

## **PART 1 - CITES QUESTIONS**

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13<sup>th</sup> meeting of the Conference of the Parties to CITES, October 2004.

\* Document as discussed and agreed at COM 34 held on 14 June 2005.

### A. General information

Party	Latvia
Period covered in this report: 1 January 2005 to 31 December 2006	
Details of agency preparing this report	Ministry of Environment Nature Protection Board Eksporta Street 5, Riga, LV-1010 Fax: +371 7509544, Ph.: +371 7509541 <a href="http://www.dap.gov.lv">www.dap.gov.lv</a>
Contributing agencies, organizations or individuals	

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input type="checkbox"/> Yes (partly) <input checked="" type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details: <b>Title and date: Law On Protection of Species and Habitats (16.03.2000.)</b> Status: <b>In force</b> <b>Brief description of contents: Article 4 lays down that Cabinet of Ministers set down Rules of preservation, registration, keeping in captivity, marking, issue of permits and regulations of trade of specimens that are endangered by international trade till the end of year 2007</b>					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input checked="" type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable				
	The conditions for:	The complete prohibition of:				
Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments	CITES have been implemented in Latvia through national legislation (not available in working language of Convention) and EU Regulations. There is prohibition of commercial trade for Ann. I or II (EU Ann.A) specimens that are taken from wild					

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? <span style="float: right;">Tick all applicable</span>				
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes	<input type="checkbox"/>
				No	<input type="checkbox"/>
			No information	<input checked="" type="checkbox"/>	
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention? <span style="float: right;">Tick all applicable</span>				
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify) – <b>monitoring of risk groups (traders, breeders, species, countries etc.)</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.						
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	X	<input type="checkbox"/>	<input type="checkbox"/>			
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex. <b>Please find Annex I.</b>	<table style="width: 100%; border: none;"> <tr> <td style="text-align: right;">Number</td> </tr> <tr> <td style="text-align: center;"><b>2</b></td> </tr> <tr> <td style="text-align: center;"><b>42</b></td> </tr> </table>			Number	<b>2</b>	<b>42</b>
Number							
<b>2</b>							
<b>42</b>							
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<b>X</b>	<input type="checkbox"/>			
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.						
8	Have there been any other court actions of CITES-related violations?	<b>X</b>	<input type="checkbox"/>	<input type="checkbox"/>			
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.						
10	How were the confiscated specimens generally disposed of?	Tick if applicable					
	– Return to country of export			<input type="checkbox"/>			
	– Public zoos or botanical gardens			<b>X</b>			
	– Designated rescue centres			<input type="checkbox"/>			
	– Approved, private facilities			<input type="checkbox"/>			
	– Euthanasia			<input type="checkbox"/>			
	– Other (specify) – <b>dead specimens and products – vitrines, museum, material for education of customs and school children</b>			<b>X</b>			
	Comments:						
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input type="checkbox"/>			
		No		<b>X</b>			
		Not applicable		<input type="checkbox"/>			
		No information		<input type="checkbox"/>			
	Comments:						
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes		<b>X</b>			
		No		<input type="checkbox"/>			
		No information		<input type="checkbox"/>			
13	If Yes, please give a brief description: <b>There were business trips of CITES MA to visit CITES institutions of Estonia (2005) and Slovenia (2006), cooperation with Lithuania in exchange of material for workshops about CITES</b>						
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes		<input type="checkbox"/>			
		No		<b>X</b>			
		No information		<input type="checkbox"/>			
15	If Yes, please describe:						
16	Has there been any review or assessment of CITES-related enforcement?	Yes		<b>X</b>			
		No		<input type="checkbox"/>			
		Not applicable		<input type="checkbox"/>			
		No information		<input type="checkbox"/>			
	Comments: <b>Mainly reviews of Traffic and EU</b>						
17	Please provide details of any additional measures taken:						

