A. General information

Period covered in this report:	1 January 2007 to 31 December 2008
Details of agency preparing this report	Agriculture, Fisheries and Conservation
Contributing agencies, organizations or individuals	Department, HKSAR

B. Legislative and regulatory measures

1	Has information on CITI					• •		\boxtimes
	provided under the CITE		•	tion Project?	Yes (pa	artly)		
	If yes, ignore questions	2, 3 and 4	ŀ.		No			
					No info	rmation	/unknown	
2	If any CITES-relevant le following details:	gislation h	nas been	planned, drafted	or enacte	ed, plea	se provide th	ıe
	Title and date:		S	Status:				
	Brief description of cont licensing control of CITE							
3	Is enacted legislation av	ailable in	one of the	e working	Yes			
	languages of the Conve	ntion?		-	No			
					No info	rmation		
4	If yes, please attach a c	opy of the	full legisl	ative text or key	legisl	ation att	ached	
	legislative provisions that	at were ga	zetted.		provid	ded prev	/iously	
					not av later	vailable,	will send	
E	Which of the following is		oddroooo	d by any atriator		т	iek ell enelie	
5	Which of the following is measures adopted for C	CITES-liste					ick all applic	able
	XIV of the Convention)?					_		
			he condit			•	prohibition (
	Issue	Yes	No	No information	Yes	No	No informa	ation
	Trade	\boxtimes						
	Taking							
	Possession	\boxtimes						
	Transport							
	Other (specify)							
	Additional comments							

6	What were the results of any revie			iveness T	ick all applicable
	of CITES legislation, with regard t Item	o the following Adequate	Items? Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	\square			
	Clarity of legal obligations	$\overline{\boxtimes}$			
	Control over CITES trade	$\overline{\boxtimes}$			
	Consistency with existing policy on wildlife management and use	\boxtimes			
	Coverage of law for all types of offences	\boxtimes			
	Coverage of law for all types of penalties	\boxtimes			
	Implementing regulations	\boxtimes			
	Coherence within legislation	\boxtimes			
	Other (please specify):				
	Please provide details if available				
7	If no review or assessment has ta	ken place, is o	ne planned for	Yes	
	the next reporting period?			No	
				No inf	ormation
	Please provide details if available	:			
8	Has there been any review of legin relation to implementation of the C		ollowing subjec	ts in T	ick all applicable
	Subject		Yes	No	No information
	Access to or ownership of natural	resources		\boxtimes	
	Harvesting			\square	
	Transporting of live specimens			\square	
	Handling and housing of live spec	imens		\boxtimes	
	Please provide details if available	:			
9	Please provide details of any add	itional measure	s taken:		

C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operation	ns been und	ertaken?	
	Review of reports and other information provided by traders and producers:			
	Inspections of traders, producers, markets	\square		
	Border controls	\square		
	Other (specify)			

2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?		\boxtimes	
3	If Yes, please indicate how many and for what types of violat attach details.	ions? If ava	ailable, pleas	е
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	\boxtimes		
5	If information available:		Number	
	Significant seizures/confiscations			
	Total seizures/confiscations			
	If possible, please specify per group of species or attach details. (See Table 1 of Annex I)			
6	Have there been any criminal prosecutions of significant CITES-related violations?	\boxtimes		
7	If Yes, how many and for what types of violations? If available Annex. (See Table 2 of Annex I; data include			
8	Have there been any other court actions of CITES-related violations?	\boxtimes		
9	If Yes, what were the violations involved and what were the res Annex. Court forfeiture order of seized specimens when brought.			ils as
10	How were the confiscated specimens usually disposed of?		Tick if ap	plicable
	 Return to country of export 		\geq	\triangleleft
	 Public zoos or botanical gardens 		\triangleright	
	 Designated rescue centres 			\triangleleft
	 Approved, private facilities Euthanasia 			\square
	– Other (specify) Training / Education Purpo	oses	\triangleright	
	Comments:	·		
11	Has detailed information been provided to the Secretariat on	Yes		\boxtimes
	significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted	No H		
	illegal traders and persistent offenders?	Not	applicable	
		No i	nformation	
	Comments:			
12	Have there been any cooperative enforcement activities with other countries			\square
	(e.g. exchange of intelligence, technical support, investigative	No e No		
	assistance, joint operation, etc.)?	∽ Noi	nformation	
13	If Yes, please give a brief description: Exchange of intelligent	ce and joint	investigatio	n.
14	Have any incentives been offered to local communities to as	sist Yes		\boxtimes
	in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	No		
			nformation	

