

CITES Secretariat

BIENNIAL REPORT FOR NEW ZEALAND 2005/2006

Attached is the biennial report for New Zealand for 2005/2006 in the format distributed with Notification 2005/035. We trust that it meets your requirements.

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Research, Development and Improvement Division

Department of Conservation

Wellington, New Zealand

A. General information

Party	New Zealand
Period covered in this report: 1 January 2005 to 31 December 2006	
Details of agency preparing this report	CITES Management Authority, Head Office, Department of Conservation, Wellington
Contributing agencies, organizations or individuals	CITES Management Authorities in Auckland, Wellington and Christchurch Wildlife Enforcement Group (WEG)

B. Legislative and regulatory measures

1			ES-relevant legislation already e CITES National Legislation					V	
	If yes, ignore question	s 2, 3 and	2, 3 and 4.						
2	If any CITES-relevant I the following details:	egislation	has beer	n planned, draft	ed or ena	icted, p	lease provid	e	
	Title and date:		Status:						
	Brief description of contents: As advised in our previous biennial report we are planning some amendments to our CITES-relevant legislation to take account of changes made at COP13 on issues such as the implementation of Article VII.2 concerning 'pre-Convention' specimens and personal exemptions. This is still in the pipeline but the parliamentary legislative timetable has pushed consideration of these items back to 2008.								
3	Is enacted legislation available in one of the working Yes √ languages of the Convention?								
4	If yes, please attach a key legislative provisio			_	provi	ded pre	viously	√	
5		ng issues are addressed by any stricter dopted for CITES-listed species (in accordance ne Convention)? Tick all applicable							
		Th	e condit	ions for:	The co	mplete	prohibition	of:	
	Issue	Yes	No	No information	Yes	No	No informa	ation	
	Trade		√						
	Taking		\checkmark						
	Possession		√						
	Transport		√						
	Other (specify)		\checkmark						

	Additional comments: While there are no stricter domestic measures adopted for CITES-listed species, stricter legislation applies to the taking, possession and export of indigenous species of fauna under the Wildlife Act 1953.					
6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?					
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities				$\sqrt{}$	
	Clarity of legal obligations				V	
	Control over CITES trade				V	
	Consistency with existing policy on wildlife management and use				V	
	Coverage of law for all types of offences				V	
	Coverage of law for all types of penalties				V	
	Implementing regulations				V	
	Coherence within legislation				V	
	Other (please specify):				V	
	Please provide details if availa period.	ıble: No revi	ew was unde	rtaken durin	g the reporting	
7	If no review or assessment has for the next reporting period?	taken place, is	one planned	No	√	
	Please provide details if available	e: None plann	ed at present.			
8	Has there been any review of le in relation to implementation of			bjects Ti	ck all applicable	
	Subject		Yes	No	No information	
	Access to or ownership of natu	ral resources		√		
	Harvesting			V		
	Transporting of live specimens			√		
	Handling and housing of live spe	ecimens		$\sqrt{}$		
	Please provide details if available	e:				
9	Please provide details of any ad	ditional measu	res taken:			

C. Compliance and enforcement measures

		Yes	No inf	No ormation	
1	Have any of the following compliance monitoring operat	ions been ι	undertaken?	>	
	Review of reports and other information provided by traders and producers:		V		
	Inspections of traders, producers, markets	V			
	Border controls	√			
	Other (specify)		V		
2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?		V		
3	If Yes, please indicate how many and for what types of attach details.	violations?	If available	, please	
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	√			
5	If information available:		Number		
	☐ Significant seizures/confiscations		kilos powo		
	☐ Total seizures/confiscations		ippocampu		
	If possible, please specify per group of species or attach details.	conta indi	bins & 6 sa ining appro vidual piece Scleractinia	x 170 s of	
		2 x \	/aranus pra	sinus	
		1 x \	/aranus ma	craei	
		1 N	laultinus gr	ayii	
		2 x N	laultinus ele punctatus	egans	
		1 x Caly	ptorhynchu egg	s banksii	
6	Have there been any criminal prosecutions of significant CITES-related violations?	√			
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. Two. Parrot egg smuggling and unlawful importation of Hippocampus powder. Penalties ranged from fines to a term of imprisonment. Other cases are pending				
8	Have there been any other court actions of CITES-related violations?		V		
9	If Yes, what were the violations involved and what were t as Annex.	he results?	Please attac	ch details	

