#### Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

#### **CITES vision statement**

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: info@cites.org

Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	Bhutan
Period covered in this report	1 January 2019 to 31 December 2019
Department or agency preparing this report	Nature Conservation Division
Contributing departments, agencies and organizations	

## GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.

All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report?  Yes No If 'Yes', have you shared information with the Secretariat? Yes No Not Applicable If 'No', please provide details to the Secretariat with this report:
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)?  Yes No If 'No', please provide details of the constraints faced:

Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.

Aichi Target 3.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information				
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?		$\boxtimes$					
	Are the procedures publicly available?		$\boxtimes$					
1.2.1b	Do you have:							
	Electronic data management and a paper-based permit issuance system?	$\boxtimes$						
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries		$\boxtimes$					
	Electronic permit information exchange to Management Authorities of all countries?		$\boxtimes$					
	Electronic permit data exchange between Management Authorities and customs?		$\boxtimes$					
	Electronic permit used to cross border with electronic validation by customs?		$\boxtimes$					
	If 'Yes' to any of the above, please provide information on challenges faced or issues overcome:							

UNCTAD  Indicator 1.2.2: The Contact of Cont	planning to move towards e-permitting, please explain eCITES BaseSolution Website  the number of Parties making use of the simplified proportion of 12.3 (Rev. CoP16).  Country developed simplified procedures for any of the simplified procedures for any of the plant of Resolution Conf. 12.3 (Rev. CoP16) are urgently suance of pre-Convention certificates or equivalent in accordance with Article VII, paragraph 2.  Suance of certificates of captive breeding or artificial on in accordance with Article VII, paragraph 5.  Suance of expert permits or re-export certificates in the with Article IV for specimens referred to in	ocedures p	provided f	for in <u>Resolutio</u>				
To the iss accordance Article VIII.  Are there merit the use of the iss accordance of th	country developed simplified procedures for any of the elogical samples of the type and size specified in a free Resolution Conf. 12.3 (Rev. CoP16) are urgently suance of pre-Convention certificates or equivalent in accordance with Article VII, paragraph 2. Suance of certificates of captive breeding or artificial on in accordance with Article VII, paragraph 5. Suance of export permits or re-export certificates in the with Article IV for specimens referred to in	following?  Yes	Γick all ap No □	plicable No				
Where bid Annex 4 or required. For the iss document For the iss propagation For the iss accordance Article VIII. Are there merit the unif 'Yes', ple	plogical samples of the type and size specified in feron Pre-Convention certificates or equivalent is in accordance with Article VII, paragraph 2. Suance of certificates of captive breeding or artificial on in accordance with Article VII, paragraph 5. Suance of export permits or re-export certificates in the with Article IV for specimens referred to in	Yes	No	No				
Annex 4 or required.  For the iss document  For the iss propagation  For the iss accordance Article VIII.  Are therefore merit the under the content of the	suance of pre-Convention certificates or equivalent in accordance with Article VII, paragraph 2. Suance of certificates of captive breeding or artificial on in accordance with Article VII, paragraph 5. Suance of export permits or re-export certificates in the with Article IV for specimens referred to in	Yes	No	No				
Annex 4 or required.  For the iss document.  For the iss propagation.  For the iss accordance.  Article VII.  Are there merit the under the under the content of the content.  Objective 1.3 Impute the content.	suance of pre-Convention certificates or equivalent in accordance with Article VII, paragraph 2. Suance of certificates of captive breeding or artificial on in accordance with Article VII, paragraph 5. Suance of export permits or re-export certificates in the with Article IV for specimens referred to in							
document For the iss propagation For the iss accordance Article VIII Are there merit the under the content of t	s in accordance with Article VII, paragraph 2.  suance of certificates of captive breeding or artificial on in accordance with Article VII, paragraph 5.  suance of export permits or re-export certificates in ce with Article IV for specimens referred to in		$\boxtimes$					
For the iss accordance Article VIII.  Are there merit the use of the iss accordance of t	on in accordance with Article VII, paragraph 5. Suance of export permits or re-export certificates in the ce with Article IV for specimens referred to in							
accordance Article VII Are there merit the u If 'Yes', ple  Objective 1.3 Im	ce with Article IV for specimens referred to in		$\boxtimes$					
merit the u If 'Yes', ple  Objective 1.3 Im	paragraph 4.		$\boxtimes$					
Objective 1.3 Im	other cases judged by a Management Authority to use of simplified procedures? ease provide details:		$\boxtimes$					
Indicator 1.3.1: Th	plementation of the Convention at the national level is a Conference of the Parties.  Aichi targets relevant to CITES, particularly Target 9, 7 are number of Parties that have implemented relevant ecisions of the Conference of the Parties and/or Standing	Target 14 and transfer from the Target 14 and th	nd Target g under l ttee recon	18. Resolutions an				
1.3.1a Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see [link to location on the CITES website where the reporting requirements are listed])?								
	Responses provided to ALL relevant reporting requirements  Responses provided to SOME of the relevant reporting requirements  Responses provided to NONE of the relevant reporting requirements  No special reporting requirements applicable							
impleme of the Pa		Conference	e `	Yes □ No ⊠				
	If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?							