15	If Yes, please describe: A Monetary Reward Scheme was estab local communities to provide information on suspected offences		ourage
16	Has there been any review or assessment of CITES-related enforcement?	Yes No Not applicable No information	
	Comments:		
17	Please provide details of any additional measures taken:		

D. Administrative measures

D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the $MA(a)$ which are not used as the	Yes	
	information for the MA(s) which are not yet reflected in the CITES Directory?	No	\square
		No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA	Yes	
	been designated?	No	\boxtimes
		No information	
4	If Yes, please name that MA and indicate whether it is identified as CITES Directory.	s the lead MA in the	
5	How many staff work in each MA?	41 in HK	SAR
6	Can you estimate the percentage of time they spend on CITES-	Yes	\boxtimes
	related matters?	No	
	If yes, please give estimation Full time	No information	
7	What are the skills/expertise of staff within the MA(s)?	Tick if appl	icable
	– Administration		\square
	– Biology		\boxtimes
	– Economics/trade		\boxtimes
	 Law/policy 		\boxtimes
	 Other (specify) 		
	 No information 		
8	Have the MA(s) undertaken or supported any research activities	Yes	
	in relation to CITES species or technical issues (e.g. labelling,	No	\square
	tagging, species identification) not covered in D2(8) and D2(9)?	No information	

9	If Yes, please give the species name and provide details of the kind of research involved.
10	Please provide details of any additional measures taken:

D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the CITES Directory?	Yes No No information	
2	If Yes, please use the opportunity to provide those changes here		
3	Is the designated Scientific Authority independent from the Management Authority?	Yes No No information	\Box
4	What is the structure of the SA(s)?	Tick if app	olicable
	 Government institution 		
	 Academic or research institution 		
	 Permanent committee 		\boxtimes
	 Pool of individuals with certain expertise 		\boxtimes
	 Other (specify) 		
5	How many staff work in each SA on CITES issues?		
	15		
6	Can you estimate the percentage of time they spend on CITES-	Yes	
	related matters	No	\boxtimes
	If yes, please give estimation	No information	
7	What are the skills/expertise of staff within the SA(s)?	Tick if app	olicable
	– Botany		\square
	– Ecology		
	– Fisheries		\boxtimes
	– Forestry		\boxtimes
	– Welfare		\boxtimes
	– Zoology		\boxtimes
	– Other (specify) Trade		\boxtimes
	 No information 		
8	Have any research activities been undertaken by the SA(s) in	Yes	
	relation to CITES species?	No	
		No information	\boxtimes

	Species			Off	Legal	Illegal	Other
	name	Populations	Distribution	take	trade	trade	(specify)
	1						
	2						
	3						
	etc.						
						No informati	ion 🗌
10	Have any	project proposals	s for scientific res	search bee	n	Yes	
	submitted	to the Secretaria	t under Resolution	on Conf. 12	2.2?	No	\boxtimes
						No informati	ion 🗌
11	Please pro	ovide details of a	ny additional me	asures take	en:		

D3 Enforcement Authorities

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of	Yes No	\square
	confidential enforcement information related to CITES?	No information	
2	If No, please designate them here (with address, phone, fax a	nd email).	
3	Is there a specialized unit responsible for CITES-related	Yes	\boxtimes
	enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	No	
	the police, public prosecutor's once)?	Under consideration	
		No information	
4	If Yes, please state which is the lead agency for enforcement:		MA
5	Please provide details of any additional measures taken: The Protection Liaison Group with members from the Police, Cu and MA. It provides a formal and regular communication enforcement agencies in dealing with CITES enforcement wor	stoms & Excise Depa channel for MA and	rtment

D4 Communication, information management and exchange

1	To what extent is CITES information computerized?	Tick if applicable
	 Monitoring and reporting of data on legal trade 	\square
	 Monitoring and reporting of data on illegal trade 	\boxtimes
	 Permit issuance 	\boxtimes
	- Not at all	
	– Other (specify)	