## D. Administrative measures

### *D1 Management Authority (MA)*

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	How many staff work in each MA? <b>There are 2 civil servants that deal with CITES</b>	
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation – <b>40%</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input type="checkbox"/>
	– Biology	<input checked="" type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.	
10	Please provide details of any additional measures taken	

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>		
2	If Yes, please use the opportunity to provide those changes here.				
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
4	What is the structure of the SA(s) in your country?	Tick if applicable			
	– Government institution		<input checked="" type="checkbox"/>		
	– Academic or research institution		<input checked="" type="checkbox"/>		
	– Permanent committee		<input type="checkbox"/>		
	– Pool of individuals with certain expertise		<input type="checkbox"/>		
	– Other (specify)		<input type="checkbox"/>		
5	How many staff work in each SA on CITES issues? <b>Natural History Museum of Latvia – 2 part time officials that deal with CITES</b> <b>University of Latvia Institute of Biology – 1 part time official that deal with CITES</b> <b>University of Latvia Faculty of Biology – 1 part time official that deal with CITES</b>				
6	Can you estimate the percentage of time they spend on CITES related matters?  If yes, please give estimation – <b>less than 20%</b>	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable			
	– Botany		<input checked="" type="checkbox"/>		
	– Ecology		<input checked="" type="checkbox"/>		
	– Fisheries		<input type="checkbox"/>		
	– Forestry		<input type="checkbox"/>		
	– Welfare		<input type="checkbox"/>		
	– Zoology		<input checked="" type="checkbox"/>		
	– Other (specify)		<input type="checkbox"/>		
	– No information		<input type="checkbox"/>		
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>		
9	If Yes, please give the species name and provide details of the kind of research involved.				
	Species name	Populations	Distribution		
			Off take		
			Legal trade		
			Illegal trade		
			Other (specify)		
	1				
	2				
	3				
	etc.				
				No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>		

11	Please provide details of any additional measures taken:
----	--

**D3 Enforcement Authorities**

1	To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>
			No information <input type="checkbox"/>	
4	If Yes, please state which is the lead agency for enforcement: <b>Nature Protection Board is a coordinator between all CITES institutions in Latvia</b>			
5	Please provide details of any additional measures taken:			

**D4 Communication, information management and exchange**

1	To what extent is CITES information in your country computerized? Tick if applicable						
	-	Monitoring and reporting of data on legal trade				<input checked="" type="checkbox"/>	
	-	Monitoring and reporting of data on illegal trade				<input checked="" type="checkbox"/>	
	-	Permit issuance				<input checked="" type="checkbox"/>	
	-	Not at all				<input type="checkbox"/>	
	-	Other (specify)				<input type="checkbox"/>	
2	Do the following authorities have access to the Internet? Tick if applicable						
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species? Yes <input checked="" type="checkbox"/>						
	No <input type="checkbox"/>						
	No information <input type="checkbox"/>						

4	If Yes, does it provide information on:	Tick if applicable	
	– Legislation (national, regional or international)?		<input checked="" type="checkbox"/>
	– Conservation status (national, regional, international)?		<input checked="" type="checkbox"/>
	– Other (please specify)?		<input type="checkbox"/>
5	Is it available through the Internet:	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Please provide URL: <a href="http://www.dap.gov.lv">www.dap.gov.lv</a> ; <a href="http://www.dabasmuzejs.gov.lv">www.dabasmuzejs.gov.lv</a> ; <a href="http://www.vid.gov.lv">www.vid.gov.lv</a>		
6	Do the following authorities have access to the following publications?	Tick if applicable	
	Publication	Management Authority	Scientific Authority
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
7	If not, what problems have been encountered to access to the mentioned information?		
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable	
	– Mortality in transport?		<input type="checkbox"/>
	– Seizures and confiscations?		<input checked="" type="checkbox"/>
	– Discrepancy in number of items in permit and number of items actually traded?		<input checked="" type="checkbox"/>
	Comments:		
9	Is there a government website with information on CITES and its requirements?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.dap.gov.lv">www.dap.gov.lv</a> ; <a href="http://www.dabasmuzejs.gov.lv">www.dabasmuzejs.gov.lv</a> ; <a href="http://www.vid.gov.lv">www.vid.gov.lv</a>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences		<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances		<input checked="" type="checkbox"/>
	– Brochures, leaflets		<input checked="" type="checkbox"/>
	– Presentations		<input checked="" type="checkbox"/>
	– Displays		<input checked="" type="checkbox"/>
	– Information at border crossing points		<input checked="" type="checkbox"/>
	– Telephone hotline		<input checked="" type="checkbox"/>
	– Other (specify) – TV Advert		<input checked="" type="checkbox"/>
	Please attach copies of any items as Annex. <b>Please find Annex II</b>		
11	Please provide details of any additional measures taken:		



