#### Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

#### **CITES vision statement**

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: info@cites.org

Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	Bhutan
Period covered in this report	1 January 2020 to 31 October 2022
Department or agency preparing this report	Nature Conservation Division
Contributing departments, agencies and organizations	

# GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.

All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report?  Yes No If 'Yes', have you shared information with the Secretariat? Yes No Not Applicable If 'No', please provide details to the Secretariat with this report:
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)?  Yes No If 'No', please provide details of the constraints faced: While the legislations allow changes in the national law, the process usually takes a longer period due to the requirement for extensive consultations and parliament approval.

Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.

Aichi Target 3.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	$\boxtimes$		
	Are the procedures publicly available?		$\boxtimes$	
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?	$\boxtimes$		
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries		$\boxtimes$	
	Electronic permit information exchange to Management Authorities of all countries?		$\boxtimes$	
	Electronic permit data exchange between Management Authorities and customs?		$\boxtimes$	
	Electronic permit used to cross border with electronic validation by customs?		$\boxtimes$	
	If 'Yes' to any of the above, please provide information on challeng	ges faced	or issues	overcome:

If you Need Indicator 1.2.2:	Conf. 12.3 (Rev. CoP16).  your country developed simplified procedures for any of the	ocedures procedures pr	eCITES percentage of the perce	ermit system  for in Resolution
If you Need Indicator 1.2.2:	u are planning to move towards e-permitting, please explain of dinancial and human resources support to establish AS  The number of Parties making use of the simplified procedure. 12.3 (Rev. CoP16).  your country developed simplified procedures for any of the formula of the f	what mights a control of the control	eCITES proprovided f	ermit system  for in Resolution
1.2.2a Has	Conf. 12.3 (Rev. CoP16).  your country developed simplified procedures for any of the	following?		
		-		plicable
			lick all ap	plicable
Whe				NI.
Whe		Yes	No	No information
	ere biological samples of the type and size specified in ex 4 of Resolution Conf. 12.3 (Rev. CoP16) are urgently lired.		$\boxtimes$	
	the issuance of pre-Convention certificates or equivalent uments in accordance with <u>Article VII</u> , paragraph 2.		$\square$	
	the issuance of certificates of captive breeding or artificial pagation in accordance with Article VII, paragraph 5.		$\boxtimes$	
acco	the issuance of export permits or re-export certificates in ordance with Article IV for specimens referred to in sele VII, paragraph 4.		$\boxtimes$	
meri	there other cases judged by a Management Authority to it the use of simplified procedures? es', please provide details:		$\boxtimes$	
Objective 1.3 Indicator 1.3.1:	Implementation of the Convention at the national level is of the Conference of the Parties.  All Aichi targets relevant to CITES, particularly Target 9, To The number of Parties that have implemented relevant	arget 14 a	ınd Target	: 18.
mareater me. n	Decisions of the Conference of the Parties and/or Standin			
the Coi the	s your country responded to all relevant special reporting req period covered in this report, including those in the Resolution ference of the Parties, Standing Committee recommendation Secretariat (see [link to location on the CITES website where ed])?	ons and Dons, and N	ecisions continuity	of the s issued by
	Responses provided to ALL Responses provided to SOME of the Responses provided to NONE of the No special rep	relevant re relevant re	eporting re	equirements 🖂
imp	ere any difficulties encountered during the period covered in the plementing specific Resolutions or Decisions adopted by the che Parties?	nis report i	in ee	Yes ☐ No ⊠
	es', please provide details of which Resolution(s) or Decision e / are being encountered?	(s), and, f		

e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

Objective 1.4 The Appendices correctly reflect the conservation needs of species.

Aichi Target 1, Target 14 and Target 19.

1.4.1:	The number and proportion of species that have been found to meet the criteria contained in Resolution
	Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

1.4.1a	Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices?	Yes ☐ No ⊠
	If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):	

# Objective 1.5 Best available scientific information is the basis for non-detriment findings. Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.

- Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:
  - a) the population status of Appendix-II species;
  - b) the trends and impact of trade upon Appendix-II species; and
  - c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to:	Yes	No	Not Applicable	If Yes, How many?
	- the population status of Appendix II species?	$\boxtimes$			
	- the trends and impact of trade on Appendix II species?	$\boxtimes$			
	- the status of and trend in naturally-occurring Appendix I species?	$\boxtimes$			
	- the impact of any recovery plans on Appendix I species?		$\boxtimes$		
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?				

	If there are such studies that you are willing to share, please provide:							
	Species name (scientific)	A brief summary of the results of or other analysis (e.g. population stable / increase, off-take levels links to published reference materials.)	n status, de etc), or pro	cline /				
	Nardostachys grandiflora	Appendix II (NDF report will CITES website)	be uploade	ed in the				
	Panthera tigris	Appendix I bhutan tiger action plan 2018 (d2ouvy59p0dg6k.cloudfront.ne						
	Paphiopedilum species	Appendix I Conservation Action Plan for Slipper Orchid (2022-2032)	or Himalay	an Lady				
1.5.1b	How are the results of such surveys, studies		g non-detrir	nent				
	findings (NDFs)? Please tick all that app	Revised harves	Banning omestic me	export ☐ asures ⊠				
		Discussion with Manag		•				
		Discussion with of		olders?				
		ther (please provide a short sumn	nary):					
1.5.1c	Do you have specific conservation measure plans for naturally occurring Appendix-I liste	d species? No Not Applicable						
	If 'Yes', please provide a brief summary, incl impact: Species specific conservation action		of their					
1.5.1d	Have you published any non-detriment findi If 'Yes', please provide links or examples to Non Detriment Finding Report on Nardostad	ngs that can be shared? Yes 🛭 N the Secretariat within this report:						
1.5.1e	Which of the following (A to F of paragraph a do you use in making non-detriment findings		Yes	No				
	A. relevant scientific literature concerning sp distribution and population trends.	pecies biology, life history,						
	B. details of any ecological risk assessment	s conducted.	$\boxtimes$					
	C. scientific surveys conducted at harvest lo from harvest and other impacts.	ocations and at sites protected						
	D. relevant knowledge and expertise of loca	l and indigenous communities.	$\boxtimes$					
	E. consultations with relevant local, regional	and international experts.	$\boxtimes$					
	F. national and international trade informatic the CITES trade database maintained by UI Monitoring Centre (UNEP-WCMC), publicat on trade and investigations of sales at mark example.	NEP World Conservation ions on trade, local knowledge						

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a					No	
1.5.Za			V	NI.	information	n
			Yes	No		
	Do you have standard procedures for making non-detriment findings in line with <u>Resolution Conf. 16.7</u> ?					
	If 'Yes', please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:  Followed nine step pathways for making NDF for perennial herbs					
1.5.2b	When establishing non-detriment findings, have any of the following	owing				
	guidance been used?		Plea	se tick	all that apply	y
	Virtual	College		[		
	IUCN C	hecklist		[	$\boxtimes$	
	Resolution Co	nf. 16.7		[	$\boxtimes$	
	2008 NDF wo	orkshop		ĺ	$\boxtimes$	
	Species specific go	uidance		[	$\boxtimes$	
		Other		[	$\boxtimes$	
	If 'Other' or 'Species specific guidance', please specify details: consultations	Species	survey	report	t, national	
1.5.2c	How often do you review and/or change your non-	Case by	case		$\triangleright$	
	detriment findings?	Annually				]
		Every two	o year	s		וכ
		Less free	-			l [
	A mix of the above					
	Please describe the circumstances under which non-detriment	t findings v	would	be cha	anged:	
	Based on the priority of the Government & the people	,			=	

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	Do you set annual export quotas?	Yes No	
	If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:		Other,
	Species Name (scientific) Nardostachys grandiflora XXX XXX	Population Survey? ⊠ □	please specify
1.5.3b	Have annual export quotas been set at levels which will ensure sustainable production and consumption?	Yes No	
	If 'Yes', please describe how this fits into your non-detriment BY allowing only 25% to harvest out of the total growing sto	• .	

