

### A. General information

Party	China
Period covered in this report:	1 January 2011 to 31 December 2012
Details of agency preparing this report	CITES Management Authority of China
Contributing agencies, organizations or individuals	CITES Scientific Authority of China

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) Yes (partly) No No information/unknown	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	If any CITES-relevant legislation has been planned, drafted or enacted, please provide the following details:		
	Title and date:	Status:	
	Brief description of contents: Repealed the old CITES-relevant legislation, streamlined licensing control of CITES listed species and fully comply with CITES provisions		
3	Is enacted legislation available in one of the working languages of the Convention?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached provided previously not available, will send later	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures adopted for CITES-listed species (in accordance with Article XIV of the Convention)?		Tick all applicable
		The conditions for:	The complete prohibition of:
	Issue	Yes      No      No information	Yes      No      No information
	Trade	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Taking	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Possession	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Transport	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Other (specify)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Additional comments		

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? Tick all applicable				
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input type="checkbox"/>	
				No <input checked="" type="checkbox"/>	
				No information <input type="checkbox"/>	
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention? Tick all applicable				
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details. <a href="#">(See Table 1 of Annex I)</a>	Number		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. <a href="#">(See Table 2 of Annex I)</a>			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens usually disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify) <a href="#">Training / Education Purposes</a>			<input type="checkbox"/>
	Comments:			
11	Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
12	Have there been any cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
13	If Yes, please give a brief description: <a href="#">Exchange of intelligence, training, holding program.</a>			
14	Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>

15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
	Comments:	Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
17	Please provide details of any additional measures taken:		

#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA?	130	
6	Can you estimate the percentage of time they spend on CITES-related matters? If yes, please give estimation : 100%	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	– Administration		<input checked="" type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input checked="" type="checkbox"/>
	– Law/policy		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>



9	If Yes, please give the species name and provide details of the kind of research involved.
10	Please provide details of any additional measures taken:

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. <a href="#">Address: Institute of Zoology, No.1-5 Beichen West Road, Chaoyang District, Beijing 100101, P.R.China. Tel/Fax:+86 10 64807170</a> <a href="#">E-mail: ccites@ioz.ac.cn; jiangzg@ioz.ac.cn</a>			
3	Is the designated Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
4	What is the structure of the SA(s)?	Tick if applicable		
	– Government institution	<input type="checkbox"/>		
	– Academic or research institution	<input type="checkbox"/>		
	– Permanent committee	<input checked="" type="checkbox"/>		
	– Pool of individuals with certain expertise	<input checked="" type="checkbox"/>		
	– Other (specify)	<input type="checkbox"/>		
5	How many staff work in each SA on CITES issues? <a href="#">Full time staff:2; 34 Specialists and 126 consultants</a>			
6	Can you estimate the percentage of time they spend on CITES-related matters	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	If yes, please give estimation: An average 10% for Specialists And Consultants.			
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable		
	– Botany	<input checked="" type="checkbox"/>		
	– Ecology	<input checked="" type="checkbox"/>		
	– Fisheries	<input checked="" type="checkbox"/>		
	– Forestry	<input checked="" type="checkbox"/>		
	– Welfare	<input checked="" type="checkbox"/>		
	– Zoology	<input type="checkbox"/>		
	– Other (specify) Horticulturist, Veterinarian, TCM materials researcher	<input checked="" type="checkbox"/>		
	– No information	<input type="checkbox"/>		
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	<i>Acipenser</i>						captive
	<i>Dendrobium</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	propagating
	<i>Cistanche</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	propagating
	<i>Manis</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	generally
						No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes	<input type="checkbox"/>
						No	<input checked="" type="checkbox"/>
						No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: <a href="#">Border Control Police</a> <a href="#">Customs</a> , <a href="#">Forestry Police Bureau</a> , <a href="#">State Administration for Industry and Commerce</a>		
5	Please provide details of any additional measures: <a href="#">All these authorities jointly take some special enforcement operations.</a>		

**D4 Communication, information management and exchange**

1	To what extent is CITES information computerized?	Tick if applicable
	- Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	- Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	- Permit issuance	<input checked="" type="checkbox"/>
	- Not at all	<input type="checkbox"/>
	- Other (specify)	<input type="checkbox"/>

2	Do the following authorities have access to the Internet?	Tick if applicable					
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Is there an electronic information system providing information on CITES species?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	No information	<input type="checkbox"/>
4	If Yes, does it provide information on: – Legislation (national, regional or international)? – Conservation status (national, regional, international)? – Other (please specify)?	Tick if applicable					
	– Legislation (national, regional or international)?		<input checked="" type="checkbox"/>				
	– Conservation status (national, regional, international)?		<input checked="" type="checkbox"/>				
	– Other (please specify)?		<input type="checkbox"/>				
5	Is it available through the Internet:	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>				
	Please provide <a href="http://www.cites.gov.cn">URL: http://www.cites.gov.cn</a> ; <a href="http://www.cites.org.cn">http://www.cites.org.cn</a>						
6	Do the authorities indicated have access to the following publications?	Tick if applicable					
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			

7	If not, what problems have been encountered to access this information?		
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable	
	– Mortality in transport?		<input type="checkbox"/>
	– Seizures and confiscations?		<input checked="" type="checkbox"/>
	– Discrepancies in number of items in permits and number of items actually traded?		<input type="checkbox"/>
	Comments:		
9	Is there a government website with information on CITES and its requirements?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.cites.gov.cn">http://www.cites.gov.cn</a>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences		<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances		<input type="checkbox"/>
	– Brochures, leaflets		<input checked="" type="checkbox"/>
	– Presentations		<input type="checkbox"/>
	– Displays		<input checked="" type="checkbox"/>
	– Information at border crossing points		<input type="checkbox"/>
	– Telephone hotline		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	Please attach copies of any items. (See Annex II)		
11	Please provide details of any additional measures taken:		

#### **D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If no, please provide details of any:		
	Changes in permit format:		
	Changes in designation or signatures of relevant officials:		
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable	
		Yes	No
		No information	
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>

3	Please indicate how many CITES documents were issued and denied in the two year period? (Note that actual trade is reported in the Annual Report by some Parties. This question refers to issued documents).					
	2011	Import or introduction from the sea	Export	Re- export	Other	Comments
	How many documents were issued?	8745	3414	1843	0	
	How many applications were denied because of serious omissions or misinformation?	812	443	148		
	2012					
	How many documents were issued?	9260	3552	1292	0	
	How many applications were denied because of serious omissions or misinformation?	593	354	124		
4	Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation?				Yes	<input type="checkbox"/>
					No	<input type="checkbox"/>
					No information	<input checked="" type="checkbox"/>
5	If Yes, please give the reasons for this. <span style="float: right;">Extend the value period or change the ports.</span>					
6	Please give the reasons for rejection of CITES documents from other countries.					Tick if applicable
	Reason			Yes	No	No information
	Technical violations			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes	<input checked="" type="checkbox"/>
					No	<input type="checkbox"/>
					No information	<input type="checkbox"/>
Comments						
8	How many times has the Scientific Authority been requested to provide opinions? <a href="#">Annual: 2011, 88; 2012, 98.</a>					
9	Has the MA charged fees for permit issuance, registration or related CITES activities?					Tick if applicable
	– Issuance of CITES documents:					
	– Licensing or registration of operations that produce CITES species:					<input type="checkbox"/>
	– Harvesting of CITES-listed species :					<input type="checkbox"/>
	– Use of CITES-listed species:					<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species:					<input type="checkbox"/>
	– Importing of CITES-listed species:					<input checked="" type="checkbox"/>
– Other (specify): <a href="#">Exporting/Re-exporting</a>					<input checked="" type="checkbox"/>	
					<input type="checkbox"/>	

10	If Yes, please provide the amounts of such fees. <b>From 1.5%- 7 % according to the protect status of the relevant species.</b>
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? <span style="float: right;">Tick if applicable</span> – Entirely: <input type="checkbox"/> – Partly: <input type="checkbox"/> – Not at all: <input type="checkbox"/> – Not relevant: <input checked="" type="checkbox"/> Comments:
12	Please provide details of any additional measures taken:

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level? <span style="float: right;">Tick if applicable</span>																																																															
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%;">Increased budget for activities</td> <td style="width: 10%; text-align: center;"><input checked="" type="checkbox"/></td> <td style="width: 40%;">Improvement of national networks</td> <td style="width: 10%; text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Hiring of more staff</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Purchase of technical equipment for monitoring/enforcement</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Development of implementation tools</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Computerization</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td colspan="2">– Other (specify)</td> <td></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table>	Increased budget for activities	<input checked="" type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input checked="" type="checkbox"/>	Development of implementation tools	<input checked="" type="checkbox"/>	Computerization	<input checked="" type="checkbox"/>	– Other (specify)			<input type="checkbox"/>																																															
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Development of implementation tools	<input checked="" type="checkbox"/>	Computerization	<input checked="" type="checkbox"/>																																																													
– Other (specify)			<input type="checkbox"/>																																																													
2	Have the CITES authorities received or benefited from any of the following capacity building activities provided by external sources?																																																															
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;">Please tick boxes to indicate which target group and which activity.</th> <th style="width: 10%;">Oral or written advice/guidance</th> <th style="width: 10%;">Technical assistance</th> <th style="width: 10%;">Financial assistance</th> <th style="width: 10%;">Training</th> <th style="width: 10%;">Other (specify)</th> <th style="width: 10%;">What were the external sources?</th> </tr> <tr> <th>Target group</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>Staff of Management Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td>- workshops</td> </tr> <tr> <td>Staff of Scientific Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td>- CITES related training workshop</td> </tr> <tr> <td>Staff of enforcement authorities</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td>-NGOs, MA of other parties</td> </tr> <tr> <td>Traders</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>NGOs</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Public</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?	Target group							Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	- workshops	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	- CITES related training workshop	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	-NGOs, MA of other parties	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?																																																										
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Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																											
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																											
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																											
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																											

3	Have the CITES authorities been the <i>providers</i> of any of the following capacity building activities?						Details
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Public	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken:						

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?		Yes	<input type="checkbox"/>				
			No	<input checked="" type="checkbox"/>				
		No information <input type="checkbox"/>						
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultancies used by the Management Authority to ensure co-ordination among CITES authorities (e.g. other MAs, SAs, Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Several times a year	
4	At the national level have there been any efforts to collaborate with:					Tick if applicable	Details if available	
	Agencies for development and trade					<input checked="" type="checkbox"/>		
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		

	Local authorities or communities	<input checked="" type="checkbox"/>	
	Indigenous peoples	<input checked="" type="checkbox"/>	
	Trade or other private sector associations	<input checked="" type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?		Tick if applicable
	Scientific Authority		<input type="checkbox"/>
	Customs		<input checked="" type="checkbox"/>
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
6	Have Government staff participated in any regional activities related to CITES?		Tick if applicable
	Workshops		<input checked="" type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has there been any effort to encourage any non-Party to accede to the Convention?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has technical or financial assistance been provided to another country in relation to CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has any data been provided for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
14	If Yes, please give a brief description.		



15	Please provide details of any additional measures taken:
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**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerization	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty? <a href="#">Some Res. or Des.;</a> <a href="#">No adequate personal and biological trade information.</a>			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input checked="" type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Have any measures, procedures or mechanisms been identified within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input checked="" type="checkbox"/>	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

**E. General feedback**

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4(10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	Comments		

Table 1: No. of Seizure (Question C5)

Year	Import	Export	Total
2011	1024	2	1026
2012	502	1	503

Table 2: No. of Prosecution (Question C7)

Year	Import	Export	Other	Total
2011	114	2		116
2012				

**List of the Brochures , leaflets and Displays in 2011& 2012 (Question D4 (10))**

***Leaflets***

Endangered Species Protection, including: rhinoceros, tiger, elephant, panther, pangolin, crocodile, orchid, snake, bear, yew, eaglewood

***Introduction Brochures:***

Knowledge about CITES.

How to import and export species listed in CITES in China.

CITES enforcement regulate and measure.

***Displays***

Posters and Displays about the endangered species, including tiger, elephant, rhinoceros and birds

***Publishes***

Identification Manual of Common Cycads

Identification Manual for Orchids in Trade in China

Identification Manual for five CITES- listed wood species

Implementation in CITES and Protection in Endangered Species( some books published by Urumchi Office)

### A. General information

Party	
Period covered in this report:	1 January 2011 to 31 December 2012
Details of agency preparing this report	Agriculture, Fisheries and Conservation Department, HKSAR Government
Contributing agencies, organizations or individuals	

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If any CITES-relevant legislation has been planned, drafted or enacted, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable
	The conditions for:	The complete prohibition of:
	Yes      No      No information	Yes      No      No information
	Issue	
	Trade	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Taking	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Transport	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Other (specify)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Additional comments	

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? Tick all applicable				
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input type="checkbox"/>	
				No <input type="checkbox"/>	
			No information <input type="checkbox"/>		
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention? Tick all applicable				
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details. (See Table 1 of Annex I)	Number  684		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. (See Table 2 of Annex I; data included all prosecutions in two years)			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. Forfeiture of seized specimens where no prosecutions were brought.			
10	How were the confiscated specimens usually disposed of?	Tick if applicable		
	– Return to country of export	<input checked="" type="checkbox"/>		
	– Public zoos or botanical gardens	<input checked="" type="checkbox"/>		
	– Designated rescue centres	<input checked="" type="checkbox"/>		
	– Approved, private facilities	<input checked="" type="checkbox"/>		
	– Euthanasia	<input checked="" type="checkbox"/>		
	– Other (specify) Used for Training / Education Purposes	<input checked="" type="checkbox"/>		
	Comments:			
11	Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments:			
12	Have there been any cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
13	If Yes, please give a brief description: Exchange of intelligence, investigative assistance and international joint operation.			
14	Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	

	arrest and conviction of offenders?	No information	<input type="checkbox"/>
15	If Yes, please describe: <a href="#">A Monetary Reward Scheme has been established in 1999 to encourage local communities to provide information on suspected offences.</a>		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		
17	Please provide details of any additional measures taken:		

#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) which are not yet reflected in the CITES Directory?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA? <a href="#">of HKSAR</a>	<a href="#">41 in the MA</a>	
6	Can you estimate the percentage of time they spend on CITES-related matters? If yes, please give estimation	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	– Administration		<input checked="" type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input checked="" type="checkbox"/>
	– Law/policy		<input checked="" type="checkbox"/>
	– Other (specify) <a href="#">enforcement including inspection, monitoring and case investigation</a>		<input checked="" type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities	Yes	<input type="checkbox"/>



	in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
10	Please provide details of any additional measures taken:	

