A. General information

Party	AUSTRIA
Period covered in this report: 1 January 2005 to 31 December 2006	2005-2006
Details of agency preparing this report	Austrian Federal Ministry of Agriculture, Forestry, Environment and Water Management
Contributing agencies, organizations or individuals	9 Austrian Scientific Authorities, Federal Ministry of Finance (Customs Authority)

B. Legislative and regulatory measures

1	Has information on CITES-			eady been provided	Yes (f	•	[
	under the CITES National L	-				partly)		\bowtie	
	If yes, ignore questions 2, 3	and 4.			No	No			
					No in:	formatior	n/unknown		
2	If your country has planned details:	, drafted or o	enacted any	V CITES-relevant le	gislation, p	lease pro	vide the following	g	
	Title and date: Amer Species Trade Act, 07th M			tatus:					
	Species Marking O Annexes), 19 th April 2006		(incl.						
	Brief description of contents	s: Amendme	ents to the S	Species Trade Act a	nd a new S	pecies M	arking Ordinance	;	
3		lable in one of the working languages of the Yes							
	Convention?				No	No			
					No in:	formatior	n [
4	If yes, please attach a copy		gislative te	xt or key legislative	0	tion attac		\boxtimes	
	provisions that were gazette	d.			provid	ed previo	ously [
					not ava	ailable, w	rill send later		
5	Which of the following issu			y stricter domestic r	neasures th	at Tick	all applicable		
	your country has adopted for		-						
	(in accordance with Article			1					
			The conditi			·····	prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information	n	
	Trade					\square			
	Taking					\square			
	Possession					\square			
	Transport					\boxtimes			
	Other (specify)								
	Additional comments	Austria is	member o	f the European Un	ion and th	erefore t	the stricter		
		measures are regulated in the European Regulation (EC) No. 338/97.							

6	What were the results of any review or assessment of the effectiveness ofTick all applicableCITES legislation, with regard to the following items?Tick all applicable						
	Item	Adequate	Partially Inadequate	Inadequate	No information		
	Powers of CITES authorities	\square					
	Clarity of legal obligations				\boxtimes		
	Control over CITES trade	\square					
	Consistency with existing policy on wildlife management and use				\boxtimes		
	Coverage of law for all types of offences				\boxtimes		
	Coverage of law for all types of penalties				\boxtimes		
	Implementing regulations	\square					
	Coherence within legislation	\boxtimes					
	Other (please specify):						
	Please provide details if available:						
	See answer to question 5! This was no	o official review,	only an internal a	assessment!			
7	If no review or assessment has taken pl	ace, is one planne	d for the next	Yes	\sim		
	reporting period?			No			
				No	information		
	Please provide details if available: A w						
8	Has there been any review of legislation relation to implementation of the Conve		subjects in	Tick al	l applicable		
	Subject		Yes	No	No information		
	Access to or ownership of natural resou	irces			\square		
	Harvesting				\square		
	Transporting of live specimens						
	Handling and housing of live specimen	S			\square		
	Please provide details if available:						
9	Please provide details of any additional	measures taken:					

C. Compliance and enforcement measures

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		Yes	No	No information					
1	Have any of the following compliance monitoring operations been undertaken?								
	Review of reports and other information provided by traders and producers:								
	Inspections of traders, producers, markets	\boxtimes							
	Border controls	\boxtimes							
	Other (specify)								
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?								
3	3 If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.								
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?								