Objective	Parties cooperate in managing Aichi Target 4, Target 5, Target					12 an	d Target 19.
Indicator 1.	6.1: The number of bilateral and management of shared CITES						pecifically provide for co-
1.6.1a	Is your country a signatory to any bil agreements for co-management of s If 'Yes', please provide brief details, i countries are involved:	hared sp	ecies?\	∕es 🗌 N	lo 🛚	eeme	nts, and which other
Indicator 1.	6.2: The number of cooperative ma populations of CITES-listed spe		nt plans	, includii	ng red	cover	y plans, in place for shared
1.6.2a	Do you have any cooperative manage in place for shared populations of Cl				ecove	ery pla	ans, Yes ☐ No ⊠
	If 'Yes', please list the species for wh to a published plan for each species		e plans a	are in pla	ace ar	nd pro	ovide a link or reference
	Species Name (scientific) Link	or referei	nce to a	publishe	ed pla	n	
	xxx xxx						
	xxx xxx						
	XXX XXX						
ndicator 1.	6.3: The number of workshops and or to address the conservation and  Have the CITES authorities <i>receiv</i> activities provided by external source.	managei	ment ne	eds of s	hared	, CIT	ES listed, species.
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external
	Target group	ў Б	# # —	ъ й —	F	0	sources <sup>1</sup> ?
	Staff of Management Authority						
	Staff of Scientific Authority						Virtual discussion on the ASYCUDA System and Wildlife Crime in April 2022 facilitated by UNCTAD ASYCUDA programme
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						

Other (please specify):

Please provide the names of Parties, and any non-Parties, involved.

1.6.3b	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?							
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)		
		о <u>ж</u>	# %	正器	·	0	De	etails
	Staff of Management Authority					Ш		
	Staff of Scientific Authority							
	Staff of enforcement authorities							
	Traders							
	NGOs							
	Public							
	Other Parties/International meetings							
	Other (please specify)							
1.6.3c	In what ways do you collaborate with	other C	ITES P	arties?				
		Never	Rarely	Sometimes	Very Often	Always		er detail / amples
	Information exchange		$\boxtimes$				Species distribution abundance an concerns on some of the specific species	
	Monitoring / survey	П						
	Habitat management							
	Species management	П	П					
	Law enforcement						Bilateral sharing trade	information for illegal
	Capacity building							
	Other (please provide details)		i					
Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade.  Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.  Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:  — an international enforcement strategy and/or action plan;  — formal international cooperation, such as an international enforcement network;  — a national enforcement strategy and/or action plan; and  — formal national interagency cooperation, such as a national interagency enforcement committee.								
1.7.1a	Do you have, are you engaged in, or co	overed b	y:			Yes	No	No Information
	– an international enforcement strategy	and/or a	action pl	an?				$\boxtimes$
	<ul><li>formal international cooperation, such enforcement network?</li></ul>	as an ir	nternatio	nal		$\boxtimes$		
	a national enforcement strategy and/or action plan?					$\boxtimes$		