### **D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Is the designated Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s)?	Tick if applicable
	– Government institution	<input type="checkbox"/>
	– Academic or research institution	<input type="checkbox"/>
	– Permanent committee	<input checked="" type="checkbox"/>
	– Pool of individuals with certain expertise	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? 15	
6	Can you estimate the percentage of time they spend on CITES-related matters If yes, please give estimation	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable
	– Botany	<input checked="" type="checkbox"/>
	– Ecology	<input checked="" type="checkbox"/>
	– Fisheries	<input checked="" type="checkbox"/>
	– Forestry	<input checked="" type="checkbox"/>
	– Welfare	<input checked="" type="checkbox"/>
	– Zoology	<input checked="" type="checkbox"/>
	– Other (specify)      Trade and public communication	<input checked="" type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
etc.							
						No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes	<input type="checkbox"/>
						No	<input checked="" type="checkbox"/>
						No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement:		MA
5	Please provide details of any additional measures taken: <a href="#">An Endangered Species Protection Liaison Group, with members from the Police, Customs &amp; Excise Department and MA, has been set up to provide a formal and regular communication channel for dealing with CITES-related enforcement work.</a>		

**D4 Communication, information management and exchange**

1	To what extent is CITES information computerized?	Tick if applicable
	- Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	- Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	- Permit issuance	<input checked="" type="checkbox"/>
	- Not at all	<input type="checkbox"/>
	- Other (specify)	<input type="checkbox"/>

2	Do the following authorities have access to the Internet?						Tick if applicable
Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	
Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Is there an electronic information system providing information on CITES species?					Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
4	If Yes, does it provide information on:					Tick if applicable	
– Legislation (national, regional or international)?						<input checked="" type="checkbox"/>	
– Conservation status (national, regional, international)?						<input checked="" type="checkbox"/>	
– Other (please specify)?						<input type="checkbox"/>	
5	Is it available through the Internet:					Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>	
Please provide URL: <a href="http://www.cites.hk/">http://www.cites.hk/</a>							
6	Do the authorities indicated have access to the following publications?			Tick if applicable			
Publication				Management Authority	Scientific Authority	Enforcement Authority	
<i>2003 Checklist of CITES Species</i> (book)				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<i>Identification Manual</i>				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<i>CITES Handbook</i>				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	

7	If not, what problems have been encountered to access this information?		
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable	
	– Mortality in transport?		<input checked="" type="checkbox"/>
	– Seizures and confiscations?		<input checked="" type="checkbox"/>
	– Discrepancies in number of items in permits and number of items actually traded?		<input checked="" type="checkbox"/>
	Comments: <a href="#">The Hong Kong MA is also the enforcement authority.</a>		
9	Is there a government website with information on CITES and its requirements?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.cites.hk/">http://www.cites.hk/</a>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences		<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances		<input checked="" type="checkbox"/>
	– Brochures, leaflets		<input checked="" type="checkbox"/>
	– Presentations		<input checked="" type="checkbox"/>
	– Displays		<input checked="" type="checkbox"/>
	– Information at border crossing points		<input checked="" type="checkbox"/>
	– Telephone hotline		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	Please attach copies of any items. ( <a href="#">See Annex II</a> )		
11	Please provide details of any additional measures taken: <a href="#">Operating an Endangered Species Resource Centre for education and training; implement publicity and education programmes (e.g. publicity targeted at travellers at control points, competitions for raising awareness of general public).</a>		

#### D5 Permitting and registration procedures

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes No Not applicable No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	If no, please provide details of any:			
	Changes in permit format:			
	Changes in designation or signatures of relevant officials:			
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable		
		Yes	No	No information
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued and denied in the two year period? (Note that actual trade is reported in the Annual Report by some Parties. This question refers to issued documents).					
	2011	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	851	16,067		4,696	
	How many applications were denied because of serious omissions or misinformation?	-	-		-	
	2012					
	How many documents were issued?	1,191	18,569		6,149	
	How many applications were denied because of serious omissions or misinformation?	-	-		-	
4	Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation?				Yes	<input type="checkbox"/>
					No	<input checked="" type="checkbox"/>
					No information	<input type="checkbox"/>
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.				Tick if applicable	
	Reason		Yes	No	No information	
	Technical violations		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Suspected fraud		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of non-detriment		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of legal acquisition		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify) <u>on recommendation of the issuing country</u>		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes	<input type="checkbox"/>
					No	<input checked="" type="checkbox"/>
					No information	<input type="checkbox"/>
	Comments <u>There is no commercial harvesting of CITES-listed species in HKSAR</u>					
8	How many times has the Scientific Authority been requested to provide opinions? <u>As and when required</u>					

9	Has the MA charged fees for permit issuance, registration or related CITES activities? – Issuance of CITES documents: – Licensing or registration of operations that produce CITES species: – Harvesting of CITES-listed species : – Use of CITES-listed species: – Assignment of quotas for CITES-listed species: – Importing of CITES-listed species: – Other (specify):	Tick if applicable  <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
10	If Yes, please provide the amounts of such fees. <a href="#">HKD 460 for import licence of live animals, HKD 170 for import licence of specimens other than live animals, HKD 160 for export/re-export licence.</a>	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? – Entirely: – Partly: – Not at all: – Not relevant: Comments:	Tick if applicable  <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
12	Please provide details of any additional measures taken:	

### **D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level? Increased budget for activities Hiring of more staff Development of implementation tools – Other (specify)		Tick if applicable  <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>	Improvement of national networks Purchase of technical equipment for monitoring/enforcement Computerization	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>

2	<p>Have the CITES authorities received or benefited from any of the following capacity building activities provided by external sources?</p> <p>Please tick boxes to indicate which target group and which activity.</p> <table border="1" data-bbox="244 342 1129 909"> <thead> <tr> <th data-bbox="244 342 730 577">Target group</th> <th data-bbox="730 342 823 577">Oral or written advice/guidance</th> <th data-bbox="823 342 916 577">Technical assistance</th> <th data-bbox="916 342 1008 577">Financial assistance</th> <th data-bbox="1008 342 1101 577">Training</th> <th data-bbox="1101 342 1129 577">Other (specify)</th> </tr> </thead> <tbody> <tr> <td data-bbox="244 577 730 629">Staff of Management Authority</td> <td data-bbox="730 577 823 629"><input checked="" type="checkbox"/></td> <td data-bbox="823 577 916 629"><input checked="" type="checkbox"/></td> <td data-bbox="916 577 1008 629"><input type="checkbox"/></td> <td data-bbox="1008 577 1101 629"><input checked="" type="checkbox"/></td> <td data-bbox="1101 577 1129 629"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 629 730 680">Staff of Scientific Authority</td> <td data-bbox="730 629 823 680"><input type="checkbox"/></td> <td data-bbox="823 629 916 680"><input type="checkbox"/></td> <td data-bbox="916 629 1008 680"><input type="checkbox"/></td> <td data-bbox="1008 629 1101 680"><input type="checkbox"/></td> <td data-bbox="1101 629 1129 680"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 680 730 732">Staff of enforcement authorities</td> <td data-bbox="730 680 823 732"><input checked="" type="checkbox"/></td> <td data-bbox="823 680 916 732"><input checked="" type="checkbox"/></td> <td data-bbox="916 680 1008 732"><input type="checkbox"/></td> <td data-bbox="1008 680 1101 732"><input checked="" type="checkbox"/></td> <td data-bbox="1101 680 1129 732"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 732 730 784">Traders</td> <td data-bbox="730 732 823 784"><input type="checkbox"/></td> <td data-bbox="823 732 916 784"><input type="checkbox"/></td> <td data-bbox="916 732 1008 784"><input type="checkbox"/></td> <td data-bbox="1008 732 1101 784"><input type="checkbox"/></td> <td data-bbox="1101 732 1129 784"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 784 730 835">NGOs</td> <td data-bbox="730 784 823 835"><input type="checkbox"/></td> <td data-bbox="823 784 916 835"><input type="checkbox"/></td> <td data-bbox="916 784 1008 835"><input type="checkbox"/></td> <td data-bbox="1008 784 1101 835"><input type="checkbox"/></td> <td data-bbox="1101 784 1129 835"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 835 730 887">Public</td> <td data-bbox="730 835 823 887"><input type="checkbox"/></td> <td data-bbox="823 835 916 887"><input type="checkbox"/></td> <td data-bbox="916 835 1008 887"><input type="checkbox"/></td> <td data-bbox="1008 835 1101 887"><input type="checkbox"/></td> <td data-bbox="1101 835 1129 887"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 887 730 909">Other (specify)</td> <td data-bbox="730 887 823 909"><input type="checkbox"/></td> <td data-bbox="823 887 916 909"><input type="checkbox"/></td> <td data-bbox="916 887 1008 909"><input type="checkbox"/></td> <td data-bbox="1008 887 1101 909"><input type="checkbox"/></td> <td data-bbox="1101 887 1129 909"><input type="checkbox"/></td> </tr> </tbody> </table> <p data-bbox="1137 342 1445 577">What were the external sources?</p> <p data-bbox="1137 577 1445 732">- other CITES MA and SA</p>	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>						
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Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																		
3	<p>Have the CITES authorities been the <i>providers</i> of any of the following capacity building activities?</p> <p>Please tick boxes to indicate which target group and which activity.</p> <table border="1" data-bbox="244 981 1129 1704"> <thead> <tr> <th data-bbox="244 981 730 1216">Target group</th> <th data-bbox="730 981 823 1216">Oral or written advice/guidance</th> <th data-bbox="823 981 916 1216">Technical assistance</th> <th data-bbox="916 981 1008 1216">Financial assistance</th> <th data-bbox="1008 981 1101 1216">Training</th> <th data-bbox="1101 981 1129 1216">Other (specify)</th> </tr> </thead> <tbody> <tr> <td data-bbox="244 1216 730 1267">Staff of Management Authority</td> <td data-bbox="730 1216 823 1267"><input checked="" type="checkbox"/></td> <td data-bbox="823 1216 916 1267"><input checked="" type="checkbox"/></td> <td data-bbox="916 1216 1008 1267"><input type="checkbox"/></td> <td data-bbox="1008 1216 1101 1267"><input checked="" type="checkbox"/></td> <td data-bbox="1101 1216 1129 1267"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 1267 730 1319">Staff of Scientific Authority</td> <td data-bbox="730 1267 823 1319"><input type="checkbox"/></td> <td data-bbox="823 1267 916 1319"><input type="checkbox"/></td> <td data-bbox="916 1267 1008 1319"><input type="checkbox"/></td> <td data-bbox="1008 1267 1101 1319"><input type="checkbox"/></td> <td data-bbox="1101 1267 1129 1319"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 1319 730 1402">Staff of enforcement authorities</td> <td data-bbox="730 1319 823 1402"><input checked="" type="checkbox"/></td> <td data-bbox="823 1319 916 1402"><input checked="" type="checkbox"/></td> <td data-bbox="916 1319 1008 1402"><input type="checkbox"/></td> <td data-bbox="1008 1319 1101 1402"><input checked="" type="checkbox"/></td> <td data-bbox="1101 1319 1129 1402"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 1402 730 1453">Traders</td> <td data-bbox="730 1402 823 1453"><input checked="" type="checkbox"/></td> <td data-bbox="823 1402 916 1453"><input type="checkbox"/></td> <td data-bbox="916 1402 1008 1453"><input type="checkbox"/></td> <td data-bbox="1008 1402 1101 1453"><input checked="" type="checkbox"/></td> <td data-bbox="1101 1402 1129 1453"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 1453 730 1505">NGOs</td> <td data-bbox="730 1453 823 1505"><input checked="" type="checkbox"/></td> <td data-bbox="823 1453 916 1505"><input type="checkbox"/></td> <td data-bbox="916 1453 1008 1505"><input type="checkbox"/></td> <td data-bbox="1008 1453 1101 1505"><input type="checkbox"/></td> <td data-bbox="1101 1453 1129 1505"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 1505 730 1556">Public</td> <td data-bbox="730 1505 823 1556"><input checked="" type="checkbox"/></td> <td data-bbox="823 1505 916 1556"><input type="checkbox"/></td> <td data-bbox="916 1505 1008 1556"><input type="checkbox"/></td> <td data-bbox="1008 1505 1101 1556"><input type="checkbox"/></td> <td data-bbox="1101 1505 1129 1556"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 1556 730 1639">Other parties/International meetings</td> <td data-bbox="730 1556 823 1639"><input checked="" type="checkbox"/></td> <td data-bbox="823 1556 916 1639"><input type="checkbox"/></td> <td data-bbox="916 1556 1008 1639"><input type="checkbox"/></td> <td data-bbox="1008 1556 1101 1639"><input checked="" type="checkbox"/></td> <td data-bbox="1101 1556 1129 1639"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="244 1639 730 1704">Other (specify)</td> <td data-bbox="730 1639 823 1704"><input type="checkbox"/></td> <td data-bbox="823 1639 916 1704"><input type="checkbox"/></td> <td data-bbox="916 1639 1008 1704"><input type="checkbox"/></td> <td data-bbox="1008 1639 1101 1704"><input type="checkbox"/></td> <td data-bbox="1101 1639 1129 1704"><input type="checkbox"/></td> </tr> </tbody> </table> <p data-bbox="1137 981 1445 1216">Details</p> <p data-bbox="1137 1216 1445 1402">- CITES related trainings - ID training workshops for frontline staff</p> <p data-bbox="1137 1402 1445 1556">- talks/ seminars</p> <p data-bbox="1137 1556 1445 1704">- talks/ seminars - CITES related trainings for other MAs</p>	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other parties/International meetings	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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Other parties/International meetings	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																																		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																		
4	Please provide details of any additional measures taken:																																																						

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet? <i>The Police, Customs &amp; Excise Department and MA. (Annual formal meeting plus communication outside meeting as and when necessary)</i>		
3	If No, please indicated the frequency of meetings or consultancies used by the Management Authority to ensure co-ordination among CITES authorities (e.g. other MAs, SAs, Customs, police, others):		
		Daily	Weekly
		Monthly	Annually
		None	No information
		Other (specify)	
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>
4	At the national level have there been any efforts to collaborate with:	Tick if applicable	Details if available
	Agencies for development and trade	<input checked="" type="checkbox"/>	
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>	
	Local authorities or communities	<input checked="" type="checkbox"/>	
	Indigenous peoples	<input type="checkbox"/>	
	Trade or other private sector associations	<input checked="" type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?	Tick if applicable	
	Scientific Authority		<input type="checkbox"/>
	Customs		<input type="checkbox"/>
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>



6	Have Government staff participated in any regional activities related to CITES?	Tick if applicable	
	Workshops		<input checked="" type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has there been any effort to encourage any non-Party to accede to the Convention?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has technical or financial assistance been provided to another country in relation to CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has any data been provided for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

### **D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerization <a href="#">[System improvement]</a>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			

4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.		
6	Have any measures, procedures or mechanisms been identified within the Convention that would benefit from review and/or simplification?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
7	If Yes, please give a brief description.		
8	Please provide details of any additional measures taken:		

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed Not available Not relevant	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed Not available Not relevant	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed Not available Not relevant	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed Not available Not relevant	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed Not available Not relevant	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
D4(10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes	Enclosed Not available Not relevant	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Comments		

Table 1: No. of Seizure (Question C5)

Year	Import	Export	Possession	Total
2011	297	8	32	337
2012	319	12	16	347

Table 2: No. of Prosecution (Question C7)

Year	Import	Export	Possession	Other	Total
2011	81	8	28	0	171
2012	99	8	28	0	135

**List of the publicity materials produced in 2011 & 2012 (Question D4 (10))****2011****Poster**

- Endangered Species Protection Photo Story Competition (Chinese Version Only)
- Protect Endangered Species (Bilingual)
- Protect Endangered Species – Pet (Bilingual)
- Protect Endangered Species – Food (Bilingual)
- Protect Endangered Species – Marine Species (Bilingual)
- Protect Endangered Species – Ornamental Plant (Bilingual)
- Protect Endangered Species – Traditional Chinese Medicine (Bilingual)

**Leaflet**

- Endangered Species Protection – Food ((Bilingual)

**Souvenirs**

- Plastics Folder for Winning Entries “Endangered Species Protection Photo Story Competition”

**Display Panel**

- New Control under the Protection of Endangered Species of Animals and Plants Ordinance Cap.586

**2012****Poster**

- Endangered Species Protection Passport Holder Design Competition (Chinese Version Only)
- Import or Export of Orchid Requires a Licence (Chinese Version Only)

**Leaflet**

- Endangered Species Specimen Donation Programme
- Import or Export of Orchid Requires a Licence (Chinese Version Only)

**Souvenirs**

- Plastics Passport Holder for Winning Entries “Endangered Species Protection Passport Holder Design Competition”.