F					
5	If information available:		Numbe	er	
	Significant seizures/confiscations				
	Total seizures/confiscations				
	If possible, please specify per group of species or attach details on annex.				
	See attached list of confiscations 2005 and 2006!				
6	Have there been any criminal prosecutions of significant CITES- related violations?	\boxtimes		\triangleright	\triangleleft
7	If Yes, how many and for what types of violations? If available, please	attach de	tails as Anne	X.	
	There was one case concerning a smuggle of 49 Geochelones (35 ele via Slovenia to Austria in 2006, this case was taken to court – but w about a sentence up to now.				
8	Have there been any other court actions of CITES-related violations?			Ľ	
9	If Yes, what were the violations involved and what were the results? Please a	ttach detai	ls as Annex.		
10	How were the confiscated specimens generally disposed of?		Tio	ck if app	olicable
	 Return to country of export 				
	 Public zoos or botanical gardens 				\boxtimes
	 Designated rescue centres 				\square
	– Approved, private facilities				
	 Euthanasia Other (specify) 				
	Comments:				
11	Has your country provided to the Secretariat detailed informatio	n on	Yes		\boxtimes
	significant cases of illegal trade (e.g. through an ECOMESSAGE		No		
	other means), or information on convicted illegal traders and persistent offenders?		Not applica	able	
			No informa		
	Comments: The CITES-Secretariat and also the European Commiss	sion will	be informed	quarte	erly.
12	Has your country been involved in cooperative enforcement activities w	vith	Yes		
	other countries		No		
	(e.g. exchange of intelligence, technical support, investigative assistanc joint operation, etc.)?	e,	No informat	tion	\square
13	If Yes, please give a brief description:				
14	Has your country offered any incentives to local communities to assist i		Yes		
	enforcement of CITES legislation, e.g. leading to the arrest and convict offenders?	ION OI	No		
			No informat	tion	\boxtimes
15	If Yes, please describe:				
16	Has there been any review or assessment of CITES-related enforcement	t?	Yes		
			No		
			Not applica	able	
			No informat	tion	
	Comments:				
17	Please provide details of any additional measures taken:				

D. Administrative measures

D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information	Yes	
	for the MA(s) in your country which are not yet reflected in the CITES	No	\boxtimes
	Directory?	No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been	Yes	
	designated?	No	
	In Austria there is only one MA.	No information	\boxtimes
4	If Yes, please name that MA and indicate whether it is identified as the lead M	IA in the CITES Direc	ctory.
5	How many staff work in each MA? 10 persons		
6	Can you estimate the percentage of time they spend on CITES related	Yes	\boxtimes
	matters?	No	
		No information	
	If yes, please give estimation		
	5 persons working fulltime, 1 person part-time, 4 persons working each		
	50 %		
7	What are the skills/expertise of staff within the MA(s)?	Tick if ap	plicable
	– Administration		\boxtimes
	– Biology		\boxtimes
	– Economics/trade		
	– Law/policy		\boxtimes
	– Other (specify)		
	– No information		
8	Have the MA(s) undertaken or supported any research activities in relation to	Yes	\boxtimes
	CITES species or technical issues (e.g. labelling, tagging, species	No	
	identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the kind of research		
	Financial support for the Bulbophyllum-project together with the Univer Garden).	sity of Vienna (Botan	ical
10	Please provide details of any additional measures taken		

D2 Scientific Authority (SA)