	formal national interagency cooperation, such as a national interagency enforcement committee?	$\boxtimes$			
	If 'Yes' to any of the above, please specify the level of engagement details: South Asian Wildlife Enforcement Network (SAWEN), National SMART Implementation Protocol 2020 etc.				nd
Indicator 1	.7.2: The number of Parties with a process or mechanism for reviewand the activities taken to implement their strategies.	ewing their	enforcem	ent strate	gies,
1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?	conside No	t review is eration rmation	s under	
	If 'Yes', what do you do? Periodic monitoring and evaluation thro application and Cyber tracker system.	ugh impler	nentation	of SMAR	Τ.
	If 'Yes' or 'No, but review is under consideration', which tools do	you find of	value? SI	MART too	ols
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	conside No	t toolkit us eration rmation	ee is unde	r
	If 'Yes', please provide feedback on the parts of the toolkit used a equivalent tools have been. Please specify improvements that co			oolkit or	
	If 'No', please provide feedback on why not or what is needed to tools useful to you:	make the	toolkit or e	∍quivalen	t
	Limited awareness and capacity				
Indicator 1	.7.3: The number of Parties that have criminal (penal) law and protechnology, and capacity to use specialized investigation prosecuting, and penalizing CITES offences.				
1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?	Yes No No inform	nation		     
	If 'Yes', please provide the title of the legislation and a summary of the penalties available Penal Code of Bhutan 2004 and Forest & Nature Conservation Act of 1995	740 illioni			I .

If 'Yes', please provide a brief summary of any samples from CITES-listed species that were

No information

<sup>1.7.3</sup>b Are criminal offences such as poaching and wildlife trafficking Yes  $\boxtimes$ recognized as serious crime<sup>1</sup> in your country? No No information If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes: Considered as fourth degree felony of appendix 1 listed species as per the Penal Code of Bhutan 2004 Do you have capacity to use forensic technology<sup>2</sup> to support the 1.7.3c Yes investigation of CITES offences? No

The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

<sup>&</sup>lt;sup>2</sup> Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

	collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report:				
	If 'Yes', and your country has an appropriate forensic analysis facility for CITES-listed species, please indicate which species it applies to:				
1.7.3d	Did your authorities participate in or initiate any multi- law enforcement operation(s) targeting CITES-listed s during the period covered in this report? If 'Yes', please provide a brief summary, including any	, ·	Yes No No information ned which migl		
	other Parties: Created awareness on CITES conventi CITES appendix I species	ion am	ong the	e law enforcem	ent agencies of
1.7.3e	Do you have a standard operating procedure among relevant agencies for submitting information related to CITES offences to INTERPOL and/or the World Customs Organization?  Yes  No  No information				
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?
	General crime <sup>2</sup>	$\boxtimes$			
	Predicate offences <sup>3</sup>			$\boxtimes$	
	Asset forfeiture <sup>4</sup>	$\boxtimes$			
	Corruption <sup>5</sup>	$\boxtimes$			
	International cooperation in criminal matters <sup>6</sup>	$\boxtimes$			
	Organized crime <sup>7</sup>	$\boxtimes$			
	Specialized investigation techniques <sup>8</sup>	$\boxtimes$			
	If 'Yes' to any of the above, please explain how each is used for CITES offences? Please provide a brief summary, including any lessons learned which might be helpful for other Parties: if needed seperate report will be provided				
1.7.3g	Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences?  Yes  No  No information				
	If 'No', please provide a brief summary of your major are available, they are limited and placed in different and upgrade their capacities for enhanced implement	agenci			

A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

<sup>&</sup>lt;sup>3</sup> Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public

International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always	П
1.714	55 you also hak assessment to target of the official charte	Very often	
		Sometimes	
		Rarely	
		Never	H
		No information	H
4 7 41	D		
1.7.4b	Do you have capacity to analyse information gathered on illegal	Yes	
	trade in CITES-listed species?	No	
		No information	Ш
1.7.4c	Do you use criminal intelligence <sup>1</sup> to inform investigations into	Always	
	illegal trade in CITES-listed species?	Very often	
		Sometimes	
		Rarely	$\boxtimes$
		Never	
		No information	
1.74d	Have you implemented any supply-side activities to address illegal	Yes	$\boxtimes$
	trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	
1.7.4e	Have you implemented any demand-side activities to address	Yes	$\boxtimes$
	illegal trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	

