The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: <u>info@cites.org</u> Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	UGANDA
Period covered in this report	2019-2020
Department or agency preparing this report	MINISTRY OF TOURISM, WILDLIFE AND ANTIQUITIES, WILDLIFE CONSERVATION DEPARTMENT
Contributing departments, agencies and organizations	UGANDA WILDLIFE AUTHORITY, MINISTRY OF WATER AND ENVIRONMENT-FORESTRY SECTOR SUPPORT DEPARTMENT

GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures. All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	 Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes ⊠√ No □ If 'Yes', have you shared information with the Secretariat? Yes ⊠√ No □Not Applicable □ If 'No', please provide details to the Secretariat with this report:
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes \Box No $\boxtimes $
	If 'No', please provide details of the constraints faced: THE REVIEW PROCESS IS CONSULTATIVE REQUIRING INVOLVEMENT OF KEY STAKEHOLDERS INCLUDING CABINET AND PARLIAMENT. THIS PROCESS TAKES LONGER THAN 90 DAYS.

- **Objective 1.2** Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens. Aichi Target 3.
- Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	$\boxtimes $		
	Are the procedures publicly available?	$\boxtimes $		
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?	$\boxtimes $		
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries		$\boxtimes \checkmark$	
	Electronic permit information exchange to Management Authorities of all countries?		$\boxtimes \checkmark$	

Electronic permit data exchange between Management Authorities and customs?		$\boxtimes \checkmark$	
Electronic permit used to cross border with electronic validation by customs?		$\boxtimes $	
If 'Yes' to any of the above, please provide information on challen	ges faced	or issues	overcome:
If 'No', do you have any plans to move towards e-permitting ¹ ?	$\boxtimes $		
If you are planning to move towards e-permitting, please explain of HAVE SECURED SOME FUNDING THROUGH WILDLIFE CON THE USAID SUPPORTED PROJECT (COMBATING WILDLIFE OF DEVELOP AN ELECTRONIC PERMIT APPLICATION AND ISSU DEVELOPER HAS ALREADY BEEN PROCURED AND IT IS EX WILL BE READY BY JULY 2021.	SERVATIO CRIME- U ING SYS	ON SOCIE IGANDA A TEM. THE	TY UNDER CTIVITY) TO SYSTEM

Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in <u>Resolution</u> <u>Conf. 12.3 (Rev. CoP16)</u>.

1.2.2a	A Has your country developed simplified procedures for any of the following?			
		Tick all applicable		
		Yes	No	No information
	Where biological samples of the type and size specified in Annex 4 of <u>Resolution Conf. 12.3</u> (Rev. CoP16) are urgently required.		$\boxtimes \checkmark$	
	For the issuance of pre-Convention certificates or equivalent documents in accordance with <u>Article VII</u> , paragraph 2.		$\boxtimes \checkmark$	
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.		$\boxtimes \checkmark$	
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.		⊠√	
	Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details:		$\boxtimes \checkmark$	

Objective 1.3 Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties. All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.

Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.

1.3.1a	Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see [link to location on the CITES website where the reporting requirements are listed])?
	Responses provided to ALL relevant reporting requirements ⊠√ Responses provided to SOME of the relevant reporting requirements □ Responses provided to NONE of the relevant reporting requirements □ No special reporting requirements applicable □

¹ e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

1.3.1b	Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?Yes \Box No $\boxtimes $
	If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?

Objective 1.4 The Appendices correctly reflect the conservation needs of species. Aichi Target 1, Target 12, Target 14 and Target 19.

1.4.1: The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

1.4.1a	Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices?	Yes □ No ⊠√
	If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):	

- **Objective 1.5**Best available scientific information is the basis for non-detriment findings.
Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.
- Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:
 - a) the population status of Appendix-II species;
 - b) the trends and impact of trade upon Appendix-II species; and
 - c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys, studies or other analyses been undertaken in your country in relation to:	Yes	No	Not Applicable	If Yes, How many?
	- the population status of Appendix II species?	$\boxtimes $			
	 the trends and impact of trade on Appendix II species? 	$\boxtimes $			
	 the status of and trend in naturally-occurring Appendix I species? 	\boxtimes			
	 the impact of any recovery plans on Appendix I species? 		\boxtimes		
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?				