**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
			Not applicable	<input type="checkbox"/>		
		No information	<input type="checkbox"/>			
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	88	8	8	14	
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0	
	Year 2					
	How many documents were issued?	159	4	2	17	
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
		No information	<input type="checkbox"/>			
5	If Yes, please give the reasons for this. <b>CITES permits have been replaced because of end of permit validity during operations with it</b>					
6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason	Yes	No	No information		
	Technical violations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
		No information	<input type="checkbox"/>			
Comments						
8	How many times has the Scientific Authority been requested to provide opinions? <b>Less than 10 times per year</b>					

9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities? <ul style="list-style-type: none"> <li>– Issuance of CITES documents: <input type="checkbox"/></li> <li>– Licensing or registration of operations that produce CITES species: <input type="checkbox"/></li> <li>– Harvesting of CITES-listed species : <input type="checkbox"/></li> <li>– Use of CITES-listed species: <input type="checkbox"/></li> <li>– Assignment of quotas for CITES-listed species: <input type="checkbox"/></li> <li>– Importing of CITES-listed species: <input type="checkbox"/></li> <li>– Other (specify): <input type="checkbox"/></li> </ul>	Tick if applicable
10	If Yes, please provide the amounts of such fees.	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation? <ul style="list-style-type: none"> <li>– Entirely: <input type="checkbox"/></li> <li>– Partly: <input type="checkbox"/></li> <li>– Not at all: <input type="checkbox"/></li> <li>– Not relevant: <input type="checkbox"/></li> </ul> Comments:	Tick if applicable
12	Please provide details of any additional measures taken: <b>Fees for permit issuance are charged starting from 01.01.2007. These rules were accepted on 19.12.2006.</b>	

#### D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?					Tick if applicable	
	Increased budget for activities	<input checked="" type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>			
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>			
	Development of implementation tools	<input checked="" type="checkbox"/>	Computerisation	<input checked="" type="checkbox"/>			
	– Other (specify)					<input type="checkbox"/>	
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Target group						
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>Scanagri Denmark AS project</b>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						Details
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

#### D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?						Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?								
3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):								
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)	
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Consultations	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>There are several consultations per week</b>		
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available	
	Agencies for development and trade					<input checked="" type="checkbox"/>			
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>			
	Local authorities or communities					<input checked="" type="checkbox"/>			
	Indigenous peoples					<input checked="" type="checkbox"/>			
	Trade or other private sector associations					<input checked="" type="checkbox"/>			
	NGOs					<input checked="" type="checkbox"/>			
Other (specify) <b>Schools</b>					<input checked="" type="checkbox"/>				

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?		Tick if applicable
	SA		<input checked="" type="checkbox"/>
	Customs		<input type="checkbox"/>
	Police		<input type="checkbox"/>
	Other border authorities (specify)		<input type="checkbox"/>
	Other government agencies		<input type="checkbox"/>
	Private sector bodies		<input type="checkbox"/>
	NGOs		<input type="checkbox"/>
	Other (specify) <b>Agreement between Nature Protection Board and Riga Zoo</b>		<input checked="" type="checkbox"/>
6	Has your country participated in any regional activities related to CITES?		Tick if applicable
	Workshops		<input checked="" type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>	
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