10	How were the confiscated specimens usually disposed of?	Tick if ap	plicable
	Return to country of export		V
	 Public zoos or botanical gardens 	1	V
	 Designated rescue centres 		
	 Approved, private facilities 		
	 Euthanasia 	<u>-</u>	<u></u>
	- Other (specify)		
	Comments:		
11	Has detailed information been provided to the Secretariat on	Yes	V
	significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted	No	
	illegal traders and persistent offenders?	Not applicable	
	Comments:		
12	Have there been any cooperative enforcement activities with	Yes	\checkmark
	other countries	No	
	(e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	No information	
13	If Yes, please give a brief description: The New Zealand Wildlit constantly working with Australia, US, German and Czech aut on joint targets smuggling eggs and reptiles both into and out	horities (as exam _l	
14	Have any incentives been offered to local communities to	Yes	·
	assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	No	\checkmark
	the arrest and conviction of offenders?	No information	
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related	Yes	<u> </u>
	enforcement?	No	\checkmark
		Not applicable	
		No information	
	Comments:		
17	Please provide details of any additional measures taken:		

D. Administrative measures

D1 Management Authority (MA)

		 			
1	Have there been any changes in the designation of or No √ contact information for the MA(s) which are not yet reflected in the CITES Directory?				
2	If Yes, please use the opportunity to provide those changes he	ere.			
3	If there is more than one MA in your country, has a lead MA been designated?	Yes	V		
4	If Yes, please name that MA and indicate whether it is identific CITES Directory. Department of Conservation, Research, Dev Improvement Division, Wellington. It is identified as the lead N	elopment and	n the		
5	How many staff work in each MA? R,D&I Wellington 2 (both Poneke Area Office 2 (both part-time), Christchurch 1 (part-time)	•	d 2,		
6	Can you estimate the percentage of time they spend on CITES-related matters?	Yes			
	If yes, please give estimation. R,D&I Wellington, 50% each, Auckland 100% each, Poneke 7.5% each, Christchurch 25%.				
7	What are the skills/expertise of staff within the MA(s)?	Tick if appl	icable		
	- Administration	• 1000000000000000000000000000000000000	V		
	– Biology				
	Economics/trade		\checkmark		
	Law/policy		\checkmark		
	Other (specify)				
	No information				
8	Have the MA(s) undertaken or supported any research	Yes			
0			,		
0	activities in relation to CITES species or technical issues	No	$\sqrt{}$		
0	activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in	No No information	\checkmark		
9	activities in relation to CITES species or technical issues	No information	√ 		

D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the CITES Directory?					Yes No √ No informa	tion
2	If you plo	aso uso the opp	ortunity to prov	ido thoso	changes he		LIOH
3	Is the des		ic Authority inde		_	Yes No No informa	√
4	What is the structure of the SA(s)?					Tick	if applicable
		ment institution	* *				
	Academic or research institution						
	– Permar	nent committee					$\sqrt{}$
	– Pool of	individuals with	n certain expertis	se			\checkmark
	- Other (specify)					
5	How man	y staff work in e	each SA on CITE	ES issues?	? 6		
6			ercentage of ti	me they	spend on	Yes	$\sqrt{}$
	CITES-related matters No						
	If yes, ple	ase give estima	tion. 0.4 person			No informa	tion
7	What are	the skills/expert	ise of staff with	in the SA	(s)?	Tick	if applicable
	– Botany						√
	– Ecology						V
	– Fisherie						V
	Forestr						V
	– Welfare						1
	- Zoolog	=					V
		specify) Entom	ology				٧
		rmation			C A () !		.1
8	_	research activiti CITES species?	ies been underta ?	iken by th	ie SA(s) in	No	٧
9	If Yes, ple involved.	ease give the spe	ecies name and	provide de	etails of the	kind of rese	arch
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1				Ç		
	2						
	3						
	etc.						
	No information						

