

**Annex 2. Proposal for a revised set of indicators to measure progress with the CITES Strategic Vision 2008-2020.**

<b>CITES vision statement</b>		
Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant <i>Aichi Biodiversity Targets</i> .		
<b>Initial indicators</b> <a href="http://www.cites.org/eng/news/E-SV-indicators.pdf">http://www.cites.org/eng/news/E-SV-indicators.pdf</a>	<b>Suggested Revisions by the Working Group on Special Reporting Requirements</b> N.B. <u>Underline</u> indicates an insertion, and <del>strike through</del> a deletion.	<b>Reason for change, and how the indicator can be implemented</b>
<b>GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION</b>		
<b>Objective 1.1</b> Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.		
1.1.1 The number of Parties that are in category 1 under the national legislation project.	No change.	Obtain indicator information through National Legislation Project and, in the future, the content management system of the CITES website. Secretariat determines category, in consultation with the concerned Party, and reports regularly to the Standing Committee. Question in implementation report on whether new legislation developed.
1.1.2 The number of Parties that have designated Management Authorities and Scientific Authorities.	No change.	Obtain indicator information through the CITES directory and, in the future, the content management system of the CITES website.
1.1.3 The number of Parties subject to CITES recommendations on trade.	No change.	Obtain indicator information from Notifications to the Parties, the reference list on countries currently subject to a recommendation to suspend trade and Secretariat.
<b>Objective 1.2</b> Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.		
1.2.1 The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.	No change	Obtain indicator information through questions in the implementation report.

1.2.2 The number of Parties making use of the simplified procedures provided for in Resolution Conf. 12.3 (Rev. CoP13).	Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in Resolution Conf. 12.3 <sup>1</sup> ( <u>Rev. CoP16</u> ).	Reference to Resolution Conf. 12.3 (Rev. CoP13) updated to (Rev. CoP16). Obtain indicator information through questions in the implementation report.
<b>Objective 1.3</b> Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties.		
1.3.1 The number of Parties that have implemented relevant Resolutions and Decisions of the Conference of the Parties.	Indicator 1.3.1: The number of Parties that have implemented relevant <u>reporting under</u> Resolutions and Decisions of the Conference of the Parties.	The original indicator was potentially huge, so it has been recast in terms of the reporting requirements of the Convention – as a mechanism to push for the information requirements of the Convention to be met. Obtain indicator information through questions in implementation report and Secretariat records.
<b>Objective 1.4</b> The Appendices correctly reflect the conservation needs of species.		
1.4.1 The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.	No change.	Indicator to be based on the number of proposals that have been adopted to amend the Appendices since CoP10 (when Conf. Res.9.24 would have been implemented) and the number of species that have been assessed under the periodic review process since CoP10. Obtain indicator information from Secretariat, CoP and AC/PC records.
1.4.2 The number of unlisted species subject to significant levels of international trade, for which the trade and biological information is evaluated via a transparent mechanism including IUCN Red List and other data to identify species that would benefit from inclusion in the Appendices and the number of such species subsequently included in the Appendices.	Indicator deleted.	Indicator deleted as unmeasurable without disproportionate effort as there is no CITES process to evaluate all unlisted species. A new proposal has been suggested under Objective 3.4, which could be measured through the IUCN Red List conservation status categories for listed species.

