of law for all types of offences					
	Coverage of law for all types of penalties					
	Implementing regulations		\boxtimes			
	Coherence within legislation					
	Other (please specify)				\boxtimes	
	Please provide details if available	•				
7	If no review or assessment has ta		ne	Yes		
	planned for the next reporting per	iod?		No		
				No info	ormation	
	Please provide details if available	:				
8	Has there been any review of legingler relation to implementation of the Complex control of		ollowing subjec	ts in Ti	ck all applic	able
	Subject		Yes	No	No informa	ation
	Access to or ownership of natural	resources		\boxtimes		
	Harvesting					
	Transporting of live specimens					
	Handling and housing of live spec	cimens		\boxtimes		
	Please provide details if available	:				
9	Please provide details of any add					
	introduced special provisions for t	he import and	export of perso	nally carried g	goods in 201	2.

C. Compliance and enforcement measures

		Yes	No	No information
1 Have any of the following compliance monitoring operations been undertaken?				?
	Review of reports and other information provided by traders and producers			
	Inspections of traders, producers, markets			
	Border controls			
	Other (specify): check on internet auctions			

2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?		Ш			
3	If Yes, please indicate how many and for what types of violations. If available, please attach details.					
	There were 2 cases (2011) and 4 cases (2012) in violation of the Customs Act and 1 case (2011) in violation of the Foreign Exchange and Foreign Trade Act.					
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?					
5	If information available:		Number			
	Significant seizures/confiscations		were no signi res/confiscat			
		Total se	zures/confiso	cations		
	If possible, please specify per group of species or attach	Custom	ıs 2011: 913,	2012:		
	details.		627			
		Police	Agency 201 2012:10	1:15,		
6	Have there been any criminal prosecutions of significant CITES-related violations?					
7	If Yes, how many and for what types of violations? If available Annex.	e, please a	attach details	as		
8	Have there been any other court actions of CITES-related violations?					
9	If Yes, what were the violations involved and what were the res	sults? Pleas	e attach deta	ils as		
10	How were the confiscated specimens usually disposed of?	-	Tick if ap	plicable		
	 Return to country of export 			\boxtimes		
	 Public zoos or botanical gardens 			\boxtimes		
	Designated rescue centres					
	Approved, private facilities Suth a paid.					
	EuthanasiaOther (specify):					
	Comments: Illegally traded specimens banned by Japan Cus specimens, were destroyed by the Management Authority.	stoms, exc	ept for live	<u> </u>		
11	Has detailed information been provided to the Secretariat	Yes	;			
	on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicte	d No		\boxtimes		
	illegal traders and persistent offenders?	u Not	applicable			
	<u> </u>	No	information			
	Comments:					
12	Have there been any cooperative enforcement activities with	Yes	•			

	other countries (e.g. exchange of intelligence, technical support,	No	\boxtimes
	investigative assistance, joint operation, etc.)?	No information	
13	If Yes, please give a brief description:		
14	Have any incentives been offered to local communities to assist	Yes	
	in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	No	\boxtimes
	ariest and conviction of offenders:		
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related	Yes	\boxtimes
	enforcement?	No	
		Not applicable	
		No information	
	Comments:		
17	Please provide details of any additional measures taken:		

D. Administrative measures

D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact	Yes	
	information for the MA(s) which are not yet reflected in the	No	\boxtimes
	CITES Directory?	No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes	
		No	
		No information	
4	If Yes, please name that MA and indicate whether it is identified a CITES Directory.	s the lead MA in the	
	For introduction from the sea,		
	- Fisheries Management Division, Fisheries Agency		
	- International Affairs Division, Fisheries Agency		
	For Import, Export and Re-export,		
	Trade Licensing Division, Ministry of Economy, Trade and Industr	y	
5	How many staff work in each MA?		
	For Fisheries Agency,		
	2 or 3 officials in Fisheries Management Division and 2 or 3 official Division.	als in International Af	fairs
	For Ministry of Economy, Trade and Industry,		
	8 officials for CITES implementation including issuance of CITES Trade Licensing Division	permits and certifica	tes in

6	Can you estimate the percentage of time they	Yes	
	spend on CITES-related matters?	No	
		No information	
	If yes, please give estimation:		
	For Ministry of Economy, Trade and Industry, 100%.		
	For Fisheries Agency, estimation is not possible.		
7	What are the skills/expertise of staff within the MA(s)?	Tick if appli	cable
	- Administration		
	– Biology		
	Economics/trade		
	 Law/policy 		
	- Other (specify):		
	 No information 		
8	Have the MA(s) undertaken or supported any research activities	Yes	
	in relation to CITES species or technical issues (e.g. labelling,	No	
	tagging, species identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the kin	nd of research involve	ed.
10	Please provide details of any additional measures taken:		