*D8 Areas for future work*

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?				
		Activity	High	Medium	Low
	Increased budget for activities		<b>X</b>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff		<b>X</b>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools		<input type="checkbox"/>	<b>X</b>	<input type="checkbox"/>
	Improvement of national networks		<input type="checkbox"/>	<input type="checkbox"/>	<b>X</b>
	Purchase of new technical equipment for monitoring and enforcement		<input type="checkbox"/>	<b>X</b>	<input type="checkbox"/>
	Computerisation		<input type="checkbox"/>	<input type="checkbox"/>	<b>X</b>
Other (specify)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input type="checkbox"/>		
		No	<b>X</b>		
		No information	<input type="checkbox"/>		
3	If Yes, which one(s) and what is the main difficulty?				
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>		
		No	<b>X</b>		
		No information	<input type="checkbox"/>		
5	If Yes, please describe the constraint and the type of attention or assistance that is required.				
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes	<b>X</b>		
		No	<input type="checkbox"/>		
		No information	<input type="checkbox"/>		
7	If Yes, please give a brief description. <b>Birocratical procedures for import in case of parts and derivates (mainly LPS)</b>				
8	Please provide details of any additional measures taken:				

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,  Comments	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>

## **ANNEX - PROPOSED BIENNIAL REPORT FORMAT**

### **PART 2 - SUPPLEMENTARY QUESTIONS**

Note: Questions in Part 2 are additional to those in Part 1, and relate to information on the provisions of the Regulation that fall outside the scope of CITES.

**ANNEX - REGULATION BIENNIAL REPORT FORMAT**  
**PART 2 SUPPLEMENTARY QUESTIONS**

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

**B. Legislative and regulatory measures**

2b	If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2), please provide the following details:						
	Title and date:			Status:			
	Brief description of contents:						
5b	Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species <sup>1</sup> ?						
	Tick all applicable categories below that these categories apply to.						
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input type="checkbox"/>	<b>X</b>	<input type="checkbox"/>	<input type="checkbox"/>	<b>X</b>	<input type="checkbox"/>
	Taking	<b>X</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>X</b>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<b>X</b>	<input type="checkbox"/>	<b>X</b>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<b>X</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>X</b>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Additional comments							
8b	Has there been any review of legislation on the following subjects in relation to implementation of the Regulation?						
		Yes	No	No information			
	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<b>X</b>	<input type="checkbox"/>			
	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input type="checkbox"/>	<b>X</b>	<input type="checkbox"/>			
Please provide details if available,							
9b	Please provide details of maximum penalties that may be imposed for Regulation-related violations, or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9)..						

<sup>1</sup> In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.



### C. Compliance and enforcement measures

2b	Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	
		No information <input type="checkbox"/>		
16b	Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	
		No information <input type="checkbox"/>		
	Comments:			
17 new	Have specimens been marked to establish whether they were born and bred in captivity or artificially propagated? (In accordance with Commission Regulation (EC) No 1808/2001 Article 36),	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
18 new	Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c)).	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

### D. Administrative measures

#### *D1 Management Authority (MA)*

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	
		No information <input type="checkbox"/>		
10 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2),	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>

#### *D2 Scientific Authority (SA)*

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?	Yes <input type="checkbox"/>	No <input type="checkbox"/>				
		No information <input checked="" type="checkbox"/>					
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
	No information <input type="checkbox"/>						
11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	<b>7</b>				
	Indicate any difficulties that rendered attendance to the SRG difficult:						

**D3 Enforcement Authorities**

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?	Yes	<b>X</b>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>

**D4 Communication, information management and exchange**

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species		<input type="checkbox"/>
	– Other matters not reported on in question D4 (1) (animal collections, wild animal keeping and breeding in captivity )		<b>X</b>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<b>X</b>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

**D5 Permitting and registration procedures**

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes	<input type="checkbox"/>
		No	<b>X</b>
		No information	<input type="checkbox"/>

