

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Nineteenth meeting of the Animals Committee
Geneva (Switzerland), 18-21 August 2003

Strategic planning

RESOLUTIONS AND DECISIONS DIRECTED OR RELATED TO THE ANIMALS COMMITTEE

1. This document has been prepared by the Secretariat.
2. Annex 1 to this document presents the list, compiled by the Secretariat, of all currently valid Resolutions of the Conference of the Parties directed to the Animals Committee or that may require consulting or informing it.
3. Annex 2 to this document presents the list, compiled by the Secretariat, of all currently valid Decisions of the Conference of the Parties directed to the Animals Committee or that may require its assistance.

VALID RESOLUTIONS DIRECTED TO THE ANIMALS COMMITTEE

| No. | Title | Reference |
|-------------------|---|---|
| 8.13 (Rev.) | Use if coded-microchip implants for marking live animals in trade | DIRECTS, paragraph c) |
| 9.24 (Rev. CoP12) | Criteria for amendment of Appendices I and II | Fifth RESOLVES; and Annex 4, paragraph C |
| 10.21 | Transport of live animals | First and second DIRECTS; and Third DIRECTS, paragraph b) |
| 11.1 (Rev. CoP12) | Establishment of Committees | <i>Regarding the establishment of committees;</i> <i>Regarding regional representation in the Animals and Plants Committees;</i> and Annex 2 |
| 12.6 | Conservation and management of sharks | First to fourth DIRECTS |
| 12.8 | Review of significant trade in specimens of Appendix-II species | First DIRECTS |

VALID RESOLUTIONS THAT MAY REQUIRE
CONSULTING OR INFORMING THE ANIMALS COMMITTEE

| No. | Title | Reference |
|-------------|--|---|
| 9.25 (Rev.) | Inclusion of species in Appendix III | First RECOMMENDS, paragraph c); REQUEST; and URGES |
| 11.12 | Universal tagging system for the identification of crocodilian skins | DIRECTS |
| 11.16 | Ranching and trade in ranched specimens of species transferred from Appendix I to Appendix II | <i>Regarding proposals to transfer populations from Appendix I to appendix II for ranching,</i> RECOMMENDS, paragraphs e), h) and i) |
| 11.19 | Identification Manual | DIRECTS, paragraph g) |
| 12.2 | Procedure for approval of externally funded projects | Annex 1, paragraph 2. c) |
| 12.6 | Conservation and management of sharks | First ENCOURAGES |
| 12.7 | Conservation of and trade in sturgeons and paddlefish | CALLS UPON |
| 12.10 | Guidelines for a procedure to register and monitor operations that breed Appendix-I animal species for commercial purposes | First RESOLVES, paragraph g); and Annex 2, paragraph 3 |

Technical implementation issues

Directed to the Animals and Plants Committees

- 12.24 The Animals and Plants Committees shall:
- a) continue to work on technical implementation issues of a primarily scientific nature;
 - b) develop recommendations on how the Committees could assist the Standing Committee in providing advice on technical implementation issues; and
 - c) report to the Standing Committee by its 50th meeting.

Pancake tortoise

Directed to the Animals Committee

- 12.43 The Animals Committee, particularly its working group on tortoises and freshwater turtles, shall, before the 13th meeting of the Conference of the Parties in collaboration with the Secretariat and the Management and Scientific Authorities of the known range States of *Malacochersus tornieri* (pancake tortoise):
- a) review the biology, genetic variability, conservation status and distribution of this species in the wild;
 - b) assess the current production systems of this species with the aim of advising on adequate control, management and monitoring practices;
 - c) consider appropriate identification and marking systems for specimens in trade and for breeding stocks in captivity in the range States; and
 - d) advise on training and capacity-building needs to manage and control the trade in this species.

Sharks

Directed to the Animals Committee

- 12.47 The Chairman of the Animals Committee shall maintain the liaison established with the Secretary of the Committee on Fisheries of the United Nations Food and Agriculture Organization, to monitor the implementation of the International Plan of Action for the Conservation and Management of Sharks (IPOA-Sharks). The Chairman of the Animals Committee shall report on progress with the implementation of IPOA-Sharks at the 13th meeting of the Conference of the Parties.