	If there are such studies that you are willing to share, please provide:				
	Species name (scientific)	or other analy stable / increa	ary of the results of /sis (e.g. populatior ase, off-take levels shed reference mat	n status, dec etc), or prov	line /
	Loxodonta Africana	Population in	creasing		
	Osyris lanceolata	Inventory sti	ill ongoing under ect. Findings to be		
1.5.1b	How are the results of such surveys, studies	or other analy	sos usod in making	n non-dotrim	ont
1.5.10	findings (NDFs)? Please tick all that app				
			Revised harvest	or export qu Banning e	
			Stricter dor	nestic meas	
		C	Changed managem		
		Disc	cussion with Manag	ement Auth	orities 🗌
			Discussion with othe		ers? ⊠√
4 5 4 .			ovide a short summ	iary):	
1.5.1c	Do you have specific conservation measures plans for naturally occurring Appendix-I listed		Yes No		$\boxtimes \checkmark$
		•	Not Applicable		
			No information		
	If 'Yes', please provide a brief summary, incluing impact: National Elephant Conservation Plan National Ivory Action Plan		ole, an evaluation of	f their	
1.5.1d	Have you published any non-detriment findir If 'Yes', please provide links or examples to t	-		lo 🛛	
1.5.1e	Which of the following (A to F of paragraph a do you use in making non-detriment findings		ion Conf. 16.7)	Yes	No
	A. relevant scientific literature concerning sp distribution and population trends.	ecies biology, I	life history,	$\boxtimes $	
	B. details of any ecological risk assessments	s conducted.		$\boxtimes $	
	C. scientific surveys conducted at harvest log from harvest and other impacts.	cations and at	sites protected	$\boxtimes $	
	D. relevant knowledge and expertise of local	l and indigenou	us communities.	$\boxtimes $	
	E. consultations with relevant local, regional	and internation	nal experts.	$\boxtimes $	
	F. national and international trade information the CITES trade database maintained by UN Monitoring Centre (UNEP-WCMC), publication on trade and investigations of sales at market example.	NEP World Cor ons on trade, le	nservation ocal knowledge		

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a					No	
			Yes	No	information	I
	Do you have standard procedures for making non-detriment findings in line with <u>Resolution Conf. 16.7</u> ?			\square		
	If 'Yes', please briefly describe your procedures for making nor or attach as an annex to this report, or provide a link to where t on the internet:				found	
1.5.2b	When establishing non-detriment findings, have any of the follo	owing				
	guidance been used?		Plea	se tick	all that apply	,
	Virtual (College				
	IUCN CI	hecklist			$\triangleleft \checkmark$	
	Resolution Col	nf. 16.7			$\triangleleft \checkmark$	
	2008 NDF wo	orkshop				
	Species specific gu	uidance				
		Other				
	If 'Other' or 'Species specific guidance', please specify details:					
1.5.2c	How often do you review and/or change your non-	Case by	case		$\boxtimes $	[
	detriment findings?	Annually]
		Every two	o year	S		J
		Less freq]
		A mix of t	he ab	ove		J
	Please describe the circumstances under which non-detriment	-	would	be cha	anged:	
	Findings of regular inventories; extreme land use change	е				

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	Do you set annual export quotas? If 'Yes', do you set quotas based on population survey, or	Yes No	\square
	by other means? Please specify, for each species, how quotas are set:		Other,
	Species Name (scientific) All species under trade	Population Survey?	please specify Not more than 2% of wild population
1.5.3b	Have annual export quotas been set at levels which will ensure sustainable production and consumption? If 'Yes', please describe how this fits into your non-detriment Quotas for export are set at no more than 2% of wild popula	01	

Objective 1.6		Parties cooperate in managing shared wildlife resources. Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.			
Indicator 1.6.1:		The number of bilateral and multilateral agreements that specifically provide for co- management of shared CITES listed species by range States.			
countries are involved: Greater Virunga Transboundary Collaboration					

Indicator 1.6.2: The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

1.6.2a	Do you have any cooperative in place for shared population	e management plans, including recovery plans, ns of CITES-listed species?	Yes 🛛 No 🗌
	If 'Yes', please list the specie to a published plan for each s	s for which these plans are in place and provide a lin species.	k or reference
	Species Name (scientific)	Link or reference to a published plan	

Indicator 1.6.3: The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

1.6.3a	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?							
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources1?	
	Staff of Management Authority				\square		USAID	
	Staff of Scientific Authority				\boxtimes		National Museums of Kenya, CTSP, USAID	
	Staff of enforcement authorities				\boxtimes	\boxtimes	USAID	
	Traders							
	NGOs							
	Public							
	Other (please specify):							

¹ Please provide the names of Parties, and any non-Parties, involved.