**Display Panel**

- Import or Export of Orchid Requires a Licence (Chinese Version Only)

### A. General information

Party	China
Period covered in this report:	1 January 2011 to 31 December 2012
Details of agency preparing this report	Economic Services of Macao SAR Rua do Dr. Pedro José Lobo, no.1-3, 3/F Phone : (853)2871 0409 Fax : (853)2871 2551 E-mail : <a href="mailto:dgce@economia.gov.mo">dgce@economia.gov.mo</a>
Contributing agencies, organizations or individuals	

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>
2	If any CITES-relevant legislation has been planned, drafted or enacted, please provide the following details: Title and date: _____ Status: _____ Brief description of contents: _____	
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable
	The conditions for:	The complete prohibition of:
	Yes      No      No information	Yes      No      No information
	Issue	
	Trade	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Taking	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Possession	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Transport	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Other (specify)	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Additional comments	

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes	<input type="checkbox"/>
				No	<input type="checkbox"/>
				No information	<input checked="" type="checkbox"/>
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?			Tick all applicable	
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3	If Yes, please indicate how many and for what types of violations? If available, please attach details. Total : <b>13 cases – Import against the requirement of prior authorization.</b>															
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>												
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details.  <table style="margin-left: 20px;"> <tr><td>Euphorbia</td><td>1 branch</td></tr> <tr><td>Orchids</td><td>51pots &amp; 2branches</td></tr> <tr><td>Agarwood</td><td>319.4kg</td></tr> <tr><td>Elephant tusks</td><td>10.129kg</td></tr> <tr><td>Parrot</td><td>1 pc</td></tr> <tr><td>Tortoises</td><td>5 pcs</td></tr> </table>	Euphorbia	1 branch	Orchids	51pots & 2branches	Agarwood	319.4kg	Elephant tusks	10.129kg	Parrot	1 pc	Tortoises	5 pcs	Number  <b>13</b>		
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Agarwood	319.4kg															
Elephant tusks	10.129kg															
Parrot	1 pc															
Tortoises	5 pcs															
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>												
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.															
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>												
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.															
10	How were the confiscated specimens usually disposed of? – Return to country of export – Public zoos or botanical gardens – Designated rescue centres – Approved, private facilities – Euthanasia – Other (specify)	Tick if applicable <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>														
11	Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?  Comments: <b>Data on seizure of bear &amp; related products between 2000-2011 to TRAFFIC</b>	Yes No Not applicable No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>													
12	Have there been any cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>													
13	If Yes, please give a brief description:															

14	Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
15	If Yes, please describe:	
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
	Comments:	
17	Please provide details of any additional measures taken:	

#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	How many staff work in each MA?	Nine
6	Can you estimate the percentage of time they spend on CITES-related matters? If yes, please give estimation	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input checked="" type="checkbox"/>
	– Biology	<input type="checkbox"/>
	– Economics/trade	<input checked="" type="checkbox"/>
	– Law/policy	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>



9	If Yes, please give the species name and provide details of the kind of research involved.
10	Please provide details of any additional measures taken:

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Is the designated Scientific Authority independent from the Management Authority?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
4	What is the structure of the SA(s)?	Tick if applicable
	– Government institution	<input type="checkbox"/>
	– Academic or research institution	<input type="checkbox"/>
	– Permanent committee	<input type="checkbox"/>
	– Pool of individuals with certain expertise	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
5	How many staff work in each SA on CITES issues?	
6	Can you estimate the percentage of time they spend on CITES-related matters If yes, please give estimation	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable
	– Botany	<input type="checkbox"/>
	– Ecology	<input type="checkbox"/>
	– Fisheries	<input type="checkbox"/>
	– Forestry	<input type="checkbox"/>
	– Welfare	<input type="checkbox"/>
	– Zoology	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input checked="" type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
etc.							
						No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes	<input type="checkbox"/>
						No	<input type="checkbox"/>
						No information	<input checked="" type="checkbox"/>
11	Please provide details of any additional measures taken:						

**D3 Enforcement Authorities**

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: <a href="#">Macao Customs Service</a>		
5	Please provide details of any additional measures taken:		

**D4 Communication, information management and exchange**

1	To what extent is CITES information computerized?	Tick if applicable
	- Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	- Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	- Permit issuance	<input checked="" type="checkbox"/>
	- Not at all	<input type="checkbox"/>
	- Other (specify)	<input type="checkbox"/>

2	Do the following authorities have access to the Internet?	Tick if applicable																												
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Authority</th> <th style="width: 10%;">Yes, continuous and unrestricted access</th> <th style="width: 10%;">Yes, but only through a dial-up connection</th> <th style="width: 10%;">Yes, but only through a different office</th> <th style="width: 10%;">Some offices only</th> <th style="width: 10%;">Not at all</th> <th style="width: 30%;">Please provide details where appropriate</th> </tr> </thead> <tbody> <tr> <td>Management Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Scientific Authority</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Enforcement Authority</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
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Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																									
Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																									
3	Is there an electronic information system providing information on CITES species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																												
4	If Yes, does it provide information on: – Legislation (national, regional or international)? – Conservation status (national, regional, international)? – Other (please specify)?	Tick if applicable <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>																												
5	Is it available through the Internet:  Please provide URL: 1. <a href="http://bo.io.gov.mo/bo/i/86/39/declei45_cn.asp">http://bo.io.gov.mo/bo/i/86/39/declei45_cn.asp</a> 2. <a href="http://www.economia.gov.mo/web/DSE/public?_nfpb=true&amp;_pageLabel=Pg_NC_WN&amp;locale=en_US">http://www.economia.gov.mo/web/DSE/public?_nfpb=true&amp;_pageLabel=Pg_NC_WN&amp;locale=en_US</a>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>																												
6	Do the authorities indicated have access to the following publications?	Tick if applicable																												
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Publication</th> <th style="width: 15%;">Management Authority</th> <th style="width: 15%;">Scientific Authority</th> <th style="width: 20%;">Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>2011 Checklist of CITES Species</i> (book)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td><i>2011 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Identification Manual</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>CITES Handbook</i></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2011 Checklist of CITES Species</i> (book)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<i>2011 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>									
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<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>																											

7	If not, what problems have been encountered to access this information?		
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable	
	– Mortality in transport?		<input type="checkbox"/>
	– Seizures and confiscations?		<input checked="" type="checkbox"/>
	– Discrepancies in number of items in permits and number of items actually traded?		<input checked="" type="checkbox"/>
	Comments:		
9	Is there a government website with information on CITES and its requirements?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.economia.gov.mo/web/DSE/public?_nfpb=true&amp;_pageLabel=Pg_NC_WN&amp;locale=en_US">http://www.economia.gov.mo/web/DSE/public?_nfpb=true&amp;_pageLabel=Pg_NC_WN&amp;locale=en_US</a>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences		<input type="checkbox"/>
	– Newspaper articles, radio/television appearances		<input type="checkbox"/>
	– Brochures, leaflets		<input type="checkbox"/>
	– Presentations		<input type="checkbox"/>
	– Displays		<input checked="" type="checkbox"/>
	– Information at border crossing points		<input checked="" type="checkbox"/>
	– Telephone hotline		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	Please attach copies of any items.		
11	Please provide details of any additional measures taken:		

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If no, please provide details of any:		
	Changes in permit format:		
	Changes in designation or signatures of relevant officials:		
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable	
		Yes	No
		No information	
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>

3	Please indicate how many CITES documents were issued and denied in the two year period? (Note that actual trade is reported in the Annual Report by some Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	3,273	0	364	0	
	How many applications were denied because of serious omissions or misinformation?	0	0	0	0	
	Year 2					
	How many documents were issued?	5,299	0	538	0	
	How many applications were denied because of serious omissions or misinformation?	0	0	0	0	
4	Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation?				Yes	<input type="checkbox"/>
					No	<input checked="" type="checkbox"/>
					No information	<input type="checkbox"/>
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.					Tick if applicable
	Reason			Yes	No	No information
	Technical violations			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify)			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes	<input type="checkbox"/>
					No	<input checked="" type="checkbox"/>
					No information	<input type="checkbox"/>
	Comments					
8	How many times has the Scientific Authority been requested to provide opinions?					
9	Has the MA charged fees for permit issuance, registration or related CITES activities?					Tick if applicable
	– Issuance of CITES documents:					<input type="checkbox"/>
	– Licensing or registration of operations that produce CITES species:					<input type="checkbox"/>
	– Harvesting of CITES-listed species :					<input type="checkbox"/>
	– Use of CITES-listed species:					<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species:					<input type="checkbox"/>
	– Importing of CITES-listed species:					<input type="checkbox"/>
– Other (specify):					<input type="checkbox"/>	
10	If Yes, please provide the amounts of such fees.					

11	<p>Have revenues from fees been used for the implementation of CITES or wildlife conservation?</p> <p>– Entirely: <input type="checkbox"/></p> <p>– Partly: <input type="checkbox"/></p> <p>– Not at all: <input type="checkbox"/></p> <p>– Not relevant: <input type="checkbox"/></p> <p>Comments:</p>	<p>Tick if applicable</p>
12	Please provide details of any additional measures taken:	

**D6 Capacity building**

1	<p>Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?</p>					<p>Tick if applicable</p>	
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input type="checkbox"/>			
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>			
	Development of implementation tools	<input type="checkbox"/>	Computerization	<input type="checkbox"/>			
	– Other (specify)					<input type="checkbox"/>	
2	<p>Have the CITES authorities received or benefited from any of the following capacity building activities provided by external sources?</p>						
	<p>Please tick boxes to indicate which target group and which activity.</p>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	<p>What were the external sources?</p>
	Target group						
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Have the CITES authorities been the <i>providers</i> of any of the following capacity building activities?						Details	
	Please tick boxes to indicate which target group and which activity.							
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)		
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Answering enquiries
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
4	Please provide details of any additional measures taken:							

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?		Yes	<input type="checkbox"/>				
			No	<input checked="" type="checkbox"/>				
			No information	<input type="checkbox"/>				
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicate the frequency of meetings or consultancies used by the Management Authority to ensure co-ordination among CITES authorities (e.g. other MAs, SAs, Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	When needed
Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	When needed	
4	At the national level have there been any efforts to collaborate with:		Tick if applicable		Details if available			
	Agencies for development and trade		<input type="checkbox"/>					
	Provincial, state or territorial authorities		<input type="checkbox"/>					
	Local authorities or communities		<input type="checkbox"/>					
	Indigenous peoples		<input type="checkbox"/>					
	Trade or other private sector associations		<input type="checkbox"/>					

	NGOs	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?		Tick if applicable
	Scientific Authority		<input type="checkbox"/>
	Customs		<input checked="" type="checkbox"/>
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input checked="" type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
6	Have Government staff participated in any regional activities related to CITES?		Tick if applicable
	Workshops		<input type="checkbox"/>
	Meetings		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has there been any effort to encourage any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has technical or financial assistance been provided to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has any data been provided for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

**D8 Areas for future work**



1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerization	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Have any measures, procedures or mechanisms been identified within the Convention that would benefit from review and/or simplification?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

**E. General feedback**

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
D4(10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
	Comments		



# 为珍稀动植物保留一份安全

## 请共同履行《濒危野生动植物种国际贸易公约》

出口国家重点保护野生动植物或者其产品的，进出口《濒危野生动植物种国际贸易公约》附录所列野生动植物或者其产品的，必须按照《中华人民共和国野生动物保护法》、《中华人民共和国野生植物保护条例》的有关规定，向中华人民共和国濒危物种进出口管理办公室申请办理允许进出口证明书，凭证明书向海关办理报关手续。非法进出口野生动植物或者产品的，海关缉私部门或森林公安机关依法予以查处。走私国家禁止进出口的珍贵动物及其制品的，最高可处以无期徒刑或者死刑，并处没收财产。

The export of wildlife or the products thereof under special state protection, and the import and export of wildlife or products derived from wildlife listed in CITES Appendices, must apply for the import and export permit from the CITES Management Authority of the P. R. China according to the Law of Wild Animals Protection of the P. R. China and the Regulations of Wild Plants of the P. R. China. The illegal import and export of wildlife or products will be punished by the customs or the forestry police. The smuggling of rare animals and their products forbidden by the national laws would be possible sentenced to life imprisonment or execution and be confiscated.