D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the	Yes No	
	CITES Directory?		
	•	No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	Is the designated Scientific Authority independent from the	Yes	\boxtimes
	Management Authority?	No	
		No information	
4	What is the structure of the SA(s)?	Tick if app	olicable
	Government institution		
	Academic or research institution		
	- Permanent committee		
	Pool of individuals with certain expertise		
	- Other (specify):		
5	How many staff work in each SA on CITES issues?		
	For Fisheries Agency,		
	3-4 officials in Resources and Environmental Research Division		
	Forest Agency,		
	2 officials		
	For Ministry of the Environment,		
	4 officials		

6	Can you estimate the percentage of time they spend on CITES- related matters				Yes No		
						No informat	tion 🗌
	If yes, plea	ase give estimat	tion:				
		ry of the Enviror 20% for each.	nment, 1 official:	100%(70%	for domestic	control), 1 o	fficial: 70%,
	For Fisher	ies Agency and	Forestry Agency	, estimatio	n is not possi	ble.	
7	What are	the skills/expert	ise of staff within	the SA(s)?) 	Tick	if applicable
	Botany						
	- Ecolog	y					
	Fisheric	es					
	Forestr	У					
	Welfare	Э					
	- Zoolog	y					
		specify):					
		rmation					
8			ies been undertal	ken by the	SA(s) in	Yes	
	relation to	CITES species	•			No	. 📙
	14.74					No informat	
9	-	ase give the spe	ecies name and p	1	-:		i
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	Baleen whales	10 species	10 species				
	Toothed whales	11 species	11 species				
	Dolphins	10 species	10 species				
	Porpoise s	10 species	10 species				
	Sharks	3 species	3 species				į
	Geoemy da japonica	Literature documentati on	Literature documentati on	Literat ure docum entatio n	Literature document ation	Literatur e docume ntation	Literature document ation
						No informat	tion 🗌
10			ls for scientific re			Yes	
	submitted	to the Secretari	at under Resolut	ion Conf. 1	2.2?	No	
						No informat	tion 🗌
11	Please provide details of any additional measures taken:						

D3 Enforcement Authorities

1	Has the Secretariat been informed of any enforcement	Yes			
	authorities that have been designated for the receipt of confidential enforcement information related to CITES?	No			
	Confidential enforcement information related to CITES:	No information	\boxtimes		
2	2 If No, please designate them here (with address, phone, fax and email).				
3	Is there a specialized unit responsible for CITES-related	Yes			
	enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	No			
	the police, public prosecutor's office)?	Under consideration			
		No information	\boxtimes		
4	If Yes, please state which is the lead agency for enforcement:				
5	Please provide details of any additional measures taken:				

D4 Communication, information management and exchange

1	To what extent is CITES information computerized?						Tick if applicable
	 Monitoring and reporting of data on legal trade 						
	Monitoring and reporting of data on illegal trade						
	 Permit issuance)					
	Not at all						
	Other (specify):						
2	Do the following at	uthoritie	s have ac	cess to th	e Inte	rnet?	Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority						
	Scientific Authority						
	Enforcement Authority						
3	Is there an electron	nic infor	mation sy	stem prov	viding	informa	tion on Yes
	CITES species?						No
							No information

4	If Yes, does it provide information on:	Tick if applic	able
	 Legislation (national, regional or international)? 		\boxtimes
	 Conservation status (national, regional, international)? 		
	Other (please specify):		
5	Is it available through the Internet:	Yes	\boxtimes
		No	
		Not applicable	
		No information	
	Please provide URL: http://www.meti.go.jp/policy/external_economy/trade_control/boek (Japanese only)	ikanri/cites/index.htm	
	http://kokushi.job.affrc.go.jp/index-2.html (Japanese only)		