1.6.3b	3b Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?						
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other Parties/International meetings						
	Other (please specify)						
1.6.3c	In what ways do you collaborate with	other C	ITES P	arties?			
				es	ç		
		_	≥	etim	Ofte	ys	
		Never	Rarely	Sometimes	Very Often	Always	Further detail / examples
	Information exchange						
	Monitoring / survey				\square		
	Habitat management				\boxtimes		
	Species management				\boxtimes		
	Law enforcement				\boxtimes		
	Capacity building		\square				
	Other (please provide details)						

Objective 1.7

Parties are enforcing the Convention to reduce illegal wildlife trade. Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.

Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:

- an international enforcement strategy and/or action plan; -
- formal international cooperation, such as an international enforcement network; _
- a national enforcement strategy and/or action plan; and _
- formal national interagency cooperation, such as a national interagency enforcement _ committee.

1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No	No Information					
	- an international enforcement strategy and/or action plan?	\square							
	– formal international cooperation, such as an international enforcement network?								
	– a national enforcement strategy and/or action plan?	\square							
	 formal national interagency cooperation, such as a national interagency enforcement committee? 								
	If 'Yes' to any of the above, please specify the level of engagement and provide additional details: UGANDA IS PART OF THE HORN OF AFRICA WILDLIFE ENFORCEMENT NETWORK (HAWEN), HAVE DEVELOPED A NATIONAL STRATEGY TO COMBAT POACHING, ILLEGAL								

TRADE AND TRAFFICKING OF WILDLIFE AND WILDLIFE PRODUCTS (2020-2029) AND HAS ESTABLISHED THE NATIONAL WILDLIFE CRIME COORDINATION TASKFORCE BRINGING TOGETHER ALL LAW ENFORCEMENT AGENCIES. UGANDA IS A MEMBER OF THE AFRICAN UNION UNDER WHICH THE AFRICAN STRATEGY ON COMBATTING ILLEGAL EXPLOITATION AND ILLEGAL TRADE IN WILD FAUNA AND FLORA, 2015 WAS DEVELOPED AS WELL AS THE STRATEGY TO COMBAT POACHING, ILLEGAL TRADE AND TRAFFICKING OF WILDLIFE AND WILDLIFE PRODUCTS 2018-2022 SPECIFICALLY FOR THE EAST AFRICAN COMMUNITY MEMBER STATES.

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

Do you have a process or mechanism for reviewing your	Yes			
	No, but review is under			
your strategy(ies)?	consideration			
	No			
	No information			
IF 'YES', WHAT DO YOU DO? HAVE FORMED A NATIONAL WIL COORDINATION TASK FORCE THAT FORMULATES AND REVI STRATEGIES. FOR THE EAST AFRICAN COMMUNITY, THE ST TEAM OF TECHNICAL EXPERTS DRAWN FROM EACH MEMB	SES ENFORCEMENT RATEGY IS REVIEWED BY A			
If 'Yes' or 'No, but review is under consideration', which tools do yo	ou find of value?			
Have you used the International Consortium on Combating	Yes 🛛			
	No, but toolkit use is under			
Toolkit, or equivalent tools?	consideration			
	No 🗌			
	No information			
If 'Yes', please provide feedback on the parts of the toolkit used an equivalent tools have been. Please specify improvements that cou				
ALL PARTS. TOOLKIT HAS BEEN USED A CAPACITENFORCEMENT OFFICERS	TY BUILDING TOOL FOR			
If 'No', please provide feedback on why not or what is needed to n tools useful to you:	nake the toolkit or equivalent			
	enforcement strategy(ies) and the activities taken to implement your strategy(ies)? IF 'YES', WHAT DO YOU DO? HAVE FORMED A NATIONAL WIL COORDINATION TASK FORCE THAT FORMULATES AND REVI STRATEGIES. FOR THE EAST AFRICAN COMMUNITY, THE ST TEAM OF TECHNICAL EXPERTS DRAWN FROM EACH MEMB If 'Yes' or 'No, but review is under consideration', which tools do yo Have you used the International Consortium on Combating Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools? If 'Yes', please provide feedback on the parts of the toolkit used ar equivalent tools have been. Please specify improvements that cou ALL PARTS. TOOLKIT HAS BEEN USED A CAPACIT ENFORCEMENT OFFICERS If 'No', please provide feedback on why not or what is needed to n			

