A. General information

Party	
Period covered in this report (e.g. 1 January 2003 to 31 December 2004)	1 January 2009 - 31 December 2010
Details of agency preparing this report	New Zealand Department of Conservation
Contributing agencies, organizations or individuals	NZ Wildlife Enforcement Group (WEG)

B. Legislative and regulatory measures

1	Has information on CI been provided under the Project? If yes, ignore question	Yes (for Yes (por No No infor	artly)	n/unknown				
2	If any CITES-relevant I the following details:	If any CITES-relevant legislation has been planned, drafted the following details:					-	le
	Title and date: Trade In Endangered Status: Species Act 1989							
	Brief description of cor	ntents:						
3	Is enacted legislation a	Yes			\boxtimes			
	languages of the Convention?							
					No inf	ormatio	n	
4	If yes, please attach a	legislation attached						
	or key legislative provisions that were gazetted.					provided previously		
				not av later	ailable,	will send	" 	
5	Which of the following domestic measures ad accordance with Articles	opted for	CITES-lis	sted species (in	ter	Т	ick all applic	able
		Tł	ne condit	ions for:	The co	omplete	prohibition	of:
	Issue	Yes	No	No information	Yes	No	No informa	ation
	Trade							
	Taking							
	Possession							
	Transport							
	Other (specify)							
	Additional comments (exemption, but we app	_		-		=		cts

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?						
	Item	Adequate	Partially Inadequate	Inadequate	No information		
	Powers of CITES authorities						
	Clarity of legal obligations				\boxtimes		
	Control over CITES trade				\boxtimes		
	Consistency with existing policy on wildlife management and use						
	Coverage of law for all types of offences						
	Coverage of law for all types of penalties						
	Implementing regulations				\boxtimes		
	Coherence within legislation				\boxtimes		
	Other (please specify)				\boxtimes		
	Please provide details if availabl	e:					
7	If no review or assessment has taken place, is one Yes planned for the next reporting period? No No information Please provide details if available: Our legislation is currently under review, but there have been no outcomes from this exercise yet. These are expected sometime in the						
8	next two years. Has there been any review of le	gislation on th	e following	Tic	k all applicable		
	subjects in relation to implemen						
	Subject		Yes	No	No information		
	Access to or ownership of natu	ral resources					
	Harvesting						
	Transporting of live specimens						
	Handling and housing of live specimens						
	Please provide details if available: There is currently a treaty claim going through the Waitangi Tribunal relating to all indigenous flora and fauna in New Zealand. Outcomes of this claim will affect how the Crown deals with access to and ownership of NZ natural resources (see http://www.waitangi-tribunal.govt.nz/doclibrary/public/wai262/SOI/Wai262SOI(doc2.314)small.pdf for more information).						
9	Please provide details of any ad	ditional measu	res taken:				

C. Compliance and enforcement measures

		Yes	No		No mation
1	Have any of the following compliance monitoring operat	ions been (underta	ken?	
	Review of reports and other information provided by traders and producers				
	Inspections of traders, producers, markets	\boxtimes			
	Border controls	\boxtimes			
	Other (specify):				\boxtimes
2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?				
3	If Yes, please indicate how many and for what types of attach details. no information available at time of submis			able, _l	olease
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?				
5	If information available:		Numb	er	
	Significant seizures/confiscations				
	☐ Total seizures/confiscations	2009	- 2020) seizı	ures
	If possible, please specify per group of species or attach details.	2010) - 2837	7 seizı	ures
6	Have there been any criminal prosecutions of significant CITES-related violations?				
7	If Yes, how many and for what types of violations? If a as Annex. 2009 - 1 prosecution for TIES Act violation (i	-	ase att	ach d	etails
	2010 - 2 prosecutions for TIES Act violations (1 import,	1 export)			
8	Have there been any other court actions of CITES-related violations?	***************************************			\boxtimes
9	If Yes, what were the violations involved and what were t as Annex. no information available at time of submission		Please	attach	details
10	How were the confiscated specimens usually disposed of	of?	Tick	if ap	plicable
	 Return to country of export 				\boxtimes
	 Public zoos or botanical gardens 				\boxtimes
	Designated rescue centres				
	Approved, private facilities Continue Continu				
	EuthanasiaOther (specify):				
	Comments:				