e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

Aichi Target 1, Target 14 and Target 19.

1.4.1:	The number and proportion of species that have been found to meet the criteria contained in Resolution
	Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

1.4.1a	Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices?	Yes ☐ No ⊠
	If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):	

## Objective 1.5 Best available scientific information is the basis for non-detriment findings. Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.

- Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:
  - a) the population status of Appendix-II species;

**Objective 1.4** The Appendices correctly reflect the conservation needs of species.

- b) the trends and impact of trade upon Appendix-II species; and
- c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to:	Yes	No	Not Applicable	If Yes, How many?
	- the population status of Appendix II species?		$\boxtimes$		
	- the trends and impact of trade on Appendix II species?		$\boxtimes$		
	- the status of and trend in naturally-occurring Appendix I species?			$\boxtimes$	
	- the impact of any recovery plans on Appendix I species?			$\boxtimes$	
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?			$\boxtimes$	

	If there are such studies that you are willing to share, please provide:						
	Species name (scientific)	A brief summary of the results of the survey, study or other analysis (e.g. population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.					
	XXX	XXX					
	XXX	XXX					
	XXX	XXX					
	XXX	XXX					
	XXX	XXX					
1.5.1b	How are the results of such surveys, studies findings (NDFs)? Please tick all that app		ses used in makin	g non-detri	ment		
			Revised harves	•	-		
				-	export 🖂		
				omestic me	_		
			hanged managen ussion with Manag				
			Discussion with of	-			
	Of	ther (please pro	ovide a short sumn	nary):			
1.5.1c	Do you have specific conservation measure plans for naturally occurring Appendix-I liste		Yes No				
			Not Applicable		$\boxtimes$		
			No information				
	If 'Yes', please provide a brief summary, incl impact:	uding, if possib	le, an evaluation o	of their			
1.5.1d	Have you published any non-detriment findi If 'Yes', please provide links or examples to	•		No 🛚			
1.5.1e	Which of the following (A to F of paragraph a do you use in making non-detriment findings		ion Conf. 16.7)	Yes	No		
	A. relevant scientific literature concerning sp distribution and population trends.	ecies biology, l	ife history,	$\boxtimes$			
	B. details of any ecological risk assessment	s conducted.					
	C. scientific surveys conducted at harvest lo from harvest and other impacts.	ocations and at	sites protected	$\boxtimes$			
	D. relevant knowledge and expertise of loca	l and indigenou	is communities.	$\boxtimes$			
	E. consultations with relevant local, regional	and internation	nal experts.				
	F. national and international trade information the CITES trade database maintained by UI Monitoring Centre (UNEP-WCMC), publicat on trade and investigations of sales at mark example.	NEP World Con ions on trade, lo	servation ocal knowledge				