6	Do the authorities indicated have access to	the following put	Difeations?	Tick if applicable			
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	2003 Checklist of CITES Species (book)		\boxtimes				
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)	\boxtimes	\boxtimes				
	Identification Manual	\boxtimes	\boxtimes				
	CITES Handbook						
7	If not, what problems have been encounter	ed to access this	information?				
8	Have Enforcement Authorities reported to to on:	he Management	Authority	Tick if applicable			
	– Mortality in transport?						
	- Seizures and confiscations?						
	 Discrepancies in number of items in period of items actually traded? 	mits and number					
	Comments:						
9	Is there a government website with informa requirements?	ition on CITES ar	No	nformation			
	If Yes, please give the URL:						
	Ministry of Economy, Trade and Industry						
	http://www.meti.go.jp/policy/external_econd	omy/trade_control	/boekikanri/ci	tes/index.htm			
	(Japanese only)		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	<u></u>			
	Ministry of the Environment						
	https://www.env.go.jp/nature/kisho/global/w	ashington.html	(Japanese or	nly)			
10	Have CITES authorities been involved in ar activities to bring about better accessibility of the Convention's requirements to the wid	to and understan		Tick if applicable			
	 Press releases/conferences 						
	 Newspaper articles, radio/television app 	earances					
	 Brochures, leaflets 						
	Presentations						
	– Displays						
	 Information at border crossing points 						
	 Telephone hotline 						
	Other (specify):						
	Please attach copies of any items.						
11	Please provide details of any additional me	asures taken:					

D5 Permitting and registration procedures

1	Have any changes in perm signatures of officials empore certificates been reported purely fino, please provide details		Yes No Not applicable No information						
	Changes in permit form								
	 Changes in designation 		of relevan	t officials:					
2	To date, has your country of any of the following?				s for	Tick if applicable			
				Yes	No	No information			
	Permit issuance/acceptance	:e							
	Registration of traders								
	Registration of producers								
3	Please indicate how many period? (Note that actual tr question refers to issued d	ade is reported							
	Year 1	Import or introduction from the sea	Export	Re- export	Other	Comments			
	How many documents were issued?	Introduction from the sea: 13 Import: 7	281	3,108	0				
	How many applications were denied because of serious omissions or misinformation?	-				There were several denied cases, but actual number is not available.			
	Year 2 How many documents were issued?	Introduction from the sea: 12 Import: 1	324	2,603	0				
	How many applications were denied because of serious omissions or misinformation?		**************************************			There were several denied cases, but actual number is not available			
4	Were any CITES documen and replaced because of s				?	Yes			
5	If Yes, please give the reas	sons for this.	<u> </u>						

6	Please give the reasons for rejection of CITES documer other countries.	nts from		Tick if applicable		
	Reason	Yes	No	No informa	tion	
	Technical violations	\boxtimes				
	Suspected fraud	\boxtimes				
	Insufficient basis for finding of non-detriment					
	Insufficient basis for finding of legal acquisition	\boxtimes				
	Other (specify):					
7	Are harvest and/or export quotas used as a management procedure for issuance of permits?	nt tool in	the	Yes No No information		
	Comments "Yes" applies only for introduction from the s	ea.				
8	How many times has the Scientific Authority been reque	ested to	orovio	le opinions?		
	(Fisheries Agency) 2011: 32, 2012: 37			-		
	(Forestry Agency) 2011: 10, 2012: 11					
	(Ministry of the Environment) 2011 : import 6 export 21;	2012: ir	nport	3, export 26		
9	Has the MA charged fees for permit issuance, registration CITES activities?	on or rela	ated	Tick if ap	olicable	
	 Issuance of CITES documents: 					
	 Licensing or registration of operations that produce 0 	CITES s	pecie	S		
	 Harvesting of CITES-listed species 					
	 Use of CITES-listed species 					
	 Assignment of quotas for CITES-listed species 					
	 Importing of CITES-listed species 					
	Other (specify):					
10	If Yes, please provide the amounts of such fees.					
11	Have revenues from fees been used for the implementa CITES or wildlife conservation?	ition of		Tick if ap	olicable	
	- Entirely					
	Partly					
	Not at all					
	 Not relevant 					
	Comments:					
12	Please provide details of any additional measures taken	1:				

D6 Capacity building

1	Have any of the following activities be effectiveness of CITES implementation)	Tick if applicable	
	Increased budget for activities			Improvement of national networks				
	Hiring of more staff			Purchase of technical equipment for monitoring/enforcement				
	Development of implementation tools		Comp	Computerization				
	Other (specify):							
2	Have the CITES authorities received or benefited from any of the following capacity-building activities provided by external sources?							
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidanc	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?	
	Staff of Management Authority							
	Staff of Scientific Authority							
	Staff of enforcement authorities							
	Traders	\boxtimes						
	NGOs							
	Public							
	Other (specify):	\boxtimes					Specialists	
3	Have the CITES authorities been the activities?	provide	ers of a	ny of th	e foll	owing	g capacity-building	
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details	
	Staff of Management Authority							
	Staff of Scientific Authority						Oral discussions	
	Staff of enforcement authorities						Training programs	
	Traders							
	NGOs	\boxtimes						
	Public	\boxtimes					Online publication	
	Other parties/International meetings							
	Other (specify)						Researchers	
4	Please provide details of any addition	al mea	sures ta	aken:				