1		n any changes in t your country wh	Yes No No informatio	m \square					
2	If Yes please u	se the opportunity	y to provide those						
-	In the scientific Authority of Salzburg there is a new person working,								
		-	enicht@salzburg.	-					
3		ry designated a So	cientific Authority	·	ent from the	Yes No			
		signated 9 differ the federal count	ent and independ tries (Länder).	lent Scient	tific	No informatio	on 🗌		
4	What is the stru	cture of the SA(s)) in your country?			Tic	k if applicable		
	– Governr	ment institution					\boxtimes		
	– Academ	nic or research ins	titution						
	– Permane	ent committee							
	– Pool of	individuals with c	ertain expertise				\boxtimes		
	– Other (s								
5	-		on CITES issues						
6		te the percentage	of time they spend	d on CITES	S related	Yes	\boxtimes		
	matters?					No			
	If was plage of	actimation				No informatio	n 🗌		
	If yes, please gi		ne staff is only wo	-ling 50 (2/ on CITES				
	related matters		le stall is only wo	TKIIIg 50	70 UII CI I EG				
7	What are the sk	tills/expertise of st	taff within the SA((s)?		Tic	k if applicable		
	– Botany								
	– Ecology	7					\boxtimes		
	– Fisherie	S							
	– Forestry	7							
	– Welfare	;							
	– Zoology	7					\boxtimes		
	– Other (s	specify) Law/j	policy				\boxtimes		
	– No info	ormation							
8			een undertaken	by the SA	l(s) in	Yes			
	relation to CIT	ES species?				No			
						No informatio	on 🖂		
9		ive the species na	me and provide de	·	1	h involved.	r		
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)		
	1								
	2			-					
	3								
	etc.					<u> </u>			
						No informati			
10	, , ,		or scientific resear	rch been s	submitted to	Yes			
	the Secretariat	t under Resolutio	on Conf. 12.2?			No			
						No informati	on		
11	-	-	itional measures ta						
	Research on th	e Bulbophyllum	-project of the Ur	niversity o	of Vienna (Bota	nical Garden).			

D3 Enforcement Authorities

1	To date, has your country advised the Secretariat of any enforcement	Yes	\boxtimes		
	authorities that have been designated for the receipt of confidential enforcement information related to CITES?	No			
	Austrian Federal Ministry of Finance (also Customs Authority)	No information			
2	If No, please designate them here (with address, phone, fax and email).				
3	Has your country established a specialized unit responsible for CITES-	Yes	\boxtimes		
	related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	No			
	ponce, public prosecutor's office):	Under consideration			
		No information			
	The Customs Authority where also the police is sometimes involved.				
4	If Yes, please state which is the lead agency for enforcement: Austrian Fe	ederal Ministry of Finance			
5	Please provide details of any additional measures taken:				

D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?							Tick if appli	icable
	 Monitoring an 	g and reporting of data on legal trade							\square
	– Monitoring an	d reporti	ng of data o	on illegal tra	ade				\boxtimes
	– Permit issuanc	e							\boxtimes
	– Not at all								
	– Other (specify	·)							
2	Do the following auth	orities h	ave access t	o the Intern	et?			Tick if appli	icable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	-	ride details wher propriate	e
	Management Authority								
	Scientific Authority	\square							
	Enforcement Authority								
3	Do you have an electr	onic info	ormation sys	stem provid	ing info	ormation	on CITES Ye	S	\square
	species?						No		
							No	information	

4	If Yes, does it provide information on:			Tick if applica	able	
	– Legislation (national, regional or internation					
	- Conservation status (national, regional, inte	ernational)?			\boxtimes	
	– Other (please specify)?					
5	Is it available through the Internet:		Yes	5		
			No		\boxtimes	
	Furthermore we also use the german web-site w	ww.wisia.de.	Not	t applicable		
			No	information		
	Please provide URL:					
6	Do the following authorities have access to the following	owing publications?		Tick if applicabl	le	
	Publication	Management Authority	Scientific Authority	Enforcement Authority	-	
	2003 Checklist of CITES Species (book)					
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)					
	Identification Manual					
	CITES Handbook		\square			
7	If not, what problems have been encountered to acc	cess to the mentioned	d information?			
8	Have enforcement authorities reported to the Mana,	gement Authority or	n:	Tick if applica	ıble	
	– Mortality in transport?				\boxtimes	
	 Seizures and confiscations? 					
	- Discrepancy in number of items in permit and n	number of items actu	ally traded?		\boxtimes	
	Comments:					
9	Is there a government website with information on	CITES and its	Yes		\boxtimes	
	requirements?		No			
			No ir	nformation		
	If Yes, please give the URL: <u>www.cites.at</u>					
10	Have CITES authorities been involved in any of the bring about better accessibility to and understanding requirements to the wider public?			Tick if applica	ıble	
	 Press releases/conferences 					
	 Newspaper articles, radio/television appeara 	ances				
	– Brochures, leaflets				\square	
	– Presentations					
	– Displays					
	 Information at border crossing points 					
	 Telephone hotline 					
	– Other (specify)					
	Please attach copies of any items as Annex.					
11	Please provide details of any additional measures ta					
	Austrian Campaign for Travel guides and Trave	ellers which is mad	e together with	WWF-Austria.		