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a		·			No	
		Υ	′es	No	informatio	n
	Do you have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7?	[				
	If 'Yes', please briefly describe your procedures for making non or attach as an annex to this report, or provide a link to where to on the internet:			_	found	
1.5.2b	When establishing non-detriment findings, have any of the follo	wing				
	guidance been used?	•	Pleas	se tick	all that app	ly
	Virtual C	College				
	IUCN Ch	necklist			$\boxtimes$	
	Resolution Cor	nf. 16.7				
	2008 NDF wo	rkshop			$\boxtimes$	
	Species specific gu	idance				
		Other				
	If 'Other' or 'Species specific guidance', please specify details:					
1.5.2c	How often do you review and/or change your non-	Case by c	ase			
	detriment findings?	Annually				
		Every two	years	S		
		Less frequ	uently			
		A mix of the above				
	Please describe the circumstances under which non-detriment	_			anged:	
	IUCN review the species every aftre 5 years and it is practical in	n our cont	ex too	)		

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	Do you set annual export quotas?	Yes	
		No	$\boxtimes$
	If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:		Other,
	Species Name (scientific) XXX XXX XXX	Population Survey?	please specify
1.5.3b	Have annual export quotas been set at levels which will ensure sustainable production and consumption?	Yes No	
	If 'Yes', please describe how this fits into your non-detriment	finding process:	

Objective	<b>1.6</b> Parties cooperate in n Aichi Target 4, Target						12 and	d Target 19.
Indicator 1	.6.1: The number of bila management of share							ecifically provide for co-
1.6.1a	Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species?Yes \sum No \times If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:							
Indicator 1	.6.2: The number of coope populations of CITES-			t plans,	includir	ng red	covery	v plans, in place for shared
1.6.2a	Do you have any cooperation in place for shared population					ecove	ery pla	ns, Yes ☐ No ⊠
	If 'Yes', please list the speci to a published plan for each	species.						ovide a link or reference
	Species Name (scientific)	Link or ref	eren	ce to a	publishe	ed pla	n	
	XXX	XXX						
	XXX	XXX						
	<b>XXX</b>							
Indicator 1	.6.3: The number of worksho to address the conserva							
1.6.3a	Have the CITES authoritic activities provided by exter		r ber	nefited	from ar	ny of	the fo	ollowing capacity-building
	Please tick boxes to indica target group and which act	te which ivity.	advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external
			_ a	 a		<u> </u>	0	sources <sup>1</sup> ?
	Staff of Management Author	ority						
	Staff of Scientific Authority	L	_					
	Staff of enforcement autho	rities L						
	Traders							
	NGOs	L	┙┆	Ш				

Public

Other (please specify):

Please provide the names of Parties, and any non-Parties, involved.

1.6.3b	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?							
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)		
		Ō₩	as	正器	Ė	Ö	De	etails
	Staff of Management Authority							
	Staff of Scientific Authority				Ш			
	Staff of enforcement authorities				Ш			
	Traders							
	NGOs							
	Public							
	Other Parties/International meetings							
	Other (please specify)							
1.6.3c	In what ways do you collaborate with	other C	CITES P	arties?			1	
		Never	Rarely	Sometimes	Very Often	Always		er detail / amples
	Information exchange							интріос
	Monitoring / survey							
	Habitat management							
	Species management							
	Law enforcement							
	Capacity building							
	Other (please provide details)							
	outer (produce provide detaile)							
Objective	Parties are enforcing the Conve Aichi Target 4, Target 5, Target 6						et 12 and T	arget 19.
Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:  - an international enforcement strategy and/or action plan;  - formal international cooperation, such as an international enforcement network;  - a national enforcement strategy and/or action plan; and  - formal national interagency cooperation, such as a national interagency enforcement committee.								
1.7.1a	Do you have, are you engaged in, or co	overed b	oy:			Yes	No	No Information
	– an international enforcement strategy and/or action plan?							$\boxtimes$
	formal international cooperation, such as an international enforcement network?					$\boxtimes$		
	– a national enforcement strategy and/o	r action	plan?			$\boxtimes$		
	<ul> <li>formal national interagency cooperation</li> <li>interagency enforcement committee?</li> </ul>	on, such	as a na	tional				$\boxtimes$
	If 'Yes' to any of the above, please specify the level of engagement and provide additional details: South Asian Wildlife Enforcement Network (SAWEN) and National Anti-Poaching strategy							

