

A. General information

Party	United Kingdom
Period covered in this report:	1 January 2007 to 31 December 2008
Details of agency preparing this report	UK Management Authority
Contributing agencies, organizations or individuals	Scientific Authorities- JNCC (Fauna), Royal Botanic Gardens, Kew (Flora), Enforcement Authorities (Defra, HM Revenue and Customs, UK Border Agency (UKBA) the Police Authorities)

B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input type="checkbox"/> Yes (partly) <input checked="" type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: Title and date: Statutory Instrument 2009 Status: Came into force 10 November 2007 Statutory Instrument 2007, No 2952 The Control of Trade in Endangered Species (Enforcement) (Amendment) Regulations 2007 Brief description of contents: These Regulations amend the Control of Trade in Endangered Species (Enforcement) Regulations 1997 by replacing the reference to Commission Regulation (EC) No. 939/97 with a reference to the current Commission Regulation (Commission Regulation (EC) No. 865/2006 , OJ No. L166, 19.6.2006, p. 1) and making consequential changes to references to Article numbers of that Regulation. A copy of the regulation can be found at: http://www.opsi.gov.uk/si/si2007/uksi_20072952_en_1					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input checked="" type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable				
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional comments

Some bird species listed on CITES must be registered with the department and the taking and sale of native birds and animal species needs a license under UK conservation legislation.

Other UK legislation such as the Wildlife and Countryside Act 1981 (WCA) makes it illegal to trade in certain species including all cetaceans [S. 9(5)(a) WCA] and also the Habitats Regs make it an offence to, sell or exchange, or offer for sale or exchange any live or dead cetacean, or any part of or anything derived from one – R. 39(2).

More information is available at ;

<http://www.defra.gov.uk/wildlife-pets/wildlife/protect/bird-habitat/amend.htm> and <http://www.defra.gov.uk/wildlife-pets/wildlife/protect/documents/habitat-reg.pdf> and a list of CITES species protected by the Habitats Regs is attached.

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify):					
<p>Please provide details if available: A scoping study in 2008 identified that the UK had strong powers and penalties in place but needed to update the current domestic legislation to take account of new developments such as non invasive DNA sampling and caviar labelling This has led to a programme to replace current Statutory Instruments by 2011</p> <p>The study also incorporated a review of current sanctions and problems with inter-community movement of illegal specimens. A review of sanctions including the use of administrative measures is planned to take place in 2011.</p>					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes	<input checked="" type="checkbox"/>
				No	<input type="checkbox"/>
Please provide details if available: A review of the Control of Trade in Endangered Species (Enforcement) Regulations (COTES) which enforces CITES in the UK started in 2009.					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex. <i>Details attached</i>	Number <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> Total seizures are 2007 = 360 2008 = 431		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.A			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input checked="" type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments: UKBA have used eco-messages.			
12	Has your country been involved in cooperative enforcement activities with other countries	Yes		<input checked="" type="checkbox"/>
	(e.g. exchange of intelligence, technical support, investigative assistance,	No		<input type="checkbox"/>
		No information		<input type="checkbox"/>

	joint operation, etc.)?	
13	<p>If Yes, please give a brief description:</p> <p>Zambia: Enquiries into seizures of live tortoises made in UK. Ghana: Enquiries into seizures of live tortoises made in UK. Republic of Ireland: Identification of detained specimens. Macedonia: Enquiries into seizures of seized tortoises made into UK. Details passed to M.A. for consideration. New Zealand: Operations on Reptile smuggling. U.S.A: Considerable dialogue concerning permit validity and legislation. France: exchange of information concerning ivory seizure. Netherlands: Joint operation on export of ivory. EU. All interesting seizures disseminated via EU Twix alert system. Germany: Joint operation regarding reptile smuggling. Sweden: Joint operation regarding reptile smuggling. Czech Republic: Intelligence exchange regarding seized reptiles Switzerland: Intelligence exchange on traders. South Korea: exchange of information concerning CITES permits. Cayman Islands: Technical cooperation. Brazil: Technical cooperation regarding disposal of seized specimens. South Africa: Information exchange regarding seized specimens and CITES permit irregularities</p> <p>The UK National Wildlife Crime Unit (NWCU) have used Interpol to circulate intelligence</p>	
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
15	If Yes, please describe:	
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
	Comments: NWCU undertake an annual wildlife crime Strategic Assessment (SA) which describes and assesses the current threats posed by criminals involved in all types of wildlife crime. Once wildlife crime priorities have been agreed, annual operation plans are drawn up to tackle each priority. The illegal trade in CITES species was identified as a UK wildlife priority in 2007 and 2008 (and continues to be so). NWCU sit on a multi – agency ‘High Level Group’ (HLG) which is made up of UK enforcement agencies including police and customs. The HLG provides the enforcement response for wildlife crime in the UK	
17	Please provide details of any additional measures taken: During 2007-08, and as a result of the strategic assessment, the UK Border Agency targeted five CITES priority areas – tortoises, ivory, traditional medicines, ramin and caviar. These priority areas were specifically identified in the annual operational plan.	

D. Administrative measures

D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. – Two Government bodies make up the UK CITES MA, the Department for Environment Food and Rural Affairs (Defra) that deals with CITES policy issues and Animal Health, Wildlife Licensing and Registration Service – who undertake licensing activities for the UK.	
5	How many staff work in each MA? 7.5 in the CITES policy team and 33 in CITES licensing	
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	If yes, please give estimation 75%	
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input checked="" type="checkbox"/>
	– Biology	<input type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved. Forensic identification of CITES listed timber and wood products (see http://randd.defra.gov.uk/Default.aspx?Menu=Menu&Module=More&Location=None&ProjectID=15329&FromSearch=Y&Publisher=1&SearchText=CITES&SortString=ProjectCode&SortOrder=Asc&Paging=10#Description for more information on the project)	
10	Please provide details of any additional measures taken	

D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority? The UK has 2 Scientific Authorities - Joint Nature Conservation Committee (JNCC) (fauna) and Royal Botanic Gardens Kew (flora)	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable	
	- Government institution - JNCC		<input checked="" type="checkbox"/>
	- Academic or research institution - RBG Kew		<input checked="" type="checkbox"/>
	- Permanent committee		<input type="checkbox"/>
	- Pool of individuals with certain expertise		<input type="checkbox"/>
	- Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? RBG Kew 3.6, JNCC 4.2 (total 7.8)		
6	Can you estimate the percentage of time they spend on CITES related matters? If yes, please give estimation; RBG Kew, 3 @ 100%, 1 @ 60% JNCC, 3 staff @100%, 1 staff@ 80%, 1 staff@ 40%	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable	
	- Botany - Kew		<input checked="" type="checkbox"/>
	- Ecology-JNCC		<input checked="" type="checkbox"/>
	- Fisheries-JNCC		<input checked="" type="checkbox"/>
	- Forestry - Kew		<input checked="" type="checkbox"/>
	- Welfare captive husbandry - JNCC		<input checked="" type="checkbox"/>
	- Zoology-JNCC		<input checked="" type="checkbox"/>
	- Other (specify)-JNCC sustainable use/production systems		<input type="checkbox"/>
	- Kew – capacity building, artificial propagation, plant trade issues, sustainable use, taxonomy and nomenclature, horticulture, wood anatomy, DNA techniques - Kew)		<input type="checkbox"/>
	- No information		<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	Aves, diurnal raptors	Guinea	Yes	Yes	Yes	Yes	checklist
	Corals	Fiji, Tonga, Samoa, Vanuatu	Yes	Yes	Yes	No	checklist
	Testudinid	No	No	No	No	No	Study into alternative forms of marking testuinids
	etc.						
						No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes	<input checked="" type="checkbox"/>
						No	<input type="checkbox"/>
						No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken: Timber trade, wood identification (ramin), bulb research in Georgia						

D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: The UK Border Agency (UKBA) which includes frontier anti-smuggling staff is responsible for CITES enforcement at the borders. UKBA have a dedicated CITES team based at Heathrow Airport who act as a national focal point for all CITES operational enforcement and import/export issues.		
5	Please provide details of any additional measures taken: Continued funding for the UK National Wildlife Crime Unit. Most UK police forces have police wildlife crime officers and the Metropolitan Police are partners in Operation Charm which is an initiative against the illegal trade in endangered species in the UK and uses a combination of law enforcement and publicity in London.		