D7 Collaboration/cooperative initiatives

1	Is there an inter-	-agency	or inter-se	ectoral com	mittee on C	ITES?	Yes	
							No	
							No informa	ntion 🗌
2	If Yes, which ag	encies a	are represe	ented and h	now often do	es it me	et?	
	Inter- Ministerial of the Environm Labour and Wel Trade and Indus when needed.	ent, Min fare, Mi	istry of Fonistry of A	reign Affair griculture, F	s, Ministry o Forestry and	f Finance Fisherie	e, Ministry of F s, Ministry of E	lealth, Economy,
3	If No, please inc Authority to ens police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings							
	Consultations							
4	collaborate with:				Tick if	applicable	Details if available	
	Agencies for development and trade							
	Provincial, state	ial, state or territorial authorities						
	Local authorities	or com	munities					
	Indigenous peop	ocal authorities or communities ndigenous peoples						
	Trade or other p	rivate s	ector asso	ciations				
	NGOs						\boxtimes	
	Other (specify)							
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?							
	Scientific Author	ity						
	Customs							
	Police							
	Other border au	thorities	(specify):					
	Other governme	ent agen	cies					
	Private sector be	odies						
	NGOs							
	Other (specify):							

6	Have government staff participated in any regional activities related to CITES?	Tick if appl	icable	
	Workshops			
	Meetings			
	Other (specify):			
7	Has there been any effort to encourage any non-Party to accede	Yes		
	to the Convention?	No		
		No information		
8	If Yes, which one(s) and in what way?			
9	Has technical or financial assistance been provided to	Yes	\boxtimes	
	another country in relation to CITES?	No		
		No information		
10	If Yes, which country(ies) and what kind of assistance was provided	/ 1?		
	Both financial and technical assistance were provided to three SEAFDEC's meeting about capacity building for CITES issues. Financial assistance was provided to FAO's project regarding CITES issues.			
	Species Identification capacity building in ASEAN was provided und and Southeast Asia Biodiversity Information Initiative) project, in Ma Cambodia (2012).		st	
11	Has any data been provided for inclusion in the CITES	Yes		
	Identification Manual?	No	\boxtimes	
		No information		
12	If Yes, please give a brief description.			
13	Have measures been taken to achieve coordination and reduce	Yes		
	duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the	No		
	biodiversity-related conventions)?	No information		
14	If Yes, please give a brief description.			
15	Please provide details of any additional measures taken:			

D8 Areas for future work

1	Are any of the following activities needed to enhance effectivenes implementation at the national level and what is the respective level.				
	Activity	High	Medium	Low	
	Increased budget for activities	\boxtimes			
	Hiring of more staff				
	Development of implementation tools				
	Improvement of national networks				
	Purchase of new technical equipment for monitoring and enforcement				
	Computerization				
	Other (specify):				
2	Were any difficulties encountered in implementing specific	Yes		\boxtimes	
	Resolutions or Decisions adopted by the Conference of the	No			
	Parties?	No infor	mation		
3	If Yes, which one(s) and what is the main difficulty?				
	- Introduction of Resolution Conf. 11.12 (Rev. CoP15)				
	- Introduction of Special Provisions on Article VII, paragraph 6				
	It is difficult to introduce above systems because of conflict with the	e nationa	al legal syst	tem.	
4	Have any constraints to implementation of the Convention arisen	Yes			
	in your country requiring attention or assistance?	No			
		No infor	mation		
5	If Yes, please describe the constraint and the type of attention or a	assistanc	e that is red	quired.	
6	Have any measures, procedures or mechanisms been identified	Yes			
	within the Convention that would benefit from review and/or	No		\boxtimes	
	simplification?	No infor	mation		
7	If Yes, please give a brief description.				
8	Please provide details of any additional measures taken:				

E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments referred to in the report. For convenience, these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	
		Not available	
		Not relevant	
D4(10)	Details of nationally produced brochures or leaflets on CITES	Enclosed	
	produced for educational or public awareness purposes	Not available	
		Not relevant	
	Comments		