### 国家濒危办西安办事处

Xian Branch, CNMMA

陕西省西安市互助路66号西部电力商务中心七楼A座（新城區）

邮编(Postcode): 710048

电话(Tel): 029-88052041

传真(Fax): 029-88652226

国家林业局  
驻西安森林资源监督专员办事处

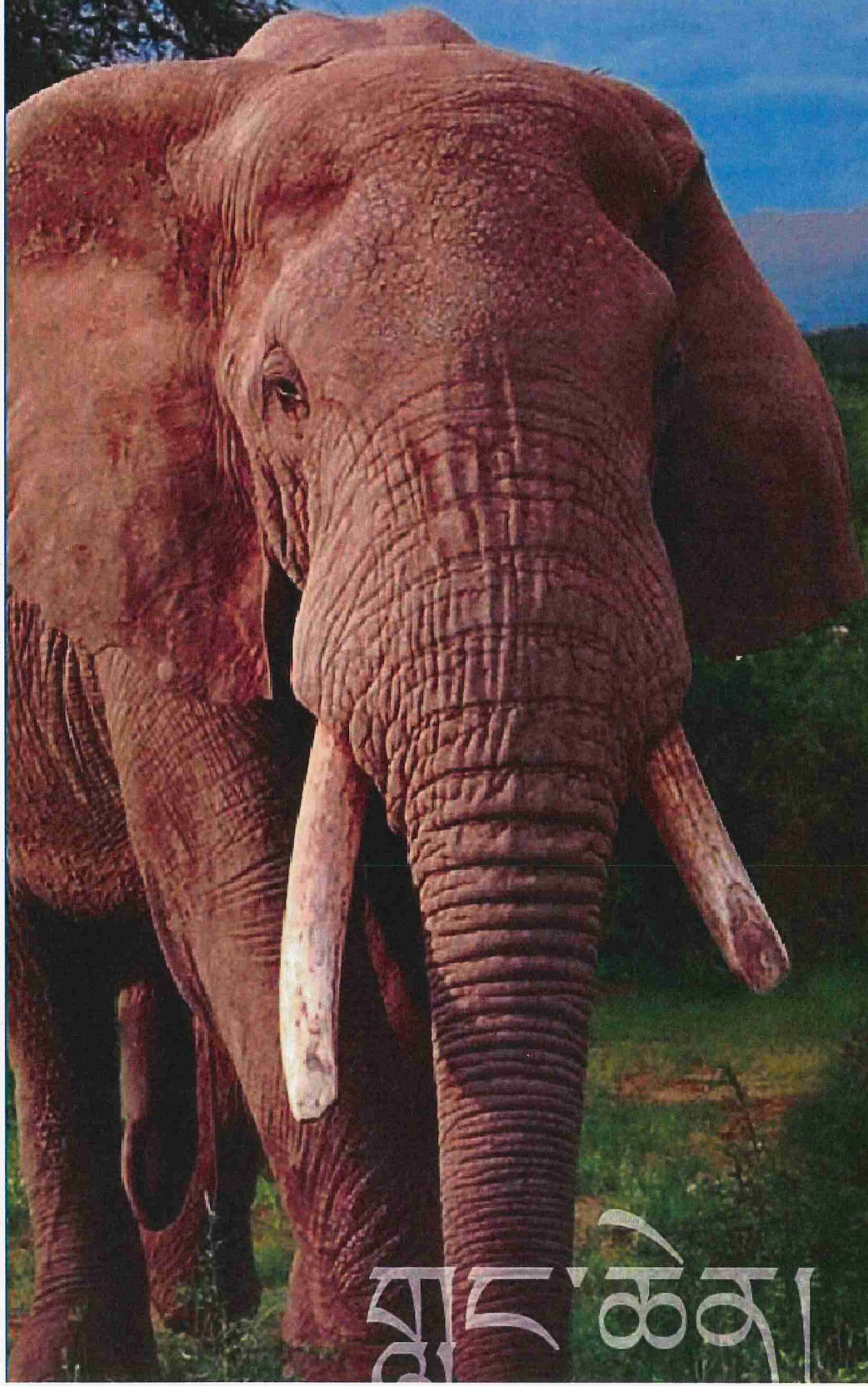


国家濒危物种进出口管理办公室  
西安办事处



# 世界大動物園

མཛེས་སྤྲུལ་གི་གྲུང་གོ་འཇུག་ས་སྐོར་བྱེད་པ།



ལོ་

ལྷན་ཆེན།



# 爱护生灵

## 杜绝非法贸易

Protect Wildlife Stop the Illegal Trade



理性消费，  
请让买卖后行！

严禁无证携带、邮寄和运输濒危物种工艺品出入境



国家濒危办西安办事处  
Xi'an Branch, CNMIA

陕西省西安市互助路66号西部电力商务中心七楼A座（新城区）

邮编(Postcode): 710048

电话(Tel): 029-88652041

传真(Fax): 029-88652226



国家濒危物种进出口管理办公室 | 陕西省  
西安办事处 | 商务厅 | 旅游局



严禁无证携带、邮寄和运输濒危物种工艺品出入境

# 保护濒危物种

## 杜绝非法贸易

Protect Wildlife Stop the Illegal Trade  
理性消费，请三思而后行！

国家濒危办西安办事处

Xi'an Branch, CNMIA

陕西省西安市互助路66号西部电力商务中心七楼A座（新城区）

邮编(Postcode): 710048

电话(Tel): 029-88652041

传真(Fax): 029-88652226



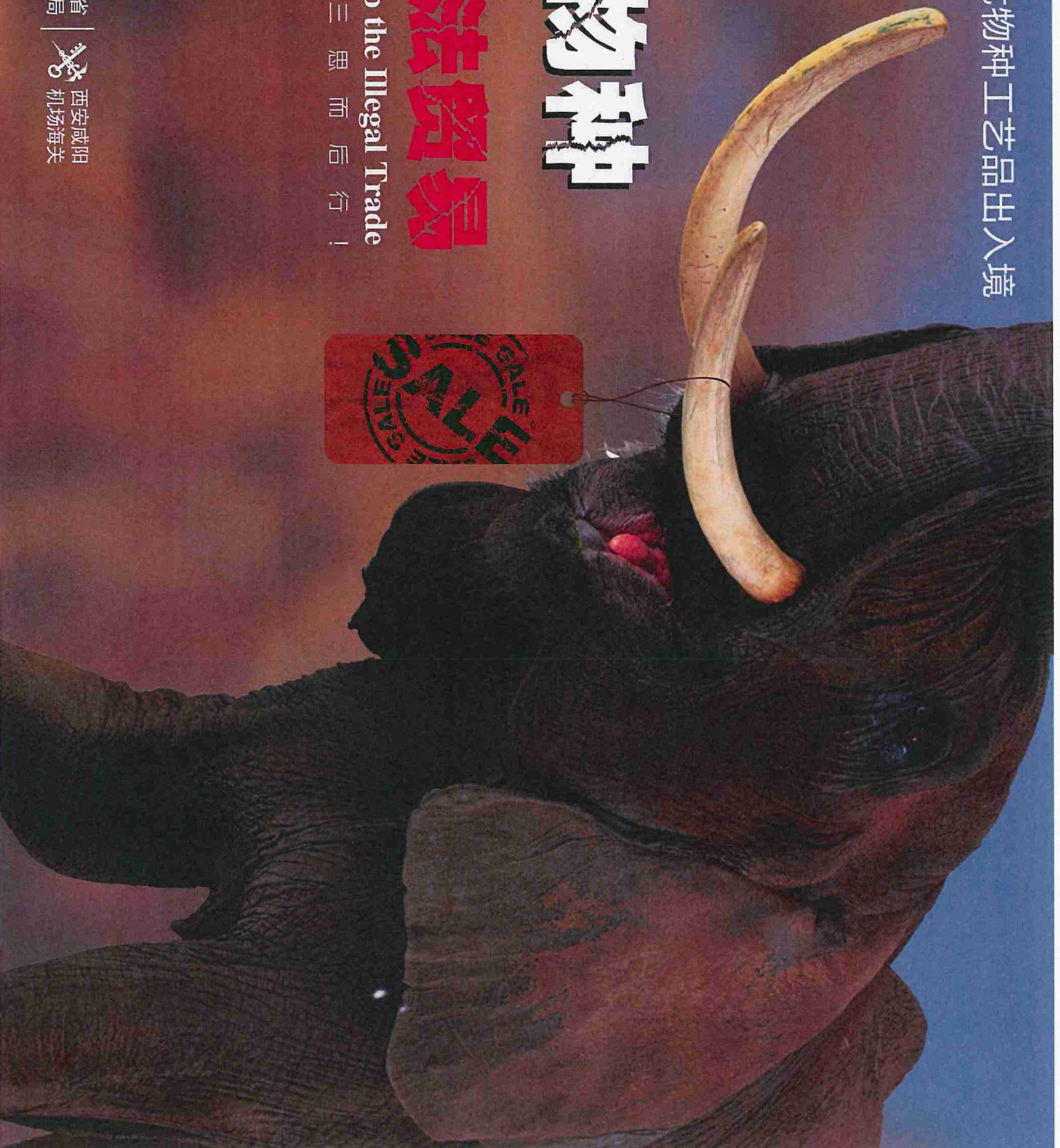
国家濒危物种进出口管理办公室

陕西省  
商务厅

陕西省  
旅游局



西安咸阳  
机场海关



象牙及其制品



豹皮及雪豹皮



鳄鱼皮制品



羚羊角



# 保护濒危物种，杜绝非法贸易



象牙制品



犀牛角工艺品



珍稀类首饰

严禁无证携带、邮寄和运输  
含濒危物种成分工艺品出入境



请三思而后行  
Think Twice



# 保护濒危物种，杜绝非法贸易



犀牛角工艺品



犀角



犀片

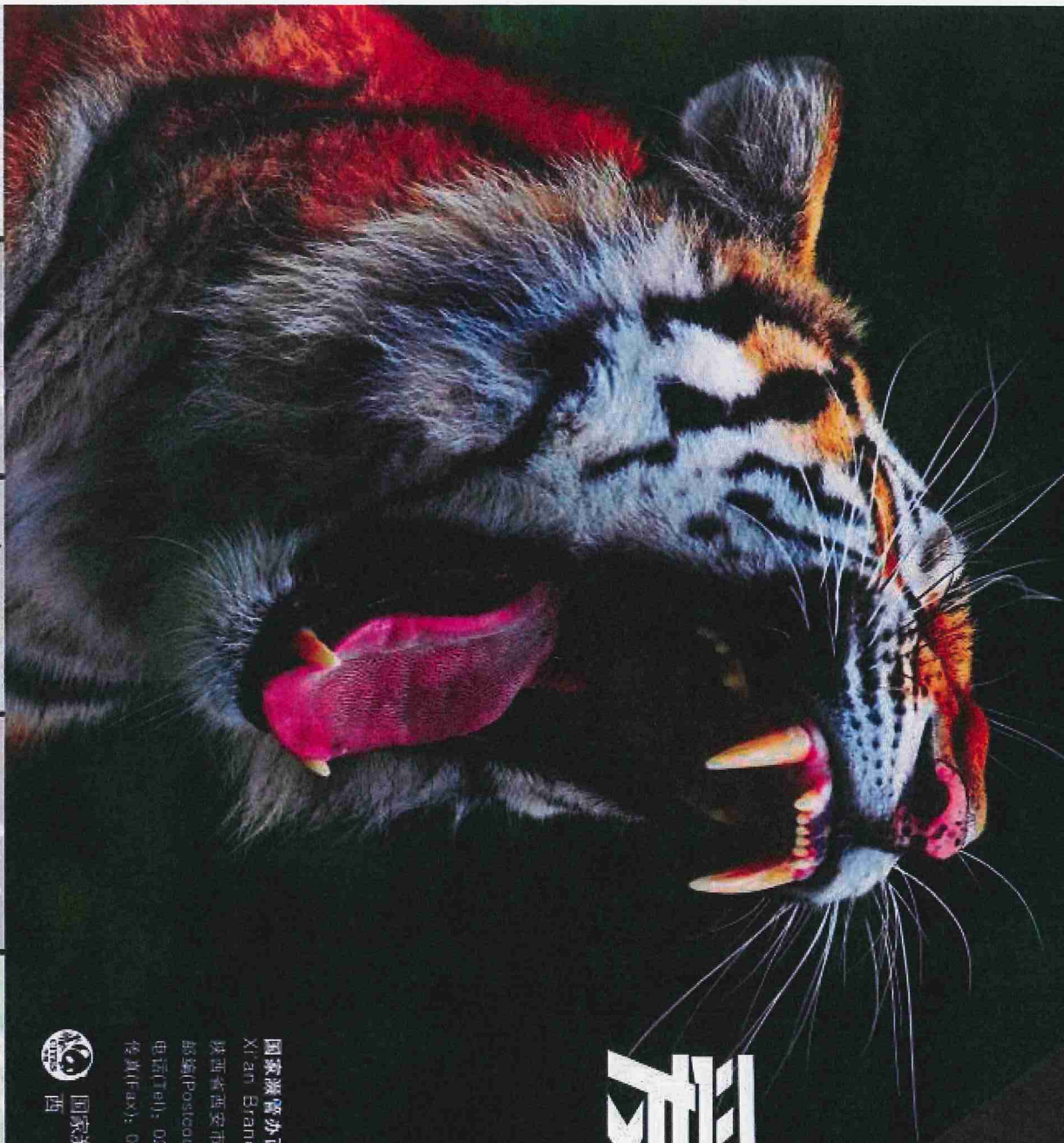
严禁无证携带、邮寄和运输  
含濒危物种药材及其制品出入境



请三思而后行  
Think Twice



严禁无证携带、邮寄和运输濒危物种工艺品出入境



# 爱护生灵

## 杜绝非法贸易

Protect Wildlife Stop the Illegal Trade  
理性消费，请三思而后行！

国家濒危办西安办事处  
Xi'an Branch, CNMMA

陕西省西安市互助路66号西部电力商务中心七楼A座（新城区）

邮编(Postcode): 710048

电话(Tel): 029-88652041

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国家濒危物种进出口管理办公室

陕西省  
商务厅

陕西省  
旅游局

西安咸阳  
机场海关



鸟蛋及其制品



犀牛角



羚羊角



虎骨