D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?	Tick if applicable
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	– Permit issuance	<input checked="" type="checkbox"/>
	– Not at all	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>

2	Do the following authorities have access to the Internet? Tick if applicable							
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
3	Do you have an electronic information system providing information on CITES species?		Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	No information	<input type="checkbox"/>
4	If Yes, does it provide information on: Tick if applicable							
	– Legislation (national, regional or international)?						<input checked="" type="checkbox"/>	
	– Conservation status (national, regional, international)?						<input checked="" type="checkbox"/>	
	– Other (please specify)? Guidance to traders and taxonomy						<input checked="" type="checkbox"/>	
5	Is it available through the Internet:		Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	Not applicable	<input type="checkbox"/>
			No information	<input type="checkbox"/>	Please provide URL: http://www.defra.gov.uk/wildlife-pets/wildlife/trade-crime/cites/about.htm http://www.defra.gov.uk/animalhealth/CITES/			
6	Do the following authorities have access to the following publications? Tick if applicable							
	Publication	Management Authority	Scientific Authority	Enforcement Authority				
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				
7	If not, what problems have been encountered to access to the mentioned information?							
8	Have enforcement authorities reported to the Management Authority on: Tick if applicable							
	– Mortality in transport?						<input checked="" type="checkbox"/>	
	– Seizures and confiscations?						<input checked="" type="checkbox"/>	
	– Discrepancy in number of items in permit and number of items actually traded?						<input checked="" type="checkbox"/>	
	Comments:							
9	Is there a government website with information on CITES and its requirements?		Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	No information	<input type="checkbox"/>

	<p>If Yes, please give the URL:</p> <p>http://www.defra.gov.uk/wildlife-pets/wildlife/trade-crime/cites/index.htm</p> <p>http://www.defra.gov.uk/animalhealth/CITES/.</p> <p>http://www.direct.gov.uk/en/TravelAndTransport/TravellingAbroad/BringinggoodsorcashintotheUK/DG_177075</p> <p>http://customs.hmrc.gov.uk/channelsPortalWebApp/channelsPortalWebApp.portal?_nfpb=true&_pageLabel=pageExcise_ShowContent&id=HMCE_CL_001568&propertyType=document</p>	
10	<p>Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?</p> <p>– Press releases/conferences <input checked="" type="checkbox"/></p> <p>– Newspaper articles, radio/television appearances <input checked="" type="checkbox"/></p> <p>– Brochures, leaflets <input checked="" type="checkbox"/></p> <p>– Presentations <input checked="" type="checkbox"/></p> <p>– Displays <input checked="" type="checkbox"/></p> <p>– Information at border crossing points <input checked="" type="checkbox"/></p> <p>– Telephone hotline – <i>Customs hotline</i> <input checked="" type="checkbox"/></p> <p>– Other (specify) – <i>attendance at public shows</i> <input checked="" type="checkbox"/></p> <p>Please attach copies of any items as Annex.</p>	Tick if applicable
11	<p>Please provide details of any additional measures taken: A short survey was undertaken by the UK Central Office of Information (COI) in March 2008 to assess public awareness of CITES restrictions – copy attached</p>	

D5 Permitting and registration procedures

1	<p>Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?</p> <p>If no, please provide details of any:</p> <p>Changes in permit format:</p> <p>Changes in designation or signatures of relevant officials: One new member of staff employed by the CITES licensing team in Animal Health.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																
2	<p>To date, has your country developed written permit procedures for any of the following?</p> <table border="1"> <thead> <tr> <th></th> <th>Yes</th> <th>No</th> <th>No information</th> </tr> </thead> <tbody> <tr> <td>Permit issuance/acceptance</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Registration of traders</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Registration of producers</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>		Yes	No	No information	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Tick if applicable
	Yes	No	No information															
Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>															
Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>															
Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>															

3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	13528	2231	8263	29464	Other = EC Certificates
	How many applications were denied because of severe omissions or mis-information?	123	8	29	293	
	Year 2					
	How many documents were issued?	17537	1927	7663	24180	
	How many applications were denied because of severe omissions or mis-information?	179	4	41	224	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.					Tick if applicable
	Reason			Yes	No	No information
	Technical violations			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments Not applicable – no UK quotas as yet					
8	How many times has the Scientific Authority been requested to provide opinions? 11128 Applications covering 39438 specimens					
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?					Tick if applicable
	– Issuance of CITES documents:					<input checked="" type="checkbox"/>
	– Licensing or registration of operations that produce CITES species:					<input type="checkbox"/>
	– Harvesting of CITES-listed species :					<input type="checkbox"/>
	– Use of CITES-listed species: <i>Commercial use</i>					<input checked="" type="checkbox"/>
	– Assignment of quotas for CITES-listed species:					<input type="checkbox"/>
	– Importing of CITES-listed species:					<input checked="" type="checkbox"/>
– Other (specify):					<input type="checkbox"/>	
10	If Yes, please provide the amounts of such fees. A full list of fees is attached to the report at Annex B					
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?					Tick if applicable
	– Entirely:					<input type="checkbox"/>
	– Partly:					<input checked="" type="checkbox"/>
	– Not at all:					<input type="checkbox"/>

	– Not relevant: <input type="checkbox"/>
	Comments:
12	Please provide details of any additional measures taken:

D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?					Tick if applicable	
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>			
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>			
	Development of implementation tools	<input checked="" type="checkbox"/>	Computerisation	<input type="checkbox"/>			
	– Other (specify)					<input type="checkbox"/>	
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Target group						
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Timber identification from German CITES MA (BfN)
	Traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	General caviar awareness from caviar traders Coral, tropical fish awareness
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Coral identification from zoological institutions