10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	No	V
11	Please provide details of any additional measures taken:		
D3 Ent	forcement Authorities		
1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes	√
2	If No, please designate them here (with address, phone, fax	and email).	
3	Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes	√
4	If Yes, please state which is the lead agency for enforcement Enforcement Group (WEG) is a separate agency jointly staffer Conservation, Ministry of Agriculture and Forestry and the Commandate goes wider than CITES and covers all illegal wildlife	ed by the Department ustoms Department.	
5	Please provide details of any additional measures taken:		

D4 Communication, information management and exchange

1	To what extent is CITES information computerized?						Tick if applicable
	 Monitoring and 	$\sqrt{}$					
	 Monitoring and 	l report	ing of dat	ta on illeg	al trad	de	\checkmark
	 Permit issuance 	$\sqrt{}$					
	Not at all						
	Other (specify)						
2	Do the following a	? Tick if applicable					
	Authority	Yes, continuous and unrestricted	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	√					
	Scientific Authority	√					

	Enforcement √					
3	Is there an electronic information system providing information $$\sf Yes$$ on CITES species?					
4	If Yes, does it provide information on:			Tick if applicable		
	 Legislation (national, regional or intern 		\checkmark			
	Conservation status (national, regional)	I, international)?				
	- Other (please specify)?					
5	Is it available through the Internet:	Ye	s √			
	Please provide URL: www.cites.org.nz					
6	Do the authorities indicated have access to the following publications? Tick if applicable					
	Publication	Management Authority	Scientific Authority	Enforcement Authority		
	2005 Checklist of CITES Species (book)	V	V	√		
	2005 Checklist of CITES Species and Annotated Appendices (CD-ROM)	√	V	√		
	Identification Manual	√	√	√		
	CITES Handbook	√	$\sqrt{}$	√		
7	If not, what problems have been encour you have only sent two copies of the C With your distribution of the 2005 CI situation. Having the information in t assistance. The cost of the Identification good to have that information online.	checklist, one for hecklist to all N che Checklist av	the MA and MAs you havailable online	I one for the SA. we remedied this is also of great		
8	Have enforcement authorities reported to Authority on:	the Manageme	nt	Tick if applicable		
	Mortality in transport?					
	– Seizures and confiscations?			\checkmark		
	Discrepancies in number of items in perfect actually traded?	ermits and numb	er of items			
	Comments:					
9	Is there a government website with infor its requirements?	mation on CITES	and Yes			
	If Yes, please give the URL: www.cites.	org.nz				
10	Have CITES authorities been involved in activities to bring about better accessibili understanding of the Convention's requir public?	ity to and	· ·	Tick if applicable		

	- Press releases/conferences	
	Newspaper articles, radio/television appearances	
	- Brochures, leaflets	$\sqrt{}$
	- Presentations	√
	– Displays	$\sqrt{}$
	 Information at border crossing points 	$\sqrt{}$
	Telephone hotline	
	- Other (specify)	
	Please attach copies of any items.	
11	Please provide details of any additional measures taken: Leaflets (Fact Sheet available on our website	s) are

1	Have any changes in permit format or the design signatures of officials empowered to sign CITES permits/certificates been reported previously to the sign capacitant of the design of the signature of the	Yes √				
	If no, please provide details of any:					
	Changes in permit format:					
	Changes in designation or signatures of releva	nt official	s:			
2	To date, has your country developed written perifor any of the following?	dures	Tick if applicable			
		Yes	No	No information		
	Permit issuance/acceptance	√				
	Registration of traders					
	Registration of producers					