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?	Yes No, but review is under consideration No No information	
	If 'Yes', what do you do?		
	If 'Yes' or 'No, but review is under consideration', which tools do yo	ou find of value?	
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	Yes	er
	If 'Yes', please provide feedback on the parts of the toolkit used ar equivalent tools have been. Please specify improvements that could be in the country of the country o	uld be made:	nt

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?  If 'Yes', please provide the title of the legislation and a summary of the penalties available	Yes No No information	
1.7.3b	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime <sup>1</sup> in your country?	Yes No No information	
	If 'Yes', please explain what criteria must be met for poaching or w treated as serious crimes: Fourth degree felony of Schedile 1 listed of Bhutan 2004	•	
1.7.3c	Do you have capacity to use forensic technology <sup>2</sup> to support the investigation of CITES offences?	Yes No No information	
	If 'Yes', please provide a brief summary of any samples from CITE collected and submitted to an appropriate forensic analysis facility another country) during the period covered in this report:		
	If 'Yes', and your country has an appropriate forensic analysis facil please indicate which species it applies to:	ity for CITES-listed specie	es,
1.7.3d	Did your authorities participate in or initiate any multi-disciplinary <sup>3</sup> law enforcement operation(s) targeting CITES-listed species	Yes No	

<sup>1</sup> The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

<sup>&</sup>lt;sup>2</sup> Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

<sup>3</sup> A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

	If 'Yes', please provide a brief summary, including any lessons learned which might be helpful for other Parties:				
1.7.3e	Do you have a standard operating procedure among agencies for submitting information related to CITES INTERPOL and/or the World Customs Organization	offence		Yes No No informatio	n
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?
	General crime <sup>1</sup>	$\square$			6 times
	Predicate offences <sup>2</sup>			$\boxtimes$	
	Asset forfeiture <sup>3</sup>			$\boxtimes$	
	Corruption <sup>4</sup>	$\boxtimes$			0 times
	International cooperation in criminal matters <sup>5</sup>	$\boxtimes$			0 times
	Organized crime <sup>6</sup>	$\boxtimes$			0 times
	Specialized investigation techniques <sup>7</sup>		$\boxtimes$		
	If 'Yes' to any of the above, please explain how each brief summary, including any lessons learned which				
1.7.3g	Do you have institutional capacity to implement the I provisions listed in question 1.7.3f against CITES off  If 'No', please provide a brief summary of your major dedicated lawyer to deal with the CITES matter and agencies in the field of controlled deliveries and covered to the co	fences? capaci there is	ty-build a nee	d to train the er	e don't have a
Indicator		and inte	elligend	•	egal trade in CITES-
1.7. <del>4</del> a	Do you use lisk assessment to target OTTES enforce	inent ei	ioit:	Very often Sometimes Rarely Never	

No information

No information

during the period covered in this report?

General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

<sup>&</sup>lt;sup>2</sup> Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

<sup>3</sup> Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

1.7.4b	Do you have capacity to analyse information gathered on illegal	Yes	
	trade in CITES-listed species?	No	
		No information	
1.7.4c	Do you use criminal intelligence <sup>1</sup> to inform investigations into	Always	
	illegal trade in CITES-listed species?	Very often	
		Sometimes	
		Rarely	
		Never	$\boxtimes$
		No information	
1.74d	Have you implemented any supply-side activities to address illegal	Yes	$\boxtimes$
	trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
	Toport.	No	
			$\sqcup$
		No information	
1.7.4e	Have you implemented any demand-side activities to address	Yes	
	illegal trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	$\boxtimes$
		No information	