3	<p>Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?</p> <p>Please tick boxes to indicate which target group and which activity.</p>																																																															
	<table border="1"> <thead> <tr> <th data-bbox="239 481 718 504">Target group</th> <th data-bbox="718 481 821 504">Oral or written advice/guidance</th> <th data-bbox="821 481 917 504">Technical assistance</th> <th data-bbox="917 481 1005 504">Financial assistance</th> <th data-bbox="1005 481 1077 504">Training</th> <th data-bbox="1077 481 1133 504">Other (specify)</th> <th data-bbox="1133 481 1436 504">Details</th> </tr> </thead> <tbody> <tr> <td data-bbox="239 504 718 571">Staff of Management Authority</td> <td data-bbox="718 504 821 571"><input checked="" type="checkbox"/></td> <td data-bbox="821 504 917 571"><input checked="" type="checkbox"/></td> <td data-bbox="917 504 1005 571"><input type="checkbox"/></td> <td data-bbox="1005 504 1077 571"><input checked="" type="checkbox"/></td> <td data-bbox="1077 504 1133 571"><input type="checkbox"/></td> <td data-bbox="1133 504 1436 571">JNCC - Cayman Islands, MA and SA</td> </tr> <tr> <td data-bbox="239 571 718 616">Staff of Scientific Authority</td> <td data-bbox="718 571 821 616"><input checked="" type="checkbox"/></td> <td data-bbox="821 571 917 616"><input checked="" type="checkbox"/></td> <td data-bbox="917 571 1005 616"><input type="checkbox"/></td> <td data-bbox="1005 571 1077 616"><input type="checkbox"/></td> <td data-bbox="1077 571 1133 616"><input type="checkbox"/></td> <td data-bbox="1133 571 1436 616"></td> </tr> <tr> <td data-bbox="239 616 718 1086">Staff of enforcement authorities</td> <td data-bbox="718 616 821 1086"><input checked="" type="checkbox"/></td> <td data-bbox="821 616 917 1086"><input checked="" type="checkbox"/></td> <td data-bbox="917 616 1005 1086"><input type="checkbox"/></td> <td data-bbox="1005 616 1077 1086"><input checked="" type="checkbox"/></td> <td data-bbox="1077 616 1133 1086"><input type="checkbox"/></td> <td data-bbox="1133 616 1436 1086"> JNCC - Regular CITES training for Police and Customs authorities in Metropolitan UK and HM Wildlife Inspectorate UKBA enforcement training given to UKBA detection officers, police, MA's and SA's/ Kew – training given to Wildlife Inspectorate staff on CITES plants issues and post CoP14 changes </td> </tr> <tr> <td data-bbox="239 1086 718 1198">Traders</td> <td data-bbox="718 1086 821 1198"><input checked="" type="checkbox"/></td> <td data-bbox="821 1086 917 1198"><input type="checkbox"/></td> <td data-bbox="917 1086 1005 1198"><input type="checkbox"/></td> <td data-bbox="1005 1086 1077 1198"><input type="checkbox"/></td> <td data-bbox="1077 1086 1133 1198"><input type="checkbox"/></td> <td data-bbox="1133 1086 1436 1198">Kew - Fed into Sustainable User's network (SUN) meetings and</td> </tr> <tr> <td data-bbox="239 1198 718 1265">NGOs</td> <td data-bbox="718 1198 821 1265"><input checked="" type="checkbox"/></td> <td data-bbox="821 1198 917 1265"><input type="checkbox"/></td> <td data-bbox="917 1198 1005 1265"><input type="checkbox"/></td> <td data-bbox="1005 1198 1077 1265"><input type="checkbox"/></td> <td data-bbox="1077 1198 1133 1265"><input type="checkbox"/></td> <td data-bbox="1133 1198 1436 1265">Link (UK NGO umbrella organisation) meetings</td> </tr> <tr> <td data-bbox="239 1265 718 1344">Public</td> <td data-bbox="718 1265 821 1344"><input type="checkbox"/></td> <td data-bbox="821 1265 917 1344"><input type="checkbox"/></td> <td data-bbox="917 1265 1005 1344"><input type="checkbox"/></td> <td data-bbox="1005 1265 1077 1344"><input type="checkbox"/></td> <td data-bbox="1077 1265 1133 1344"><input type="checkbox"/></td> <td data-bbox="1133 1265 1436 1344">JNCC - CITES MSc course in Spain</td> </tr> <tr> <td data-bbox="239 1344 718 1668">Other parties/International meetings</td> <td data-bbox="718 1344 821 1668"><input checked="" type="checkbox"/></td> <td data-bbox="821 1344 917 1668"><input checked="" type="checkbox"/></td> <td data-bbox="917 1344 1005 1668"><input type="checkbox"/></td> <td data-bbox="1005 1344 1077 1668"><input type="checkbox"/></td> <td data-bbox="1077 1344 1133 1668"><input type="checkbox"/></td> <td data-bbox="1133 1344 1436 1668"> JNCC - UK OTs and Crown Dependencies. 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4	<p>Please provide details of any additional measures taken</p> <p>A joint UKBA/Police CITES training course runs twice a year for up to 20 delegates per course.</p>																																																															

D7 Collaboration/co-operative initiatives

1	<p>Is there an inter-agency or inter-sectoral committee on CITES? The Partnership for Action Against Wildlife Crime (PAW) oversees wildlife law enforcement generally and includes CITES in its remit, in the UK.</p> <p>CITES Officers Group (COG) – CITES MA, Police, Customs and the UK SA's</p> <p>A UK High Level Group (HLG) which includes the statutory enforcement agencies, Police, Customs and Govt Depts</p> <p>The CITES Joint Liaison Group (JLG) which is made up of NGO's, traders and CITES MA</p> <p>The Inter-departmental Ministerial Group on Biodiversity (IDMGB) Foreign and Commonwealth Office (FCO), Defra, Dept for International Development (DfID) and JNCC.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																								
2	<p>If Yes, which agencies are represented and how often does it meet? The PAW steering group meets three times a year as does the HLG. COG and JLG meetings are held 2-3 times a year. IDMGB met in March 2007.</p>																									
3	<p>If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th style="text-align: center;">Daily</th> <th style="text-align: center;">Weekly</th> <th style="text-align: center;">Monthly</th> <th style="text-align: center;">Annually</th> <th style="text-align: center;">None</th> <th style="text-align: center;">No information</th> <th style="text-align: center;">Other (specify)</th> </tr> </thead> <tbody> <tr> <td>Meetings</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Consultations</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>			Daily	Weekly	Monthly	Annually	None	No information	Other (specify)	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Daily	Weekly	Monthly	Annually	None	No information	Other (specify)																			
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Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																				
4	<p>At the national level have there been any efforts to collaborate with:</p>	<p>Tick if applicable</p>	<p>Details if available</p>																							
	Agencies for development and trade	<input checked="" type="checkbox"/>	Kew – in contact with FCO, DfID																							
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>	Capacity building with the Cayman Islands																							
	Local authorities or communities	<input type="checkbox"/>																								
	Indigenous peoples	<input type="checkbox"/>																								
	Trade or other private sector associations	<input checked="" type="checkbox"/>	Corallium workshop Eel traders PAW membership is made up of Govt Dept/agencies, Police and Customs and also over 100 NGO partners																							
	NGOs	<input checked="" type="checkbox"/>	Joint NGO Liaison Group meetings held 3 times a year, Sustainable Users Network (SUN pet/plant trade) LINK – conservation NGOs, TRAFFIC (wildlife trade monitoring) UNEP-WCMC																							
	Other (specify)	<input type="checkbox"/>																								

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable
	Service Level Agreement (SLA) between JNCC and Animal Health	<input checked="" type="checkbox"/>
	SLA between RBG Kew and Defra	
	NWCU SLA with Animal Health	
	Enforcement MoU with Defra/Animal Health Agency/HM RC/NWCU	
	Customs	<input checked="" type="checkbox"/>
	Police	<input checked="" type="checkbox"/>
	Other border authorities (specify)	<input type="checkbox"/>
	Other government agencies	<input type="checkbox"/>
	Private sector bodies	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>
	Other (specify) MoU between JNCC and the Falkland Islands and JNCC and BIOT (in both cases for JNCC to act as scientific authority)	<input checked="" type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?	Tick if applicable
	Workshops JNCC: NDF workshop in Mexico, Corallium workshop-Hong Kong	<input checked="" type="checkbox"/>
	Meetings – EU CITES meetings, CITES Man Com, Scientific Review Group, Enforcement Working Group, Standing Committee, European eel EC working group	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
8	If Yes, which one(s) and in what way?	
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided? Enforcement training (Indian forestry officials and Polish enforcement officers) CITES & plants issues (Trinidad and Tobago), general CITES plants enquiries from EU member states . UKBA enforcement have provided CITES enforcement training (including working with the UKSA on technical training on timber issues) in Poland x 2, Romania x 2, Slovakia x 2, Cayman Islands and China. As part of the UK/India Sustainable Development Dialogue (SDD) Defra has contributed financially to the development of a training plan for Indian wildlife law enforcers including a module on illegal trade in CITES specimens. SDD funding was also made available to fund an exchange trip of Indian officials to the UK to exchange good practice and gain knowledge of CITES implementation in the UK.	
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
12	If Yes, please give a brief description.	