D5 Permitting and registration procedures

1	Have any changes in permit for empowered to sign CITES perm Secretariat?	Yes No Not applicable No information					
	If no, please provide details of a						
	Changes in permit format:						
	Changes in designation or si	•					
2	To date, has your country development following?	oped written permi	it procedure	-	f the	Tick if applicable	
				Yes	No	No informati	on
	Permit issuance/acceptance						
	Registration of traders						
3	Registration of producers Please indicate how many CITE	C desumanta mara	icanad or	donied in th			
3	(Note that actual trade is normal documents).				-	-	ied
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments	
	How many documents were issued?	1936	233	2796	No		
	How many applications were denied because of severe ommissions or mis- information?	1	0	0	No	Suspected fraud o export-permit from Cameroon.	
	Year 2						
	How many documents were issued?	2244	149	3207	No		
	How many applications were denied because of severe ommissions or mis- information?	1	0	0	No	Negative opinion SRG.	of the
4	Were any CITES documents that of severe ommissions or mis-inf		cancelled	and replace	d because	Yes No No information	
5	If Yes, please give the reasons f	or this.					
6	Please give the reasons for re countries.	jection of CITES	document	ts from oth	ier	Tick if applicable	
		ason		Yes		No informati	on
	Technical violations						
	Suspected fraud						
	Insufficient basis for finding of						
	Insufficient basis for finding of	legal acquisition					
	Other (specify) An export-permit from Camer	roon was rejected	hoomson	.f.a. L.			
	suspected fraud.	10011 was rejected	Decause o	"a			
7	Are harvest and/or export quota issuance of permits?	Yes No No information					
	Comments						
8	How many times has the Scient	ific Authority been	requested	to provide	opinions?		
	Our Scientific Authorities will	-	_				
9	Has the Management Authority related CITES activities?	charged fees for pe	ermit issua	nce, registra	ation or	Tick if app	licable

	_	Issuance of CITES documents:	\boxtimes
	_	Licensing or registration of operations that produce CITES species:	
	-	Harvesting of CITES-listed species :	
	-	Use of CITES-listed species:	\boxtimes
	-	Assignment of quotas for CITES-listed species:	
	-	Importing of CITES-listed species:	\boxtimes
	-	Other (specify):	
10	If Ye	s, please provide the amounts of such fees. see attached list of fees!	
11		revenues from fees been used for the implementation of CITES or ife conservation?	Tick if applicable
	-	Entirely:	
	-	Partly:	
	-	Not at all:	\boxtimes
	-	Not relevant:	
	Com	ments: All revenues of fees are directly going to the Austrian	
	Fede	ral Ministry of Finance.	
12	Pleas	e provide details of any additional measures taken:	

D6 Capacity building

1	Have any of the following activities been un CITES implementation at the national level	Tick if applicable							
	Increased budget for activities		Improv netwoi	vement c rks	of natio				
	Hiring of more staff			use of tec pring/enf		oment for			
	Development of implementation tools		Compu	uterisatio	n	\boxtimes			
	– Other (specify)								
2	Have the CITES authorities in your country activities provided by external sources?	been the	been the <i>recipient</i> of any of the following capacity building						
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?		
	Staff of Management Authority								
	Staff of Scientific Authority								
	Staff of enforcement authorities								
	Traders								
	NGOs								
	Public								
	Other (specify)								