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<sup>&</sup>lt;sup>1</sup> Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the	During the period covered in this report:		No	No Information
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?			
	If 'Yes', please indicate how many and for what types of offend details:	es. If avai	lable, pleas	e attach
1.7.5b	Have there been any criminal prosecutions of CITES-related offences?	$\boxtimes$		
	If 'Yes', how many and for what types of offences? If available,	please at	tach details	:
1.7.5c	Have there been any other court actions against CITES-related offences?	$\boxtimes$		
	If 'Yes', what were the offences involved and what were the result	s? Please	attach detai	ls:
1.7.5d	How were any confiscated specimens disposed of?		Tick	all that apply
	<ul> <li>Return to country of export</li> </ul>			
	<ul> <li>Public zoos or botanical gardens</li> </ul>			
	Designated rescue centres			
	Approved private facilities			
	– Euthanasia			
	<ul> <li>Other (please specify): Returned to wild after conducting superation of live species and safe keeping of wildlife trophies be of Forets and Park Services</li> </ul>			
	Have you encountered any challenges in disposing of confisca proper infrastructure to store the specimens	ited speci	mens? No	
	Do you have good practice that you would like to share with ot	her Partie	s? No	

**Objective 1.8** Parties and the Secretariat have adequate capacity-building programmes in place. Aichi Target 1, Target 12 and Target 19.

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: Yes The making of non-detriment findings?   Permit officers?  Enforcement officers?	No	
1.8.1b	Is the CITES Virtual College used as part of your capacity building work?  What improvements could be made in using the Virtual College for capacity building? Currently, it is not working	Yes No No information	
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes?  What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes No No information	

## GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

**Objective 2.1** Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.

Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	Do you have an approved service standard(s) <sup>1</sup> for your Management Authority(ies)?		Yes No	
	If 'No', please go to Question 2.2.1d.  If 'Yes', for which services are there standards, and what are those			
	standards?			
	If 'Yes', do you have performance targets for these standards <sup>2</sup> ?		Yes	
	If 'Yes', what are your performance targets?		No	Ш
	Do you publish your performance against service standard targets?		Yes No	
	If possible, please provide your performance against service standards during the period covered in this report:			
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No
	<ul><li>availability of funding?</li></ul>			
	– number of staff?			
	– a shortage of skills?			
	If 'Yes' to a shortage of skills, which skills do you need more of?			
2.2.1b	Do you have an approved service standard(s) <sup>47</sup> for your Scientific		Yes	
	Authority(ies)?			H
	Authority(ies)?  If 'No', please go to Question 2.2.1d.		No	
	• • •			
	If 'No', please go to Question 2.2.1d.  If 'Yes', for which services are there standards, and what are those standards?  If 'Yes', do you have performance targets for these standards <sup>48</sup> ?			
	If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards?		No	
	If 'No', please go to Question 2.2.1d.  If 'Yes', for which services are there standards, and what are those standards?  If 'Yes', do you have performance targets for these standards <sup>48</sup> ?		No Yes	
	If 'No', please go to Question 2.2.1d.  If 'Yes', for which services are there standards, and what are those standards?  If 'Yes', do you have performance targets for these standards <sup>48</sup> ?  If 'Yes', what are your performance targets?  If possible, please provide your performance against service	Yes	No Yes	No
	If 'No', please go to Question 2.2.1d.  If 'Yes', for which services are there standards, and what are those standards?  If 'Yes', do you have performance targets for these standards <sup>48</sup> ?  If 'Yes', what are your performance targets?  If possible, please provide your performance against service standards during the period covered in this report:  If you did not meet your performance targets then was this shortfall	Yes	No Yes	No
	If 'No', please go to Question 2.2.1d.  If 'Yes', for which services are there standards, and what are those standards?  If 'Yes', do you have performance targets for these standards <sup>48</sup> ?  If 'Yes', what are your performance targets?  If possible, please provide your performance against service standards during the period covered in this report:  If you did not meet your performance targets then was this shortfall a result of:	Yes	No Yes	
	If 'No', please go to Question 2.2.1d.  If 'Yes', for which services are there standards, and what are those standards?  If 'Yes', do you have performance targets for these standards <sup>48</sup> ?  If 'Yes', what are your performance targets?  If possible, please provide your performance against service standards during the period covered in this report:  If you did not meet your performance targets then was this shortfall a result of:  — availability of funding?	Yes	No Yes	No
	If 'No', please go to Question 2.2.1d.  If 'Yes', for which services are there standards, and what are those standards?  If 'Yes', do you have performance targets for these standards <sup>48</sup> ?  If 'Yes', what are your performance targets?  If possible, please provide your performance against service standards during the period covered in this report:  If you did not meet your performance targets then was this shortfall a result of:  — availability of funding?  — number of staff?	Yes	No Yes	No

For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

For example, 85% of all decisions will take place within the service standard.