<sup>1</sup> <http://www.cites.org/eng/res/12/12-03R16.php>

<b>Objective 1.5</b> Best available scientific information is the basis for non-detriment findings.		
1.5.1 The number of surveys undertaken by exporting countries of: a) the population status as well as the trends and impact of trade upon Appendix-II species; and b) the status of and trend in Appendix I species and the impact of any recovery plans.	Indicator 1.5.1: The number of surveys, <u>studies or other analyses</u> undertaken by exporting countries of: a) the population status of <u>Appendix-II species</u> <del>as well as</del> ; b) the trends and impact of trade upon Appendix-II species; and c) the status of and trend in <u>naturally-occurring</u> Appendix I species and the impact of any recovery plans.	Amended to: <ul style="list-style-type: none"><li>include studies and analyses as well as surveys.</li><li>Part a) of the original indicator split into two parts to clarify that population status of Appendix II species is important information on its own, as well as studies of the impact of trade.</li><li>Part b) of the original indicator focussed on naturally occurring species in a country.</li></ul> These amendments are intended to provide more clarity and focus the indicator. Obtain indicator information through questions in the implementation report.
1.5.2 The number of Parties that have adopted standard procedures for making non-detriment findings.	No change.	Obtain indicator information through questions in the implementation report.
1.5.3 The number and proportion of annual export quotas based on population surveys.	Indicator 1.5.3: The number <del>and proportion</del> of annual export quotas <del>based on population surveys</del> .	Indicator edited to reduce the burden on Parties of providing lots of information. Obtain indicator information from quotas published on CITES website and Secretariat.
1.5.4 The number of Appendix-II species for which trade is determined to be non-detrimental to the survival of the species as a result of implementing recommendations from the Review of Significant Trade.	No change.	Obtain indicator information from Secretariat, which will have information on results of species / countries being included in the Review of Significant Trade.
<b>Objective 1.6</b> Parties cooperate in managing shared wildlife resources.		
1.6.1 The number of bilateral and multilateral cooperative agreements that specifically provide for co-management of shared species by range states.	Indicator 1.6.1: The number of bilateral and multilateral cooperative agreements that specifically provide for co-management of shared <u>CITES-listed</u> species by range States.	Indicator edited to provide focus. Information to be obtained through questions in the implementation report.
1.6.2 The number of cooperative management plans including recovery plans in place for shared populations of CITES listed species	No change.	Obtain indicator information through questions in the implementation report.
1.6.3 The number of workshops and other capacity-building activities that bring range states together to address the conservation and management needs of shared species.	Indicator 1.6.3: <u>Mechanisms used to collaborate to</u> <del>The number of workshops and other capacity-building activities that bring range States together to</del> address the conservation and management needs of shared species.	Indicator edited to provide focus. Information to be obtained through questions in the implementation report.

<b>Objective 1.7</b> Parties are enforcing the Convention to reduce illegal wildlife trade.		
1.7.1 The number of Parties that have, or are covered by: – regional enforcement action plans; – regional enforcement networks; – national enforcement action plans; and – national inter-agency enforcement coordination networks.	Indicator 1.7.1: The number of Parties that have, are covered by, <u>or engaged with</u> : – <del>international</del> regional enforcement action plans; – <del>international</del> regional enforcement networks; – national enforcement action plans; and – national inter-agency enforcement coordination networks.	Indicator edited to provide clarity. ‘International’ could be bilateral or multilateral. Information to be obtained through questions in the implementation report.
1.7.2 The number of Parties with designated national CITES enforcement focal points.	<del>Indicator 1.7.2: The number of Parties with designated national CITES enforcement focal points.</del> Indicator 1.7.2: The number of Parties with a <u>process or mechanism for reviewing their enforcement strategies, and activities to implement their strategies.</u>	Proposal for a new indicator as the previous one on whether Parties have a national CITES enforcement focal point is now redundant as very nearly all parties do – the information on national enforcement focal points is on the CITES website. Information to be obtained through questions in the implementation report.
1.7.3 The number of Parties that have criminal law and procedures in place for investigating and penalizing CITES offences.	Indicator 1.7.3: The number of Parties that have criminal law and procedures <u>and wildlife forensic capacity in place, and that use specialized investigation techniques,</u> for investigating and penalizing CITES offences.	Revision to indicator proposed to make clearer links with the ICCWC toolkit. Information to be obtained through questions in the implementation report.
1.7.4 The number of Parties making use of risk assessment in order to better target their CITES enforcement effort.	Indicator 1.7.4: The number of Parties using risk assessment <del>in order to better target their CITES enforcement effort</del> <u>and criminal intelligence to combat illegal trade in CITES-listed species.</u>	Changes proposed to focus on key enforcement techniques. Definitions of risk assessment and criminal intelligence needed. Information to be obtained through questions in the implementation report.
<b>Objective 1.8</b> Parties and the Secretariat have adequate capacity-building programmes in place.		
1.8.1 The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.	No change.	Obtain indicator information through questions in the implementation report.
1.8.2 The number of training and capacity-building programmes conducted or assisted by the Secretariat.	Indicator 1.8.2: The number of training and capacity-building programmes conducted, <u>including those by</u> assisted by the Secretariat.	Edit to indicator to provide clarity and to merge indicators 1.8.2 and 1.8.3 which seem very similar. Some information available from the Secretariat. Question in implementation report to Parties to allow match-up with Secretariat information.