Seahorses and other members of the family Syngnathidae

Directed to the Animals Committee

- 12.54 The Animals Committee shall identify a minimum size limit for specimens of all *Hippocampus* species in trade as one component of an adaptive management plan, and as a simple precautionary means of making initial non-detriment findings in accordance with Article IV of the

Convention.

Sea cucumbers

Directed to the Animals Committee

- 12.60 The Animals Committee shall:
- a) review, with the assistance of experts as may be needed, the outcomes of the technical workshop convened by the Secretariat and other available information concerning the biology, catch and bycatch of and trade in sea cucumbers in the families Holothuridae and Stichopodidae and develop appropriate recommendations; and
 - b) prepare, for consideration at the 13th meeting of the Conference of the Parties, a discussion paper on the biological and trade status of sea cucumbers in the above families to provide scientific guidance on the actions needed to secure their conservation status.

Stony corals

Directed to the Animals Committee

- 12.62 The Animals Committee shall consider and recommend a practical means of distinguishing fossilized corals from non-fossilized corals in international trade, and provide a report at the 13th meeting of the Conference of the Parties.

Evaluation of the Review of Significant Trade

Directed to the Animals and Plants Committees

- 12.75 The Animals and Plants Committees shall draft terms of reference for an evaluation of the Review of Significant Trade, to be considered at the 13th meeting of the Conference of the Parties.

Operations that breed Appendix-I animal species for commercial purposes

Directed to the Animals Committee

- 11.102 (Rev. CoP12) The Animals Committee shall continue to examine the complex issues related to the origin of founder breeding stock and the relationship between *ex situ* breeding operations and *in situ* conservation of the species and, in collaboration with the Plants Committee, the American Zoo and Aquarium Association, the European Association of Zoos and Aquaria and the World Association of Zoos and Aquariums, identify possible strategies and other mechanisms by which registered *ex situ* breeding operations may contribute to enhancing the recovery and/or conservation of the species within the countries of origin, and report its findings at the 13th meeting of the Conference of the Parties.

- 12.78 The Animals Committee shall study and evaluate the process for registering operations that breed Appendix-I animal species for commercial purposes and report at the 13th meeting of the Conference of the Parties. It shall:
- a) describe and analyse the specific problems that limit the wider use of the registration procedure;
 - b) provide recommendations to resolve those problems; and
 - c) study and evaluate how commercial captive breeding of Appendix-I species and the process for registration of breeding operations contributes to conservation of Appendix-I species.

Transport of live animals

Directed to the Animals Committee

- 12.85 The Animals Committee, in collaboration with interested non-governmental organizations and the Secretariat, should:
- a) develop recommendations regarding transport of live animals by road, rail or ship to supplement, where necessary, the IATA Live Animals Regulations;
 - b) investigate cost-effective options for containers and packing materials that could be recommended for adoption in the IATA Live Animals Regulations;
 - c) assist in identifying model practices concerning the transport and preparation for shipment of live wild animals, and develop recommendations to the Parties regarding the proper handling and transportation of live animals, particularly in exporting countries; and
 - d) report at the 13th meeting of the Conference of the Parties on progress with the implementation of paragraphs a) to c) above.

Review of the criteria for amendment of Appendices I and II

Directed to the Standing, Animals and Plants Committees

- 12.97 The Conference of the Parties adopted the following terms of reference for the review of the criteria for amendment of Appendices I and II, to be completed by the 13th meeting of the Conference of the Parties.
- a) The revised version of Annex 4 of document CoP12 Doc. 58 compiled by the Chairman of the Criteria Working Group (CWG) formed by Committee I during the 12th meeting of the Conference of the Parties (the CWG12 Chairman's text) will form a basis for further discussion, in recognition of the substantial and constructive efforts contributed by the Parties, the intersessional Criteria Working Group set up at the 11th meeting of the Conference of the Parties, FAO, the Criteria Working Group formed during the 12th meeting of the Conference of the Parties, and others.
 - b) The Animals and Plants Committees shall coordinate an open, transparent and broadly consultative process involving all Parties to consider further revision of the CWG12 Chairman's text.
 - c) The process should include reviews of selected taxa, to ensure that the applicability of the criteria and guidelines to a broad array of taxa is assessed, and results of these reviews should be made widely available.
 - d) The Animals and Plants Committees shall report to the Standing Committee before a date to be established by the Standing Committee.