2.2.1c	enforcement a If 'No', please	an approved service standa authority(ies)? go to Question 2.2.1d. ich services are there stand	.,		Yes No	
	standards?	ich services are there stand	ualus, and what are those			
	If 'Yes', do you	ı have performance targets	s for these standards <sup>48</sup> ?		Yes	
	If 'Yes', what a	ire your performance targe	ts?		No	
		ease provide your performaing the period covered in the	•			
	If you did not r a result of:	meet your performance tar	gets then was this shortfall	Yes		No
	<ul><li>availability</li></ul>	of funding?				
	<ul><li>number of</li></ul>	staff?				
	<ul><li>a shortage</li></ul>	of skills?				
	If 'Yes' to a she	ortage of skills, which skills	do you need more of?			
2.2.1d	,		ır answered 'No' to the first pa e of approved service standa	•	,	s:
	Do you have s	sufficient of the following for	r your authorities to function e	effectively?		
		Management Authority(ies)	Scientific Authority(ies)		ement ity(ies)	
	Funding?	Yes ⊠ No □	Yes ☐ No 🛚	Yes 🗌	No ⊠	
	Staff?	Yes ☐ No 🏻	Yes ☐ No 🛚	Yes 🗌	No ⊠	
	Skills?	Yes ☐ No 🛚	Yes ☐ No 🏻	Yes 🗌	No ⊠	

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities;
- hired more staff;
- developed implementation tools;
   purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES			
	implementation at the national level?			Tick if applicable
	Hiring of more staff			
	Development of implementation tools			$\boxtimes$
	Purchase of technical equipment for implementati	on, monitorin	g or enforcement	t 🛛
	Other (please specify): Imparted trainings to the fr Park Services	ontline staffs	of the Departme	nt of Forests and
2.2.2b	During the period covered in this report, was the budget for your:	Increased	Stable	Decreased
	Management Authority(ies)		$\boxtimes$	
	Scientific Authority(ies)		$\boxtimes$	
	Enforcement authorities		$\boxtimes$	
2.2.2c	Have you been able to use international development funding assistance to increase the level of implementation of your	Yes	No	Not applicable
	Management Authority(ies)?		$\boxtimes$	
	Scientific Authority(ies)?		$\boxtimes$	

	Enforcement authorities?			$\boxtimes$	
2.2.2d	What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities?				implementation at
	Activity	High	Medium	Low	Not a Priority
	Hiring of more staff				$\boxtimes$
	Development of implementation tools		$\boxtimes$		
	Purchase of new technical equipment for implementation, monitoring or enforcement				$\boxtimes$
	e-permitting			$\boxtimes$	
	Other (please specify):				
2.2.2e	Do you have a operational system (e.g electronic database) for managing	<b>]</b> .	Yes	Under development	No
	Species information		$\boxtimes$		
	Trade information				$\boxtimes$
	Non-detriment findings				$\boxtimes$