13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
14	If Yes, please give a brief description. Kew training packages often include teaching CITES and CBD together. The UK MA policy team has expanded its remit to cover other MEA's including CMS and daughter agreements			
15	Please provide details of any additional measures taken:			

D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty? Implementation of the personal effects derogation which UKBA think that whilst the regulations are understood by those who deal with CITES on a day-to-day basis they are not by the vast majority of customs officers who have to enforce them.			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Kew – identification of certain species, in particular timber species listed under CITES	No information		
5	If Yes, please describe the constraint and the type of attention or assistance that is required. Kew - difficult to identify individual species in trade. More research necessary to improve identification techniques e.g. DNA tests			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
7	If Yes, please give a brief description. Personal effects derogation, needs refining and clear guidance as it is complicated and difficult to understand. Annotations need clearer guidance, some enforcement officers find some of them confusing The definition of worked specimens is unclear with different countries having different ideas of what is worked, clear guidance is needed.			
8	Please provide details of any additional measures taken:			

E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited 2007 seizures	Enclosed	<input checked="" type="checkbox"/>
	See attached	Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
	See attached	Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input checked="" type="checkbox"/>
	Comments Leaflet produced providing guidance on the commercial use of caviar has been published, a copy can be found at http://www.defra.gov.uk/animalhealth/publications/CITES/Caviar.pdf	Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

ANNEX - PROPOSED BIENNIAL REPORT FORMAT

PART 2 - SUPPLEMENTARY QUESTIONS¹

Note: Questions in Part 2 are additional to those in Part 1, and relate to information on the provisions of the EC Regulations (Regulation (EC) No. 338/97 and Regulation (EC) No. 865/2006) that fall outside the scope of CITES.

Please be aware that questions in Part 2 have been updated since the last reporting period, and this new version should be used when submitting biennial reports.

¹ Part 2 agreed at COM 45

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PART 2 SUPPLEMENTARY QUESTIONS

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.																																																					
2b	<p>If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:</p> <p>The review of The Control of Trade in Endangered Species (Ports of Entry) 1985 regulation started in Spring 2007 with a public consultation which completed in October 2007, a final impact assessment is in progress with a view to the regulation being in place in 2010</p> <p>Title and date: _____ Status: In progress</p> <p>Brief description of contents: The planned regulations will update the ports of entry and exit through which CITES specimens can enter the UK from third countries.</p>																																																					
5b	<p>Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species²?</p> <p>Tick all applicable categories below that these categories apply to.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width: 25%;">Issue</th> <th colspan="3" style="width: 30%;">The conditions for:</th> <th colspan="3" style="width: 30%;">The complete prohibition of:</th> </tr> <tr> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table> <p>Additional comments</p> <p>Some bird species must be registered with the department and the taking and sale of native birds and animal species needs a license under UK conservation legislation.</p> <p>The Natural Environment and Rural Communities Act 2006 (NERC) made it an offence to sell, offer or expose for sale, in a persons' possession or transport for the purpose of sale, certain live non-native species which are banned by Order</p> <p>A review of Schedule 9 to the Wildlife and Countryside Act 1981 and the ban on sale of certain non-native species was completed in January 2008.</p>						Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	Yes	No	No information	Yes	No	No information																																																
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8b	<p>Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i>?</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="width: 10%;">Yes</th> <th style="width: 10%;">No</th> <th style="width: 10%;">No information</th> </tr> </thead> <tbody> <tr> <td>Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table> <p>Please provide details if available: a review of invasive non native species started in 2007</p>							Yes	No	No information	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																				
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² In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

9b	<p>Please provide the following details about Regulations-related violations:</p> <ul style="list-style-type: none"><li data-bbox="240 190 1449 313">i) Maximum penalties that may be imposed; Under the 'Control of Trade in Endangered Species Regulations 1997', amended in 2005 and 2008 up to 5 years imprisonment and/or an unlimited fine. The Customs and Excise Management Act 1979 provides for up to 7 years imprisonment for certain import/export offences and/or an unlimited fine.<li data-bbox="240 324 1449 385">ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).
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C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>	
9b	Please provide the following details about Regulations-related violations: i) Maximum sanctions which have been imposed over this reporting period; ii) The outcomes of any prosecutions; See annex		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>	
	Comments:		
18 new	Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i> , Article 66)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
		No information <input type="checkbox"/>	
	Comments: All keepers of live Annex A listed specimens must mark all specimens kept for commercial purposes.		
19 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i>).	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
		No information <input type="checkbox"/>	
	Comments:		
20 new	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
		No information <input type="checkbox"/>	
	Comments: UK action plan attached		
21 new	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
		No information <input type="checkbox"/>	
	If yes, please provide details.		
	Comments: A dedicated UKBA/CITES team are based at Heathrow, The Animal Reception Centre at Heathrow can provide accommodation for CITES specimens, Animal Health Agency Wildlife Inspectors ensure compliance with CITES licensing requirements, GenProbe (formally Wildlife DNA Services) provide assistance with DNA testing of CITES specimens for enforcement authorities.		
22 new	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
		No information <input type="checkbox"/>	
	Comments:		

23 new	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: UKBA train own detection staff and UK police forces wildlife officers			
24 new	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: Animal Health wildlife inspectors provide direct support to enforcement officers and compliance checks where needed, in addition a Police Wildlife Crime Officer has been seconded to the post of Chief Wildlife Inspector to take a more enforcement focused approach to CITES compliance checks. Kew requested inspections at seven UK horticultural shows in '07 and five in '08 and tracked over 10 timber traders to ensure compliance			
25 new	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III h.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: All UKBA customs examinations of CITES derivatives or live animals are conducted using a risk based system from low to high. In addition UKBA are able to set profiles on their computerised entry clearance system in order to automatically select or identify shipments being imported from third countries that are worthy of examination or require document validation i.e. CITES permits			
26 new	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: at Heathrow Airport and Kew – Quarantine House available for plant seizures			
27 new	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III e.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
28 new	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III j.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: As part of the Sustainable Development Dialogue the UK has with India, a number of exchange visits between enforcement and Government officials have taken place including visits to India to assist with the setting up of the Indian Wildlife Crime Bureau			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: visit to the UK from the Indian Forestry officials and Trinidad and Tobago Herbarium staff. Visit by UK NWCUC staff to India to exchange ideas on enforcement, visit of Indian officials to the UK to key government and enforcement officials			

D. Administrative measures

D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Research undertaken into the impact of introducing full cost CITES fees to customers which also considered the impacts of on-compliance			
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i>)?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>

D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>			
	If Yes, please give the species name and provide details of the kind of research involved.						
9b	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
							No information <input type="checkbox"/>

11 new	How many Scientific Review Group (SRG) meetings have the SA attended? Kew 4 in 2007, JNCC have attended all of them	Number	<input type="checkbox"/>
	Indicate any difficulties that rendered attendance to the SRG difficult:		

D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species	<input checked="" type="checkbox"/>	
	– Other matters not reported on in question D4 (1) (please specify)	<input type="checkbox"/>	
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)? If yes, please provide details of these Regulation-related matters and the amount of any such fees.	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage 64.5%	<input type="checkbox"/>
		No information	<input type="checkbox"/>
14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. The UK have 26 companies registered under the provisions of Article 19 of EC Reg 865/2006. No companies were registered under Article 18.	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
16 new	Have scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. During 2007/08 20 institutions were registered under the provision of Article 60 in 2007/08 Plants – review of listed/potential institutes underway	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. 110 breeders	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