	1						
3	Please indicate how many period? (Note that actual question refers to issued	l trade is report					_
	Year 1	Import or introduction from the sea	Export	:	Re- port	Othe	r Comments
	How many documents were issued?	10	144		12	0	
	How many applications were denied because of serious omissions or misinformation?	О	0	0		0	
	Year 2 How many documents were issued?	15	119		8	0	
	How many applications were denied because of serious omissions or misinformation?	0	0	0		0	
4	Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation? No information						i
5	If Yes, please give the rea	asons for this.					
6	Please give the reasons for other countries.		CITES do	cum	ents f	rom	Tick if applicable
	Rea	ason			Yes	No	No information
	Technical violations						
	Suspected fraud						
	Insufficient basis for findi	ng of non-detri	ment				
	Insufficient basis for findi	ng of legal acq	uisition				
	Other (specify)						
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits? No No information Comments						
8	How many times has the	Scientific Auth	ority bee	en re	quest	ed to	provide opinions?
	How many times has the Scientific Authority been requested to provide opinions? On average the Scientific Authority is requested to provide opinions 50 times per year. The SA also provides blanket opinions on certain types of export (eg tree ferns) that meet standard criteria for non-detriment but assesses others on a case-by-case basis.						

9	Has the MA charged fees for permit issuance, registration or related CITES activities?	Tick if applicable
	Issuance of CITES documents:	$\sqrt{}$
	 Licensing or registration of operations that produce CITES species: 	$\sqrt{}$
	Harvesting of CITES-listed species :	
	Use of CITES-listed species:	
	 Assignment of quotas for CITES-listed species: 	
	Importing of CITES-listed species:	
	Other (specify):	
10	If Yes, please provide the amounts of such fees. \$NZ 40 for issuance of CITES documents, \$NZ 298.75 initial inspection authorising export of captive bred live parrots, \$NZ 130 for any subsequent inspection for same permit holder or for re-export of live parrots, \$NZ 208.75 for issue of a multiple export permit for artificially propagated plants.	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable
	- Entirely:	\checkmark
	– Partly:	
	– Not at all:	
	- Not relevant:	
	Comments:	
12	Please provide details of any additional measures taken:	

D6 Capacity building

1	Have any of the following activities effectiveness of CITES implementa			Tick if applicable
	Increased budget for activities		Improvement of national networks	
	Hiring of more staff		Purchase of technical equipmonitoring/enforcement	uipment for
	Development of implementation tools	√	Computerization	
	Other (specify)			

2	Have the CITES authorities received or benefited from any of the following capacity building activities provided by external sources?						e following capacity
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	$\sqrt{}$			1		CITES Secretariat
	Staff of Scientific Authority	\$1111111111111111111111111111111111111					CITES Secretariat
•	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other (specify)						
3	Have the CITES authorities been the activities?	e <i>provi</i>	ders of	any of	the	follo	wing capacity building
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	$\sqrt{}$			√		From lead MA to other MA staff
	Staff of Scientific Authority	\checkmark	\checkmark		√		To other MA staff
	Staff of enforcement authorities	V			√		To Customs and Biosecurity personnel
	Traders						
	NGOs	V					To community groups (Chinese community some Pacific Island communities)
	Public	V					Media articles, website, information stands at public functions
	Other parties/International meetings	V		V			To Oceania Parties at Workshop in 2006 with some follow-up
	Other (specify)						The serie relieve up

