

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA

Seventh Meeting of the Conference of the Parties

Lausanne (Switzerland), 9 to 20 October 1989

Financing and Budgeting of the Secretariat
and of Meetings of the Conference of the Parties

ANTICIPATED EXPENDITURE FOR 1989

Report from the Secretariat

1. In document Doc. 7.8 the Secretariat briefly explained the "Financial Plan" developed by the Secretariat for 1988-1989, in order to reimburse the monies advanced by UNEP and to restore the Trust Fund to appropriate proportions. This "Plan" calls for total expenditures in 1989 of US\$ 1,251,000 (out of regular contributions) compared to an approved budget of US\$ 1,611,000.
2. The organization and conduct of the seventh meeting of the Conference of the Parties (Lausanne 9-20 October 1989) represents a major expenditure for the year. As the budget approved by the Parties for that item was limited to US\$ 156,000, the Secretariat had to make major budget line transfers to accommodate the meeting costs which amount to US\$ 481,171. As approximately US\$ 220,000 has been generously provided by the Swiss Confederation, the Canton de Vaud, the City of Lausanne and the Commission of the European Communities, approximately US\$ 235,000 had to be provided by the Trust Fund and the remaining from other miscellaneous income.
3. Achievement of the overall objectives in 1989 will be possible mainly due to the co-operation of the French Government (secondment of one staff member), the Government of the United Kingdom (special contribution of US\$ 43,000), the Government of the Northwest Territories, Canada (secondment of one staff member), the provision of two staff members by UNEP, and contributions from the three levels of Government in Switzerland (Confederation, Canton and Municipality).
4. Of major importance in 1989 has been the very substantial increase in the U.S. contribution to the Trust Fund, from US\$ 170,000 in 1988 to US\$ 650,000 in 1989.
5. Should the rate of exchange of the US dollar versus the Swiss franc be maintained at its present level for the remaining portion of 1989 it will also have a positive effect on the "Financial Plan".

6. This document includes the following annexes:

- Annex 1: 1989 estimated expenditures
- Annex 2: Expenditures for the seventh meeting of the Conference of the Parties
- Annex 3: Evolution of the Trust Fund
- Annex 4: Trust Fund contributions expressed in constant dollars
- Annex 5: Government contributions received for the biennium 1988-1989.

ANTICIPATED EXPENDITURES FOR 1989
(in current US\$)

BUDGET LINE	DESCRIPTION	APPROVED BUDGET	INCURRED EXPENDITURES	SURPLUS/ DEFICIT
COMPONENT 10				
1100	Professional staff salaries	502,000	464,542	37,458
	Sub-total	502,000	464,542	37,458
1200	Consultants	5,000	54,500	(49,500)
	Sub-total	5,000	54,500	(49,500)
1300	a) General support staff salaries	285,000	453,856	(168,856)
	b) Temporary assistance during COP meetings (translat. etc.)	114,000		114,000
	Sub-total	399,000	453,856	(54,856)
1600	Travel on official business	35,000	30,000	5,000
1601	Travel in connection with the COP	36,000	12,500	23,500
1698	Prior years adjustment			
	Sub-total	71,000	24,500	28,500
Total Component 10		977,000	1,015,398	(38,398)
COMPONENT 20				
2101	Sub-contract (nomenclature)	30,000	20,000	10,000
2102	Sub-contract to WTMU-CMC	75,000	68,500	6,500
2103	Sub-contract to IUCN/Bonn (survey legislation)	60,000	5,000	55,000
2198	Prior years adjustment			
	Sub-total	165,000	93,500	71,500
Total Component 20		165,000	93,500	71,500
COMPONENT 30				
3202	Meeting of the Standing Committee	25,000	10,000	15,000
3203	2nd Technical Committee Meeting			
3298	Prior years adjustment			
	Sub-total	25,000	10,000	15,000