**D8 Areas for future work**

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes	<input type="checkbox"/>
		No	<b>X</b>
		No information	<input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8(4) , arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
		No	<b>X</b>
		No information	<input type="checkbox"/>
7 bis new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Number : 85%	

## Annex I (Section C)

### A) Seizures of CITES specimens by the State Environment Service in 2005-2006

Date	Item	Number	Measures	Remarks
02.10.2006.	Bottle of a liquid with <i>Naja naja</i>	1	Confiscation & fine	
13.06.2006.	Live <i>Ara macao</i>	1	Fine	
22.02.2006.	Dead coral <i>Scleractinia sp.</i>	1	Confiscation & fine	
14.02.2006.	Live <i>Poicephalus senegalus</i> <i>Polytelis anthopeplus</i> <i>Psittacus erithacus</i> <i>Amazona aestiva</i> <i>Cacatua galerita</i> <i>Agapornis fischeri</i>	2 1 1 1 1 1	Confiscation & fine	Measures were not applied because the case was contradicted and will be disputed in the court
07.07.2005.	Bottle of a liquid with <i>Naja naja</i>	285	Confiscation & fine	After the investigation just 10 specimens were confiscated

### B) Seizures of CITES specimens by the Custom Authorities in 2005-2006

Date	Item	Number	Measures	Remarks
15.03.2005.	Shell of <i>Strombus gigas</i>	3	Confiscation & fine	
05.05.2005.	Dead coral <i>Tubiporidae sp.</i>	1	Confiscation & fine	
05.05.2005.	Dead coral <i>Acropora sp.</i>	1	Confiscation & fine	
05.05.2005.	Dead coral <i>Scleractinia sp.</i>	3	Confiscation & fine	
05.05.2005.	Shell of <i>Strombus gigas</i>	1	Confiscation & fine	
20.05.2005.	Dead coral	2	Confiscation & fine	
23.08.2005.	Hand bag of <i>Crocodylus sp.</i>	1	Confiscation & fine	
23.08.2005.	Purse of <i>Varanus sp.</i>	1	Confiscation & fine	
08.08.2005.	Dead coral <i>Pocillopora sp.</i>	4	Confiscation & fine	
04.08.2005.	Dead coral <i>Pocillopora sp.</i>	1	Confiscation & fine	

13.10.2005.	Shell of <i>Chelonia mydas</i>	1	Confiscation & fine	
25.10.2005.	Dead coral <i>Fungiidae sp.</i>	2	Confiscation & fine	
10.01.2006.	Shell of <i>Strombus gigas</i>	5	Confiscation & fine	
17.01.2006.	Bottle of a liquid with <i>Xenochropihis piscator</i>	1	Confiscation & fine	
24.01.2006.	Bottle of a liquid with <i>Xenochropihis piscator</i>	1	Confiscation & fine	
28.02.2006.	Bottle of a liquid with <i>Xenochropihis piscator</i>	1	Confiscation & fine	
28.03.2006.	Bottle of a liquid with <i>Xenochropihis piscator</i>	1	Confiscation & fine	
13.06.2006.	Bottle of a liquid with <i>Xenochropihis piscator</i>	1	Confiscation	
06.04.2006.	Shell of <i>Strombus gigas</i>	1	Confiscation & fine	
25.04.2006.	Shell of <i>Strombus gigas</i>	3	Confiscation & fine	
09.05.2006.	Dead coral <i>Pocillophora sp.</i>	1	Confiscation & fine	
01.06.2006.	Shell of <i>Strombus gigas</i>	2	Confiscation & fine	
30.11.2006.	Hunting trophy of <i>Ursus arctos</i>	1	Confiscation	

## **Annex II (Section D4(10))**

Nature Protection Board of Latvia (CITES MA) during reporting period (January 2005 – December 2006) gave full attention to public awareness activities.

In **Latvia**, a significant up-date has been completed in web homepages of the CITES MA ([www.dap.gov.lv](http://www.dap.gov.lv)), the CITES SA ([www.dabasmuzejs.gov.lv](http://www.dabasmuzejs.gov.lv)) and the State Environmental Inspectorate ([www.vvd.gov.lv](http://www.vvd.gov.lv)).