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<sup>1</sup> Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During th	e period covered in this report:	Yes	No	No Information	
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?	$\boxtimes$			
	If 'Yes', please indicate how many and for what types of offences. If available, please attach details: The export of Nardostachys grandiflora was temprary suspened due to unsustainable harvesting.				
1.7.5b	Have there been any criminal prosecutions of CITES-related offences?	$\boxtimes$			
	If 'Yes', how many and for what types of offences? If available, https://kuenselonline.com/7-farmers-face-prosecution-for-alleg			:	
1.7.5c	Have there been any other court actions against CITES-related offences?	$\boxtimes$			
	If 'Yes', what were the offences involved and what were the resseperate report can be submitted	ults? Plea	ise attach d	etails: If need	
1.7.5d	How were any confiscated specimens disposed of?		Tick	all that apply	
	Return to country of export	-			
	Public zoos or botanical gardens				
	Designated rescue centres			$\boxtimes$	
	Approved private facilities				
	– Euthanasia				
	<ul> <li>Other (please specify): Returned to wild after conducting su and rehabilitation operation of live species and safe keeping (T wildlife trophies by the Department of Forests and Park Service</li> </ul>	axidermy)			
	Have you encountered any challenges in disposing of confisca Yes as we lack proper infrastructure to store the specimens	ted specir	mens?		

**Objective 1.8** Parties and the Secretariat have adequate capacity-building programmes in place. Aichi Target 1, Target 12 and Target 19.

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support:					
	The making of non-detriment findings?	Yes		$\boxtimes$		
		No				
	Permit officers?	Yes		$\boxtimes$		
		No				
	Enforcement officers?	Yes				
		No		$\boxtimes$		
1.8.1b	Is the CITES Virtual College used as part of you	ur capacity building	Yes	$\boxtimes$		
	work?		No			
			No information			
	What improvements could be made in using the capacity building? By connecting to some of the and discussion forums.					

1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes?  What improvements could be made in using the ICCWC Toolkit for	Yes No No information	
	capacity building?		

# GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

**Objective 2.1** Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.

Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	Do you have an approved service standard(s) <sup>1</sup> for your Management Authority(ies)? If 'No', please go to Question 2.2.1d.	Yes No	
	If 'Yes', for which services are there standards, and what are those standards?	The Royal Civil Service Commission conduct annual assessment through Leadership Feedback System.	
	If 'Yes', do you have performance targets for these standards <sup>2</sup> ?  If 'Yes', what are your performance targets?	Yes No Key species brought under effective management and conservation	
	Do you publish your performance against service standard targets?	Yes No	
	If possible, please provide your performance against service standards during the period covered in this report:		
	If you did not meet your performance targets then was this shortfall a result of:  - availability of funding?  - number of staff?  - a shortage of skills?  If 'Yes' to a shortage of skills, which skills do you need more of?	Yes ⊠ □	No
2.2.1b	Do you have an approved service standard(s) <sup>47</sup> for your Scientific Authority(ies)?  If 'No', please go to Question 2.2.1d.	Yes No	

For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

<sup>&</sup>lt;sup>2</sup> For example, 85% of all decisions will take place within the service standard.