BUDGET LINE	DESCRIPTION	APPROVED BUDGET	INCURRED EXPENDITURES	SURPLUS/ DEFICIT
3301	Meeting of the Parties			
	Sub-total	=====	=====	=====
	Total component 30	25,000	10,000	15,000
COMPONENT 40				
4100	Expendable equipment	7,000	7,500	(500)
4198	Prior years adjustment	-----	-----	---
	Sub-total	7,000	7,500	(500)
4200	Non-expendable equipment	10,000	18,000	(8,000)
4201	Other non-expendable equipment			
4298	Prior years adjustment	40,000		40,000
	Sub-total	50,000	18,000	32,000
4300	Premises (rent)		31,000	(31,000)
	Sub-total	=====	31,000	(31,000)
	Total Component 40	57,000	56,500	500
COMPONENT 50				
5100	Operation and maintenance:			
5101	Rental of computer	7,000	8,000	(1,000)
5102	Rental of photocopier	27,000	26,000	1,000
5103	Insurance		1,000	(1,000)
5104	Installation of office equipment			
5105	Others		5,500	(5,500)
5198	Prior years adjustment	-----	-----	-----
	Sub-total	34,000	40,500	(6,500)
5200	Reporting costs:			
5201	Identification Manual	50,000	40,000	10,000
5202 a)	Proceedings COP and other publications	20,000	5,000	15,000
5202 b)	Reporting costs during the COP			
5203	Security stamps			
5204	Other publications			
5298	Prior years adjustment	-----	-----	-----
	Sub-total	70,000	45,000	25,000
5300	Sundry:			
5301	Communications (telex, telephone, etc.)	45,000	45,000	
5301	Freight, etc.	10,000		10,000
5303	Logistics for the COP	30,000		30,000

BUDGET LINE	DESCRIPTION	APPROVED BUDGET	INCURRED EXPENDITURES	SURPLUS/ DEFICIT
5304	Other misc. cost (contingency)	13,000	24,000	(11,000)
5398	Prior years adjustment			
	Sub-total	98,000	69,000	29,000
5400	Hospitality		2,000	(2,000)
	Sub-total		2,000	(2,000)
	Total Component 50	202,000	156,500	45,500
COMPONENT 60				
6000	UNEP overhead (13%)	185,000	173,147	11,853
	Sub-total	185,000	173,147	11,853
	Total Component 60	185,000	173,147	11,853
	GRAND TOTAL	1,611,000	1,505,045	105,955

Notes:

- 1) This includes the expenditures covered by the following:
 - a US\$ 43,000 special contribution by the United Kingdom
 - a US\$ 30,542 partial amount of the contribution by the Government of France for the secondment of one professional staff member
 - a US\$ 125,000 special contribution by the Government of the Northwest Territories (Canada) for the secondment of one professional staff member
 - Provision by UNEP of one financial clerk amounting to US\$ 27,000.
- ii) Consequently, authorized expenditures for 1989 assessed contributions to the Trust Fund will amount to US\$ 1,106,356. By adding the 13% of UNEP overhead costs (US\$ 143,826) the Grand Total will be US\$ 1,250,182, i.e., a saving of US\$ 360,818 over the approved budget.

SEVENTH MEETING OF THE CONFERENCE OF THE PARTIES
SUMMARY OF ESTIMATED COSTS AND FUNDS AVAILABLE
(in current US\$)

LINE	DESCRIPTION	ESTIMATED COST	TRUST FUND	EXTERNAL FUND	SURPLUS/ DEFICIT
COMPONENT 10					
1201	Consultants	29,500	34,500		5,000
1300	COP staff	176,965	177,356		391
1601	Travel in relation to the COP	9,000	12,500		3,500
1602	Local travel COP	21,000		23,270	2,270
3200	Preparatory meetings	31,800		31,800	
3300	Conference costs	160,720		156,455	-4,265
4100	Expendable equipment	11,000		12,265	1,265
4200	Non-expendable equipment	7,024			-7,024
5199	COP rentals	15,001		10,025	-4,976
5202	Printing costs	22,875	9,000	12,000	-1,875
5400	Contingency		2,000		2,000
		=====	=====	=====	=====
GRAND TOTAL		484,885	235,356	245,815	-3,714

Sources of funding:

CITES Trust Fund	:	US\$ 235,356
City of Lausanne	:	US\$ 58,824
Canton de Vaud	:	US\$ 58,284
Swiss Confederation	:	US\$ 70,588
Miscellaneous revenues (registration, sales)	:	US\$ 21,000
Friends of Animals	:	US\$ 3,000
EEC	:	US\$ 31,800
		=====
TOTAL FUNDS AND REVENUES		US\$ 479,392

Exchange rate used throughout: US\$ 1 = SFR 1.60 (except amounts received which are expressed in the current rate).