1.8.3 The proportion of Parties having received capacity building support from the Secretariat on request.	Indicator deleted.	Indicator deleted as it is covered in the revision to 1.8.2.
<b>GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION</b>		
<b>Objective 2.1</b> Financial resources are sufficient to ensure operation of the Convention.		
2.1.1 The number of Parties meeting their obligations with regard to their assessed contributions to the Trust Fund.	No change.	Information to be obtained from the Secretariat from the financial management of the Convention.
2.1.2 The percentage of the work programme agreed by the Conference of the Parties that is fully funded.	No change.	Information to be obtained from the Secretariat from the financial management of the Convention.
<b>Objective 2.2</b> Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.		
2.2.1 The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.	No change.	Obtain indicator information through questions in the implementation report focused on whether Parties have sufficient human, financial, or technical resources.
2.2.2 The number of Parties that have undertaken one or more of the following activities in the past two years: – increased the budget for activities; – hiring more staff; – development of implementation tools; – improvement of national networks; – purchase of technical equipment for monitoring and enforcement; and – computerization.	Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities <u>since the last CoP in the past two years</u> : – <u>changed</u> increased the budget for activities; – hiring more staff; – development of implementation tools; – improvement of national networks; – purchase of technical equipment for <u>implementation, monitoring and or</u> enforcement; – <u>computerisation</u> ;	Indicator revised to focus on changes between CoPs, and clarified. Computerisation brought within technical equipment to avoid the question ‘computerisation of what?’. Obtain indicator information through questions in the implementation report.
New indicator.	<u>Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.</u>	New indicator proposed to respond to Decision 14.37 (Rev. CoP15) paragraph b). Obtain indicator information through questions in the implementation report.
New indicator.	<u>Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.</u>	New indicator proposed to respond to Decision 14.37 (Rev. CoP15) paragraph b). A definition of ‘incentive measures’ may be needed. Obtain indicator information through questions in the implementation report.

<b>Objective 2.3</b> Sufficient resources are secured at the national and international levels to implement capacity-building programmes.		
2.3.1 The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.	No change.	Some of this should be known by the Secretariat, but if Parties run their own activities they may not be registered with the Secretariat. This also cross-references with indicator 1.8.2.  Obtain indicator information through questions in the implementation report.
<b>GOAL 3 CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE</b>		
<b>Objective 3.1</b> Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.		
3.1.1 The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.	No change.	Obtain indicator information through questions in the implementation report.
3.1.2 The number of international projects funded by international financial mechanisms and other related institutions that include CITES-related conservation and sustainable development elements.	Indicator deleted.	Indicator deleted as basically the same as 3.1.1, and impractical to implement.
3.1.3 The number of countries and institutions that have provided additional funding for conservation and sustainable development projects in order to further the objectives of the Convention.	Indicator 3.1.3 <del>2</del> : The number of countries and institutions that have provided additional funding <u>from CITES Authorities to another country or activity</u> for conservation and sustainable development projects in order to further the objectives of the Convention.	Indicator edited to provide focus, and renumbered due to deletion of previous 3.1.2.  Obtain indicator information through questions in the implementation report.
<b>Objective 3.2</b> Awareness of the role and purpose of CITES is increased globally.		
3.2.1 The number of Parties that have been involved in CITES awareness raising campaigns to bring about better accessibility to and understanding by the wider public of the Convention requirements.	Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising <del>campaigns</del> <u>activities</u> to bring about better awareness <del>accessibility to and understanding</del> by the wider public <u>and relevant user groups</u> of the Convention requirements.	Indicator edited to provide focus. Awareness by user groups is at least as important as the wider public.  Obtain indicator information through questions in the implementation report.
3.2.2 The number of Parties that have undertaken market surveys indicating the public's understanding of the role and purpose of CITES.	Indicator deleted	Indicator deleted as market surveys brought within 3.2.1.