18 new	Have Caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. There are 6 UK caviar re-packaging plants, details attached at Annex C	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. 5 export and 7 re-export permits have been issued retrospectively	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

D8 Areas for future work

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring attention or assistance?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

CITES violations – sanctions

<u>Date</u>	<u>Offence Summary</u>	<u>Sentence</u>
08/06/07	Selling two Moluccan cockatoos	Conditional discharge six months, £120 costs, £400 compensation to buyer
10/07/07	Keeping fur coats for sale incl leopard, ocelot and tiger	£900 fine and £47 costs
08/10/07	Display of peregrine, eagle owl and barn owl	Conditional discharge
13/06/08	Selling elephant ivory, hippo ivory and sperm whale teeth	two year suspended sentence and £1480 costs

FEES FOR CITES APPLICATIONS**1 Import, export and re-export permit application fees**

Plants and corals	Fee
Application for fewer than five genera where the import, export or re-export is for commercial purposes	£7 for each genus
Application for five or more genera where the import, export and re-export is for commercial purposes	£5 for each genus
Application for any number of genera where the import, export or re-export is not for commercial purposes	£5 for each genus
Mammals, birds, reptiles and others	
Application for fewer than five species where the import, export or re-export is for commercial purposes	£10 for each species
Application for five or more species where the import, export and re-export is for commercial purposes	£8 for each species
Application for any number of species where the import, export or re-export is not for commercial purposes	£5 for each species

REGISTERED UK CITES CAVIAR RE-PACKAGING PLANTS

Company Name	Company address	Registration number
Kings Fine Foods	2 Mill Farm Business Park, Millfield Road, Hanworth, Middlesex TWA 5PY	GB-0001
Caviar House & Prunier	253 Ely Road Heathrow Airport Hounslow, Middlesex TW6 2RF	GB-0002
Princess d'Isenbourg et Cie	2-3 Bard Road Holland Park London W10 6PT	GB-0003
W.G. White	17 Northfield Industrial Estate Beresford Avenue Wembley Middlesex HA0 1GJ	GB-0004
Caspian Caviar Ltd	Grindstone Mill Alderley Wotton-under-Edge Gloucestershire GL12 7QT	GB-0005
Imperial Caviar UK	4 Kingswood Close Weybridge Surrey KT13 0RE	GB-0008

UK biennial Report 2007-08 – additional documents

STATUTORY INSTRUMENTS

2007 No. 2952

WILDLIFE

The Control of Trade in Endangered Species (Enforcement) (Amendment) Regulations 2007

Made

10th October 2007

Laid before Parliament

12th October 2007

Coming into force

10th November 2007

The Secretary of State, in exercise of the powers conferred on him by section 2(2) of the European Communities Act 1972(1), being the Minister designated(2) for the purposes of that subsection in relation to the regulation and control, in the interests of conservation, of the import, export, landing, keeping, transportation and commercial display of, and trade in and disposal of, fauna and flora (including their parts and derivatives) and in relation to anything supplemental or incidental to those matters, makes the following Regulations.

Title and commencement

1. These Regulations may be cited as the Control of Trade in Endangered Species (Enforcement) (Amendment) Regulations 2007 and come into force on 10th November 2007.

Amendment to the Control of Trade in Endangered Species (Enforcement) Regulations 1997

2.—(1) The Control of Trade in Endangered Species (Enforcement) Regulations 1997(3) are amended in accordance with this regulation.

(2) In regulation 2(1) for the definition of “Subsidiary Regulation” substitute—
““Subsidiary Regulation” means Commission Regulation No. 865/2006 laying down detailed rules concerning the implementation of Council Regulation No. 338/97”.

(3) In regulation 2(2)(d), for “Article 18” substitute “Article 17, 30 or 37”.

(4) In regulation 2(2)(f) for “Article 43” substitute “Article 72”.

Joan Ruddock
Parliamentary Under Secretary of State
Department for Environment, Food and Rural Affairs

10th October 2007

EXPLANATORY NOTE

(This note is not part of the Regulations)

These Regulations amend the Control of Trade in Endangered Species (Enforcement) Regulations 1997 by replacing the reference to Commission Regulation (EC) No. 939/97 with a reference to the current Commission Regulation (Commission Regulation (EC) No. 865/2006, OJ No. L166, 19.6.2006, p. 1) and making consequential changes to references to Article numbers of that Regulation.

A full impact assessment has not been produced for this instrument as no impact on the private or voluntary sectors is foreseen.

(1)

[1972 c.68. Back \[1\]](#)

(2)

S. I. 1985/956. [Back \[2\]](#)

(3)

S. I. 1997/1372 to which there is an amendment not relevant to these Regulations.
[Back \[3\]](#)

Communication, information management and exchange -Kew's activities:

- Conferences (Kew) – Defra Wildlife Inspector's conference (timber – Jan '07); UK Police-Customs course (CITES & plants – March '07, March '08 & Nov '08); CITES Master's Course, Baeza Spain (Significant Trade Review & work of the UK SA – March '07 & June '08); Police Wildlife Crime Officers conference (timber – Oct '07 & Oct '08); Herbarium Techniques course, Trinidad & Tobago (CITES & plants – Nov '07); The Growth and Control of International Environmental Crime, Chatham House, London (Wildlife crime – Dec '07); Polish enforcement officers (CITES & timber – Feb '08); European Regional CITES meeting, Lampedusa, Italy (timber identification - Oct '08); Non-detriment Finding Workshop, Cancun, Mexico (Nov. '08)
- Newspaper articles, radio/television appearances – Articles on internet covering the follow-up to Dr Lim orchid smuggling case under criminal assets forfeiture.
- Brochures, leaflets (Kew) – handouts and leaflets presented at Defra Wildlife Inspector's conference (timber – Jan '07); UK Police-Customs course (CITES & plants – March '07, March '08 & Nov '08); CITES Master's Course, Baeza Spain (Significant Trade Review – March '07); Police Wildlife Crime Officers conference (timber – Oct '07 & Oct '08); Herbarium Techniques course, Trinidad & Tobago (CITES & plants – Nov '07)
- Presentations (Kew) - presentations given at Defra Wildlife Inspector's conference (timber – Jan '07); UK Police-Customs course (CITES & plants – March '07, March '08 & Nov '08); CITES Master's Course, Baeza Spain (Significant Trade Review & work of the UK SA – March '07); Police Wildlife Crime Officers conference (timber – Oct '07 & Oct '08); Herbarium Techniques course, Trinidad & Tobago (CITES & plants – Nov '07); Higher education courses in '07 & '08 (Tropical plant identification, Exeter MSc Biodiversity & Conservation, South Bank Forensics Course, MSc Conservation Science (Imperial), Plant Conservation Strategies Course, Birmingham MSc, Undergraduate Training Day - Complementary Therapies, Kent Ethnobotany – Jan '07 – Dec '07);); National Botanic Garden and Smithsonian institute, Washington, USA (CITES and UK SA - Jan '08); Polish enforcement officers (CITES & timber – Feb '08); National Council for the Conservation of Plants and Gardens (NCCPG – CITES and plants – Oct '08); European Regional CITES meeting, Lampedusa, Italy (timber identification - Oct '08); CITES training for Northern Ireland enforcement officers (CITES & plants – Oct '08)
- Displays (Kew) - Defra Wildlife Inspector's conference (timber – Jan '07); UK Police-Customs course (CITES & plants – March '07, March '08 & Nov '08); Police Wildlife Crime Officers conference (timber – Oct '07 & Oct '08)

UK border Agency – training activities

February 2007 a Customs CITES team officer gave a presentation on HMRC's work in CITES enforcement to approximately fifty students at Hadlow College.