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?	Yes No No information	
	If 'Yes', please provide the title of the legislation and a summary of the penalties available THE UGANDA WILDLIFE ACT,2019		
1.7.3b	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime ¹ in your country?	Yes No No information	
	If 'Yes', please explain what criteria must be met for poaching or w treated as serious crimes: CAN LEAD TO THE EXTINCTION OF V PROCEEDS FROM POACHED AND TRAFFICKED WILDLIFE SF FACILITATE TERRORISM, MONEY LAUNDERING	WILDLIFE SPECIES,	

The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

1.7.3c	Do you have capacity to use forensic technology ¹ to a investigation of CITES offences?	t the	Yes No No informatio	n 🗌		
	If 'Yes', please provide a brief summary of any sampl collected and submitted to an appropriate forensic an another country) during the period covered in this rep	nalysis f				
	If 'Yes', and your country has an appropriate forensic please indicate which species it applies to:	; analys	is facil	ity for CITES-li	sted species,	
1.7.3d	Did your authorities participate in or initiate any multi- law enforcement operation(s) targeting CITES-listed during the period covered in this report?			Yes No No informatio	n 🗌	
	If 'Yes', please provide a brief summary, including any lessons learned which might be helpful for other Parties: FORMED A MULTI AGENCY NATIONAL WILDLIFE CRIME COORDINATION TASK FORCE. THE TASK FORCE HAS CONDUCTED JOINT OPERATIONS, INVESTIGATIONS AND SHARES INFORMATION ON WILDLIFE CRIME. AWARENESS ABOUT WILDLIFE CRIME AND IMPORTANCE OF WILDLIFE CONSERVATION HAS IMPROVED					
1.7.3e	Do you have a standard operating procedure among agencies for submitting information related to CITES INTERPOL and/or the World Customs Organization?	offence		Yes No No informatio	n 🛛	
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?	
	General crime ³					
	Predicate offences ⁴	\square				
	Asset forfeiture ⁵	\square				
	Corruption ⁶					
	International cooperation in criminal matters ⁷					
	Organized crime ⁸					
	Specialized investigation techniques9					
	If 'Yes' to any of the above, please explain how each brief summary, including any lessons learned which r					

- ⁴ Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.
- ⁵ Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.
- ⁶ Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.
- ⁷ International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.
- ⁸ Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.
- ⁹ Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

¹ Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

² A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

³ General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

1.7.3g	Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences?	Yes No No information				
	If 'No', please provide a brief summary of your major capacity-building needs:					

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always	\boxtimes
		Very often	
		Sometimes	
		Rarely	
		Never	
		No information	
1.7.4b	Do you have capacity to analyse information gathered on illegal	Yes	\boxtimes
	trade in CITES-listed species?	No	
		No information	
1.7.4c	Do you use criminal intelligence ¹ to inform investigations into	Always	\boxtimes
	illegal trade in CITES-listed species?	Very often	
		Sometimes	
		Rarely	
		Never	
		No information	
1.74d	Have you implemented any supply-side activities to address illegal	Yes	\boxtimes
	trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	
1.7.4e	Have you implemented any demand-side activities to address	Yes	
	illegal trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	\boxtimes
		No information	

¹ Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the	e period covered in this report:	Yes	No	No Information				
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?							
	If 'Yes', please indicate how many and for what types of offenc details:	es. If avai	lable, pleas	e attach				
1.7.5b	Have there been any criminal prosecutions of CITES-related offences?	\boxtimes						
	If 'Yes', how many and for what types of offences? If available,	please at	tach details	:				
1.7.5c	Have there been any other court actions against CITES- related offences?	\boxtimes						
	If 'Yes', what were the offences involved and what were the result	s? Please	attach detai	ls:				
1.7.5d	How were any confiscated specimens disposed of?		Tick	all that apply				
	 Return to country of export 							
	 Public zoos or botanical gardens 							
	 Designated rescue centres 			\boxtimes				
	 Approved private facilities 							
	– Euthanasia							
	– Other (please specify):							
	Have you encountered any challenges in disposing of confiscated specimens?							
	Do you have good practice that you would like to share with ot	her Partie	Do you have good practice that you would like to share with other Parties?					