珍稀



虎皮

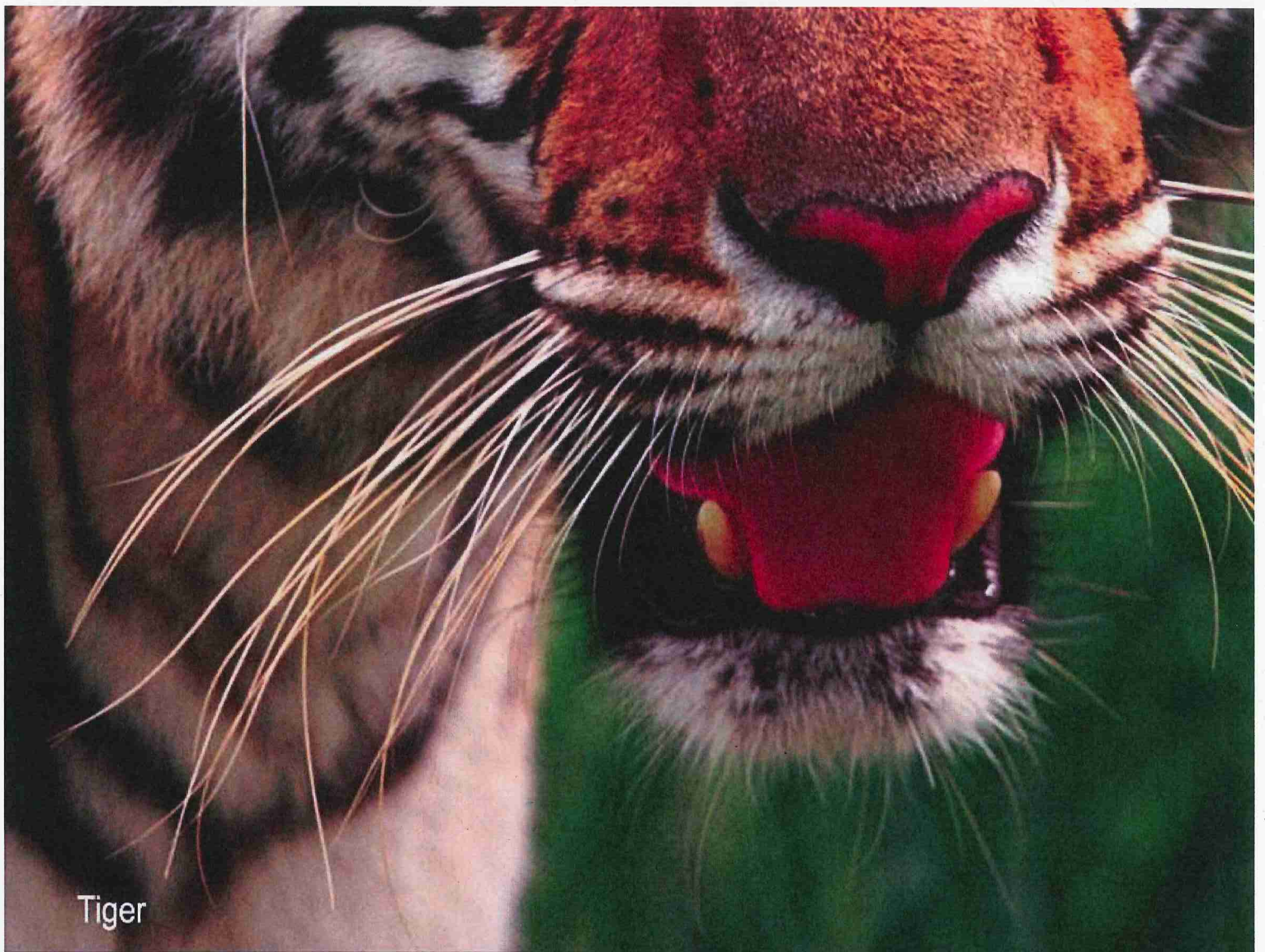


豹头及熊头皮



虎掌印制品





Tiger

国家林业局驻云南森林资源监督专员办公室  
国家濒危物种进出口管理办公室昆明办事处



昆明海关



# 买它，你就要付出代价

Mỗi lần bạn mua, thiên nhiên chịu thiệt hại

သင်ဝယ်ယူသည့်အခါ တိုင်း သဘာဝကပေးဆပ်ရသည်။

ທຸກເທື່ອທີ່ທ່ານຊື້ ແມ່ນທ່ານທຳລາຍທຳມະຊາດ



# 保护濒危物种，杜绝非法贸易



虎骨



虎皮



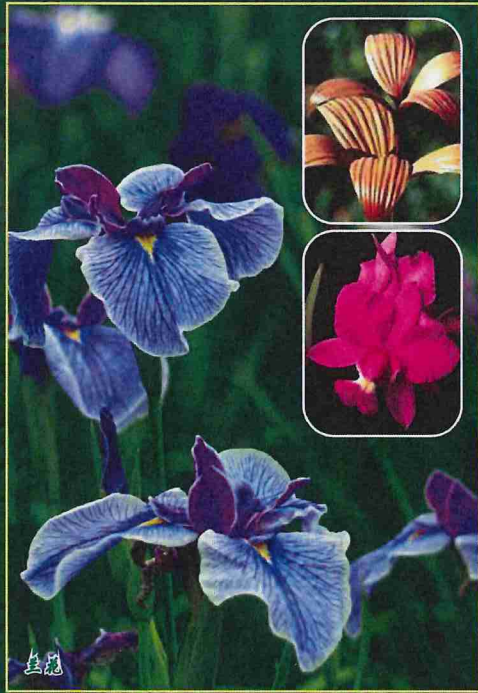
鳄鱼皮制品

严禁无证携带、邮寄和运输  
含濒危物种毛皮及其制品出入境



三思而后行  
Think Twice

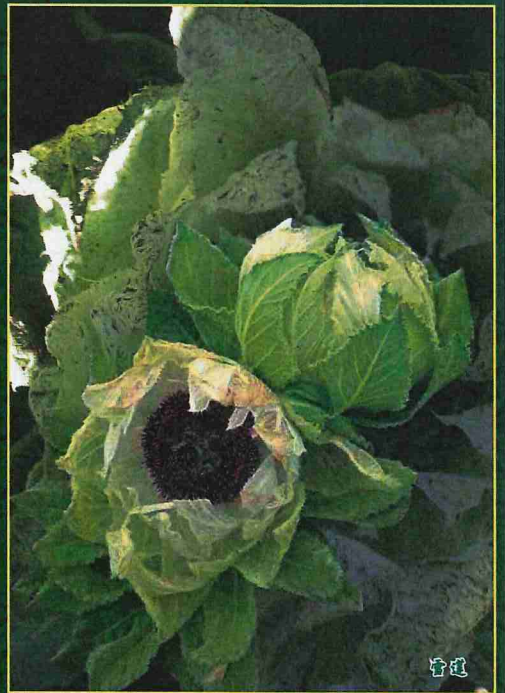




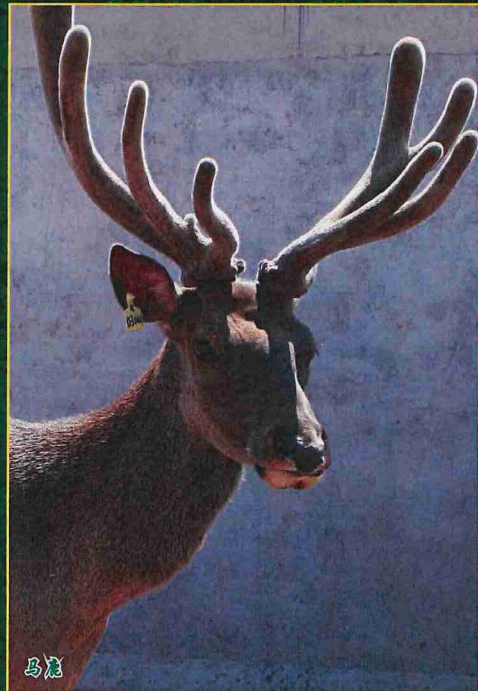
丝花



干草



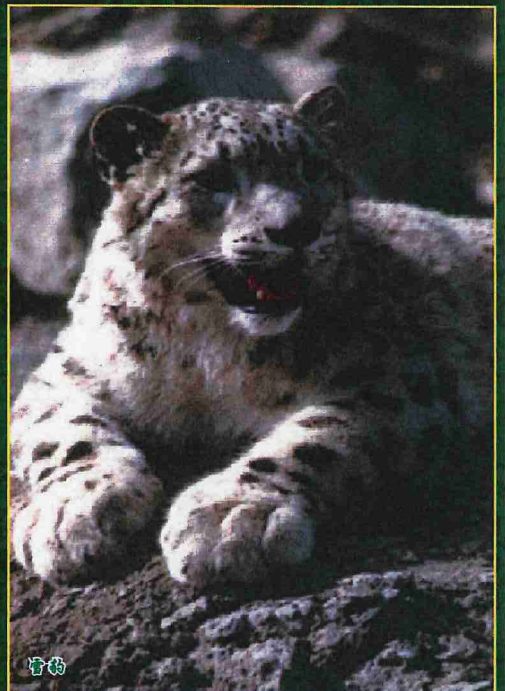
香莲



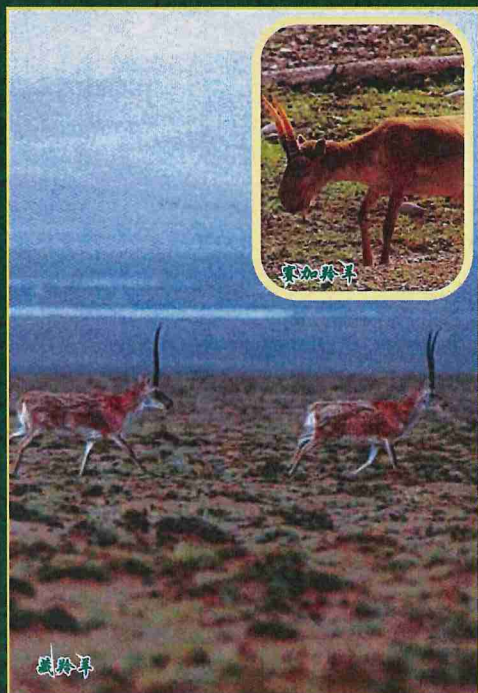
马鹿

保护濒危物种  
履行国际公约  
Protect Endangered Species

中华人民共和国濒危物种进出口管理办公室乌鲁木齐办事处



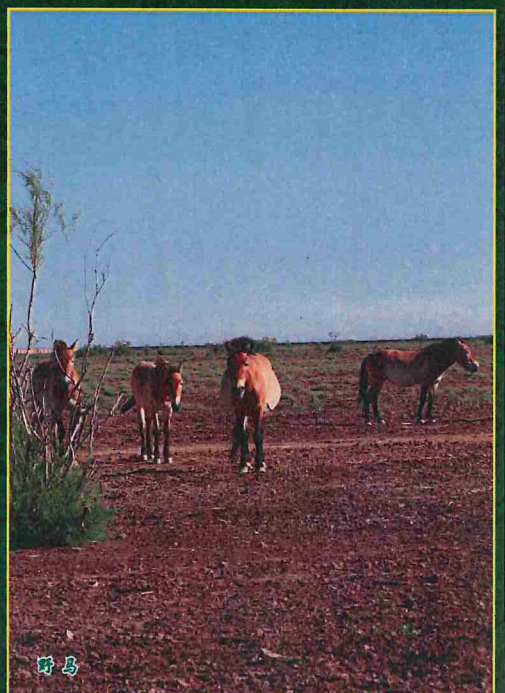
雪豹



藏羚羊

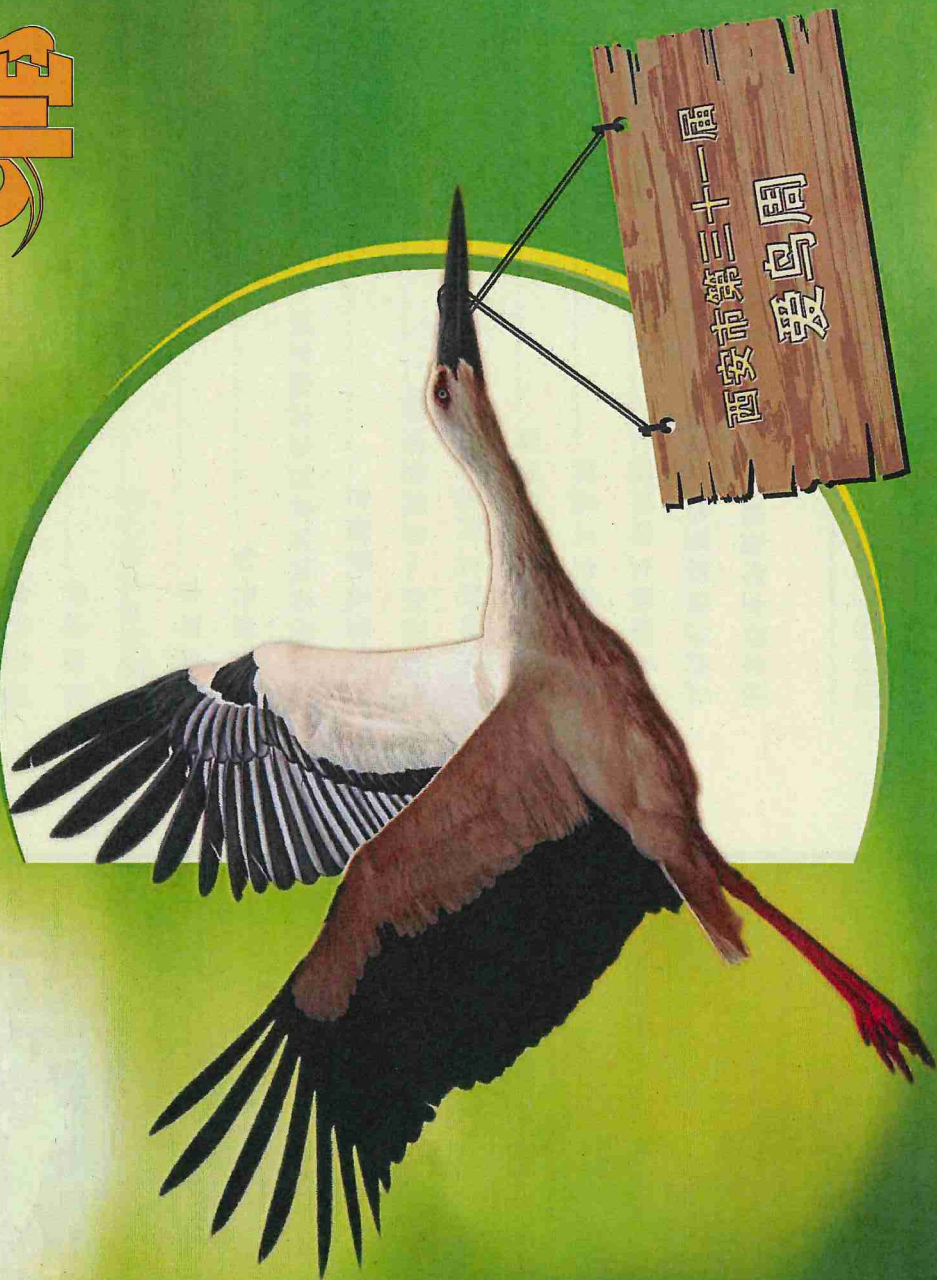


猎隼



野马





参与单位

国家濒管办西安办事处  
陕西省西安市互助路66号西部电力国际商务中心七楼A座  
邮编:7100478  
电话:029-88662041

西安市林业局动管站  
西安沣灞生态区管理委员会生态局  
世界自然基金会西安办事处



国家林业局驻西安专员办  
国家濒管办西安办事处

西安市  
林业局

西安沣灞生态区管理委员会  
世界自然基金会(WWF)西安办事处





## 为珍稀动植物保留一份安全

### 请共同履行《濒危野生动植物种国际贸易公约》

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## 《濒危野生动植物种国际贸易公约》

《濒危野生动植物种国际贸易公约》(以下简称“CITES”)是管理濒危物种国际贸易的多边环境协议,也是目前世界上所实施的最重要的保护性公约之一。1973年3月3日,根据1972年联合国斯德哥尔摩人类环境大会第99.3号决议,21个国家在美国首都华盛顿签署了该公约(又称《华盛顿公约》,英文缩写CITES),1975年7月1日正式生效。其宗旨是通过严格的控制和监督措施,防止因过渡的国际贸易和开发利用危及物种在自然界的生存。最基本措施是实行野生动植物进出口许可证和证明书管理制度。共有33900多个野生动植物列入《公约》附录。至今已有169个成员国。我国入约30年以来,以保护国内濒危野生动植物资源为中心,积极主动参与履约事务,在濒危物种进出口监管、履约执法和宣传教育、对外交流与合作、科学监测、队伍和能力等五大体系建设成就斐然,为保护全球生物多样性,促进野生动植物可持续贸易,树立负责任大国形象,维护国家形象和权益及人民群众的切身利益,保护国家物种的生态安全等做出了重要贡献。