In March 2007 HMRC CITES Team officers at Heathrow managed and presented five - day UK CITES Enforcement Course at Royal Botanic Gardens Kew. Sixteen Police and Customs officers attended the event including two Customs Officers from the Peoples Republic of Viet Nam.

In April 2007 CITES team officer attended a Police and Customs CITES coordinators training workshop in Goniadz, Poland organised by WWF Poland. Gave presentations on four CITES enforcement related subjects including working with experts and rehoming of CITES species (an escalating problem it would seem) and the development of the NWCU. This was a follow up to two previous training events given by HMRC in Poland; it was further evidence of the positive work undertaken by both the Police and Customs services in Poland.

In May 2007 a CITES team officer attended a CITES Enforcement training event in Cluj-Napoca, Romania organised by WWF Hungary. As the joint trainer on the event he presented all the enforcement related sessions and exercises.

In May 2007 Customs CITES Team hosted a day visit to Heathrow Animal Reception Centre from Devon & Cornwall Police Wildlife Officers. CITES awareness training event also provided.

In June 2007 two CITES Team officers attended and provided a presentation at the Defra Wildlife Inspectors conference in Tulliallan.

In July 2007 Heathrow CITES Team officers provided CITES Upskilling/awareness event for fifteen red-point staff at Heathrow.

In September 2007 HMRC CITES team officers provided CITES awareness training to UK Police officers during the Police Wildlife Crime Officers course at Wethersfield

In October 2007 HMRC CITES team officer based at Heathrow Airport was a member of the EU Commission (Taix) sponsored training delivery team. Working with a colleague from the CITES Management Authority of Germany a two day CITES enforcement seminar was provided for frontline Slovakian Customs officers and Customs Investigation officers who have a responsibility for enforcing the EU Wildlife Trade Regulations at the European Union external borders.

In February 2008 HMRC CITES team officer based at Heathrow Airport working in partnership with the UK Scientific Authority for flora (Royal Botanic Gardens, Kew) designed and delivered the first structured CITES timber enforcement course to

approximately 30 Polish frontline Customs and Police officers and staff from the Polish Customs laboratory. The two day timber course formed part of the Traffic Poland sponsored Polish Enforcement officers training week.

In March 2008 Officers from HMRC CITES team based at Heathrow in conjunction with the Warwickshire Police Wildlife Officer managed and delivered a five day CITES enforcement course hosted by the UK Scientific Authority for Flora at Kew Gardens. The event included modules covering legislation, enforcement techniques, Document fraud, Health and Safety, Wildlife forensics and identification. The course was attended by both Police and Customs staff responsible for enforcing both the EU and domestic wildlife legislation.

In April 2008 HMRC CITES team officer based at Heathrow Airport was a member of the EU Commission (Taix) sponsored training delivery team. Working with a colleague from the CITES Management Authority of Germany a two day CITES enforcement seminar was provided for Slovakian National Police officers who have a responsibility for enforcing the EU Wildlife Trade Regulations.

In May 2008 HMRC CITES team officer based at Heathrow Airport was a member of the EU Commission (Taix) sponsored training delivery team. Working with a colleague from the CITES Management Authority of Germany a two day CITES enforcement seminar was provided for officers from the Romanian Customs Service National Police officers who have a responsibility for enforcing the EU Wildlife Trade Regulations EU Wildlife Trade Regulations at the European Union external borders.

In May 2008 HMRC CITES Team Senior Officer based at Heathrow Airport was a member of the UK Foreign & Commonwealth Office sponsored training team to the Cayman Islands.

In September 2008 HMRC CITES team officers provided CITES awareness training to UK Police officers during the Police Wildlife Crime Officers course at Wethersfield

In November 2008 Officers from HMRC CITES team based at Heathrow in conjunction with the Warwickshire Police Wildlife Officer managed and delivered a five day CITES enforcement course hosted by the UK Scientific Authority for Flora at Kew Gardens. The event included modules covering legislation, enforcement techniques, Document fraud, Health and Safety, Wildlife forensics and identification. The course was attended by UK Customs staff responsible for enforcing both the EU and domestic wildlife legislation and enforcement officers from Poland.

In November 2008 CITES Team provided CITES an Upskilling event for 20 UK Border Agency red-point/Green channel officers at Heathrow.

In November 2008 eight UKBA/HMRC staff covering Operational, Investigative and policy disciplines attended the National PAW wildlife enforcement conference in Tulliallan Scotland. Two presentations were delivered and the UKBA CITES team co-delivered two workshops on CITES listed timber enforcement.

In December 2008 a member of the UKBA CITES Team at Heathrow represented the World Customs Organisation at a CITES training event for Customs officers hosted by the Chinese Customs authorities. CITES presentations covering a wide range of CITES enforcement topics were covered.

In December 2008 structured CITES awareness courses were delivered by the UKBA CITES team to UKBA anti- smuggling officers at Heathrow, informing the operational staff of current CITES trends, changes to legislation, methods of concealment and processing of CITES documentation.

In February 2009 an officer from the UKBA CITES team delivered a CITES lecture on “Joined up” UK CITES enforcement, CITES smuggling trends and the UK CITES Action plan at the University of Kent to approximately 50 degree level students and their lecturers.

In February 2009 The Detection Manager of the UKBA CITES Team attended the E-Commerce workshop in Canada as part of the UK delegation.

In March 2009 Officers from UKBA CITES team officers based at Heathrow managed and delivered a five day CITES enforcement course hosted by the UK CITES Scientific Authority for Flora at Kew Gardens. The event included modules covering legislation, enforcement techniques, Document fraud, Health and Safety, Wildlife forensics and identification. The course was attended by UK Border Agency staff and Police officers from across the United Kingdom responsible for enforcing the EU Wildlife Trade legislation. In addition the course was attended by three Police officers from Poland.

In March 2009 two UKBA CITES Team officers provided CITES enforcement awareness training and basic specimen identification to approximately 20 North Yorkshire Police wildlife officers during their annual course.

UK Ports of Entry Notification.

Notification to the Parties

No. 1000 Geneva, 19 December 1997

CONCERNING:

UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND

Designation of Ports of Entry

1. The Management Authority of the United Kingdom of Great Britain and Northern Ireland has communicated to the Secretariat the current List of Designated Ports and Airports through which live specimens must pass to enter the United Kingdom. A copy of this List, valid from 1 January 1997, is attached hereto.
2. This Notification replaces Notification to the Parties No. 359 of 19 September 1985.

UK Border Inspection Posts for Live Animals

as at 1 January 1997

Border Inspection Post		Live Animals			Remarks
Name	Type	Ungulates (1)	Registered Equidae (2)	Other Animals	.
Gatwick	Airport	-	-	-	-
Glasgow	Airport	-	-	-	.
Heathrow	Airport	-	-	-	.
Immingham	Port	-	-	-	(3)
Luton	Airport	-	-	-	(3)
Manchester	Airport	-	-	-	Cats, dogs, lagomorphs, live fish, reptiles and birds other than ratites
East Midlands	Airport	-	-	-	Tropical fish only
Royal Portbury Dock, Avonmouth	Port	-	-	-	(3)
Tilbury	Port	-	-	-	(3) Other animals - zoo animals only
Belfast	Airport	-	-	-	

International					
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- (1) Ungulates: cattle, pigs, sheep, goats, wild and domestic solipeds, etc.
- (2) As defined in Directive 90/426/EEC on health conditions governing the movement of equidae and their import from third countries (OJ No. L224, 18.8 p.42).
- (3) Not a border inspection post for any species of animals specified in the Rabies (Importation of Dogs, Cats and Other Mammals) Order 1974, as amended by the Rabies (Importation of Dogs, Cats and Other Mammals) (Amendment) Order 1994.