2	Do the following au	uthoritie	s have ac	cess to th	ne Inte	rnet?		Tick if applic	able
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	-	ide details wh propriate	ere
	Management Authority								
	Scientific Authority								
	Enforcement Authority								
3	Is there an electror	nic infor	mation sy	stem pro	viding	informat	ion on Ye	S	\square
	CITES species?						No	I	
							No	information	
4	If Yes, does it prov							Tick if applic	
	 Legislation (nation) 		•						\boxtimes
	 Conservation st 			gional, in	ternatio	onal)?			\square
-	 Other (please s 	• • •							
5	Is it available throu	ign the	Internet:				Ye No		\square
								t applicable	
								information	
-	Please provide UR	L: http:/	//www.cite	s.ora.hk/			110		
6	Do the authorities			-		owing pu	ublications?	Tick if applica	ble
	Put	olicatior	1			gement nority	Scientific Authority	Enforceme Authority	
	2003 Checklist of	CITES	Species (b	ook)		\triangleleft			
	2003 Checklist of Annotated Append			nd		\triangleleft			
	Identification Manu	ıal				\triangleleft			
	CITES Handbook					\triangleleft			

7	If not, what problems have been encountered to access this inform	ation?	
8	Have enforcement authorities reported to the Management Authorit	ty on: Tick if a	pplicable
	 Mortality in transport? 		\bowtie
	– Seizures and confiscations?		\bowtie
	 Discrepancies in number of items in permits and number of item actually traded? 	S	\square
	Comments: The MA is also the enforcement authority.		
9	Is there a government website with information on CITES and its	Yes	\boxtimes
	requirements?	No	
		No information	
	If Yes, please give the URL: http://www.cites.org.hk/		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if ap	plicable
	 Press releases/conferences 		\boxtimes
	 Newspaper articles, radio/television appearances 		\boxtimes
	 Brochures, leaflets 		\boxtimes
	- Presentations		\boxtimes
	– Displays		\bowtie
	 Information at border crossing points 		
	 Telephone hotline 		\bowtie
	- Other (specify)		
	Please attach copies of any items. (See Annex II)		
11	Please provide details of any additional measures taken:		
	Operating an Endangered Species Resource Centre; implement programmes (e.g. publicity programmes at control points, logo young ambassador programme).		

D5 Permitting and registration procedures

1	Have any changes in permit format or the designation signatures of officials empowered to sign CITES per been reported previously to the Secretariat? If no, please provide details of any:	Yes No Not applicable No information			
	Changes in permit format:				
	Changes in designation or signatures of relevant	officials:			
2	To date, has your country developed written permit any of the following?	procedure	s for	Tick if applicable	
		Yes	No	No information	on
	Permit issuance/acceptance				
	Registration of traders				
	Registration of producers	\square			