11	Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted	Yes No		
	illegal traders and persistent offenders?	Not applicable No information		
	Comments:	No imormation		
	Comments.			
12	Have there been any cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?		\bowtie	
13	If Yes, please give a brief description: Cooperation with neighbouring countries; cooperation via ASEAN WEN; cooperation via Interpol operations			
14	Have any incentives been offered to local communities to	Yes		
	assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	No		
	the arrest and conviction of offenders?	No information	\boxtimes	
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related	Yes		
	enforcement?	No	\boxtimes	
		Not applicable		
		No information		
	Comments:			
17	Please provide details of any additional measures taken:			

D. Administrative measures

D1 Management Authority (MA)

1	Have there been any changes in the designation of or	Yes				
	contact information for the MA(s) which are not yet	No				
	reflected in the CITES Directory?					
2	If Yes, please use the opportunity to provide those changes here.					
3	If there is more than one MA in your country, has a lead	Yes	\boxtimes			
	MA been designated?	No				
		No information				
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. Department of Conservation - National Office					
5	How many staff work in each MA? 1 full-time policy officer (Wellington); 2 full-time operational officers (Auckland); 2 part-time operational officers (Christchurch and Wellington - 20% each); 1 part-time legal advisor (Wellington - 10%)					

6	Can you estimate the percentage of time they	Yes	\boxtimes
	spend on CITES-related matters?	No	
		No information	
	If yes, please give estimation: See above		
7	What are the skills/expertise of staff within the MA(s)?	Tick if appl	licable
	 Administration 		\boxtimes
	– Biology		
	 Economics/trade 		\boxtimes
	 Law/policy 		\boxtimes
	- Other (specify):		
	 No information 		
8	Have the MA(s) undertaken or supported any research	Yes	\boxtimes
	activities in relation to CITES species or technical issues	No	
	(e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the involved. Technical issue - Review of Significant Trade process		
10	Please provide details of any additional measures taken:		

D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or	Yes				
	contact information for the SA(s) which are not yet reflected	No	\boxtimes			
	in the CITES Directory?	No information				
2	If Yes, please use the opportunity to provide those changes h	ere.				
3	Is the designated Scientific Authority independent from the	Yes	\boxtimes			
	Management Authority?	No				
		No information				
4	What is the structure of the SA(s)?	Tick if app	licable			
	 Government institution 					
	Academic or research institution					
	Permanent committee		\boxtimes			
	 Pool of individuals with certain expertise 		\boxtimes			
	- Other (specify):					
5	How many staff work in each SA on CITES issues? The Scientific Authority Committee currently has four members - one based at DOC and others at other scientific institutes in NZ.					
6	Can you estimate the percentage of time they spend on	Yes				
	CITES-related matters	No				
		No information				
	If yes, please give estimation:					

7	What are	the skills/expert	ise of staff with	in the SA((s)?	Tick	if applicable		
	- Botany						\boxtimes		
	- Ecology	y					\boxtimes		
	- Fisheries								
	- Forestry								
	– Welfare	е							
	Zoology	У							
	- Other (specify):							
	No info	rmation							
8		research activiti		aken by th	e SA(s) in	Yes			
	relation to	CITES species?	•			No			
						No information	tion 🛛		
9	If Yes, ple involved.	ease give the spe	ecies name and	provide de	etails of the	kind of resea	arch		
	Species	Populations	Distribution	Off	Legal	Illegal	Other		
	name	1 opulations	Distribution	take	trade	trade	(specify)		

10						No informati	tion 📙		
10	_	project proposa to the Secretar				Yes			
	Jabiiiittea	to the occident	iat andor nesore			No No informa	tion 🖂		
11	Planca pro	vide details of a	nny additional m	oncures to	ykon:	NO IIIIOIIIIa			
11	riease pro	viue uetalis 01 a	arry additional m	easures la	IKUII.				