中华人民共和国濒危物种进出口管理办公室(以下简称“国家濒危办”)是代表中国政府履行CITES的管理机构,国家濒危办设在国家林业局,国家濒危办西安办事处是国家濒危办和国家林业局的派驻地方机构,负责陕西、甘肃、宁夏、青海四省区野生动植物进出口管理和CITES的履约监督工作。2009年,国家濒危办西安办事处与国家林业局驻西安森林资源监管专员办事处合署办公,两块牌子一套人马,全办编制共24人,内设五个处,主要承担驻地四省区森林及野生动植物资源保护和管理的监督工作。国家濒危办西安办事处的设立,使陕西、甘肃、青海、宁夏四省区有了野生动植物进出口管理的专职机构和管理人员,《野生动植物允许进出口证明书》和《物种证明》均可在西安办事处办理,既提高了行政办事效率,又降低了企业办证成本,有效地促进了地方野生动植物进出口产业及贸易的健康发展。同时,本着依法行政、促进履约、积极服务地方的原则,加大履约执法力度,强化履约宣传和培训教育,积极开展有关物种贸易监测,区域履约工作不断加强和规范,成效显著。



## 鸟类让自然更绚烂多彩



国家林业局驻西安专员办专员  
国家濒管办西安办事处主任

两个黄鹂鸣翠柳，一行白鹭上青天。白鹤回归，鸟儿云集，莺歌燕舞，鸟儿叩开了灞灞半岛的美丽热闹春天。

鸟类是自然界的重要生灵，是人类的朋友，为我们带来多彩的春天、欢乐的夏天、丰收的秋天和纯洁的冬天，它不仅以其绚丽多彩的羽饰、婉转动听的鸣叫、优美动人的形态为我们生活带来无限的乐趣，更重要的是它在维护生态平衡，保护千姿百态、万物共存的奇妙大自然的稳定与长存，促进人类社会经济的可持续发展有着不可替代的作用。据统计，全世界现存鸟类约有156科9000多种，我国就有1300种，占世界鸟类种数的14%，中国鸟类生物多样性丰富度举世公认。鸟语花香——鸟类让我们生活的世界更加绚烂多彩。

《濒危野生动植物种国际贸易公约》中如此表述：认识到，许多美丽的、种类繁多的野生动物和植物是地球自然系统中无可代替的一部分，为了我们这一代和今后世代，必须加以保护；意识到，从美学、科学、文化、娱乐和经济观点看，野生动

植物的价值都在日益增长；认识到，各国人民和国家是，而且应该是本国野生动植物的最好保护者。随着人口的增长和经济的快速发展，人口与资源的矛盾，经济建设与生态保护的矛盾日益突出，乱砍滥伐、乱捕滥猎、非法贩卖野生动植物及其制品现象还比较严重，走私珍贵濒危野生动植物重特大案件时有发生。国际多个保护动物联合组织调查结果表明，全球有794种濒危动物即将灭绝。因此，拯救朱鹮、东方白鹳、褐马鸡、遗鸥、中华秋沙鸭等珍贵鸟类，保护好濒危野生动植物种资源是时代和人民赋予大家的责任和使命，需要全人类以积极的态度和刻不容缓的行动投入到这场持久的生物多样性保卫战中来。

“爱鸟周”设立30多年来，各级林业主管部门和热衷公益事业的单位和媒体，采取多种形式坚持不懈地开展各种“爱鸟周”活动，各地爱鸟志愿者、爱鸟组织迅速兴起，爱鸟护鸟蔚然成风。同时，以森林、荒漠和湿地三个生态系统和生物多样性建设为重点，陕西省乃至全国生态环境建设力度的不断加强，许多珍稀濒危的野生动植物的栖息环境得到有效保护和恢复，特别是我们城市的鸟类资源也不断丰富和扩大。观鸟、赏鸟等活动，自古有之，且在国外发达国家也很成熟，既可愉悦身心和培养情操，也可了解鸟类、认识自然，有助于树立热爱自然的环保理念，应予以继续引导和推广。

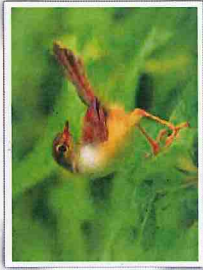
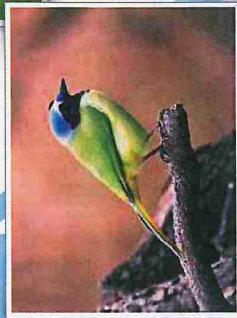
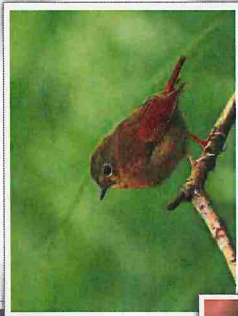
春眠不觉晓，处处闻啼鸟。让心动带动行动，让你我携起手来，从爱护鸟类做起，共同打造蓝天白云、鸟语花香、生态优美的和谐家园。

侯龙





- 一、服装，包括帽子、背包等，避免红、橙、黄、黑、白等一切鲜艳、抢眼的色彩。根据观鸟地点的自然条件，选择最接近环境的着装色彩，如绿、蓝、灰、褐、土黄等。
- 二、禁止喧哗，尽量减低声响，尽量不打扰鸟类。
- 三、不以口哨、击掌等手段刺激鸟类，禁止驱赶鸟类。
- 四、发现有卵的鸟巢，不告诉任何人，包括你的朋友，尽量减少对鸟类繁殖行为的干扰。



### 一、望远镜

**双筒望远镜**  
必备。8倍或10倍足矣。x40或x50(物镜口径直)都可。没有红膜为好。不变倍为好。

**单筒望远镜**  
质量好的品牌比较昂贵，个人购买需谨慎考虑；但从实用价值和保护眼睛考虑，不推荐价格低廉的品牌。单筒望远镜20-60倍的为好。三脚架要足够稳定，但需考虑自己的体力能否背得动。

### 二、记录用具

**记录本**  
小巧到一手在握，便于携带，易翻页而无须按压，无格可用于绘图。

**笔**  
请选择无需笔帽，不易褪色，持久耐用者。







### 三、工具书

#### 鸟类图鉴

《中国鸟类野外手册》，湖南教育出版社出版。北京西单图书大厦有售，76元；或请出版社邮购，加价15%。

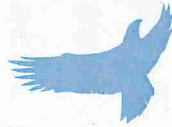
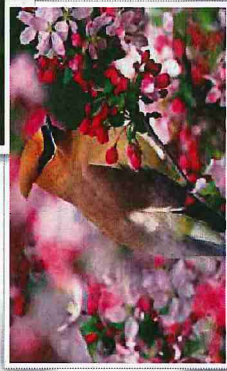
香港出版的《香港及华南鸟类》，港币164元，需到香港购买。

台湾出版的《中国野鸟图鉴》，新台币1800元，需到台湾购买。

《东北鸟类图鉴》适于东北、华北地区使用，可向东北大学常家传教授邮购，75元(含包邮)；也可请北京绿家园(010-84242354)代为向作者邮购，65元(批发价)。

《中国鸟类图鉴》、《北京鸟类图鉴》和《北京野鸟图鉴》，北京和平里中林书店有售。

其它工具书根据个人需要和兴趣来选择，如鸟类学、植物志等。



先选择去看大型水鸟，体会自己与鸟的亲密度；再选择去鸟类繁殖期的山林，体会自己对鸟的认知力；

如果喜欢上了观鸟，在技巧上和 methods 上多实践，就能与鸟越来越相知相近。

- ◆发现鸟之前使自己尽量处在顺光的位置。
- ◆发现鸟之前使自己尽量处在俯视或平视的位置。
- ◆发现鸟后先不要改变自己的位置，不要试图接近它，而是尽可能先多看。
- ◆看清楚多少就记录多少，尽可能多地记下相关的一切。
- ◆看不清楚的也要尽可能地记下相关的一切。
- ◆不指望看见一次就辨识出鸟种。
- ◆不放弃一次次仔细观看已认识的鸟种的机会。
- ◆尽可能早起，听到第一声鸟鸣，拜访清晨的鸟类。

◆尽可能在春秋鸟类迁徙季节多出门。

◆冬天也是观鸟的好季节。

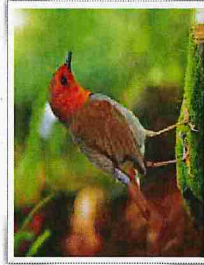
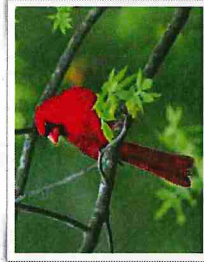
◆风雨无阻。

◆用眼睛寻找，用望远镜观察。

◆好戏常常在准备离开时上演。

◆有鸟一种看法，没鸟是另一种看法。

在没有观鸟之前，你对这些条条会不会有什么深刻印象，一旦观鸟，相信慢慢都有所悟。





## 观鸟须知之其它



根据观鸟地点的远近，出行时间长短和食宿条件，除了望远镜、图鉴、记录本、笔，还须考虑携带诸如：食物、饮水、雨衣、更多衣服等等。有一个单肩挎包较合适，便于随手拿出图鉴和记录本、笔，又便于装回。腿侧部位有大口袋的裤子便于放图鉴。大小适宜的腰包也是好选择。

观鸟过程中要尽量避免背沉重的背包和长途跋涉，疲惫状态下很难有耐心观鸟。

双筒望远镜一定要有可挂在颈上的带子，长短适中，太长会碍事。喝水、进食时要小心别弄湿弄脏望远镜镜头。

只要不是太过炎热，长裤长衣还是较能抵御野外的蚊虫，也减小树枝荆棘的划伤。遮阳的帽子可保护眼睛不受强光刺激。

千万不要顶着太阳望远镜看什么，以防损伤眼睛。

对鸟鸣有兴趣者可考虑购置录音设备，但须请教育有关专家或有经验者。

摄影爱好者要有绝对的耐心，以不打扰鸟类为前提，让精彩的鸟儿飞入你的镜头。

如果是初学者，请你们出发吧！



## 沪灞湿地--鸟类新家园

### 东方白鹳

Oriental White Stork

东方白鹳属于大型涉禽，是国家一级保护动物，在我国约有2500~3000只。在东北中、北部繁殖；越冬于长江下游及以南地区。



### 北红尾鸲

Daurian Redstart

北红尾鸲属于鹟科鹟亚科红尾鸲属，越冬于长江以南，包括四川南部、云南南部、西藏南部、香港、台湾和海南岛等地。







红嘴相思鸟

Red-billed Leiothrix

红嘴相思鸟是世界各地著名的笼养观赏鸟之一，也是中国传统的外贸出口鸟类。



斑嘴鸭

Spot-billed Duck

斑嘴鸭属鸭科鸭属中型游禽。通常栖息于淡水湖畔，亦成群活动于江河、湖泊、水库、海湾和沿海滩涂盐场等水域。



北红尾鸲

Daurian Redstart

北红尾鸲属于鹟科鹟亚科红尾鸲属，越冬于长江以南，包括四川南部、云南南部、西藏南部、香港、台湾和海南岛等地。



灰头麦鸡

Grey-headed Lapwing

灰头麦鸡活动于近水的开阔地带，以蚯蚓、昆虫、螺类等为食。分布于欧亚大陆及非洲北部，中南半岛，太平洋诸岛屿。越冬于广东和云南等地。







**黄臀鹌**

Brown-breasted Bulbul

黄臀鹌为鹌科鹌属的鸟类。分布于缅甸、老挝、越南以及中国大陆的甘肃、陕西、河南、长江流域以南、西至四川、西藏、云南以东大陆地区等地，



**红嘴鸥**

Common Black-headed Gull

红嘴鸥，俗称“水鸽子”，繁殖于中国西北部的天山西部地区及东北部的湿地。在中国东部及北纬32度以南所有湖泊、河流及沿海地带越冬。



**林鹞**

Wood Sandpiper

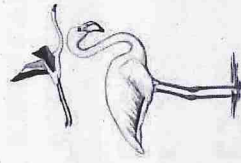
林鹞，是一种旅鸟。喜沿海多泥的栖息环境，繁殖于欧亚大陆北部。在中国大陆，分布于华北和华南等地。



**大火烈鸟[大红鹳]**

Greater Flamingo

大红鹳又名大火烈鸟。栖息于人迹罕至的宽阔浅水域。分布于中美洲及南美洲、非洲、南欧、中亚及印度西部。







鸳鸯

Mandarin Duck

鸳鸯分布于东北亚洲、中国东部及日本。引种其他地区。繁殖于中国东北但冬季迁至中国南方。



黑鹳

Black Stork

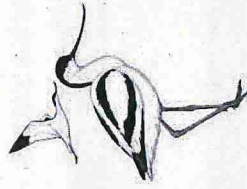
黑鹳为季候鸟类，分布于欧洲至中国北方；越冬至印度及非洲。繁殖于中国北方，越冬至长江以南地区及台湾。