4	Please provide details of any additional measures taken:

D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES? No $\sqrt{}$							
2	If Yes, which a meet? We use that ad hoc cor	ed to ha	ave such	a committ	ee but it w	as found		
3	If No, please in Management A MAs, SAs, Cus	uthority	to ensure	e co-ordina				
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings				√			
	Consultations		√					
4	At the national collaborate with		ave there I	been any e	efforts to	Tick if	applicable	Details if available
	Agencies for de	evelopm	nent and ti	rade			√	
	Provincial, state	e or terr	itorial aut	horities				
	Local authorities or communities						√	Local Chinese and Pacific communities
	Indigenous peo	ples					√	With Maori over whalebon e
	Trade or other	private	sector ass	sociations			√	With fishing industry interests on marine issues
	NGOs						√	We hold regular meetings

				NGOs, particularl y prior to
				a COP.
	Other (specify)			
5	To date, have any Memoranda of Understanding or oth arrangements for institutional cooperation related to C agreed between the Management Authority and the for agencies?	ITES been	Tick	if applicable
	Scientific Authority			
	Customs			$\sqrt{}$
	Police			
	Other border authorities (specify) Ministry of Agriculture and Forestry Quarantine Service.			V
	Other government agencies			
	Private sector bodies			
	NGOs			
	Other (specify)			
6	Have Government staff participated in any regional activities related to CITES?		Tick	if applicable
	Workshops			\checkmark
	Meetings			$\sqrt{}$
	Other (specify)			
7	Has there been any effort to encourage any non-Party	y to	Yes	\checkmark
	accede to the Convention?	1	No	
			No inform	ation
8	If Yes, which one(s) and in what way? Cook Island building workshop and subsequently.	ds, through	contact	at capacity
9	Has technical or financial assistance been provided to)	Yes	$\sqrt{}$
	another country in relation to CITES?		No	
			No inform	ation
10	If Yes, which country(ies) and what kind of assistal Island Parties and non-Parties through participation Workshop and regional meeting in Brisbane in May 20	at the 20		
11	Has any data been provided for inclusion in the CITES	•	Yes	\checkmark
	Identification Manual?	I	No	
			No inform	ation
12	If Yes, please give a brief description. Identification and <i>Naultinus</i> spp.	material o	n <i>Hoploda</i>	actylus spp.

13	Have measures been taken to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	√
14	If Yes, please give a brief description. We have regular consuseveral government departments working on the other mu agreements.		
15	Please provide details of any additional measures taken:		

D8 Areas for future work

1	implementation at the national level and what is the respective level of priority?						
	Activity	High	Medium	Low			
	Increased budget for activities	√					
	Hiring of more staff	√					
	Development of implementation tools	√					
	Improvement of national networks	√					
	Purchase of new technical equipment for monitoring and enforcement			V			
	Computerization			$\sqrt{}$			
	Other (specify)						
2	Were any difficulties encountered in implementing specific	Yes		$\sqrt{}$			
	Resolutions or Decisions adopted by the Conference of the	No					
	Parties?	No info	rmation				
3	If Yes, which one(s) and what is the main difficulty?						
	Changes to qualifying dates for pre-Convention specine exemptions. Main difficulty is slowness of the legislative implementing legislation. This was reported in our biennial resituation persists	procedur	e to chan	ge our			
4	Have any constraints to implementation of the Convention	Yes		1			
	arisen in your country requiring attention or assistance?	No		V			
		No info	rmation				
5	If Yes, please describe the constraint and the type of attention required.	or assis	tance that	is			
6	Have any measures, procedures or mechanisms been	Yes		1			
	identified within the Convention that would benefit from	No		V			
	review and/or simplification?	No info	rmation				
7	If Yes, please give a brief description.						
8	Please provide details of any additional measures taken:						

E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	$\sqrt{}$
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	
		Not relevant	
С9	Details of violations and results of court actions	Enclosed	
		Not available	
		Not relevant	
D4(10)	Details of nationally produced brochures or leaflets on CITES	Enclosed	
	produced for educational or public awareness purposes	Not available	
		Not relevant	
	Comments: As this report is being sent electronically, we refer you to our website www.cites.org.nz for the most recent fact sheets. These are also available in printed form.		