EVOLUTION OF CITES TRUST FUND
1980-1989
(in current US\$)

Year 1980/1981

Contributions received up to 31 December	649,742
Interest credited up to 31 December	17,150
Project expenditures recorded (IUCN) as at 31 December	(454,577)
Project expenditures recorded (UNEP) as at 31 December	
Programme support cost (UNEP overhead)	(59,095)
END YEAR BALANCE	<u>153,220</u>

CUMULATIVE BALANCE 153,220

Year 1982

Contributions received up to 31 December	380,154
Interest credited up to 31 December	21,393
Project expenditures recorded (IUCN) as at 31 December	(259,504)
Project expenditures recorded (UNEP) as at 31 December	
Programme support cost (UNEP overhead)	(33,735)
END YEAR BALANCE	<u>108,308</u>

CUMULATIVE BALANCE 261,528

Year 1983

Contributions received up to 31 December	464,134
Interest credited up to 31 December	24,048
Project expenditures recorded (IUCN) as at 31 December	(347,146)
Project expenditures recorded (UNEP) as at 31 December	
Programme support cost (UNEP overhead)	(45,129)
END YEAR BALANCE	<u>95,907</u>

CUMULATIVE BALANCE 357,435

Year 1984

Contributions received up to 31 December	522,581
Interest credited up to 31 December	39,356
Project expenditures recorded (IUCN) as at 31 December	(362,310)
Project expenditures recorded (UNEP) as at 31 December	(98,154)
Programme support cost (UNEP overhead)	(59,860)
END YEAR BALANCE	<u>41,613</u>

CUMULATIVE BALANCE 399,048

Year 1985

Contributions received up to 31 December	604,213
Interest credited up to 31 December	49,367
Project expenditures recorded (UNEP) as at 31 December	(599,857)
Programme support cost (UNEP overhead)	(77,981)
END YEAR BALANCE	(24,258)

CUMULATIVE BALANCE 374,790

Year 1986

Contributions received up to 31 December	616,742
Interest credited up to 31 December	33,530
Project expenditures recorded (UNEP) as at 31 December	(679,409)
Programme support cost (UNEP overhead)	(88,323)
END YEAR BALANCE	(117,460)

CUMULATIVE BALANCE 257,330

Year 1987

Contributions received up to 31 December	613,734
Interest credited up to 31 December	11,657
Project expenditures recorded (UNEP) as at 31 December	(946,115)
Programme support cost (UNEP overhead)	(126,673)
END YEAR BALANCE	(447,397)

CUMULATIVE BALANCE (190,067)

Year 1988

Contributions received up to 31 December	1,248,884 ¹
Interest credited up to 31 December	33,583
Project expenditures recorded (UNEP) as at 31 December	(890,626) ²
Programme support cost (UNEP overhead)	(115,781)
END YEAR BALANCE	(276,060)

CUMULATIVE BALANCE 85,993

Year 1989

Contributions received up to 31 December	1,750,000 ¹
Interest credited up to 31 December	50,000
Project expenditures recorded (UNEP) as at 31 December	(1,331,898) ²
Programme support cost (UNEP overhead)	(173,147)
END YEAR BALANCE	(294,955)