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for:	Tick all that are app	licable
	<ul> <li>Administrative procedures</li> </ul>		
	<ul> <li>Issuance of CITES documents (e.g. for import, exports, re-export, or the sea)</li> </ul>	r introduction from	
	<ul> <li>Shipment clearance (e.g. for the import, export, re-export, or introdu of CITES-listed species)</li> </ul>	ction from the sea	
	<ul> <li>Licensing or registration of operations that produce CITES species</li> </ul>		
	<ul> <li>Harvesting of CITES-listed species</li> </ul>		
	<ul> <li>Use of CITES-listed species</li> </ul>		
	<ul> <li>Assignment of quotas for CITES-listed species</li> </ul>		
	<ul><li>Other (please specify):</li></ul>		
2.2.3b	Is a fee schedule publicly available?	Yes 🗌 N	√lo ⊠
	If 'Yes', please provide an internet link, or a copy of the schedule to the	Secretariat:	
0.00		2 100	
2.2.3c	Have revenues from fees been used for the implementation of CITES or		1?
		Entirely	
		Partly	Ш
		Not at all	
		Not relevant	
2.2.3d		Yes	No
	Do you raise funds for CITES management through charging user fees	?	$\boxtimes$
	Do your fees recover the full economic cost of issuing permits?		$\boxtimes$
	Do you have case studies on charging or using fees?		$\boxtimes$
	If 'Yes' to any of the above, please provide brief details:		
	Do you use innovative financial mechanisms to raise funds for CITES implementation?		
	If 'Yes', please provide brief details:		

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	Do you use incentive measures¹ such as those described in CoP14 Doc 14.32 to implement the Convention?  YesNo
	Due diligence
	Compensatory mechanisms 🔲 🖂
	Certification
	Communal property rights
	Auctioning of quotas
	Cost recovery or environmental charges
	Enforcement incentives
	If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to further information: Turn around time for CITES permit issuance is very short due to very few applicants. Communal Property Right is implemented through the formation of Comminity Forestry Management Group and Non-Wood Forest Product User Group programs
2.2.4b	Have incentives harmful to biodiversity been eliminated? Not at all
	Very little□
	Somewhat⊠
	Completely

-

Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

**Objective 2.3** Sufficient resources are secured at the national and international levels to implement capacity-building programmes.

Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many training and capacity building you run during the period covered in this				Withou from th Secreta	е	stance Conducted or assisted by the Secretariat
			N	lone			
				1			
				2-5		$\boxtimes$	
				6-10 1-20			
		N	ı More tha				
	Please list the Resolutions or Decisions			111 20		ш	
2.3.1b	What sorts of capacity building activities			ce2 C	ITES lic	tad s	necies NDEs and Illegal
2.5.16	Reports	nave te	акен ріа	ce: c	II LO III	icu s	pecies, NDI 3 and illegal
2.3.1c	What capacity building needs do you ha	ve?					
	Please tick all boxes which apply to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Target group	g g	Te.	as:	Ţ	₽	Details
	Staff of Management Authority				$\boxtimes$		CITES e- permit
	Staff of Scientific Authority				$\boxtimes$		Process of NDFs
	Staff of enforcement authorities						Controlled deliveries & Covet operations
	Traders / other user groups						
	NGOs						
	Public						
	Other (please specify)						

An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

### GOAL 3CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.

Aichi Target 2 and Target 20.

Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes No Not applicable No information	
	If 'Yes', please provide brief details: UNDP GEF-LDCF, ICIMOD (KLCD projects contribited towards CITES implementation	I) and Bhutan for Life	е
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased Remained stable Decreased	

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or finance or countries in relation to CITES?	provided technical or financial assistance to another country es in relation to CITES?					Yes		
	If 'Yes', please tick boxes to indicate type of assistance provided  Country(ies)	Species Management¹	Habitat Management²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)	
	XXX								
	xxx								
	xxx								
	XXX								
	xxx								
	xxx								
	XXX								

<sup>&</sup>lt;sup>1</sup> Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

Objective 3.2 Awareness of the role and purpose of CITES is increased globally. Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's		Relevant User
	requirements by the wider public and relevant user groups?	Wider public	Groups
	- Press conferences		
	- Press releases		
	Newspaper articles, brochures, leaflets		
	Television appearances		
	Radio appearances		
	- Presentations		$\boxtimes$
	Public consultations / meetings		
	Market surveys		
	– Displays		
	Information at border crossing points		
	Telephone hotline		
	Website(s) – if so please provide link(s) www.moaf.gov.bt		
	Other (specify):		
	Please attach copies of any items or describe examples:		