D3 Enforcement Authorities

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No		
	confidential emorcement information related to CITES:	No information		
2	If No, please designate them here (with address, phone, fax	and email).		
3	Is there a specialized unit responsible for CITES-related	Yes	\boxtimes	
	enforcement (e.g. within the wildlife department,	No		
	Customs, the police, public prosecutor's office)?	Under consideration		
		No information		
4	If Yes, please state which is the lead agency for enforcement: Wildlife Enforcement Group - a multi-agency group consisting of representatives from the Department of Conservation, Ministry of Agriculture and Forestry, and NZ Customs Service.			
5	Please provide details of any additional measures taken:			

D4 Communication, information management and exchange

1	To what extent is CITES information computerized?						Tick if applical	ble	
	 Monitoring and 	•							
	 Monitoring and 	report	ing of dat	ta on illeg	al trad	de		\boxtimes	
	- Permit issuance								
	- Not at all								
	- Other (specify)	:							
2	Do the following a	authorit	ies have	access to	the I	nternet	? Tick if applical	ble	
	Yes, continuous and unrestricted Yes, but only through a dial-up connection Yes, but only through a different office Some office Some office anly was all with the second through a different office only through a different office some office only was all through a different office only through a different office only and the second of th							re	
	Management Authority	\boxtimes							
	Scientific Authority	\boxtimes							
	Enforcement Authority								
3	Is there an electro		ormation :	system pi	rovidir	ng info	mation Yes	\boxtimes	
	on CITES species?	?					No		
							No information		
4	If Yes, does it pro	vide in	formation	on:			Tick if applical	ble	
	 Legislation (nat 	ional, r	egional o	r internati	ional)	?		\boxtimes	
	 Conservation s 	tatus (r	national, r	regional, i	nterna	ational)	?		
	 Other (please s 	pecify)	:						
5	Is it available thro	ugh the	e Internet	:			Yes	\boxtimes	
							No		
							Not applicable		
							No information		
	Please provide UR	L: ww	w.doc.go	vt.nz/cite	s				

6	Do the authorities indicated have access to the following publications?						
	Publication	Management Authority	Scientific Authority	Enforcement Authority	t		
	2003 Checklist of CITES Species (book)	\boxtimes		\boxtimes			
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)	\boxtimes		\boxtimes			
	Identification Manual		\boxtimes	\boxtimes			
	CITES Handbook		\boxtimes	\boxtimes			
7	If not, what problems have been encoun-	tered to access t	his informati	on?			
8	Have Enforcement Authorities reported to the Management Authority on: Tick if applicable						
	– Mortality in transport?		·	[
	– Seizures and confiscations?				\boxtimes		
	 Discrepancies in number of items in poor of items actually traded? 	ermits and numb	er				
	Comments:						
9	Is there a government website with infor	mation on CITES	and Yes		\boxtimes		
	its requirements?		No	[
			No i	nformation [
	If Yes, please give the URL: www.doc.go	ovt.nz/cites					
10	Have CITES authorities been involved in activities to bring about better accessibili understanding of the Convention's require public?	ty to and	_	Tick if applicab	ole		
	 Press releases/conferences 						
	- Newspaper articles, radio/television ap	pearances			\boxtimes		
	 Brochures, leaflets 				\boxtimes		
	Presentations				X		
	Displays				\boxtimes		
	 Information at border crossing points 						
	 Telephone hotline 			[
	- Other (specify): DOC has a hotline, the	ough not specific	cally for CITE	S issues			
	Please attach copies of any items. Link to seven regional languages: http://www.do doc/role/international/does-your-luggage-	oc.govt.nz/public	ations/about				
11	Please provide details of any additional m	neasures taken:					