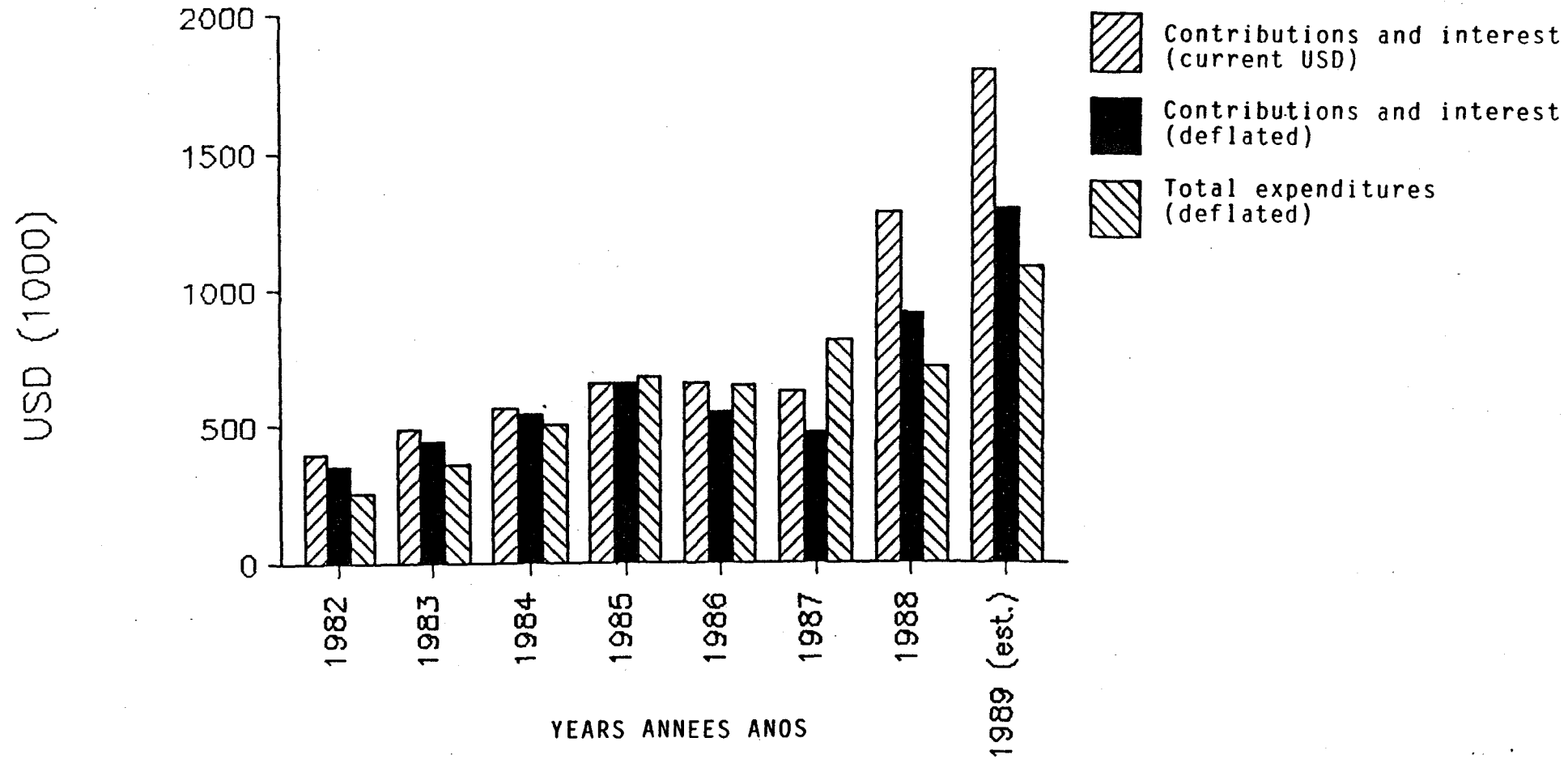
CUMULATIVE BALANCE 380,948

NOTES:

¹ Includes extraordinary contributions to the Trust Fund.

² Accounted US\$ 50,000 credited by UNEP to personnel cost.

CONTRIBUTIONS, INTEREST & EXPENDITURES
Current and Deflated USD (Real effective exchange rate)
(1982-1989)



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Notes:
INDEX: Real Effective Exchange Rate Index (Based on Relative Consumer prices)
1985 = 100%
Source: International Financial Statistics (IMF)

GOVERNMENT CONTRIBUTIONS RECEIVED IN THE BIENNIUM 1988-1989

PARTY	TRUST FUND		EXTERNAL FUNDING			TOTAL RECEIVED
	ASSESSED CONTRIBUTION	CONTRIBUTION RECEIVED	SPECIAL CONTRIBUTION	SPECIAL CONTRIBUTION RECEIVED	IN KIND CONTRIBUTION	
Afghanistan	324	244				244
Algeria	4,530	4,122				4,122
Argentina	20,062					
Australia	53,716	26,858				26,858
Austria	23,946	23,946				23,946
Bahamas	324	162				162
Bangladesh	648	455				455
Belgium	38,184	38,184				38,184
Belize	324	406				406
Benin	324					
Bolivia	324					
Botswana	324	162			2,500	2,662
Brazil	45,302	33,922				33,922
Burundi	189					
Cameroon	324					
Canada	99,108	99,018 ^{2/}			5,000	104,018
Central African Republic	324	162				162
Chad	108					
Chile	2,266	567				567
China	25,564	32,157				32,157
Colombia	4,206	2,103				2,103
Congo	324					
Costa Rica	648	2,377				2,377
Cyprus	648	648				648
Denmark	23,298	23,298	15,000	15,000		38,298
Dominican Republic	970	1,152				1,152
Ecuador	970	1,452				1,452
Egypt	2,226	1,133				1,133
El Salvador	324					
Ethiopia	81					