反嘴鹳

Pied Avocet

反嘴鹳分布于欧洲至中国、印度及非洲南部。繁殖于中国北部；冬季结大群在东南沿海及西藏至印度越冬。偶见于台湾。



黑水鸡

Common Moorhen

黑水鸡是鹤形目秧鸡科的鸟类，分布范围除澳大利亚及大洋洲外，几乎遍及全世界。冬季北方鸟南迁越冬。





## 沪灞湿地--鸟类新家园



### 牛背鹭

Cattle Egret

牛背鹭是鹭科牛背鹭属中仅有的一个种。国外分布于欧洲、亚洲、非洲等地。在中国南方因常啄取耕牛和其他牲畜身上的寄生虫，也吃地上害虫，故为益鸟。



### 乌鸫

Eurasian Blackbird

乌鸫是鸫科鸫属的鸟类，分布于欧洲、非洲、亚洲和中国，部分鸟在海南岛越冬。



## 沪灞湿地--鸟类新家园



### 红隼

Common Kestrel

红隼分布范围在非洲、古北界、印度及中国；越冬于菲律宾及东南亚。北方鸟冬季南迁至中国南方、海南岛及台湾越冬。



### 绿背山雀

Green-backed Tit

绿背山雀是一种栖息于中低海拔山区的雀鸟。常见于中国中部、西南、西藏南部和台湾海拔1100~4000米山区森林及林缘。





## 陕西爱鸟周概况

### 爱鸟周



1981年国务院批转林业部、外交部、外贸部、财政部等八个部门《关于加强鸟类保护执行中日保护协定的请示》的通知》(国发〔1981〕140号),建议在每年的四月至五月确定一个星期为“爱鸟周”。1982年陕西省人民政府决定每年四月十一日为我省“爱鸟节”,四月十一日至十七日为“爱鸟周”。1991年《陕西省实施〈中华人民共和国野生动物保护法〉办法》第五条规定:每年四月十一日为陕西省“爱鸟节”,九月为陕西省“野生动物保护法宣传月”,使这项全省性的爱鸟护鸟宣传活动制化和经常化。

陕西自古以来鸟类资源丰富。据2002--2007年专项调查结果表明,全省有鸟类465种,占全国鸟类总数的34.9%,隶属18目69科。其中,国家一级重点保护鸟类10种,二级重点保护鸟类56种,陕西省重点保护鸟类23种,国务院公布的有益、有重要经济价值和科研价值的鸟类287种。

多年来,西安市人民政府坚持生态立市,努力推进森林城市建设,以鸟为媒广宣传,组织西安市林业局等生态建设部门先后开展了以宣传《野生动物保护法》为主题的和平鸟之声演唱会、大型珍稀动物标本展览、西安-北京信鸽千公里竞翔、中学生观鸟活动、公益广告宣传以及野外放生、鸟类摄影作品展、保护湿地和鸟类有奖征文、动漫大赛等活动,并联合陕师大附中、西安中学、西安市第六中学、第三十中学等多所中学及西安晚报、华商报、三秦都市报、西安电视台、陕西电视台、陕西广播电台等多家新闻单位长期开展公益宣传活动,全社会知鸟、爱鸟、护鸟意识明显增强,自觉保护抢救伤病、迷途野生动物的好人好事不断涌现,以灞灞半岛为代表的人与鸟类及其他野生动物和谐共处的自然景象重新回归。



## 2012爱鸟周观鸟活动实施细则

### 一、活动简介

活动主题: 一起到灞灞来观鸟  
活动时间: 2012年4月15日  
活动地点: 西安灞灞生态区(范围详见附图)  
活动对象: 观鸟爱好者与热心市民  
活动方式: 规定范围内自主设计线路的观鸟活动  
活动主办单位: 国家林业局西安专员办、西安市林业局、西安灞灞生态区管理委员会、世界自然基金会(WWF)西安办事处

### 二、活动报名

- (一)、报名人数: 50人左右  
(二)、报名对象:  
1、观鸟爱好者  
2、生态摄影爱好者  
(三)、报名时间: 2012年4月6日至4月12日  
(四)、报名要求:  
1、年满18周岁。  
2、参加者需自备观鸟与摄影设备。  
3、同时接受个人报名与团队报名,团队报名每队人数不超过六人。  
4、鸟类观察与拍摄遵循生态摄影规范,不以声音或其他手段刺激与驱赶鸟类。  
5、承诺不以任何形式公布观察或拍摄到的鸟类繁殖地点。  
(五)、报名方式:  
1、从主办单位官方网站处下载报名表,填写后发至邮箱: guanniao87517044@163.com  
2、报名电话: 029-87517044 联系人: 窦朝华





### 三、活动流程

时间	地点	活动	备注
14:00	灞桥生态区管委会门前广场	集合	至组委会处签到并领取地图与观鸟记录册
14:30	灞桥生态区管委会门前广场	观鸟活动开始仪式	
14:45	灞桥生态区管委会门前广场	观鸟队伍出发	
14:45-17:00	灞桥生态区规定范围内	观鸟活动	
17:00-17:30	灞桥生态区管委会门前广场	提交观鸟记录及地图	提交优秀作品，并领取活动证书
17:30	灞桥生态区管委会门前广场	观鸟活动结束	

### 四、活动说明

#### (一)、活动方式:

本次活动为在灞桥生态区规定范围内，自主设计线路的观鸟活动。主办方不规定观鸟线路，请各队伍根据本队情况，自行队内讨论确定观鸟线路，并在主办方发放的观鸟地图上标注出观鸟线路。

(二)、参与队伍或个人须完整填写观鸟记录册，并在规定时间内提交主办方。

(三)、参与队伍或个人在过程中拍摄的摄影或摄像作品，可提交主办方进行摄影或摄像评选，优秀作品将选登在相关媒体或网站。

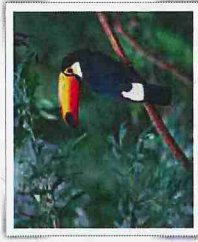
(四)、参与队伍或个人可获赠主办方制作的2012年西安市观鸟活动证书。

主办单位：国家灞桥办西安办事处  
西安市林业局  
西安灞桥生态区管理委员会  
世界自然基金会(WWF)西安办事处  
承办单位：西安市野生動物管理站  
协办单位：陕西师范大学附属中学  
陕西西安中学

### 五、工作流程

#### 1.前期准备工作:

- 1)报名工作
  - 接受报名
  - 报名队伍的通知与联系
  - 联系高校观鸟社团与西安知名生态摄影与观鸟个人，邀请参加。



#### 2)物料准备

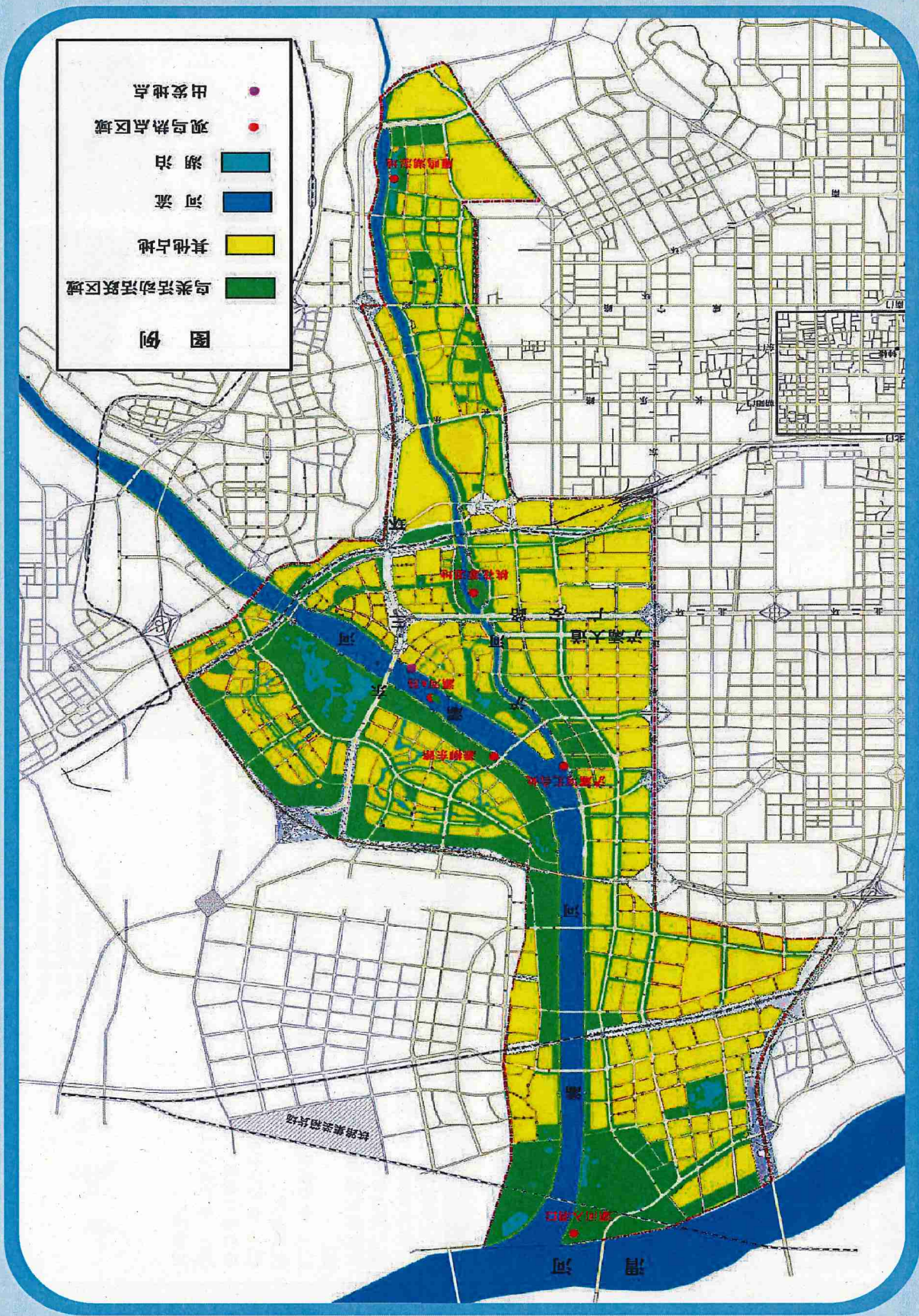
- 制作灞桥生态区观鸟范围地图
  - 制作观鸟记录册
  - 制作2012爱鸟周观鸟活动队标
  - 编制活动登记表等各项用表
- 3) 预案与人员配置
    - 对方案进行细化分工，并分配专人负责
    - 邀请参与开始仪式领导
    - 活动设计应急预案(天气/安全等)

#### 2.活动期间:

- 1)集合参与队伍与个人，安排签到，并发放观鸟地图与观鸟记录册。
  - 2)举行简要开始仪式。
  - 3)控制各观鸟队伍与个人观鸟时间，在16:30-17:00之间对观鸟队伍与个人进行联系，确保按时返回。
  - 4)回收并检查观鸟地图与记录。
  - 5)收取优秀观鸟图片。
  - 6)发放观鸟活动证书，并通知鸟类作品上传渠道。
- #### 3.活动总结:
- 1)最佳线路评选
  - 2)优秀摄影摄像作品评选最佳线路与优秀摄影摄像作品选登，并通知作者。









## 鸟类的迁徙



到了秋天，许多在北方繁殖的鸟类带着幼鸟成群结队地飞往南方越冬，来年春天又返回北方产卵育雏，鸟类这种由于季节周期性的更替，而在繁育区和越冬区之间的一年两次的

移居现象就是迁徙。

鸟类的迁徙主要是由食物条件、外界环境因素以及历史上冰川作用三者相作用的结果。鸟类在迁徙过程中，主要依靠视觉，依天空中日月星辰的位置进行导航。此外，地形、河流、磁场、紫外线等也是鸟类迁徙的依据。

根据鸟类是否迁徙方式的不同，可分为：留地，不离开游荡。

候鸟：由于季节更生活场所，冬季在北方繁殖，夏季在北方繁殖地被称为“冬候鸟”，在繁殖地被称为“夏候鸟”，在迁徙途中被称为“旅鸟”。

迷鸟：由于大风或其它意外原因偶然出现在其分布区以外的地方的鸟。

漂鸟：没有固定的迁徙路线，往往在非繁殖季节随着食物而到处游荡。



## 鸟类环志



鸟类环志是利用带有全国鸟类环志中心通讯地址和唯一编号的特殊金属环或彩色塑料环将鸟类个体标记，通过观察及回收记录研究鸟类迁徙的一种方法。

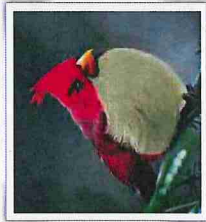
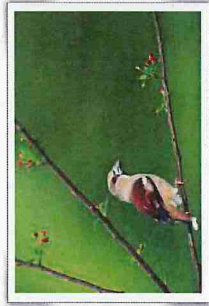
根据环志及回收信息，可以详细地画出不同种群的繁殖区、越冬区；确定鸟类迁徙的时间表，找出正常的迁徙路线及重要的中途停歇地；确定鸟的寿命，分析死亡原因；调查每年的数量变化趋势及环境变化对鸟类种群的影响，为制定合理环境保护和鸟类资源保护政策提供科学依据。

详细情况可咨询全国鸟类环志中心。

地址：北京1928信箱

电话：010-62889530

电子信箱：bird.hz@forestry.caf.cn





## 涪灞湿地鸟类记录

1	14	日本鹌鹑	青脚鹑
2	50	雉鸡	白腰草鹑
3	79	赤麻鸭	砒鹑
4	81	翘鼻麻鸭	黑腹滨鹑
5	84	鸳鸯	黑翅长脚鹑
6	85	赤膀鸭	反嘴鹑
7	86	罗纹鸭	长嘴剑鹑
8	89	绿头鸭	金眶鹑
9	90	斑嘴鸭	环颈鹑
10	92	琵嘴鸭	灰头麦鸡
11	93	针尾鸭	海鸥
12	95	花脸鸭	黄脚银鸥
13	96	绿翅鸭	红嘴鸭
14	98	赤嘴潜鸭	普通燕鸥
15	99	红头潜鸭	白腹鸥
16	101	白眼潜鸭	白尾鸥
17	103	凤头潜鸭	雀鹰
18	110	鹊鸭	普通鸺
19	111	白秋沙鸭	大鸺
20	114	普通秋沙鸭	鹰雕
21	120	斑姬啄木鸟	红隼
22	122	星头啄木鸟	游隼
23	127	棕腹啄木鸟	小鸺鹠
24	131	大斑啄木鸟	凤头鸺鹠
25	143	灰头绿啄木	黑颈鸺鹠
26	163	戴胜	[普通]鸺鹠
27	171	普通翠鸟	白鸺
28	179	冠鱼狗	苍鸺
29	192	四声杜鹃	大白鸺
30	193	大杜鹃	中白鸺
31	220	普通楼燕	牛背鸺
32	222	小白腰雨燕	池鸺
33	248	斑头鸺鹠	夜鸺
34	274	山斑鸠	黄苇鹑
35	276	珠颈斑鸠	白琵鹭
36	323	黑水鸡	黑鹳
37	324	骨顶鸡	红尾伯劳
38	334	扇尾沙锥	棕背伯劳
39	342	鹤鹑	灰伯劳