	Please indicate how many CITES documents were issued and denied in the two year period? (Note that actual trade is reported in the Annual Report by some Parties. This question refers to issued documents).						
	2007	Import or introduction from the sea	Export	Re- export	Other	Comments	
	How many documents were issued?	905	15,	048	8,486		
	How many applications were denied because of serious omissions or misinformation?	5	-	-	-		
	2008 How many documents were issued?	956	15,	198	5,998		
	How many applications were denied because of serious omissions or misinformation?	2		-	-		
4	Were any CITES documer replaced because of seriou				d and	Yes No No information	
5	If Yes, please give the reas	sons for this.					
6	Please give the reasons fo other countries.		TES docu	iments fr	om	Tick if appli	icable
	Rea	ason		Ye	es No	No informatio	on
	Technical violations						
	Suspected fraud						
1	Insufficient basis for finding of non-detriment						
		•					
	Insufficient basis for finding	g of legal acquis	ition				
		g of legal acquis	ition	ing D			
7	Insufficient basis for finding Other (specify) on recon	of legal acquis nmendation of quotas as a mar	ition the issu			Yes No No information	
	Insufficient basis for finding Other (specify) on recon country Are harvest and/or export of procedure for issuance of p Comments	g of legal acquis nmendation of quotas as a mar permits?	ition the issu nagement	tool in th	ne	No No information	
7	Insufficient basis for finding Other (specify) on recon country Are harvest and/or export of procedure for issuance of p Comments How many times has the S	g of legal acquis nmendation of quotas as a mar permits?	ition the issu nagement	tool in th	ne	No No information	
8	Insufficient basis for finding Other (specify) on recon country Are harvest and/or export of procedure for issuance of p Comments How many times has the S No record	g of legal acquis nmendation of quotas as a mar permits?	ition the issu nagement ty been re	tool in the	ne I to provic	No No information le opinions?	
	Insufficient basis for finding Other (specify) on recon- country Are harvest and/or export of procedure for issuance of p Comments How many times has the S No record Has the MA charged fees f CITES activities?	g of legal acquis nmendation of quotas as a mar permits? Scientific Authori	ition the issu nagement ty been re	tool in the	ne I to provic	No No information	
8	Insufficient basis for finding Other (specify) on recon- country Are harvest and/or export of procedure for issuance of p Comments How many times has the S No record Has the MA charged fees f CITES activities? – Issuance of CITES door	g of legal acquis nmendation of quotas as a mar permits? Scientific Authori for permit issuar	ition the issu nagement ty been re	equested	ne I to provic	No No information le opinions?	
8	Insufficient basis for finding Other (specify) on recon- country Are harvest and/or export of procedure for issuance of p Comments How many times has the S No record Has the MA charged fees f CITES activities?	g of legal acquis nmendation of quotas as a mar permits? Scientific Authori for permit issuar	ition the issu nagement ty been re	equested	ne I to provic	No No information le opinions?	
8	Insufficient basis for finding Other (specify) on recon- country Are harvest and/or export of procedure for issuance of p Comments How many times has the S No record Has the MA charged fees f CITES activities? – Issuance of CITES doc – Licensing or registration	g of legal acquis nmendation of quotas as a mar permits? Scientific Authori for permit issuar suments: n of operations t	ition the issu nagement ty been re	equested	ne I to provic	No No information le opinions?	
8	Insufficient basis for finding Other (specify) on recon- country Are harvest and/or export of procedure for issuance of p Comments How many times has the S No record Has the MA charged fees f CITES activities? – Issuance of CITES doo – Licensing or registration species: – Harvesting of CITES-listed sp	g of legal acquis nmendation of quotas as a mar permits? Scientific Authori for permit issuar suments: n of operations t sted species : ecies:	ition the issu nagement ty been re nce, regist	equested	ne I to provic	No No information le opinions?	
8	Insufficient basis for finding Other (specify) on recon- country Are harvest and/or export of procedure for issuance of p Comments How many times has the S No record Has the MA charged fees f CITES activities? – Issuance of CITES doc – Licensing or registration species: – Harvesting of CITES-listed sp – Assignment of quotas f	g of legal acquis mendation of quotas as a mar permits? Scientific Authori for permit issuar suments: n of operations t sted species : ecies: or CITES-listed	ition the issu nagement ty been re nce, regist	equested	ne I to provic	No No information le opinions?	
8	Insufficient basis for finding Other (specify) on recon- country Are harvest and/or export of procedure for issuance of p Comments How many times has the S No record Has the MA charged fees f CITES activities? – Issuance of CITES doo – Licensing or registration species: – Harvesting of CITES-listed sp	g of legal acquis mendation of quotas as a mar permits? Scientific Authori for permit issuar suments: n of operations t sted species : ecies: or CITES-listed	ition the issu nagement ty been re nce, regist	equested	ne I to provic	No No information le opinions?	

10	If Yes, please provide the amounts of such fees. HKD 460 for import licence of live animals, HKD 170 for import lice other licences.	ence, HKD 160 for
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable
	- Entirely:	
	– Partly:	
	– Not at all:	
	 Not relevant: 	\boxtimes
	Comments:	
12	Please provide details of any additional measures taken:	

D6 Capacity building

1	1 Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?						Tick if applica	ble
	Increased budget for activities		Improv netwo	vement rks	of na	ationa	al [
	Hiring of more staff			ase of t oring/er			equipment for t	\square
	Development of implementation tools	\square	Comp	uterizat	tion			\square
	 Other (specify) 							
2	Have the CITES authorities received building activities provided by externa			rom any	/ of th	ne fol	lowing capacity	
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidanc	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?	?
	Target group							
	Staff of Management Authority						 ID workshops CITES related 	
	Staff of Scientific Authority						training workshop	
	Staff of enforcement authorities							
	Traders	\square				Ш		
	NGOs							
	Public							
	Other (specify)							

3	3 Have the CITES authorities been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	\square	\square		\square		- CITES related
	Staff of Scientific Authority	\square					trainings
	Staff of enforcement authorities						- ID training workshops for other MAs & frontline
	Traders	\square					- talks/ seminars
	NGOs	\boxtimes			\square		
	Public	\boxtimes					
	Other parties/International meetings	\square			\square		
	Other (specify)						
4	Please provide details of any addition	al mea	sures ta	aken:			