PARTY	TRUST FUND		EXTERNAL FUNDING			TOTAL RECEIVED
	ASSESSED CONTRIBUTION	CONTRIBUTION RECEIVED	SPECIAL CONTRIBUTION	SPECIAL CONTRIBUTION RECEIVED	IN KIND CONTRIBUTION	
Finland	16,180	16,180	30,000	30,000		46,180
France	206,126	103,063	156,500	76,442		179,505
Gambia	323					
Gabon	324	464				464
German Democratic Republic	43,038					
Germany, Federal Republic of	267,286	267,286	10,000			267,286
Ghana	324	706				706
Guatemala	648	324				324
Guinea	324					
Guyana	324	79				79
Honduras	324	369				369
Hungary	7,118	11,414				11,414
India	11,326	5,663				5,663
Indonesia	4,530	1,303				1,303
Iran, Islamic Republic of	20,386	9,404				9,404
Israel	7,118	3,728				3,728
Italy	122,640	116,820				116,820
Japan	350,772	350,772	300,000	300,000	10,000	650,772
Jordan	324	162				162
Kenya	324	159			2,000	2,159
Liberia	324	162				162
Liechtenstein	324	324				324
Luxembourg	1,618	2,343				2,343
Madagascar	324	61				61
Malawi	324	310				310
Malaysia	3,236	1,618				1,618
Malta	74					
Mauritius	324	399				399
Monaco	324	162				162
Morocco	1,618	809				809
Mozambique	324	244				244
Nepal	324	162				162
Netherlands	56,304	56,304	11,692		10,000	66,304
Nicaragua	324	474				474
Niger	324					
Nigeria	6,148	12,768				12,768
New Zealand	1,618	1,618				1,618

GOVERNMENT CONTRIBUTIONS RECEIVED IN THE BIENIUM 1988-1989

PARTY	TRUST FUND		EXTERNAL FUNDING			TOTAL RECEIVED
	ASSESSED CONTRIBUTION	CONTRIBUTION RECEIVED	SPECIAL CONTRIBUTION	SPECIAL CONTRIBUTION RECEIVED	IN KIND CONTRIBUTION	
Norway	17,474	13,339	15,000			13,339
Pakistan	1,942	1,944				1,944
Panama	648					
Papua New Guinea	324	81				81
Paraguay	648					
Peru	2,266	567				567
Philippines	3,326	1,143				1,143
Portugal	5,824	2,912				2,912
Rwanda	324	162				162
Saint Lucia	324	162				162
Saint Vincent and the Grenadines	135					
Senegal	324					
Sevchelles	324	244				244
Singapore	3,236	3,919				3,919
Somalia	324					
South Africa	14,238	14,238				14,238
Spain	65,688	32,834				32,834
Sri Lanka	324	162				162
Sudan	324					
Suriname	324					
Sweden	40,448	20,224				20,224
Switzerland	36,242	74,256 2/	115,030	40,030	15,000	129,286
Tanzania, United Republic of	324	260				260
Thailand	2,912	2,912				2,912
Togo	324	194				194
Trinidad and Tobago	1,294					
Tunisia	970	444				444
Union of Soviet Socialist Republics	330,062					
United Kingdom of Great Britain and Northern Ireland	157,264	121,765 1/	53,563	28,349		150,114
United States of America	808,974	819,998	139,995	34,995	15,000	854,993
Uruguay	1,294	647				647
Venezuela	19,416	14,814				14,814

GOVERNMENT CONTRIBUTIONS RECEIVED IN THE BIENIUM 1988-1989

PARTY	TRUST FUND		EXTERNAL FUNDING			TOTAL RECEIVED
	ASSESSED CONTRIBUTION	CONTRIBUTION RECEIVED	SPECIAL CONTRIBUTION	SPECIAL CONTRIBUTION RECEIVED	IN KIND CONTRIBUTION	
Zaire	324	82				82
Zambia	324					
Zimbabwe	648	324				324
	=====	=====	=====	=====	=====	=====
TOTAL	3,002,546	2,385,808	846,779	524,815	59,500	2,945,123

Note:

1/ The Government of the United Kingdom has committed the same amount for 1989.

2/ Other governmental contributions:

- a) From the Northwest Territories (Canada) US\$ 142,620
- b) From the Canton de Vaud (Switzerland) US\$ 62,500
- c) From the Municipality of Lausanne (Switzerland) US\$ 69,333