D5 Permitting and registration procedures

1	signatures of officials em certificates been reported	ve any changes in permit format or the designation and natures of officials empowered to sign CITES permits/tificates been reported previously to the Secretariat? o, please provide details of any: Changes in permit format:						
	 Changes in designatio 		of releva	ant official	s:			
2	To date, has your country for any of the following?		Tick if applicable					
				Yes	No	No information	n	
	Permit issuance/acceptan	ce						
	Registration of traders							
	Registration of producers							
3	Please indicate how many period? (Note that actual question refers to issued	trade is reporte documents).				-		
	Year 1	Import or introduction from the sea	Export	Re- export	Other	Comments	3	
	How many documents were issued?	25 import	64	72		Some export permits were actually for reexports; number reflect act transactions		
	How many applications were denied because of serious omissions or misinformation?					Not known		
	Year 2 How many documents were issued?	1 IFS 13 Import	44	89		Some export permits were actually for reexports; number here reflect act transactions		
	How many applications were denied because of serious omissions or misinformation?					Not known		
4	Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation? No No information							
5	If Yes, please give the reasons for this. Usually changes in number of specimens							

	(captive-bred birds).					
6	Please give the reasons for rejection of CITES docum	ents fro	m	Tick if app	licable	
	other countries.	1				
	Reason	Yes	No	No informat	ion	
	Technical violations					
	Suspected fraud					
	Insufficient basis for finding of non-detriment					
	Insufficient basis for finding of legal acquisition					
	Other (specify):					
7	Are harvest and/or export quotas used as a managen	nent too	l in	Yes		
	the procedure for issuance of permits?			No	\boxtimes	
				No information		
	Comments We don't export many native species - th	ese are	prote	cted and closely	,	
	regulated by domestic regulations.					
8	How many times has the Scientific Authority been re	quested	l to p	rovide opinions?	9	
	opinions granted in 2010 for captive-bred exotic parr					
9	Has the MA charged fees for permit issuance, registr	ation or		Tick if app	licable	
	related CITES activities?					
	 Issuance of CITES documents: 				\boxtimes	
	 Licensing or registration of operations that produce 	ce CITES	spe	cies		
	- Harvesting of CITES-listed species					
	 Use of CITES-listed species 					
	 Assignment of quotas for CITES-listed species 					
	 Importing of CITES-listed species 					
	- Other (specify):					
10	If Yes, please provide the amounts of such fees. NZ\$			•		
	NZ\$600 for export of captive-bred parrots; about NZ	\$420 fc	or cer	tificate for multip	ole	
	export of artificially propagated plants.					
11	Have revenues from fees been used for the implement	ntation o	of	Tick if app	licable	
	CITES or wildlife conservation?					
	- Entirely					
	- Partly				\square	
	- Not at all					
	 Not relevant 					
	Comments:					
12	Please provide details of any additional measures tak	en:				

D6 Capacity building

1	Have any of the following activities effectiveness of CITES implementation					ance	Tick if applicable	
	Increased budget for activities		Impro	Improvement of national networks				
	Hiring of more staff			nase of toring/e			equipment for	
	Development of implementation tools	\boxtimes	Comp	outeriza	ition			
	Other (specify):							
2	Have the CITES authorities receive building activities provided by exte			from a	any c	of the	following capacity-	
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?	
	Staff of Management Authority						CITES Secretariat	
	Staff of Scientific Authority					П		
	Staff of enforcement authorities					П	CITES Secretariat	
	Traders							
	NGOs							
	Public							
	Other (specify):							
3	Have the CITES authorities been the activities?	e <i>provi</i> e	ders of	any of	the	follo	wing capacity-building	
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details	
	Staff of Management Authority						Lead MA to other	
	Staff of Scientific Authority						MAs and WEG.	
	Staff of enforcement authorities							