3.2.3 The number of visits on the Secretariat's website.	Indicator 3.2.3 <del>2</del> : The number of visits to <del>on</del> the <u>CITES Secretariat's</u> website.	Indicator renumbered due to deletion of initial indicator 3.2.2. Indicator edited to focus on action by Parties. Information on the number of visits, or the number of unique visitors, to the CITES website should be available from the Secretariat.
3.2.4 The number of Parties with web pages on CITES and its requirements.	Indicator 3.2.4 <del>3</del> : The number of Parties with web pages on CITES and its requirements.	Indicator renumbered due to deletion of initial indicator 3.2.2. Information to be sourced from the CITES website and Secretariat.
<b>Objective 3.3</b> Cooperation with relevant international environmental, trade and development organizations is enhanced.		
3.3.1 The number of biodiversity conservation goals, objectives and principles of CITES and those of relevant multilateral environmental, trade and development agreements and conventions that are identified and implemented in an integrated manner.	Indicator 3.3.1: The number of Parties which have <u>achieved synergies in their implementation of biodiversity-related conventions and other biodiversity conservation goals, objectives and principles of CITES</u> <del>and those of relevant multilateral environmental, trade and development agreements and conventions that are identified and implemented in an integrated manner.</del>	Proposed changes to simplify and focus indicator. Obtain indicator information through questions in the implementation report.
3.3.2 The number of additional biodiversity conservation, trade and development goals, scientific and technical programmes that integrate CITES requirements agreed between environmental and trade agreements and programmes and international financial mechanisms.	Indicator 3.3.2: The number of <del>additional</del> biodiversity conservation <u>or sustainable use projects</u> , trade and development goals, <u>or</u> scientific and technical programmes that integrate CITES requirements <del>agreed between environmental and trade agreements and programmes and international financial mechanisms.</del>	Changes proposed to simplify the indicator. Obtain indicator information through questions in the implementation report.
3.3.3 The number of intergovernmental and non-governmental organizations participating in and/or funding CITES workshops and other training and capacity-building activities.	Indicator 3.3.3: The number of Parties <u>co-operating / collaborating with</u> intergovernmental and non-governmental organisations <del>participating in and/or funding to participate in and/or fund</del> CITES workshops and other training and capacity-building activities.	Rewording of indicator proposed to provide a clearer focus on work by Parties (who are those doing the report). Obtain indicator information through questions in the implementation report.

<p><b>Objective 3.4</b> The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the Strategic Plan for Biodiversity 2011-2020 and the relevant Aichi Biodiversity Targets, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.</p>		
<p>This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions.</p>		
<p>3.4.1 Improving conservation status of CITES-listed species as shown by tools such as the IUCN Red List Index.</p>	<p><del>Indicator 3.4.1: Improving conservation status of CITES-listed species as shown by tools such as the IUCN Red List Index.</del>  <u>The conservation status of species listed on the CITES Appendices has stabilised or improved.</u></p>	<p>Indicator restated to improve clarity and practicability of using the IUCN Red List conservation status categories to measure progress. This would cross reference nicely to progress with Aichi Target 12. It will probably be necessary to show species groups or Appendices separately. It is likely some species will have increased, some decreased, and for some there may be no information. It may also be possible to use the CITES trade database to show trade trends for species in trade. Information for the indicator to be sourced from the latest IUCN Red List conservation status categories and the CITES Trade database, plus questions in the implementation report.</p>
<p>New indicator.</p>	<p><u>Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).</u></p>	<p>New indicator proposed to reflect importance of integrated delivery across Government of biodiversity policies.  Obtain indicator information through questions in the implementation report.</p>
<p><b>Objective 3.5</b> Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited.</p>		
<p>3.5.1 The number of cooperative actions taken to prevent species becoming threatened by unsustainable trade.</p>	<p><del>Indicator 3.5.1: The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being becoming threatened by unsustainably exploited through international unsustainable trade.</del></p>	<p>Changes proposed to focus indicator on international trade and make it measurable.  Some information available from Secretariat, but more is needed from Parties. "Actions" could be interpreted very broadly, so may need definition.  Information for the indicator to be sourced from the Secretariat, plus questions in the implementation report.</p>
<p>3.5.2 The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.</p>	<p>No change.</p>	<p>Information for the indicator to be sourced from questions in the implementation report and from the Secretariat.</p>