Objective 1.8 Parties and the Secretariat have adequate capacity-building programmes in place. Aichi Target 1, Target 12 and Target 19.

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: Yes The making of non-detriment findings? 🛛 🗌 Permit officers? 🔹 🗍 Enforcement officers? 🗋 🖄	No	
1.8.1b	Is the CITES Virtual College used as part of your capacity building work? What improvements could be made in using the Virtual College for capacity building?	Yes No No information	
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes No No information	

GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

Objective 2.1 Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention. Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	Do you have an approved service standard(s) ¹ for your	Yes	\boxtimes
	Management Authority(ies)?	No	
	If 'No', please go to Question 2.2.1d.		
	If 'Yes', for which services are there standards, and what are those	PERMIT	
	standards?	PROCESSING AND ISSUANCE	
	lf Was' de van herre nerfermenes terrete fer these standards ²⁰		
	If 'Yes', do you have performance targets for these standards ² ? If 'Yes', what are your performance targets?	Yes No	
	i res; what are your performance targets?	1 WEEK ONCE	
		ALL	
		INFORMATION	
		IS PROVIDED BY THE	
		BY THE APPLICANT AND	
		THE RELEVANT	
		SCIENTIFIC	
		AUTHORITY HAS GIVEN A	
		POSITIVE	
		OPINION	
	Do you publish your performance against service standard	Yes	
	targets?	No	\boxtimes
	If possible, please provide your performance against service standards during the period covered in this report:		
	If you did not meet your performance targets then was this shortfall a result of:	Yes	No
	 availability of funding? 		
	– number of staff?		
	– a shortage of skills?		
	If 'Yes' to a shortage of skills, which skills do you need more of?		
2.2.1b	Do you have an approved service standard(s) ⁴⁷ for your Scientific	Yes	
	Authority(ies)?	No	\boxtimes
	If 'No', please go to Question 2.2.1d.		
	If 'Yes', for which services are there standards, and what are those standards?		
	If 'Yes', do you have performance targets for these standards ⁴⁸ ?	Yes	
	If 'Yes', what are your performance targets?	No	
	If possible, please provide your performance against service standards during the period covered in this report:		
	If you did not meet your performance targets then was this shortfall		
	a result of:	Yes	No

¹ For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

² For example, 85% of all decisions will take place within the service standard.

	number ofa shortage		do you need more of?				
2.2.1c	enforcement a If 'No', please	ard(s) ⁴⁷ for your dards, and what are those		Yes No			
	-	'Yes', do you have performance targets for these standards ⁴⁸ ? 'Yes', what are your performance targets?					
	If possible, please provide your performance against service standards during the period covered in this report:						
	a result of:	meet your performance targ	gets then was this shortial	Yes		No	
	 availability 	of funding?					
	 number of 	staff?					
	 a shortage 						
	If 'Yes' to a sh	ortage of skills, which skills	do you need more of?				
2.2.1d	2.2.1b, or 2.2.1c, relating to the existence of approved service standards for your authorities:						
	Do you have s	Management	r your authorities to function e Scientific Authority(ies)	Enforce	ment		
		Authority(ies)		Authorit			
	Funding?	Yes 🗌 No 🔀	Yes 🗌 No 🖂	Yes 🗌 I	No 🖂		
	Staff?	Yes 🖂 No 🗌	Yes 🛛 No 🗌	Yes 🛛 I	No 🗌		
	Skills?	Yes 🛛 No 🗌	Yes 🛛 No 🗌	Yes 🛛 No 🗌			

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities; hired more staff; —
- _
- developed implementation tools; _
- purchased technical equipment for implementation, monitoring or enforcement. _

2.2.2a	Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES	
	implementation at the national level?	Tick if applicable
	Hiring of more staff	\boxtimes
	Development of implementation tools	\boxtimes
	Purchase of technical equipment for implementation, monitoring or enforcement	\boxtimes
	Other (please specify):	

2.2.2b	During the period covered in this report, was the budget for your:		Increased	Stable	Decreased
	Management Authority(ies)			\square	
	Scientific Authority(ies)				\square
	Enforcement authorities				\square
2.2.2c	Have you been able to use international development funding assistance to increase the level of implementation of your		Yes	No	Not applicable
	Management Authority(ies)?		\boxtimes		
	Scientific Authority(ies)?			\square	
	Enforcement authorities?		\square		
2.2.2d	What is the respective level of priority f the national level through the following		ng the effectiv	eness of CITES	implementation at
	Activity	High	Medium	Low	Not a Priority
	Hiring of more staff	\boxtimes			
	Development of implementation tools	\boxtimes			
	Purchase of new technical equipment for implementation, monitoring or enforcement	\boxtimes			
	e-permitting	\boxtimes			
	Other (please specify):				
2.2.2e	 Do you have a operational system (e.g. electronic database) for managing 		Yes	Under development	No
	Species information			\square	
	Trade information			\square	
	Non-detriment findings				\square

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for:	Tick all that are appli	cable
	 Administrative procedures 		
	 Issuance of CITES documents (e.g. for import, exports, re-export, or the sea) 	or introduction from	
	 Shipment clearance (e.g. for the import, export, re-export, or introdu of CITES-listed species) 	uction from the sea	
	- Licensing or registration of operations that produce CITES species		
	 Harvesting of CITES-listed species 		
	 Use of CITES-listed species 		
	 Assignment of quotas for CITES-listed species 		
	 Other (please specify): 		
2.2.3b	Is a fee schedule publicly available?	Yes 🗌 N	ο 🖂
	If 'Yes', please provide an internet link, or a copy of the schedule to the	Secretariat:	
2.2.3c	Have revenues from fees been used for the implementation of CITES of	or wildlife conservation	?
		Entirely	
		Partly	
		Not at all	
		Not relevant	

2.2.3d		Yes	No
	Do you raise funds for CITES management through charging user fees?		\boxtimes
	Do your fees recover the full economic cost of issuing permits?		\boxtimes
	Do you have case studies on charging or using fees?		\boxtimes
	If 'Yes' to any of the above, please provide brief details:		
	Do you use innovative financial mechanisms to raise funds for CITES implementation?		
	If 'Yes', please provide brief details:		

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	Do you use incentive measures ¹ such as those described in <u>CoP14 Doc 14.32</u> to implement the Convention? YesNo					
	Due diligence	\boxtimes				
	Compensatory mechanisms	\square				
	Certification	\square				
	Communal property rights					
	Auctioning of quotas					
	Cost recovery or environmenta	I charges 🖾 🗌				
	Enforcement incentives					
	If 'Yes' to any of the above, or if you use further information:	other measures, please provide a summary or link to				
2.2.4b	Have incentives harmful to biodiversity b	een eliminated? Not at all				
	Very little					
	Somewhat⊠					
	Completely					

¹ Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

- **Objective 2.3** Sufficient resources are secured at the national and international levels to implement capacity-building programmes. Aichi Target 12, Target 19 and Target 20.
- Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	you run during the period covered in this report? f			Withou from the Secreta	е	stance Conducted or assisted by the Secretariat	
		None					
				1			
				2-5		\square	
				6-10			
		Ν	۲ More tha	1-20			
	Please list the Resolutions or Decisions			an 20			
2.3.1b	What sorts of capacity building activities		-	ce?			
2.3.1c	What capacity building needs do you ha						
	Please tick all boxes which apply to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority		\square		\square		
	Staff of Scientific Authority		\square	\square	\square		
	Staff of enforcement authorities		\boxtimes	\square	\square		
	Traders / other user groups		\square		\boxtimes		
	NGOs		\square				
	Public						
	Other (please specify)						

¹ An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

GOAL 3CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

- **Objective 3.1** Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities. Aichi Target 2 and Target 20.
- Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes No Not applicable No information	$\Box \Box \Box \boxtimes$				
	If 'Yes', please provide brief details: UK IWT Challenge Fund and USAID Combating Wildlife Crime Uganda Activity both through Wildlife Conservation Society						
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased Remained stable Decreased	$\Box \Box \boxtimes$				

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?					Yes No No i	information	
	If 'Yes', please tick boxes to indicate type of assistance provided Country(ies)	Species Management ¹	Habitat Management²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)

¹ Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

² Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

Objective 3.2 Awareness of the role and purpose of CITES is increased globally. Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's		Relevant User
	requirements by the wider public and relevant user groups?	Wider public	Groups
	 Press conferences 		
	 Press releases 		
	 Newspaper articles, brochures, leaflets 		
	 Television appearances 	\boxtimes	
	 Radio appearances 		
	- Presentations		\boxtimes
	 Public consultations / meetings 		\boxtimes
	 Market surveys 		
	– Displays		
	 Information at border crossing points 		
	 Telephone hotline 		
	 Website(s) – if so please provide link(s) 		
	 Other (specify): 		
	Please attach copies of any items or describe examples:		

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?						
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).	Daily	Weekly	Monthly	Less frequently	Not known	
	Target group	Õ	3	2	した	Ž	
	Staff of Management Authority	\boxtimes					
	Staff of Scientific Authority		\square				
	Staff of enforcement authorities		\square				
3.2.2b	What has been your experience with using the	CITES w	vebsite?	Exce	ellent		
				Goo	d	\boxtimes	
				Ave	rage		
				Poo	r		
	Very Poor						
	No information						
	Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc):						

Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

Objective 3.3 Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) ¹ to which your country is party? If 'Yes', please give a brief description:	Yes No No information	
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Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?					
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?					
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No			
	Agencies for development?	\boxtimes				
	Agencies for trade?					
	Provincial, state or territorial authorities? Local authorities or communities?					
	Trade or other private sector associations?	\boxtimes				
	NGOs?	\boxtimes				
	Other (please specify)					
3.3.2d	Are CITES requirements integrated into?	Yes	No			
	National and local development strategies?	\boxtimes				
	National and local poverty reduction strategies?	\square				
	Planning processes?					

¹ CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

National accounting?

Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and nongovernmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?	\boxtimes	UNODC
	Non-governmental organizations?		WILDLIFE CONSERVATIO N SOCIETY,

Objective 3.4 The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions. Aichi Target 1, Target 2, Target 3, Target 4, Target 5, Target 6, Target 7, Target 12, Target 14, Target 17, Target 18 and Target 19.

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the	/~~	Na	Not Applicable			
		′es	No	Not Applicable			
	Appendix I	\ge					
	Appendix II 🛛						
	Appendix III [
	If there are such studies that you are willing to share, please provide:						
	Species name (scientific) Link to the data, or a brief summary						
3.4.1b	Do you have examples of specific examples of success stories or	Yes		\boxtimes			
	emerging problems with any CITES listed species?	No					
			nformatio	n 🗌			
	If 'Yes', please provide details: THE POPULATION OF MOUNTAIN GORILLAS HAS INCREASED STEADILY						

Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes No No information	$\square \square$
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes No No information	

- **Objective 3.5** Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited. Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.
- Indicator 3.5.1: The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details:	Yes No No information	
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Indicator 3.5.2: The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
	Management Authority(ies)						
	Scientific Authority(ies)						USFS and RBG on possible collaboration on proposal for Appendix II listing of <i>Afzelia africana</i> .
	Enforcement Authority(ies)						

General feedback

Please provide any additional comments you would like to make, including comments on this format.

Item				
Copy of full text of CITES-relevant legislation if changed	Enclosed	\boxtimes		
Web link(s)	Not available			
	Previously provided			
Please list any materials annexed to the report, e.g. fee schedules, awareness raising materials, etc:				
Have any constraints to implementation of the Convention arisen in	n Yes			
your country requiring attention or assistance?	No	\boxtimes		
	No Information			
If 'Yes', please describe the constraint and the type of attention or assistance that is required.				
Are there examples of good practice you would like to share with c	other Yes			
Parties?	No			
	No Information	\bowtie		
If 'Yes' please provide details / links:				
How could this report format be improved?				

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.