Commission Recommendations on wildlife crime enforcement (2007/425/EC) – UK Progress Report (2007/08)

II. Increase Enforcement Capacity	Responsibility	Desired outcome	Progress in 2007/08
<p>a) Adopting national action plans for co-ordination of enforcement with clearly defined objectives and timeframes and should be harmonised and regularly reviewed.</p>	<p>Defra (in liaison with all)</p>	<p>An agreed UK enforcement action plan</p>	<p>Defra is currently preparing a draft UK enforcement action plan by assessing UK performance against Commission Recommendation 2007/425/EC and identifying where improvements could be made.</p> <p>Following a strategic assessment of wildlife crime in the UK, the UK National Wildlife Crime Unit, (NWCU), has identified 5 national wildlife crime conservation priorities. One of those is the illegal trade in CITES species. Working groups have been set up for each priority area and plans are being drawn up to tackle the key issues. The priorities will be reviewed annually.</p>
<p>b) Ensure that all relevant enforcement agencies have adequate financial and personnel resources for the enforcement of Regulation (EC) No 338/97 and that they have access to specialized equipment and relevant expertise</p>	<p>Defra, HMRC, Home Office. Police Service.</p>	<p>Adequately financed and resourced enforcement authorities.</p>	<p>A consistent problem within enforcement authorities is maintaining a high enough profile of wildlife crime when compared with drugs, terrorism etc and therefore attracting sufficient staffing and funding</p>

			<p>resources. The establishment of a dedicated National Wildlife Crime Unit (NWCU) within the UK Police Service was a significant step and the Home Office and Defra have now each committed to contributing £150K to the NWCU, in the next three years. The Scottish Executive, Department of Environment (NI) and the Association of Chief Police Officers (ACPO) have also contributed funding.</p> <p>A move towards more use of Police Officers in regulatory bodies like Natural England and Defra’s Animal Health Agency will strengthen enforcement effort.</p> <p>A CITES training course runs twice a year for Police and Customs officers. Ongoing training is provided, the CITES Team at Heathrow Airport and the Heathrow team has added an additional specialist post to develop computer risk profiles for high risk CITES items.</p>
c) Ensure that penalties for infringements of Regulation (EC) No 338/97 act as a deterrent against wildlife trade crime, in	Defra, Animal Health, HMRC,	Strong domestic legislation which	The Control of Trade in Endangered Species (Enforcement) Regulations

<p>accordance with settled case law of the Court of Justice, are consistent as to their application and, in particular, that they take into account <i>inter alia</i> the market value of the specimens, the conservation value of the species involved in the offence and the costs incurred</p>	<p>Home Office. Police Service, Ministry of Justice.</p>	<p>provides a deterrent against wildlife crime.</p>	<p>1985 (COTES) are currently under review by Defra.</p> <p>The Customs and Excise Management Act 1976 (CEMA) contains strong penalties (up to 7 years imprisonment and/or unlimited fine) with marketing/conservation value taken into consideration when sentencing.</p> <p>The Proceeds of Crime Act 2002 (POCA) is used as a matter of course in investigations.</p>
<p>d) Carry out training or awareness raising activities for enforcement agencies, prosecution services and the judiciary</p>	<p>Defra, Animal Health, HMRC, NWCU, KEW, JNCC. PAW</p>	<p>Regular training provided for enforcement agencies, prosecution services and the judiciary.</p>	<p>Joint Police and Customs CITES courses run regularly across UK for enforcers – normally two per year, the next one is planned November 2008. A week long foundation course for Police Wildlife Crime Officers runs two/three times each year.</p> <p>Awareness raising among prosecutors and judiciary is ongoing and a presentation was given at the Magistrates seminar.</p> <p>Other training and awareness raising events taking place in 2007/8 are the annual Open PAW Seminar for PAW partners, the Wildlife Enforcement</p>

			<p>Conference and a Wildlife Inspectors training seminar run by Animal Health. The UK scientific authorities (KEW, JNCC) regularly contribute towards these by giving presentations and running workshops.</p> <p>The England and Wales wildlife crime resource CD Rom which covers all types of wildlife crime was revised and distributed to wildlife law enforcers in July 2007</p>
e) Ensure that all relevant enforcement agencies have access to adequate training on Regulation (EC) No 338/97 and on identification of species	HMRC and the Police in liaison with Defra Animal Health, Kew (flora) and JNCC (fauna)	Regular training courses available on identification of species.	<p>UKMA together with Customs and scientific advisers have participated in a number of training programmes to assist enforcement and inspection staff in CITES awareness and understanding. UKMA together with Customs and TRAFFIC International are collaborating on production of an updated TAM identification guide.</p> <p>JNCC are working on various Identification guides including: coral and testudinids.</p>
f) Ensure the provision of adequate information to the public, stakeholders and at a political level with a view, in particular, to raising awareness about the negative impacts of illegal wildlife trade	Defra and Animal Health in liaison with PAW, HMRC, Police Service.	An agreed, co-ordinated and suitably funded Communications	UK has attended various trade shows (antiques, falconers, auctioneers, reptile, tropical aquaria) and has placed articles in trade press to

	KEW, JNCC	strategy on wildlife crime.	<p>improve the general CITES awareness amongst significant trade groups. Good interaction via regular meetings with traders – e.g. Sustainable User Network.</p> <p>HMRC regularly attends roadshows with CITES items being big crowd pullers.</p> <p>The PAW publicity working group continues to work towards raising awareness of wildlife crime generally and has recently updated a set of wildlife crime leaflets aimed at the general public. The working group oversees the PAW Roadshow trailer which visits a number of country shows each year. The trailer contains a number of CITES items and information.</p>
g) In addition to the checks at border-crossing points required under Regulation (EC) No 338/97, ensuring in-country enforcement, in particular through regular checks on traders and holders such as pet shops, breeders and nurseries	Police Service, Wildlife Inspectorate, HMRC/AH	Targeted, risk-based system of checks on key traders in place (internally).	UKMA has team of some 80 part time inspectors to carry out compliance checking. In addition work is currently under way to make better use of veterinary and other border inspection staff to carry out CITES checks. Police assist with Inspectorate and Borders Agency work.