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?								
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details		
	Staff of Management Authority	\square							
	Staff of Scientific Authority						Internal Trainings		
	Staff of enforcement authorities								
	Traders					\square	WWF-Austria		
	NGOs						Financial support for the information campaign of the WWF-Austria to traders and the public.		
	Public					\boxtimes			
	Other parties/International meetings								
	Other (specify)								
4	Please provide details of any additional measures taken								

D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITE						Y	'es	
							Ν	lo	\boxtimes
							N	lo information	
2	If Yes, which agencies are represented and how often does it meet?								
	A task force group with members of the Animals- and Plants- Committee, the Enforcement Authority and veterinary- and phytosanitary-agency was initiated.								
3	If No, please indi among CITES au			ancies used by the MA to ensure co-ordination police, others):					
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings				\square				
	Consultations						\square	as required	l
4	At the national le collaborate with:	national level have there been any efforts to prate with:			Tick i	f applicable	Details if avail	able	
	Agencies for deve	Agencies for development and trade							
	Provincial, state or territorial authorities					\boxtimes			
	Local authorities	or comm	unities						
	Indigenous peopl	s peoples							
	Trade or other private sector associations NGOs								
	Other (specify)								

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if app	licable
	SA		
	Customs		
	Police		
	Other border authorities (specify)		
	Other government agencies		
	Private sector bodies		
	NGOs		
	Other (specify)		
	No Memorandum of Understanding has been made.		
6	Has your country participated in any regional activities related to CITES?	Tick if app	licable
	Workshops EU Wildlife Trade Enforcement Co- ordination Workshop (Oct. 2005) in the UK		\boxtimes
	Workshop concerning difficulties in		
	the EC-Regulations (Nov. 2006) in		
	Vilm, Germany		_
	Meetings		\boxtimes
	Other (specify)		
7	Has your country encouraged any non-Party to Yes		
	accede to the Convention? No		\boxtimes
	No information	n	
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to	Yes	
	another country in relation to CITES?	No Na information	
10		No information	
10	If Yes, which country(ies) and what kind of assistance was provided?		
	Financial assistance for the MIKE-programme on African Elephants to (€15.000,-).	the CITES-Secretariat	
11	Has your country provided any data for inclusion in the CITES Identification	n Yes	\boxtimes
	Manual? Species identification for Bulbonhyllum species	No	
	Species identification for Bulbophyllum-species.	No information	
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and othe	Yes	\boxtimes
	multilateral environmental agreements (e.g. the biodiversity-related	INO	
	Conventions)?	No information	
14	If Yes, please give a brief description.		
	Our expert for the CITES-Convention on the plants-section is also the Convention.	expert for the Biodivers	ity-
15	Please provide details of any additional measures taken:		

D8 Areas for future work

1	e any of the following activities needed to enhance effectiveness of CITES implementation at the national el and what is the respective level of priority?								
	Activity	High	Medium	Low					
	Increased budget for activities		\square						
	Hiring of more staff	\square							
	Development of implementation tools		\square						
	Improvement of national networks	\square							
	Purchase of new technical equipment for monitoring and enforcement								
	Computerisation			\square					
	Other (specify)								
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?								
				\boxtimes					
		No info	rmation						
3	If Yes, which one(s) and what is the main difficulty?								
4	Have any constraints to implementation of the Convention arisen in your country	Yes		\square					
	requiring attention or assistance?		No						
		No information							
5	If Yes, please describe the constraint and the type of attention or assistance that is required.								
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?		Yes						
			No						
		No inf	formation						
7	If Yes, please give a brief description. Marking of live reptiles (photo-docume	entation	or micro-ch	ips)					
8	Please provide details of any additional measures taken:								

E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	\boxtimes
		Not available	
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	\boxtimes
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	\boxtimes
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	\boxtimes
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	
		Not available	\boxtimes
		Not relevant	
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for	Enclosed	\boxtimes
	educational or public awareness purposes,	Not available	
		Not relevant	
	Comments		