A permanent CITES exhibition was opened in the Natural History Museum of Latvia (CITES SA) in the end of 2005 where confiscated CITES specimens are also displayed.

In April of 2005, the Latvian CITES MA started to launch a public awareness campaign financially supported by the Latvian Environmental Protection Fund. The campaign was targeting mainly at tourists travelling overseas. Leaflets, posters and TV advertisement (TV advertisement for uploading is available on <http://www.dap.gov.lv/?objid=1131>) were used as awareness tools.

The CITES MA also developed and distributed information leaflets (plain ticket size) about CITES to biggest Latvia's travelling agencies in February 2006.

In April 2006, the CITES MA received a financial support from the Latvian Environmental Protection Fund for further continuation of public awareness campaign.

A second permanent CITES exhibition was opened in the Riga National Zoo in the end of 2006 where confiscated CITES specimens are displayed. In December of 2006 a short film on CITES awareness has been shown in national TV.

Besides mentioned campaigns, the Latvian MA tries to raise public awareness about CITES by writing articles, giving presentations in schools and other governmental or public institutions on regular bases.

Please find enclosed:

1. Copy of leaflet of State Revenue Service about CITES (English and Latvian languages).
2. Leaflet of Nature Protection Board about CITES souvenirs.
3. Leaflet of Nature Protection Board about CITES plants.
4. Poster of Nature Protection Board about CITES plants.
5. CITES reflector of Nature Protection Board.

### Annex III (Section D6(3))

Nature Protection Board of Latvia (CITES MA) during reporting period (January 2005 – December 2006) gave full attention to capacity building activities.

The Nature Protection Board of Latvia (CITES MA) organized numerous training seminars and workshops on CITES. The objective of the training was to give all authorities more deep knowledge about CITES, including how to control shipments and permits, main groups of species on CITES and EU Regulations. In total, 141 customs officers, 60 environmental inspectors have been trained and supplied with education material. The survey in the time frame of the capacity building activities is given in the following table.

<b>Date</b>	<b>Duration</b>	<b>Participants</b>	<b>Financially supported by</b>
2005 27.05.	1 day	Workshop together with CITES SA and police	Nature Protection Board (CITES MA), Natural History Museum of Latvia (CITES SA)
2005 14.- 15.07.	2 days	Training - 18 customs officers, 16 environmental inspectors	Nature Protection Board (CITES MA)
2006 01.03.	0.5 day	Workshop with 4 environmental inspectors	Nature Protection Board (CITES MA)
2006 03.03.	1 day	Workshop with Ministry of Environment of Latvia on existing national CITES implementing legislation	Nature Protection Board (CITES MA)
2006 07.04.	1 day	Training - 36 customs officers	Latvian Environmental Protection Fund and Nature Protection Board (CITES MA)
2006 20.- 21.04	2 days	Training - 20 environmental inspectors	State Environmental Service and Nature Protection Board (CITES MA), Natural History Museum of Latvia (CITES SA)
2006 11.- 12.05.	2 days	Training - 20 environmental inspectors	State Environmental Service and Nature Protection Board (CITES MA), Natural History Museum of Latvia (CITES SA)

<b>Date</b>	<b>Duration</b>	<b>Participants</b>	<b>Financially supported by</b>
2006 22.05.	1 day	Workshop with environmental inspectors and food and veterinary service about CITES internet problems	Nature Protection Board (CITES MA)
2006 18.07.	1 day	Training - 40 customs officers	Latvian Environmental Protection Fund and Nature Protection Board (CITES MA)
2006 23.11.	1 day	Training – 29 customs officers	Latvian Environmental Protection Fund and Nature Protection Board (CITES MA), Natural History Museum of Latvia (CITES SA)
2006 05.12.	1 day	Training – 18 customs officers	Latvian Environmental Protection Fund and Nature Protection Board (CITES MA)