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the Cl	TES wel	osite?				
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).  Target group	Daily	Weekly	Monthly	Less frequently	Not known	
	Staff of Management Authority		$\boxtimes$				
	Staff of Scientific Authority						
	Staff of enforcement authorities					$\boxtimes$	
3.2.2b	What has been your experience with using the	CITES w	vebsite?	Exc	ellent		
				Goo	d	$\boxtimes$	
				Ave	rage		
				Poo	r		
				Very	/ Poor		
	No information						
	Any further comments on the CITES Website? which authorities find which functions/tools mos requirements are very useful. Virtual College us	t useful,	what is mis	sing, etc):	Reporting		

#### Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

**Objective 3.3** Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) <sup>1</sup> to which your country is party?	Yes No No information	
	If 'Yes', please give a brief description:		

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?	No information
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?	UNDP- GEF-LDCF, ICIMOD (KLCDI) and Bhutan for Life integrated
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes
	Agencies for development?	
	Agencies for trade?	
	Provincial, state or territorial authorities?	
	Local authorities or communities?	
	Indigenous or local peoples?	
	Trade or other private sector associations?	
	NGOs?	
	Other (please specify)	
3.3.2d	Are CITES requirements integrated into?	Yes
	National and local development strategies?	
	National and local poverty reduction strategies?	
	Planning processes?	
	National accounting?	

CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3.3.3:	The number of Parties cooperating / collaborating with intergovernmental and non-
	governmental organizations to participate in and/or fund CITES workshops and other training
	and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?		
	Inter-governmental organizations?	$\boxtimes$	Bhutan for Life Program		
	Non-governmental organizations?				

# Objective 3.4 The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions.

Aichi Target 1, Target 2, Target 3, Target 4, Target 5, Target 6, Target 7, Target 12, Target 14, Target 17, Target 18 and Target 19.

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the						
	CITES Appendices has stabi	lized or improved?	Yes	No I	Not Applicable		
		Appendix I			$\boxtimes$		
		Appendix II		$\boxtimes$			
		Appendix III			$\boxtimes$		
	If there are such studies that	you are willing to share, please pro	vide:				
	Species name (scientific)	Link to the data, or a brief summa	ary				
	XXX	XXX					
	XXX	XXX					
	XXX	XXX					
3.4.1b		pecific examples of success stories	or	Yes			
	emerging problems with any CITES listed species?			No	$\boxtimes$		
				No informatio	n 🗌		
	If 'Yes', please provide detai	ls:					

Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes No No information	
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes No No information	

Objective 3	3.5	Parties and the Secretariat co agreements dealing with natural collaborative approach to specie those which are commercially e Aichi Target 2, Target 4, Target Target 19.	l resourd es which xploited	ces, as a n can be l.	appropi endan	riate, in igered l	orde by un	r to achieve a coh sustainable trade	nerent and , including
Indicator 3.	5.1:	The number of cooperative actio to prevent species from being u							greements.
3.5.1a	mult bein	your country taken action under established bilateral or Yes illateral agreements other than CITES to prevent species from g unsustainably exploited through international trade?  No information es', please provide details:							
Indicator 3.	5.2:	The number of times other rele natural resources are consulted							
3.5.2a									
	that agre	rage number of times per year international organizations or eements have been consulted DITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comm which organiza issues consu	tions and
		agement Authority(ies)							
		ntific Authority(ies)							
	Ento	rcement Authority(ies)							
Please prov	/ide aı	<b>Ger</b> ny additional comments you woul	neral fee		includi	ng com	ment	s on this format.	
		ltem							
Copy of fu Web link(s		of CITES-relevant legislation if ch	nanged		Not	losed availab viously		[ [ ded [	  
Please list	any n	naterials annexed to the report, e	g. fee s	schedule					
your coun	try req	raints to implementation of the Co puiring attention or assistance? describe the constraint and the typ					nform	_	
		ples of good practice you would li				Yes No	nform		

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.

If 'Yes' please provide details / links:

How could this report format be improved?