	Traders									
	NGOs									
	Public									
	Other parties/Int meetings	ernatio:	nal					NZ CITES WEG have training ar advice to Island cou	e provided nd technic Pacific	
	Other (specify)									
4	Please provide d	letails o	of any add	itional me	asures	taken	1:			
D7 Co.	llaboration/coope	rative in	nitiatives							
1	Is there an inter	r-agenc	y or inter-	sectoral c	ommit	tee on	CITES	? Yes		
								No		\leq
								No inform	ation [
2	If Yes, which agencies are represented and how often does it meet?									
3	If No, please in Management A MAs, SAs, Cus	uthority	y to ensure	e coordina	_			=		
		Daily	Weekly	Monthly	Ann	ually	None	No information	Other (specify	
	Meetings									
	Consultations			\boxtimes		J İ				
4	At the national collaborate with		ave there I	been any	efforts	to	Tick i	f applicable	Details availabl	
	Agencies for de	evelopm	nent and t	rade						
	Provincial, state	e or terr	ritorial aut	horities						
	Local authoritie	s or cor	mmunities	}						
	Indigenous peo	ples						\boxtimes		
ĺ	Trade or other	private	sector ass	ociations				\boxtimes		
	NGOs	***************************************				İ		\boxtimes		

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?		icable
	Scientific Authority		
	Customs		\boxtimes
	Police		
	Other border authorities (specify): Ministry of Agriculture and Fo	orestry	\boxtimes
	Other government agencies		
	Private sector bodies		
	NGOs		
	Other (specify):		
6	Have government staff participated in any regional activities related to CITES?	Tick if appli	icable
	Workshops		\boxtimes
	Meetings		\boxtimes
	Other (specify):		
7	Has there been any effort to encourage any non-Party to	Yes	\boxtimes
	accede to the Convention?	No	
		No information	
8	If Yes, which one(s) and in what way? Some initial discussions	with Tonga.	_
9	Has technical or financial assistance been provided to	Yes	\boxtimes
	another country in relation to CITES?	No	
		No information	
10	If Yes, which country(ies) and what kind of assistance was provided (advice on permit format), Samoa (advice on legislation, reporting MA/SA), Solomon Islands (advice on legislation, reporting, issuir	ng, setting up of	ds
11	Has any data been provided for inclusion in the CITES	Yes	\boxtimes
	Identification Manual?	No	
		No information	
12	If Yes, please give a brief description. Some orchid species of N	Z	
13	Have measures been taken to achieve coordination and reduce	Yes	\boxtimes
	duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g.	No	
	the biodiversity-related conventions)?	No information	
14	If Yes, please give a brief description. Lead MA is going to start which should improve national-level synergies and work on spec	-	
15	Please provide details of any additional measures taken:		

D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?							
	Activity	High	Medium	Low				
	Increased budget for activities							
	Hiring of more staff							
	Development of implementation tools							
	Improvement of national networks							
	Purchase of new technical equipment for monitoring and enforcement							
	Computerization			\boxtimes				
	Other (specify):							
2	Were any difficulties encountered in implementing specific	Yes		\boxtimes				
	Resolutions or Decisions adopted by the Conference of the	No						
	Parties?	No info						
3	If Yes, which one(s) and what is the main difficulty? Res. Conf. 13.7 (Rev. CoP14) on personal effects (consistent application in NZ given the inconsistencies among Parties).							
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?		Yes					
			No					
		No info						
5	If Yes, please describe the constraint and the type of attention or assistance that is required. We are trying to figure out how we would apply IFS requirements nationally, but waiting for the IFS WG to conclude their work.							
6	Have any measures, procedures or mechanisms been	Yes						
	identified within the Convention that would benefit from	No		\boxtimes				
	review and/or simplification?		No information					
7	If Yes, please give a brief description.							
8	Please provide details of any additional measures taken:							

E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments referred to in the report. For convenience, these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	
		Not available	
		Not relevant	
D4(10)	Details of nationally produced brochures or leaflets on CITES	Enclosed	
	produced for educational or public awareness purposes	Not available	
		Not relevant	
	Comments See website link provided in earlier section.		
	Happy to provide hard copies if requested.		