D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES? Yes						Yes	\boxtimes
							No	
							No informa	ation
2	If Yes, which age	encies a	re represe	ented and h	now often do	es it mee	et?	
	The Police, Cus	toms &	Excise De	partment a	nd MA. (Twi	ce a yea	r)	
3	If No, please inc Management Au SAs, Customs, p	uthority t	o ensure c	•	•		•	other MAs,
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings							
	Consultations							
4	At the national le collaborate with:		e there be	en any effo	orts to	Tick if	applicable	Details if available
	Agencies for dev	gencies for development and trade						
	Provincial, state or territorial authorities							
	Local authorities	s or com	munities				\boxtimes	
	Indigenous peop	oles						

	Trade or other private sector associations	\mathbf{X}	
	NGOs		
	Other (specify)		
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?	Tick if app	licable
	Scientific Authority		
	Customs		
	Police		
	Other border authorities (specify)		
	Other government agencies		
	Private sector bodies		
	NGOs		
	Other (specify)		
6	Have Government staff participated in any regional activities related to CITES?	Tick if app	licable
	Workshops		\boxtimes
	Meetings		\boxtimes
	Other (specify)		
7	Has there been any effort to encourage any non-Party to accede	Yes	
	to the Convention?	No	\boxtimes
		No information	
8	If Yes, which one(s) and in what way?		
9	Has technical or financial assistance been provided to another	Yes	
	country in relation to CITES?	No	\boxtimes
		No information	
10	If Yes, which country(ies) and what kind of assistance was provided	?	
11	Has any data been provided for inclusion in the CITES	Yes	
	Identification Manual?	No	\boxtimes
		No information	
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve co-ordination and reduce	Yes	
	duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the	No	\boxtimes
	biodiversity-related Conventions)?	No information	
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

D8 Areas for future work

1	Are any of the following activities needed to enhance effectivenes implementation at the national level and what is the respective lev			
	Activity	High	Medium	Low
	Increased budget for activities			\square
	Hiring of more staff			\square
	Development of implementation tools	\square		
	Improvement of national networks	\square		
	Purchase of new technical equipment for monitoring and enforcement			
	Computerization			\square
	Other (specify)			
2	Were any difficulties encountered in implementing specific	Yes		
	Resolutions or Decisions adopted by the Conference of the Parties?	No		\boxtimes
	Parties?	No infor	mation	
3	If Yes, which one(s) and what is the main difficulty?	. <u></u>		
4	Have any constraints to implementation of the Convention arisen	Yes		
	in your country requiring attention or assistance?	No		\boxtimes
		No infor	mation	
5	If Yes, please describe the constraint and the type of attention or a	assistanc	e that is re	quired.
6	Have any measures, procedures or mechanisms been identified	Yes		
	within the Convention that would benefit from review and/or simplification?	No		\bowtie
	Simplification?	No infor	mation	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	\boxtimes
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	\square
C5	Details of specimens seized, confiscated or forfeited	Enclosed	\square
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	\boxtimes
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	
		Not available	\boxtimes
		Not relevant	
D4(10)	Details of nationally produced brochures or leaflets on CITES	Enclosed	\boxtimes
	produced for educational or public awareness purposes	Not available	
		Not relevant	
	Comments		

Table 1: No. of Seizure (Question C5)

Year	Import	Export	Possession	Total
2007	238	6	42	286
2008	218	3	44	265

Table 2: No. of Prosecution (Question C7)

Year	Import	Export	Possession	Other	Total
2007	73	8	48	0	129
2008	61	5	42	0	108

List of the leaflets and booklets produced in 2007 & 2008 (Question D4 (10))

<u>2007</u>

Leaflet

- Protection of Endangered Species of Animals and Plants Ordinance (1/3 of A4 size)
- Protection of Endangered Species of Animals and Plants Ordinance (A4 size)
- Protect Endangered Species Traditional Chinese Medicines (Bilingual)
- Protect Endangered Species Pet (Bilingual)
- Protect Endangered Species Personal Items and Tourist Souvenirs (Bilingual)
- Protect Endangered Species Ornamental Plants (Bilingual)
- Protect Endangered Species Marine Species (Bilingual)

- Going Abroad? Don't Bring Back Souvenirs of Endangered Species without a Licence (Bilingual)

<u>2008</u>

Leaflet

- Protect Endangered Marine Species (Bilingual)
- Protect Endangered Species Food (Bilingual)

Poster

- Licence is required for Importation and exportation of Orchids (Chinese version only)
- Protect Endangered Marine Species (Bilingual)