	If 'Yes', for which services are there stand	dards, and what are those		
	standards?		Networking with all the scientific institutions in the country and provide regular advice to MA.	
	If 'Yes', do you have performance targets If 'Yes', what are your performance targe		Yes No Publish NDF report and related advices	
	If possible, please provide your performa standards during the period covered in the			
	If you did not meet your performance targ a result of:	gets then was this shortfall	Yes	No
	<ul><li>availability of funding?</li></ul>		$\boxtimes$	
	– number of staff?			
	<ul><li>a shortage of skills?</li></ul>			
	If 'Yes' to a shortage of skills, which skills Especially data analysis on the social aspart.			
2.2.1c	Do you have an approved service standar enforcement authority(ies)?	ard(s) <sup>47</sup> for your	Yes No	
	If 'No', please go to Question 2.2.1d.	darda, and what are those	D ( ()ACI III	
	If 'Yes', for which services are there stand standards?	dards, and what are those	Detect Wildlife Crimes and Legal proceedings	
	If 'Yes', do you have performance targets If 'Yes', what are your performance targe	ts?	Yes No SMART tool for species monitoring, wildlife crime hotspot mapping, and human- wildlife conflict predation mapping reported on monthly basis.	
	If possible, please provide your performa standards during the period covered in the			
	If you did not meet your performance targ a result of:	gets then was this shortfall	Yes	No
	– availability of funding?			
	– number of staff?			
	<ul><li>a shortage of skills?</li></ul>		$\boxtimes$	
	If 'Yes' to a shortage of skills, which skills Covert operation on wildlife crime and de			
2.2.1d	Please only complete this question if you 2.2.1b, or 2.2.1c, relating to the existence	•	•	: :
	Do you have sufficient of the following for	your authorities to function	effectively?	
	Management Authority(ies)	Scientific Authority(ies)	Enforcement Authority(ies)	

Funding?	Yes ⊠ No □	Yes ☐ No ⊠	Yes ☐ No ⊠
Staff?	Yes 🛛 No 🗌	Yes ⊠ No 🗌	Yes ☐ No 🏻
Skills?	Yes ☐ No ⊠	Yes ☐ No ⊠	Yes ☐ No 🛚
****		*	

### Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities;
- hired more staff;
- developed implementation tools;
- purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	covered in this report to enhance the effectiveness of CITES					
	Hiring of more staff				Tick if applicable	
	Development of implementation tools					
	Purchase of technical equipment for in	•		•	t 🖂	
	Other (please specify): Training awrae	ntation	1			
2.2.2b	During the period covered in this repor budget for your:	t, was the	Increased	Stable	Decreased	
	Management Authority(ies)			$\boxtimes$		
	Scientific Authority(ies)				$\boxtimes$	
	Enforcement authorities				$\boxtimes$	
2.2.2c	2.2c Have you been able to use international development funding assistance to increase the level of implementation of your		Yes	No	Not applicable	
	Management Authority(ies)?		$\boxtimes$			
	Scientific Authority(ies)?		$\boxtimes$			
	Enforcement authorities?					
2.2.2d	What is the respective level of priority the national level through the following		ng the effectiv	veness of CITES	implementation at	
	Activity	High	Medium	Low	Not a Priority	
	Hiring of more staff			$\boxtimes$		
	Development of implementation tools		$\boxtimes$			
	Purchase of new technical equipment for implementation, monitoring or enforcement		$\boxtimes$			
	e-permitting		$\boxtimes$			
	Other (please specify):					
2.2.2e Do you have a operational system (e.g. electronic database) for managing		J.	Yes	Under development	No	
	Species information		$\boxtimes$			
	Trade information					
	Non-detriment findings				$\boxtimes$	

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for:	Tick all that are applicable
--------	--	------------------------------

	Administrative procedures	
	<ul> <li>Issuance of CITES documents (e.g. for import, exports, re-export, or introduction from the sea)</li> </ul>	
	<ul> <li>Shipment clearance (e.g. for the import, export, re-export, or introduction from the sea of CITES-listed species)</li> </ul>	
	Licensing or registration of operations that produce CITES species	
	Harvesting of CITES-listed species	
	Use of CITES-listed species	
	Assignment of quotas for CITES-listed species	
	Other (please specify): Minimal permit fees applicable	
2.2.3b	Is a fee schedule publicly available? Yes ⊠	No 🗌
	If 'Yes', please provide an internet link, or a copy of the schedule to the Secretariat: Forest and Nature Conservation Rules and Regulations of Bhutan (Ammended periodically)	)
2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife conservation	n?
	Entirely	y 🗆
	Partly	y 🖂
	Not at al	II 🗌
	Not relevan	t 🗌
2.2.3d	Yes	No
	Do you raise funds for CITES management through charging user fees?	$\boxtimes$
	Do your fees recover the full economic cost of issuing permits?	$\boxtimes$
	Do you have case studies on charging or using fees?	$\boxtimes$
	If 'Yes' to any of the above, please provide brief details:	
	Do you use innovative financial mechanisms to raise funds for CITES	
	implementation?	$\boxtimes$
	If 'Yes', please provide brief details:	
Indicator 2	.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.	Э
	Do you use incentive measures¹ such as those described in CoP14 Doc 14.32 to implement t Convention? YesNo	he
	Due diligence	
	Compensatory mechanisms	
	Certification	
	Communal property rights $\square$ $\square$ Auctioning of quotas $\square$ $\square$	
	Cost recovery or environmental charges	
	Enforcement incentives	
	If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to	)