## 涪灞湿地鸟类记录

79	620	楔尾伯劳	金腰燕
80	621	松鸦	戴菊
81	626	红嘴蓝鹊	领雀嘴鹀
82	630	灰喜鹊	黄臀鹀
83	636	喜鹊	白头鹀
84	647	小嘴乌鸦	山鹊
85	654	黑枕黄鹀	强脚树莺
86	686	小太平鸟	东方大苇莺
87	688	褐河乌	褐柳莺
88	700	虎斑地鸫	黄腰柳莺
89	707	乌鸫	冠纹柳莺
90	717	斑鸫	画眉
91	729	乌鸫	白颊噪鹛
92	730	北灰鸫	橙翅噪鹛
93	738	红喉[姬]鸫	斑胸钩嘴鹛
94	765	红点颏, 红喉歌	棕颈钩嘴鹛
95	773	红肋蓝尾鸫	红嘴相思鸟
96	783	赭红尾鸫	白领凤鹛
97	785	黑喉红尾鸫	棕头鸦雀
98	787	北红尾鸫	凤头百灵
99	789	蓝额红尾鸫	云雀
100	790	白顶溪鸫	山麻雀
101	791	红尾水鸫	[树]麻雀
102	799	白冠燕尾	白鹡鸰
103	804	黑喉石鸫	黄头鹡鸰
104	816	北椋鸟	灰鹡鸰
105	821	灰椋鸟	理氏鸚
106	829	八哥	树鸚
107	832	普通鸚	水鸚
108	848	鸬鹚	黄腹鸚
109	852	沼泽山雀	燕雀
110	853	褐头山雀	金翅[雀]
111	859	黄腹山雀	黑尾蜡嘴
112	860	大山雀	三道眉草
113	862	绿背山雀	小鹀
114	864	红头[长尾]	田鹀
115	873	崖沙燕	灰头鹀
116	878	家燕	苇鹀
117	882		

## 网站推荐

中国濒危物种进出口信息网

<http://www.cites.gov.cn>

中国林业网（国家林业局网）

<http://www.forestry.gov.cn>

濒危野生动植物网上博物馆网址

<Http://www.cites.gov.cn>

<http://www.cites.org>

世界自然基金会网站

<http://www.wwfchina.org>



## 依法行事

《濒危野生动植物国际贸易公约》

《中华人民共和国森林法》

《中华人民共和国野生动物保护法》、

《中华人民共和国野生植物保护条例》

《中华人民共和国濒危野生动植物进出口管理条例》

《中华人民共和国海关法》

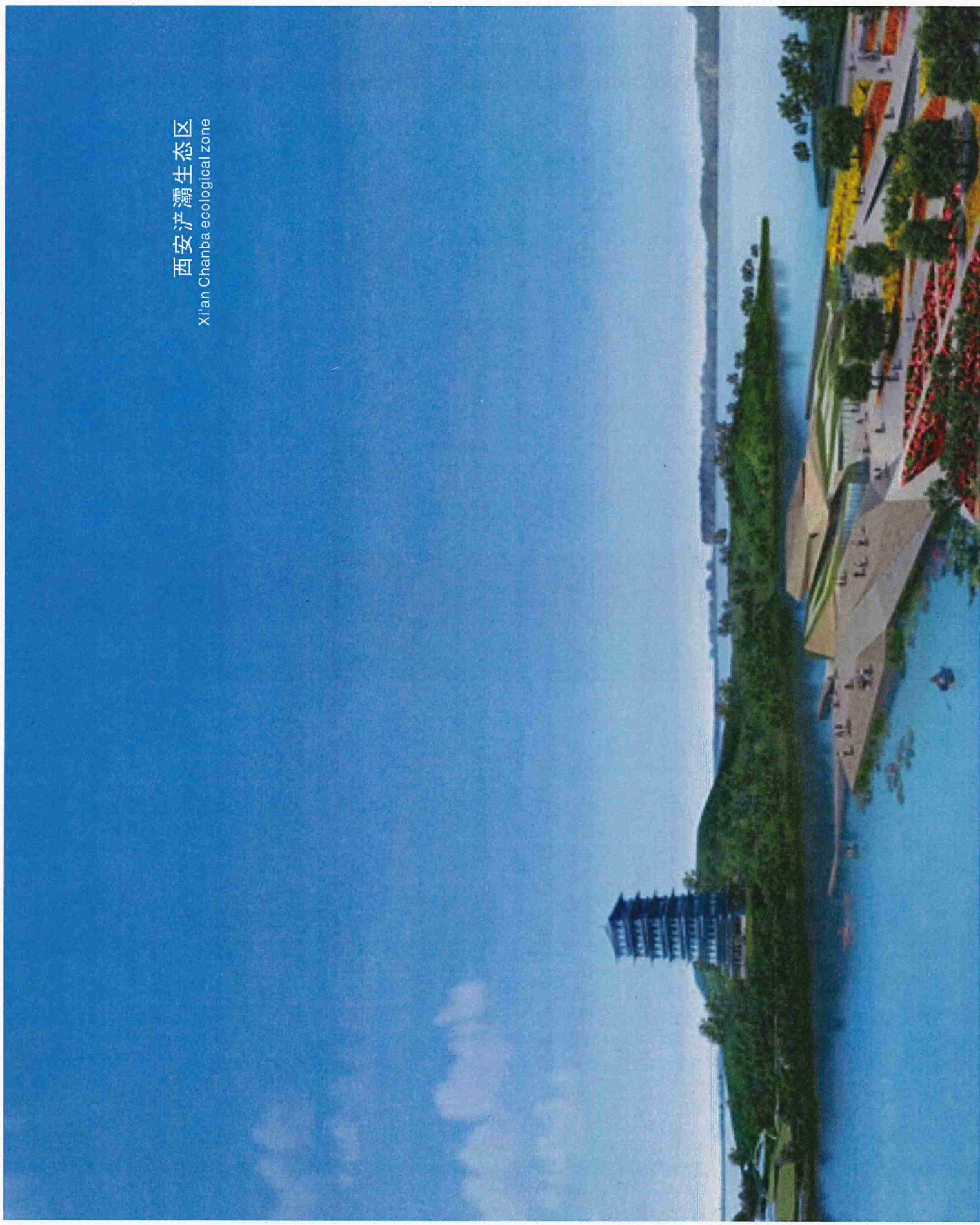
《中华人民共和国渔业法》

新《刑法》有关涉及野生动植物方面条款

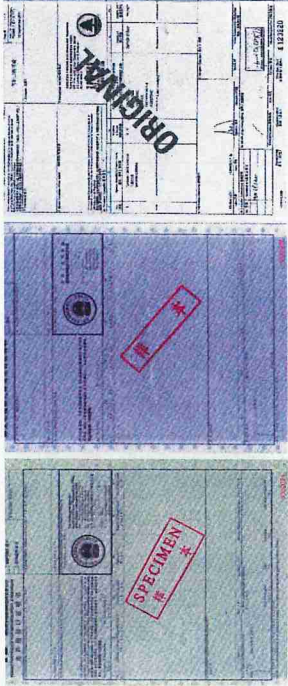




西安浐灞生态区  
Xi'an Chanba ecological zone







## 如何取得《允许进出口证明书》

### How to get the permit

办理《允许进出口证明书》时，请您向所在地省级林业或者农业主管部门申请办理，经国家林业或者农业主管部门批准后由国家濒危物种进出口管理机构核发《允许进出口证明书》。申办进口《允许进出口证明书》必须提前取得出口国核发的出口《允许进出口证明书》。

To get the permit, you must apply to the forestry or agriculture department in your province, and get the administrative licensing with the state forestry and agriculture, then you can get the permit from CNMA. To apply for the import permit, you must get the export permit in CITES management authority of the export country.

有关《允许进出口证明书》的申办程序和所需要递交的申报材料，可向国家濒危物种进出口管理机构办公室或其办事处及网站查询。

For information on the permit application procedure, please contact CNMA or visit the website.



## 法律责任

### Penalties

根据 CITES 公约、《中华人民共和国野生动物保护法》、《中华人民共和国野生植物保护条例》以及《中华人民共和国濒危野生动植物种进出口管理条例》的有关规定，进出口濒危野生动植物及其产品，必须取得国家濒危物种进出口管理机构核发的允许进出口证明书。没有办理允许进出口证明书的物种及其产品，禁止贸易、携带、邮寄进出口国境。

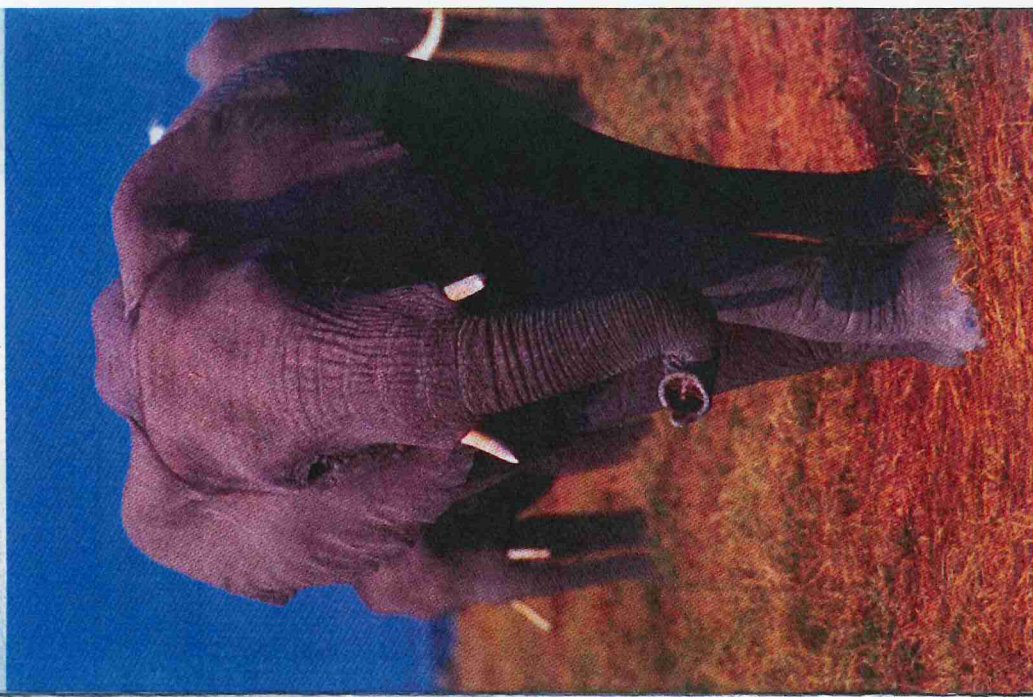
According to the CITES and the law of China, import or export the wildlife or the related products, you must get the permit first. China prohibits the trading, carrying or mailing of the wildlife or the related products import or export without a permit.

依照《中华人民共和国刑法》和《最高人民法院关于审理走私罪具体适用法律若干问题的解释》等规定，走私国家禁止进出口的珍稀、濒危野生动植物及其制品，情节特别严重的，可判处无期徒刑或死刑，并处罚金或者没收个人全部财产。

In accordance with Chinese criminal law, the violator may be sentenced to life imprisonment or death, concurrently with a fine or confiscation of his personal property.

# 濒危野生动植物进出口 宣传手册

Directory of the Endangered Species  
Import & Export Management



Convention on International Trade in  
Endangered Species of Wild Fauna and Flora



Convention on International Trade in  
Endangered Species of Wild Fauna and Flora



Convention on International Trade in  
Endangered Species of Wild Fauna and Flora





## CITES



《濒危野生动植物种国际贸易公约》(英文简称为 CITES)是一个政府间的国际公约。

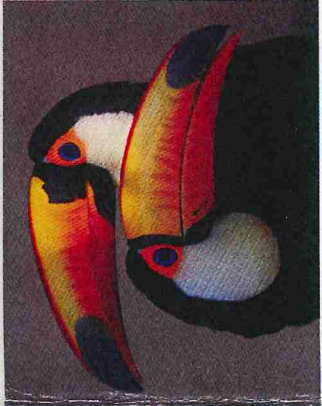
The Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) is an international agreement between governments.

它要求各国政府通过实施协调一致的许可证和证明书制度来管理其附录所列的野生动植物的国际贸易, 进而达到保护濒危野生动植物种不致由于国际贸易而遭到过度开发利用的目的。

It requires the parties to establish a combined permit and certificate mechanism for the regulation of international wildlife trade, in order to ensure that international trade does not threaten their survival.

中华人民共和国濒危物种进出口管理办公室是代表中国政府履行该公约的管理机构。

China designates the Endangered Species Import & Export Management Office of the People's Republic of China as the CITES National Management Authority of China.



## Convention 公约

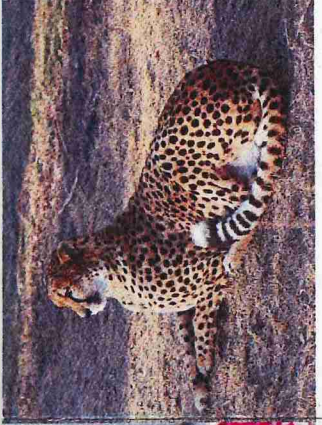
1960年召开的世界自然保护联盟(IUCN)第七次大会上, 对国际野生动植物贸易问题提出关注, 敦促各国政府就物种原产国的出口管理规定限制其进口。

In 1960, international discussion of the regulation of wildlife trade in the 7<sup>th</sup> conference of the World Conservation Union (IUCN) urged the respective governments to limit the import according to the regulation of the export of origins of countries.

1963年, 该联盟通过决议, 呼吁缔结“管理濒危野生动植物物种或其皮张和狩猎纪念品的出口、过境和进口的国际性公约”。In 1963, CITES was drafted as a result of a resolution calling for international cooperation to manage the trade in wild animals and plants crossing borders between countries.

1973年3月3日, 21个国家代表签署了《濒危野生动植物种国际贸易公约》, 并于1975年7月1日正式生效。中国于1980年12月25日加入公约, 1981年4月8日公约对中国正式生效。

On March 3<sup>rd</sup>, 1973, the Convention was finalized at a meeting of representatives of 21 countries, and it came into force on July 1<sup>st</sup>, 1975. China entered the Convention on December 25<sup>th</sup>, 1980. The Convention became into effect in China on April 8<sup>th</sup>, 1981.



## International 国际

公约已经正式生效的国家或地区都是濒危野生动植物种国际贸易公约的成员。现在该公约已经有177个成员。

A State for which the Convention has entered into force is called a Party to CITES. Currently there are 177 Parties.

濒危野生动植物种国际贸易公约秘书处是由联合国环境规划署管理, 位于瑞士日内瓦。它在公约中扮演着非常关键而又基础的角色, 它的功能包括公约日常工作的协调、通报和勤务。

The CITES Secretariat is administered by UNEP and is located in Geneva, Switzerland. It has a pivotal role, fundamental to the Convention. And their functions include the coordinating, advisory and servicing in the working of the Convention.

该公约机构还与相关组织合作, 充分发挥海关和国际刑警组织在野生动植物进出口管理环节上的监管和打击走私犯罪的作用。Bodies of the Convention and related agencies also cooperate to give full play to the Customs and International Criminal Police Organization in wildlife import & export administration and supervision as well as combating smuggling.



Convention on International Trade in Endangered Species of Wild Fauna and Flora



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