			Local compliance staff are aware of CITES regime and will consult the CITES Heathrow Team if any potential irregularities are identified during inland controls of importers and exporters.
h) Use risk and intelligence assessments systematically in order to ensure thorough checks at border-crossing points as well as in-country	HMRC/NWCU	Targeted, risk-based system of checks on key traders in place (at UK borders).	<p>UKMA is participant to a UK government scheme to improve targeting and intelligence led inspection on regulatory programmes. All Enforcement Agencies use a risk based intelligence led approach – the National Intelligence Model (NIM) which applies across all areas of criminality that pose a threat to the UK. NWCU has continued to expand it's wildlife intelligence database and HMRC is continually developing computerised risk profiles to identify suspect transactions.</p> <p>Following the Strategic Assessment of wildlife crime carried out by NWCU, the illegal trade in CITES species was identified as an enforcement priority in 2007/08 and will continue to be a priority in 2008/09.</p>
i) Ensure that facilities are available for the temporary care of seized or confiscated live specimens and mechanisms are in	HMRC, Police Service, Wildlife	Adequate system in place to ensure	HMRC have access to temporary care, the Animal Reception Centre at

place for their long-term re-homing, where necessary.	Inspectorate Corporation of London, KEW	temporary care of seized specimens.	Heathrow. CITES Team has a network of contacts for re-homing and looking after seized animals. KEW provide support in the re-housing of seized flora
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III. Increase co-operation and information exchange:	Responsibility	Desired outcome	Progress in 2007/08
<p>a) Establish procedures for co-ordinating enforcement among all their relevant national authorities through, <i>inter alia</i>, the establishment of inter-agency committees as well as memoranda of understanding and other inter-institutional cooperation agreements</p>	<p>Defra, Animal Health, PAW, HMRC, Police Service. NWCU</p>	<p>Regular meetings between key enforcement stakeholders to coordinate action.</p>	<p>The PAW Steering Group meets three times a year where a number of working groups set up to consider specific issues report to the group. The PAW steering Group takes a strategic overview of wildlife law enforcement in the UK and consists of Police, Customs and other Government departments and agencies who have an interest in wildlife law enforcement.</p> <p>The UK has a High Level Group comprising enforcement and Government officials who are responsible for ensuring that progress is made in tackling the UK wildlife crime priorities. The group meets three times a year.</p>
<p>b) Facilitate access for relevant enforcement officers to existing resources, tools and channels of communication for the exchange of information relating to the enforcement of Regulation (EC) No 338/97 and CITES, so that all relevant information is made available to enforcement officers at all levels, including front line staff</p>	<p>Defra, Animal Health, HMRC, Police Service, NWCU, Interpol.</p>	<p>List of tools available (ie, EU TWIX) and regular awareness raising exercises.</p>	<p>The NWCU provide a conduit for intelligence reports/packages to be funnelled to the most appropriate enforcement agency.</p> <p>October 2008 – NWCU are currently</p>

			creating a website with a functionality for a best practice web page which will have secure access for practitioners. This should be functioning by Spring 2009.
c) Identify and appoint national focal points for the exchange of wildlife trade information and intelligence	Defra (in liaison with all)	List of UK focal points in the various enforcement authorities	NWCU collate intelligence across UK from all sources including governmental, non-governmental and public sources on behalf of all law enforcement agencies.
d) Share relevant information about significant trends, seizures and court cases at the regular meetings of the Enforcement Group as well as inter-sessionally	Defra (in liaison with all)	System in place for gathering UK data prior to EWG meetings in Brussels.	<p>This is done through the CITES enforcement delivery group working group which operates at the tactical level in support of the strategic priorities identified by NWCU</p> <p>Use of EU Twix to share information on significant seizures/cases. HMRC provide a UK overview at the EG</p> <p>High Level Group and other relevant partner agencies informed of analysis carried out by NWCU</p> <p>October 2008 – a monthly Newsletter</p>

			is sent from NWCUC to WCO's highlighting good work and points of interest including CITES issues
e) Co-operate with relevant enforcement agencies in other Member States on investigations of offences under Regulation (EC) No 338/97	HMRC, Police Service, Interpol	Regular sharing of information on investigations between enforcement agencies in other MS.	<p>A meeting was held with the Netherlands where data sharing was discussed. Information is regularly provided through EU Twix; more detailed information requested when needed. When other EU countries are involved, enquires with other MS undertaken and information shared.</p> <p>NWCUC in regular contact with EU enforcement agencies. Disseminations via SOCA and Interpol</p> <p>NWCUC have attended the Interpol Wildlife Working Group meetings in previous years.</p>
f) Use the means of communication, coordination and know-how of the European Anti-fraud Office in coordinating investigations at Community level	HMRC, Police Service	List of EAO contacts/information made available to UK enforcement agencies	Where identified, NWCUC records international wildlife frauds
g) Exchange information on penalties for wildlife trade offences to ensure consistency in application; EN L 159/46 Official Journal of the European Union 20.6.2007	Defra through the Commission	UK process put in place to collate details of penalties applied for wildlife trade offences	The COTES Enforcement Review is underway any will consider penalties. NWCUC could be tasked to collate information on penalties and produce regular reports – they effectively do this anyway.

			October 2008 – NWCU have started to collect information relating to outcomes, Defra and the Home Office to provide statistics.
h) Assist in capacity building for application of the Regulation (EC) No 338/97 in other Member States including through training programmes and by sharing training manuals and materials;	Defra, HMRC, Police Service, NWCU.	Generic enforcement training programmes, manuals available for use in other MS	Defra has funded the production of a generic training programme for enforcement officers under our Sustainable Development Dialogue with India. This could be adapted for use in the UK/EU. UKMA staff have assisted in TAIEX programme for accession states. CITES Team provide training to a number of EU member states.
i) Make available to other Member States, existing awareness-raising tools and materials aimed at the public and stakeholders;	Defra, Animal Health, Police, HMRC, NWCU, PAW, KEW, JNCC	Regular exchange of information on publicity materials (leaflets, posters, videos, websites)	Some of this has been carried out under the TAIEX programme (see above)
j) Assist other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens;	Defra, Animal Health, HMRC, Police, Local Authorities?	Central EU list/database of expertise in temporary care/re-homing	CITES Team have used other MS to re-home live animals and in turn others have used the CITES Team. (This could be a risk in the context of conservation status of species involve, issues re animal/plant disease needs to be considered).
k) Liaise closely with CITES Management Authorities and law	Defra, HMRC,	A system in place for	The UK continues with international

<p>enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence</p>	<p>Police Service, Interpol, WCO</p>	<p>regular international exchange of information on illegal trade</p>	<p>co-operation with INTERPOL, CITES International Secretariat and other forces over illegal caviar trading.</p> <p>Close collaboration continues with other countries when illegal CITES goods are found in transit and use controlled deliveries when possible.</p> <p>NWCU are in regular contact with other agencies throughout world. Intelligence is disseminated via SOCA and Interpol</p>
<p>1) Provide advice and support to CITES Management Authorities and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures</p>	<p>HMRC, Police Service, Kew, JNCC</p>	<p>Availability of UK resources to provide capacity building in other CITES MAs.</p>	<p>UK have organised training events overseas and provided trainers to many more without charge.</p> <p>Provide prompt and reliable advice to UK Overseas Territories and Crown Dependencies on a regular basis, providing training as required. In 2007/8 we participated in two CITES workshops in the Cayman Islands and assisted Montserrat with preparations for a CITES Training workshop this financial year.</p> <p>Completed raptor project in Guinea, which included an element of capacity building in species identification and</p>

			survey techniques for local CITES Authorities.
m) Support capacity-building programmes in third countries in order to improve implementation and enforcement of CITES, <i>inter alia</i> , through Development Co-operation funds and in the framework of a future 'Aid for Trade Strategy' (1)	Defra and OGDs	Funding provided for capacity building initiatives.	Work with UK Overseas Territories e.g. Cayman Islands
n) Foster inter-regional collaboration to combat illegal wildlife trade <i>inter alia</i> by building links with other regional and sub-regional initiatives.	Defra (through the Coalition Against Wildlife Trafficking (CAWT) and the Enforcement Working Group (EWG)	Regular interaction with other MS and other CITES Parties.	The UK is a regular attendee at CAWT meetings including side events set up at major international meetings of CITES parties. The UK also attend the EU Enforcement Working Group meetings
IV. Information concerning the measures taken on the basis of this Recommendation should be transmitted to the Commission through the Bi-ennial Report process	Defra	Inclusion of progress report included in UK Biennial Report	October 2008 - NWCU to be asked to assist with biennial report on state of CITES crime across UK and a timetable for action needs to be agreed with Defra