Have incentives harmful to biodiversity been eliminated? Not at all ☐

Very little☐ Somewhat☒ Completely☐

further information:

2.2.4b

Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

**Objective 2.3** Sufficient resources are secured at the national and international levels to implement capacity-building programmes.

Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a		run during the period covered in this report?				t assi e ariat	stance Conducted or assisted by the Secretariat
		None 1 2-5					
		ľ		6-10 1-20 an 20			
	Please list the Resolutions or Decisions	involve	d:				
2.3.1b	What sorts of capacity building activities	have ta	aken pla	ce? C	ITES le	gislat	tion and implementation
2.3.1c	What capacity building needs do you ha	ve?	·			·	n
	Please tick all boxes which apply to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority			$\boxtimes$	$\boxtimes$		CITES e- permit and stickers
	Staff of Scientific Authority						Process of NDFs including a surveys and assessments to aid in making informed scientific decisions
	Staff of enforcement authorities						Controlled deliveries & Covet operations, trainings and technologies
	Traders / other user groups	$\boxtimes$	$\boxtimes$		$\boxtimes$		Awareness on CITES and sustainable harvesting
	NGOs	$\boxtimes$	$\boxtimes$		$\boxtimes$		Same as above
	Public	$\boxtimes$	$\boxtimes$				Same as above
	Other (please specify)						

An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

### GOAL 3CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.

Aichi Target 2 and Target 20.

Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes No Not applicable No information	
	If 'Yes', please provide brief details: UNDP GEF-LDCF, ICIMOD (KLCD projects contributed towards CITES implementation	I) and Bhutan for Life	Э
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased Remained stable Decreased	

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?							information
	If 'Yes', please tick boxes to indicate type of assistance provided  Country(ies)	Species Management¹	Habitat Management²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)
	XXX							
	xxx							
	xxx							
	XXX							
	xxx							
	xxx							
	XXX							

Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

Objective 3.2 Awareness of the role and purpose of CITES is increased globally. Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's	Widerpublic	Relevant User
	requirements by the wider public and relevant user groups?	Wider public	Groups
	Press conferences		
	- Press releases		
	Newspaper articles, brochures, leaflets		
	Television appearances		
	Radio appearances		
	- Presentations		$\boxtimes$
	Public consultations / meetings		$\boxtimes$
	Market surveys		$\boxtimes$
	- Displays		
	Information at border crossing points		
	Telephone hotline		
	Website(s) – if so please provide link(s)		
	- Other (specify):		
	Please attach copies of any items or describe examples:		

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?							
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).  Target group	Daily	Weekly	Monthly	Less frequently	Not known		
	Staff of Management Authority			$\boxtimes$				
	Staff of Scientific Authority		$\boxtimes$					
	Staff of enforcement authorities					$\boxtimes$		
3.2.2b	What has been your experience with using the	CITES w	vebsite?	Exc	ellent			
				Goo	od	$\boxtimes$		
				Ave	rage			
				Poo	r			
	Very Poor							
				No i	nformation			
	Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc):							

#### Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

**Objective 3.3** Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) <sup>1</sup> to which your country is party?	Yes No No information	
	If 'Yes', please give a brief description:		

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?	No information
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?	Atleast 3 projects at the national level
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes
	Agencies for development?	$\boxtimes$
	Agencies for trade?	$\boxtimes$
	Provincial, state or territorial authorities?	
	Local authorities or communities?	$\boxtimes$
	Indigenous or local peoples?	$\boxtimes$
	Trade or other private sector associations?	$\square$
	NGOs?	
	Other (please specify)	
3.3.2d	Are CITES requirements integrated into?	Yes
	National and local development strategies?	$\square$
	National and local poverty reduction strategies?	$\square$
	Planning processes?	
	National accounting?	

CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and non-governmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.					
3.3.3a	workshops, training or other to / from:		Tick if a	oplicable —	Which organizations?
	Inter-governmental organiza	ations?			
	Non-governmental organiza	tions?			
Objective	development goals so relevant Aichi Biodiv Conference on Sustain in wild fauna and flora This objective may al including action taken	ITES to the relevant Millennium et at WSSD, the <i>Strategic Platersity Targets</i> , and the relevant producted at sustainable levals to be assessed by a variety of to implement many of the CITE 2, Target 3, Target 4, Target 5, Tand Target 19.	an for Bio ant outco ned by er els. of means S resolution	odiversity 2 omes of the one one of the one one one one one one one one one on	2011-2020 and the he United Nations t international trade he reporting format, ecisions.
Indicator 3.	4.1: The conservation statu	us of species listed on the CITES	Appendic	es has sta	bilized or improved.
3.4.1a	of naturally occurring species CITES Appendices has stabi	Appendix I Appendix II Appendix III you are willing to share, please Link to the data, or a brief sur XXX XXX XXX	mmary	No ⊠ □	Not Applicable
3.4.1b	Do you have examples of speemerging problems with any If 'Yes', please provide detai	,	ries or	Yes No No inform	nation
Indicator 3.	Plan (NBSAP).	incorporating CITES into their N	National B		
3.4.2a	Has CITES been incorporate Biodiversity Strategy and Ac	ed into your country's National tion Plan (NBSAP)?		Yes No No info	ormation
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?			Yes No No info	ormation

Objective 3.5		Parties and the Secretariat co agreements dealing with natural collaborative approach to specie those which are commercially ex Aichi Target 2, Target 4, Target Target 19.	l resourd es which xploited.	ces, as a can be	appropi endan	riate, in gered b	ordei by uns	r to achieve a coherent and sustainable trade, including
Indicator 3.	5.1:	The number of cooperative actio to prevent species from being un						
3.5.1a	3.5.1a Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade?  If 'Yes', please provide details: SAWEN and others						Yes  No  No information	
Indicator 3.	5.2:	The number of times other relevantural resources are consulted						
3.5.2a	that agre by C	rage number of times per year international organizations or ements have been consulted EITES Authorities	Once	]     2-5 times	]	] ☐ More than 20 times	] 🔲 No consultation	Optional comment about which organizations and issues consulted on
		ntific Authority(ies)						
Please prov	/ide ar	<b>Ger</b> ny additional comments you woul	neral fee		ncludir	ng com	ments	s on this format.
		ltem						
Copy of full text Web link(s)		of CITES-relevant legislation if changed  Enclosed  Not available  Previously provided					□ □ ded □	
Please list	any n	naterials annexed to the report, e	.g. fee s	chedule	s, awa	ireness	raisir	ng materials, etc:
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?  No No Information  If 'Yes', please describe the constraint and the type of attention or assistance that is required.					_			
		oles of good practice you would lil				Yes No	nform	

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.

How could this report format be improved? It could be made online, forms more user friendly by automatic

locking for non relevant questions, easy checking/unchecking of boxes

If 'Yes' please provide details / links: