#### Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

#### **CITES vision statement**

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: info@cites.org

Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	Russian Federation			
Period covered in this report	2018-2019			
Department or agency preparing this report	Federal service for supervision of natural resources management (CITES Management Authority of Russian Federation)			
Contributing departments, agencies and organizations	FGBU «VNII ECOLOGY» (Scientific Authority of Russian Federation)			

# GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.

All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report?  Yes No If 'Yes', have you shared information with the Secretariat? Yes No Not Applicable If 'No', please provide details to the Secretariat with this report: Order No. 40-r of December 27, 2018 of the Minister of Natural Resources and Environment on the approval of the Action Plan for the implementation of the Strategy for the Conservation of Rare and Endangered Species of Animals, Plants and Fungus
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)?  Yes No If 'No', please provide details of the constraints faced: lengthy process involved in concluding any revisions, many instances to agree on changes

Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.

Aichi Target 3.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	$\boxtimes$		
	Are the procedures publicly available?	$\boxtimes$		
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?	$\boxtimes$		
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries		$\boxtimes$	
	Electronic permit information exchange to Management Authorities of all countries?		$\boxtimes$	

	Electronic permit data exchange between Management Authorities and customs?		$\boxtimes$				
	Electronic permit used to cross border with electronic validation by customs?		$\boxtimes$				
	If 'Yes' to any of the above, please provide information on challenge	ges faced	or issues	overcome:			
	If 'No', do you have any plans to move towards e-permitting¹?						
	If you are planning to move towards e-permitting, please explain wain criterion is the connection of all countries to eCITES, method installation of eCites						
Indicator '	Conf. 12.3 (Rev. CoP16).		provided f	or in <u>Resolution</u>			
1.2.2a	Has your country developed simplified procedures for any of the f	•	F' . I II	. P I. I.			
			Fick all app	No No			
		Yes	No	information			
	Where biological samples of the type and size specified in Annex 4 of Resolution Conf. 12.3 (Rev. CoP16) are urgently required.	$\boxtimes$					
	For the issuance of pre-Convention certificates or equivalent documents in accordance with <u>Article VII</u> , paragraph 2.	$\boxtimes$					
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.						
For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.							
	Are there other cases judged by a Management Authority to merit the use of simplified procedures?  If 'Yes', please provide details: design of a certificate for pets for multiple border crossings; to accept an opportynity for owners						
	with pets which living with them for a long time be able not to provide documents of origin						
Objective 1.3 Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties.  All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.							
Indicator 1	1.3.1: The number of Parties that have implemented relevan Decisions of the Conference of the Parties and/or Standin						
1.3.1a	the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by						
	the Secretariat (see [link to location on the CITES website where listed])?	e ine repol	ung requi	rements are			
	Responses provided to ALL r			·			
	Responses provided to SOME of the r			•			
	Responses provided to NONE of the r						
1	No special reporting requirements applicable $oxtimes$						

e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

1.3.1b	Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?  Yes No If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?								
Objective	1.4 The Appendices correctly reflect the conservation r Aichi Target 1, Target 12, Target 14 and Target 19.	needs of sp	ecies.						
	The number and proportion of species that have been four Conf. 9.24 or its successors. This includes both the period								
1.4.1a	Have you undertaken any reviews of whether species won the CITES Appendices?  If 'Yes', please provide a summary here, or a link to the (or a copy of that report to the Secretariat if the work is recommendation).	report of th	e work	Yes [	] No ⊠				
Objective Indicator 1	Aichi Target 2, Target 4, Target 5, Target 6, Targe	et 7, Target s undertake nf. 16.7 on ; lix-II specie	9, Targe en by ex Non-de es; and	et 12 and Target porting countrie striment findings	s based on related to:				
1.5.1a	Have any surveys, studies or other analyses been undertaken in your country in relation to:	Yes	No	Not Applicable	If Yes, How many?				
	- the population status of Appendix II species?	$\boxtimes$							
	- the trends and impact of trade on Appendix II species?								
	- the status of and trend in naturally-occurring Appendix I species?								
	- the impact of any recovery plans on Appendix I species?								
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?								

	If there are such studies that you are willing to share, please provide:					
	Species name (scientific)	or other analy stable / increa	ary of the results of rsis (e.g. population ase, off-take levels hed reference mat	n status, ded etc), or prov	cline /	
	Saiga (Saiga tatarica) - population is	•				
	growing					
	Musk deer (Moschus moschiferus) - stable population					
	Amur tiger (Panthera tigris altaica) - stable population					
1.5.1b	How are the results of such surveys, studies findings (NDFs)? Please tick all that app		ses used in making	g non-detrim	nent	
			Revised harves	•		
			Stricter do	Banning o mestic mea	export 🗌	
		С	hanged managem			
		Disc	ussion with Manag	ement Auth	orities $oximes$	
			Discussion with otl		lders? ⊠	
4.5.4			ovide a short summ	iary):		
1.5.1c	Do you have specific conservation measure: plans for naturally occurring Appendix-I lister		Yes No			
	, , , , , , ,	•	Not Applicable			
			No information			
	If 'Yes', please provide a brief summary, incl impact: Order No. 40-r of December 27, 201 Environment on the approval of the Action P Conservation of Rare and Endangered Spec	8 of the Ministe Plan for the impl	er of Natural Resou ementation of the S	irces and Strategy for	the	
1.5.1d	Have you published any non-detriment findir	ngs that can be	shared? Yes 🗌 N	lo 🛛		
	If 'Yes', please provide links or examples to	the Secretariat	within this report:			
1.5.1e	Which of the following (A to F of paragraph a do you use in making non-detriment findings		ion Conf. 16.7)	Yes	No	
	A. relevant scientific literature concerning sp distribution and population trends.	ecies biology, l	ife history,			
	B. details of any ecological risk assessments	s conducted.		$\boxtimes$		
	C. scientific surveys conducted at harvest lo from harvest and other impacts.	cations and at	sites protected			
	D. relevant knowledge and expertise of loca	l and indigenou	is communities.	$\boxtimes$		
	E. consultations with relevant local, regional	and internation	nal experts.	$\boxtimes$		
	F. national and international trade informatio the CITES trade database maintained by UN Monitoring Centre (UNEP-WCMC), publication trade and investigations of sales at market example.	NEP World Con ions on trade, lo	servation ocal knowledge			

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a					No	
		,	Yes	No	information	1
	Do you have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7?					
	If 'Yes', please briefly describe your procedures for making non- or attach as an annex to this report, or provide a link to where th on the internet:				found	
1.5.2b	When establishing non-detriment findings, have any of the follow	ving				
	guidance been used?		Plea	se tick	all that apply	,
	Virtual Co	ollege				
	IUCN Che	IUCN Checklist				
	Resolution Conf	Resolution Conf. 16.7				
	2008 NDF worl	kshop				
	Species specific guid	dance				
		Other				
	If 'Other' or 'Species specific guidance', please specify details:					
1.5.2c	How often do you review and/or change your non-	Case by	case			
	detriment findings?	Annually				J
	E	Every two	o year	s		]
	L	ess freq	uently	/		]
	A	A mix of t	he ab	ove		J
	Please describe the circumstances under which non-detriment f	indings \	would	be cha	anged:	

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	Do you set annual export quotas?	Yes	
		No	
	If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:		Other,
		Population	please
	Species Name (scientific)	Survey?	specify
	Musk deer (Moschus moschiferus)	$\boxtimes$	
1.5.3b	Have annual export quotas been set at levels which will	Yes	
	ensure sustainable production and consumption?	No	$\boxtimes$
	If 'Yes', please describe how this fits into your non-detriment	t finding process:	

Objective 1.6	Parties cooperate in managing shared wildlife resources.
	Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19,

Indicator 1.6.1: The number of bilateral and multilateral agreements that specifically provide for comanagement of shared CITES listed species by range States.

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1.6.1a	Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species?Yes No If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:
	Saiga (Saiga tatarica), Arrangement, Russian Federation - Kazakhstan Siberian crane (Grus leucogeranus), International project, Russian Federation - China Agreement between Russian Federation and USA on the conservation and use of the Chukchi- Alaskan polar bear population

Indicator 1.6.2: The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

1.6.2a	Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species?  Yes ⊠ No □
	If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species.
	Species Name (scientific) Link or reference to a published plan Saiga (Saiga tatarica)
	Siberian crane (Grus leucogeranus)

Indicator 1.6.3: The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

1.6.3a	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?							
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources <sup>1</sup> ?	
	Staff of Management Authority	$\boxtimes$	$\boxtimes$	$\boxtimes$	$\boxtimes$			
	Staff of Scientific Authority	$\boxtimes$	$\boxtimes$	$\boxtimes$		$\boxtimes$		
	Staff of enforcement authorities							
	Traders							
	NGOs							
	Public							
	Other (please specify):							

Please provide the names of Parties, and any non-Parties, involved.

1.6.3b	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?							
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	De	etails
	Staff of Management Authority		, w		П			
	Staff of Scientific Authority							
	Staff of enforcement authorities							
	Traders							
	NGOs							
	Public							
	Other Parties/International meetings							
	Other (please specify)							
1.6.3c	In what ways do you collaborate with	other C	ITES P	arties?	<u> </u>			
		Never	Rarely	Sometimes	Very Often	Always	1	er detail / amples
	Information exchange			$\boxtimes$				
	Monitoring / survey			$\boxtimes$				
	Habitat management						•	
	Species management							
	Law enforcement							
	Capacity building							
	Other (please provide details)							
•	Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade. Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.  Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:  - an international enforcement strategy and/or action plan;  - formal international cooperation, such as an international enforcement network;  - a national enforcement strategy and/or action plan; and  - formal national interagency cooperation, such as a national interagency enforcement committee.							
1.7.1a	Do you have, are you engaged in, or co	overed b	y:			Yes	No	No Information
	– an international enforcement strategy	and/or a	action pl	an?		$\boxtimes$		
	formal international cooperation, such as an international enforcement network?					$\boxtimes$		
	<ul> <li>a national enforcement strategy and/o</li> </ul>	r action	plan?			$\boxtimes$		
	formal national interagency cooperation, such as a national interagency enforcement committee?						$\boxtimes$	
	If 'Yes' to any of the above, please specify the level of engagement and provide additional details:							

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?	Yes No, but review is under consideration No No information	
	If 'Yes', what do you do?		_
	If 'Yes' or 'No, but review is under consideration', which tools do yo	ou find of value?	
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	Yes	er
	If 'Yes', please provide feedback on the parts of the toolkit used an equivalent tools have been. Please specify improvements that could be in the improvement of the tools useful to you:	uld be made:	t

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?	Yes No					
	If 'Yes', please provide the title of the legislation and a summary of the penalties available Criminal Code of the Russian Federation; Code of the Russian Federation on Administrative Offenses, point 8.35	No information					
1.7.3b	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime <sup>1</sup> in your country?	Yes No No information					
	If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to b treated as serious crimes: Criminal Code of Russian Federation						
1.7.3c	Do you have capacity to use forensic technology <sup>2</sup> to support the investigation of CITES offences?	Yes No No information	$\boxtimes \Box \Box$				
	If 'Yes', please provide a brief summary of any samples from CITES-listed species that were collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report:						
	If 'Yes', and your country has an appropriate forensic analysis facil please indicate which species it applies to:	ity for CITES-listed spe	ecies,				

<sup>&</sup>lt;sup>1</sup> The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

<sup>&</sup>lt;sup>2</sup> Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

1.7.3d	Did your authorities participate in or initiate any multi-disciplinary <sup>1</sup> law enforcement operation(s) targeting CITES-listed species during the period covered in this report?			Yes No No information		
	If 'Yes', please provide a brief summary, including any lessons learned which might be helpful for other Parties:					
1.7.3e	Do you have a standard operating procedure among agencies for submitting information related to CITES INTERPOL and/or the World Customs Organization?		Yes No No information	on 🖂		
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?	
	General crime <sup>2</sup>					
	Predicate offences <sup>3</sup>			$\boxtimes$		
	Asset forfeiture <sup>4</sup>	$\boxtimes$			2019 year – 15097 sp; 2020 year - 6744 sp	
	Corruption <sup>5</sup>			$\boxtimes$		
	International cooperation in criminal matters <sup>6</sup>			$\boxtimes$		
	Organized crime <sup>7</sup>					
	Specialized investigation techniques <sup>8</sup>					
	If 'Yes' to any of the above, please explain how each brief summary, including any lessons learned which r					
1.7.3g	Do you have institutional capacity to implement the le provisions listed in question 1.7.3f against CITES offer If 'No', please provide a brief summary of your major.	ences?		Yes No No informati	on 🗌	
	If 'No', please provide a brief summary of your major	capaci	ty-build	ding needs:		

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

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A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope

General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

<sup>&</sup>lt;sup>3</sup> Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always	
		Very often	
		Sometimes	
		Rarely	
		Never	
		No information	$\boxtimes$
1.7.4b	Do you have capacity to analyse information gathered on illegal	Yes	$\boxtimes$
	trade in CITES-listed species?	No	
		No information	
1.7.4c	Do you use criminal intelligence <sup>1</sup> to inform investigations into	Always	
	illegal trade in CITES-listed species?	Very often	
		Sometimes	
		Rarely	
		Never	
		No information	$\boxtimes$
1.7.4d	Have you implemented any supply-side activities to address illegal	Yes	$\boxtimes$
	trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	
1.7.4e	Have you implemented any demand-side activities to address	Yes	$\boxtimes$
	illegal trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	

<sup>&</sup>lt;sup>1</sup> Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the	e period covered in this report:	Yes	No	No Information	
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?	$\boxtimes$			
	If 'Yes', please indicate how many and for what types of offences. If available, please attach details: no comprehensive information				
1.7.5b	Have there been any criminal prosecutions of CITES-related offences?				
	If 'Yes', how many and for what types of offences? If available, please attach details: no comprehensive information				
1.7.5c	Have there been any other court actions against CITES-related offences?	$\boxtimes$			
	If 'Yes', what were the offences involved and what were the results and fines by court order	? Please a	ittach details	: Confiscation	
1.7.5d	How were any confiscated specimens disposed of?		Tick	all that apply	
	<ul> <li>Return to country of export</li> </ul>			$\boxtimes$	
	Public zoos or botanical gardens			$\boxtimes$	
	Designated rescue centres			$\boxtimes$	
	Approved private facilities				
	– Euthanasia				
	Other (please specify):			$\boxtimes$	
	Have you encountered any challenges in disposing of confisca	ated specii	mens?		
	Do you have good practice that you would like to share with ot	her Partie	s?		

**Objective 1.8** Parties and the Secretariat have adequate capacity-building programmes in place. Aichi Target 1, Target 12 and Target 19.

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: Yes The making of non-detriment findings?   Permit officers?  Enforcement officers?	No	
1.8.1b	Is the CITES Virtual College used as part of your capacity building work?  What improvements could be made in using the Virtual College for capacity building?	Yes No No information	
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes?  What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes No No information	

# GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

**Objective 2.1** Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.

Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	Do you have an approved service standard(s)¹ for your		'es	
	Management Authority(ies)?	1	No	
	If 'No', please go to Question 2.2.1d.			
	If 'Yes', for which services are there standards, and what are those standards?	Administrative		
	Standards:	rules of the Federal Service	,	
		of supervision o		
		natural resorses		
	If 'Yes', do you have performance targets for these standards <sup>2</sup> ?	Υ	'es	$\boxtimes$
	If 'Yes', what are your performance targets?	1	No	
		compliance w	rith	
		the terms of issu	ue	
	Do you publish your performance against service standard	Y	'es	$\boxtimes$
	targets?	1	No	
	If possible, please provide your performance against service	No delays in		
	standards during the period covered in this report:	issuing of		
		certificates		
	If you did not meet your performance targets then was this shortfall	.,		
	a result of:	Yes		No
	– availability of funding?			Ш
	– number of staff?			
	a shortage of skills?			
	If 'Yes' to a shortage of skills, which skills do you need more of?			
2.2.1b	Do you have an approved service standard(s) <sup>47</sup> for your Scientific	Y	'es	$\boxtimes$
	Authority(ies)?	1	No	
	If 'No', please go to Question 2.2.1d.			
	If 'Yes', for which services are there standards, and what are those standards?	Regulatory		
	Startual us :	actions for recommendatio	ne	
	If 'Voo' do you have performance targets for those standard-480			
	If 'Yes', do you have performance targets for these standards <sup>48</sup> ?		es	
	If 'Yes', what are your performance targets?	ı	No	
	If possible, please provide your performance against service standards during the period covered in this report:			
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No
	availability of funding?	.ss		
I	availability of furfuling:			ш

For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

<sup>&</sup>lt;sup>2</sup> For example, 85% of all decisions will take place within the service standard.

	<ul><li>number of</li></ul>	staff?			
	<ul><li>a shortage</li></ul>	of skills?			
	If 'Yes' to a sh	ortage of skills, which skills	s do you need more of?		
2.2.1c	enforcement a	, ,	ard(s) <sup>47</sup> for your	Ye: No	
		go to Question 2.2.1d. ich services are there stan	dards, and what are those		
	_	u have performance target are your performance targe		Ye: No	
		ease provide your performating the period covered in t			
	If you did not a result of:	meet your performance tar	Yes	No	
	<ul> <li>availability</li> </ul>	of funding?			
	<ul><li>number of</li></ul>	staff?			
	<ul><li>a shortage</li></ul>	of skills?			
	If 'Yes' to a sh	ortage of skills, which skills	s do you need more of?		
2.2.1d			ur answered 'No' to the first pee of approved service standa		
	Do you have s	sufficient of the following fo	or your authorities to function	effectively?	
		Management Authority(ies)	Scientific Authority(ies)	Enforceme Authority(ie	
	Funding?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes ☐ No	
	Staff?	Yes 🗌 No 🗌	Yes ☐ No		
	Skills?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes 🗌 No	

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities;

- changed the budhired more staff;

- developed implementation tools;purchased technical equipment for purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES	
	implementation at the national level?	Tick if applicable
	Hiring of more staff	$\boxtimes$
	Development of implementation tools	$\boxtimes$
	Purchase of technical equipment for implementation, monitoring or enforcement	$\boxtimes$
	Other (please specify):	

2.2.2b	During the period covered in this report budget for your:	t, was the	Increased	Stable	Decreased
]	Management Authority(ies)				
	Scientific Authority(ies)				
	Enforcement authorities				
2.2.2c	Have you been able to use international development funding assistance to inclevel of implementation of your		Yes	No	Not applicable
	Management Authority(ies)?				$\boxtimes$
	Scientific Authority(ies)?				$\boxtimes$
	Enforcement authorities?				
2.2.2d	What is the respective level of priority f the national level through the following		ng the effectiv	reness of CITES	implementation at
	Activity	High	Medium	Low	Not a Priority
	Hiring of more staff				
	Development of implementation tools		$\boxtimes$		
	Purchase of new technical equipment for implementation, monitoring or enforcement				
	e-permitting				
	Other (please specify):		$\boxtimes$		
2.2.2e	Do you have a operational system (e.g electronic database) for managing	1.	Yes	Under development	No
	Species information		$\boxtimes$		
	Trade information			$\boxtimes$	
<u> </u>	Non-detriment findings				
Indicator 2	mechanisms.  Does the Management Authority charge				Il that are applicable
	Administrative procedures				$\boxtimes$
	Issuance of CITES documents (e. the sea)		·	·	
	Shipment clearance (e.g. for the in of CITES-listed species)	mport, expo	ort, re-export,	or introduction fr	rom the sea
	Licensing or registration of operat	ions that pr	oduce CITES	species	
	Harvesting of CITES-listed specie	;s			$\boxtimes$
	<ul> <li>Use of CITES-listed species</li> </ul>				
	<ul> <li>Assignment of quotas for CITES-I</li> </ul>	isted specie	es		
	Other (please specify):				
2.2.3b	Is a fee schedule publicly available?		- · · · · · · · · · · · · · · · · · · ·		Yes ⊠ No □
	If 'Yes', please provide an internet link Established by subparagraph 79 of paragraph Federation.				
2.2.3c	Have revenues from fees been used t	for the imple	ementation of	CITES or wildlif	e conservation?
					Entirely
					Partly 🗌

Not at all

		Not relevant	
2.2.3d		Yes	No
	Do you raise funds for CITES management through charging user fees?		$\boxtimes$
	Do your fees recover the full economic cost of issuing permits?		$\boxtimes$
	Do you have case studies on charging or using fees?		$\boxtimes$
	If 'Yes' to any of the above, please provide brief details:		
	Do you use innovative financial mechanisms to raise funds for CITES implementation? If 'Yes', please provide brief details:		$\boxtimes$

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	Do you use incentive measures¹ such as those described in CoP14 Doc 14.32 to implement the
	Convention? YesNo
	Due diligence
	Compensatory mechanisms
	Certification
	Communal property rights
	Auctioning of quotas
	Cost recovery or environmental charges⊠ □
	Enforcement incentives
	If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to further information:
2.2.4b	Have incentives harmful to biodiversity been eliminated? Not at all ☐
	Very little□
	Somewhat⊠
	Completely

Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

**Objective 2.3** Sufficient resources are secured at the national and international levels to implement capacity-building programmes.

Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	you run during the period covered in this report?		Without assistance from the Secretariat		e Conducted or assisted by the Secretariat		
			N	lone 1 2-5			
		١		6-10 1-20 an 20			
	Please list the Resolutions or Decisions	involve	d:				
2.3.1b	What sorts of capacity building activities have taken place?						
2.3.1c	What capacity building needs do you ha	ave?	,				
	Please tick all boxes which apply to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	$\boxtimes$	$\boxtimes$		$\boxtimes$		
	Staff of Scientific Authority				$\boxtimes$		
	Staff of enforcement authorities						
	Traders / other user groups						
	NGOs						
	Public						
	Other (please specify)						

An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

#### GOAL 3CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.

Aichi Target 2 and Target 20.

Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes No Not applicable No information	
	If 'Yes', please provide brief details:		
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased Remained stable Decreased	

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?							information
	If 'Yes', please tick boxes to indicate type of assistance provided  Country(ies)	Species Management¹	Habitat Management²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)

Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

Objective 3.2 Awareness of the role and purpose of CITES is increased globally. Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's		Relevant User
	requirements by the wider public and relevant user groups?	Wider public	Groups
	- Press conferences		
	- Press releases		
	Newspaper articles, brochures, leaflets	$\boxtimes$	
	Television appearances		
	Radio appearances		
	- Presentations	$\boxtimes$	
	Public consultations / meetings		$\boxtimes$
	Market surveys		
	- Displays		
	Information at border crossing points		$\boxtimes$
	Telephone hotline	$\boxtimes$	$\boxtimes$
	Website(s) – if so please provide link(s)		$\boxtimes$
	Other (specify):		
	Please attach copies of any items or describe examples:		

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?					
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).  Target group	Daily	Weekly	Monthly	Less frequently	Not known
	Staff of Management Authority					
	Staff of Scientific Authority	$\boxtimes$				
	Staff of enforcement authorities					
3.2.2b	What has been your experience with using the	CITES w	vebsite?	Exc	ellent	
				Goo	d	$\boxtimes$
				Ave	rage	
				Poo	r	
				Very	/ Poor	
				No i	nformation	
	Any further comments on the CITES Website? which authorities find which functions/tools mos					untered,

#### Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

**Objective 3.3** Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) <sup>1</sup> to which your country is party?	Yes No No information	
	If 'Yes', please give a brief description: CBD, CMS, Ramsar		

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has yo contributed towards?	our country	
3.3.2b	In addition to 3.2.2a, how many national level projects has your count implemented which integrate CITES issues?	try	
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No
	Agencies for development?	$\boxtimes$	
	Agencies for trade?		
	Provincial, state or territorial authorities?	$\boxtimes$	
	Local authorities or communities?	$\boxtimes$	
	Indigenous or local peoples?	$\boxtimes$	
	Trade or other private sector associations?	$\boxtimes$	
	NGOs?	$\boxtimes$	
	Other (please specify)		
3.3.2d	Are CITES requirements integrated into?	Yes	No
	National and local development strategies?	$\boxtimes$	
	National and local poverty reduction strategies?		
	Planning processes?	$\boxtimes$	
	National accounting?		

CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3.3.3:	The number of Parties cooperating / collaborating with intergovernmental and non-
	governmental organizations to participate in and/or fund CITES workshops and other training
	and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	W/ IIOIII.	Tick ii applicable	Organizations:
	Inter-governmental organizations?		
	Non-governmental organizations?		

### Objective 3.4

The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions.

Aichi Target 1, Target 2, Target 3, Target 4, Target 5, Target 6, Target 7, Target 12, Target 14, Target 17, Target 18 and Target 19.

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the				
	CITES Appendices has stabilized or improved?	Yes	No	Not Applicable	
	Appendix I	$\boxtimes$			
	Appendix II	$\boxtimes$			
	Appendix III	$\boxtimes$			
	If there are such studies that you are willing to share, please provide	de:			
	Species name (scientific) Link to the data, or a brief summar	у			
	Saiga (Saiga tatarica)				
	Musk deer (Moschus moschiferus)				
	Amur tiger (Panthera tigris altaica)				
3.4.1b	Do you have examples of specific examples of success stories or	r Yes			
	emerging problems with any CITES listed species?	No			
		No	informatio	on 🖂	
	If 'Yes', please provide details:				

### Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes No No information	
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes No No information	

Objective 3.5		Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited.  Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.							
Indicator 3.	5.1:	The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.							
3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade?  If 'Yes', please provide details: Polar bear (Ursus maritimus) and its derivatives are prohibited for export and import							Yes \Boxed \Boxen \Boxed \Boxed \Boxed \Boxed \Boxed \Boxed \Boxed \Boxed \Boxe	
Indicator 3.	5.2:	The number of times other relenatural resources are consulted							
3.5.2a	that agre by C Mana Scien	rage number of times per year international organizations or eements have been consulted CITES Authorities agement Authority(ies) ntific Authority(ies) rcement Authority(ies)	esulo	edback	□	☐ ☐ More than 20 times	□ □ No consultation	Optional comment about which organizations and issues consulted on validation of permits, confirmation of import	
Please provide any additional comments you would like to make, including comments on this format.									
		Item							
Web link(s)							osedavailableiously provided		
Please list	any r	naterials annexed to the report, e	.g. fee s	chedule	es, awa	reness	raisi	ng materials, etc:	
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?  If 'Yes', please describe the constraint and the type of attention or assistated documents							Yes   No   No Information   ance that is required. falsification of		
Are there examples of good practice you would like to share with other Parties?							Yes		
I It 'Yes' nle	ase ni	rovide details / links·							

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.

How could this report format be improved?