Trade in alien species

Directed to the Animals Committee

- 10.76 Cooperation shall be established with the IUCN/SSC Invasive Species Specialist Group in the implementation of their document "IUCN Guidelines for the Prevention of Biodiversity Loss Due to Biological Invasion", of which parts are related to the trade in and transport of live specimens of species of wildlife.
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Establishment of an Export Quota Working Group

Directed to the Standing Committee

- 12.17
- a) The Standing Committee shall establish an intersessional Export Quota Working Group with the goal of developing guidelines for Parties to establish, implement, monitor and report national export quotas for CITES-listed taxa. The Standing Committee shall consult extensively with the Animals and Plants Committees to fulfill the following Terms of Reference.
 - b) The Terms of Reference of the working group should include the following:
 - i) particular issues to be addressed should include the problems identified in Annex 2 of document CoP12 Doc. 50.2 and additional suggestions or submissions from the Parties;
 - ii) representatives with expertise in this issue, particularly from Parties with export quotas and from key importing countries, should be invited to participate. The Secretariat shall be invited to participate in discussions. The Chairman of the Working Group may invite representatives of non-governmental or intergovernmental organizations with particular expertise in this issue to participate in the Working Group;
 - iii) an interim report by the Working Group to the Standing Committee on its progress toward achievement of its goals should be completed by 31 March 2004; and
 - iv) a final report, which may include a draft resolution(s) or decision(s) of the Conference of the Parties, should be submitted by the Working Group to the Standing Committee for consideration at its last meeting before the 13th meeting of the Conference of the Parties and the Standing Committee shall submit it to the Conference for consideration at that meeting.

Technical implementation issues

Directed to the Standing Committee

- 12.23
- The Standing Committee shall:
- a) identify, in conjunction with the Animals and Plants Committees, the typical categories of technical implementation issues (i.e. operational / administrative / management issues, not matters of a Party's compliance with the Convention) that have proved to be difficult to address under existing Committee structures;
 - b) establish and implement a process for the Standing Committee to act as a clearing house to direct technical implementation issues to the appropriate body (permanent committees, Secretariat, Party or, when appropriate, outside experts) so that issues can be handled in a flexible, timely and accountable manner; and
 - c) report at the 13th meeting of the Conference of the Parties on the categories of technical implementation issues identified by the permanent committees, development of a process for the Standing Committee to act as clearing house, and recommendations.

Musk deer

Directed to Parties

- 11.57 Parties that authorize export of raw musk should consider reductions in their export quotas, if biologically appropriate, until the Animals Committee has completed its consideration of musk deer in the Review of Significant Trade.

Sharks

Directed to the Secretariat

- 12.49 The Secretariat shall encourage CITES authorities of Parties to obtain information on IPOA-Sharks implementation from their national fisheries departments and report on progress at future meetings of the Animals Committee.

Transport of live animals

Directed to the Secretariat

- 12.86 The Secretariat shall, in consultation with the Animals Committee, liaise with the International Air Transport Association (IATA) and the World Association of Zoos and Aquariums (WAZA) with a view to concluding a Memorandum of Understanding in order to:
- a) strengthen further collaboration in order to improve transport conditions of live animals;
 - b) establish an official training programme on animal transport; and
 - c) facilitate the exchange of technical information relevant to animal transport, between the Secretariat, the IATA Live Animals and Perishables Boards and the WAZA Executive Office.

Capacity-building programme for science-based establishment and implementation of voluntary national export quotas for Appendix-II species

Directed to the Secretariat

- 12.91 The Secretariat is encouraged to continue to develop and refine its capacity-building programme dealing with the scientific basis for development, establishment, and implementation of voluntary national export quotas for Appendix-II species, and shall, as appropriate, consult with the Animals Committee and Plants Committee on this programme. This consultation may include:
- a) solicitation of input from the Committees regarding materials used in the capacity-building programme for voluntary national export quotas for Appendix-II species; and
 - b) a request for new information from the Committees on methods used for establishing quotas and for relevant case studies on the establishment of quotas.