The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: <u>info@cites.org</u> Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	Canada
Period covered in this report	2018-2020
Department or agency preparing this report	Environment and Climate Change Canada
Contributing departments, agencies and organizations	Fisheries and Oceans Canada, Natural Resources Canada, Global Affairs Canada

GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1Parties comply with their obligations under the Convention through appropriate policies,
legislation and procedures.
All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12,
Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes No X If 'Yes', have you shared information with the Secretariat? Yes No Not Applicable I If 'No', please provide details to the Secretariat with this report:
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes □ No ⊠ If 'No', please provide details of the constraints faced: The list of CITES protected species is written directly in our domestic legislation. This list is updated after each CoP according to a structured Canadian process for making regulatory amendments. Some preliminary work on the regulatory amendments can be started based on CoP proposals and based on CoP decisions; however it is not possible to finalize the regulatory amendments until the final Appendices are published after the CoP. With all of these factors combined, it is not possible to finalize the regulatory amendments within the 90-day timeline.

- **Objective 1.2** Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens. Aichi Target 3.
- Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	\boxtimes		
	Are the procedures publicly available?	\boxtimes		
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?	\boxtimes		
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries			
	Electronic permit information exchange to Management Authorities of all countries?		\boxtimes	

Electronic permit data exchange between Management Authorities and customs?		\boxtimes	
Electronic permit used to cross border with electronic validation by customs?			
If 'Yes' to any of the above, please provide information on challen	ges faced	or issues	overcome:
Our current electronic permit and data management system is on end of life platform, replacement is required. Support is no longer available for system updates and catastrophic system failures are a constant fear. The system is also on our intranet, which is challenging to access remotely due to limited bandwidth and security protocols.			
limited bandwidth and security protocols.			
limited bandwidth and security protocols. If 'No', do you have any plans to move towards e-permitting ¹ ?			
~ -		t help you	to do so:

Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in <u>Resolution</u> <u>Conf. 12.3 (Rev. CoP16)</u>.

1.2.2a	Has your country developed simplified procedures for any of the following?			
		Tick all applicable		
		Yes	No	No information
	Where biological samples of the type and size specified in Annex 4 of <u>Resolution Conf. 12.3</u> (Rev. CoP16) are urgently required.			
	For the issuance of pre-Convention certificates or equivalent documents in accordance with <u>Article VII</u> , paragraph 2.		\boxtimes	
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.	\boxtimes		
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.		\boxtimes	
	Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details: Simplified permitting processes are used for commercial and personal American ginseng exports when the ginseng is obtained from certified growers. As well, simplified permitting			
	processes are used for artificially propagated plants from approved greenhouses/nurseries. Simplified procedures have been in place for many years for biomedical samples shipped from universities and research companies for medical research.			

¹ e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

Objective 1.3 Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties. All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.

Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.

1.3.1a	Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see [link to location on the CITES website where the reporting requirements are listed])?					
	Responses provided to ALL relevant reporting requirements 🖂 Responses provided to SOME of the relevant reporting requirements 🗌					
	Responses provided to SOME of the relevant reporting requirements No special reporting requirements applicable					
1.3.1b	Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes Ves Ves					
	If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?					

Objective 1.4 The Appendices correctly reflect the conservation needs of species. Aichi Target 1, Target12, Target 14 and Target 19.

1.4.1: The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

1.4.1a	Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices?	Yes 🗌 No 🖂
	If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):	

Objective 1.5 Best available scientific information is the basis for non-detriment findings. Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.

- Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:
 - a) the population status of Appendix-II species;
 - b) the trends and impact of trade upon Appendix-II species; and
 - c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to:	Yes	No	Not Applicable	If Yes, How many?
	- the population status of Appendix II species?	\boxtimes			
	 the trends and impact of trade on Appendix II species? 	\boxtimes			
	 the status of and trend in naturally-occurring Appendix I species? 	\boxtimes			
	 the impact of any recovery plans on Appendix I species? 	\boxtimes			
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?				

	If there are such studies that you are willing to share, please provide:				
	Canada has a national process to assess the conservation status of Canadian species on an ongoing basis. Information on the assessment, listing and recovery of Canadian species is available in Canada's Species at Risk Public Registry (<u>https://www.canada.ca/en/environment-climate-change/services/species-risk-public-registry.html</u>). Subnational jurisdictions also conduct assessments of conservation status of species, routine monitoring, and research of species. This work is into their management regimes for species.				
	Species name (scientific)	A brief summary of the results of the survey, stud or other analysis (e.g. population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.			
1.5.1b	How are the results of such surveys,	studies or other analyses used in makin	g non-detrim	nent	
	findings (NDFs)? Please tick all t		-		
			Banning		
		Stricter d	omestic mea		
		Changed managen	nent of the sp	becies 🖂	
		Discussion with Mana	-		
		Discussion with o			
		Other (please provide a sho	rt summary):		
	The CITES Scientific Authority of Car species and incorporates this information of the species and incorporates the species and species an	nada keeps informed of the work on Car ation into NDFs as needed.	nadian CITES	S listed	
1.5.1c	Do you have specific conservation m				
	plans for naturally occurring Appendi	x-I listed species? No		\boxtimes	
		Not Applicable			
	If 'Yes', please provide a brief summa impact:	No information ary, including, if possible, an evaluation c	of their		
	Canada does not have specific measures/plans for Appendix-I listed species. Conservation measures and recovery plans are undertaken independent of CITES listings, but take into account the CITES listing and any threats from trade. Canada's conservation measures and recovery plans are based on the status of species in Canada not on their listing in CITES. See also response for 1.5.1a. Some of these species are CITES listed.				
1.5.1d	Have you published any non-detrime If 'Yes', please provide links or exam	ent findings that can be shared? Yes 🖂 I ples to the Secretariat within this report:	No 🗌		
1.5.1d	If 'Yes', please provide links or exam Canada has published Standing NDF	ples to the Secretariat within this report: F reports for our most frequently exporten ada.ca/en/environment-climate-change/	d species. Tl		
1.5.1d 1.5.1e	If 'Yes', please provide links or example Canada has published Standing NDF publicly available at: <u>https://www.can</u>	ples to the Secretariat within this report: F reports for our most frequently exportent ada.ca/en/environment-climate-change/sizes/non-detriment-findings.html agraph a) x) of <u>Resolution Conf. 16.7</u>)	d species. Tl		
	If 'Yes', please provide links or example Canada has published Standing NDF publicly available at: <u>https://www.can international-trade-endangered-spec</u> Which of the following (A to F of para	ples to the Secretariat within this report: Freports for our most frequently exporte ada.ca/en/environment-climate-change/s ies/non-detriment-findings.html agraph a) x) of <u>Resolution Conf. 16.7</u>) findings?	d species. Tl services/con	vention-	
	If 'Yes', please provide links or example Canada has published Standing NDF publicly available at: <u>https://www.can international-trade-endangered-spec</u> Which of the following (A to F of para do you use in making non-detriment A. relevant scientific literature concer	ples to the Secretariat within this report: F reports for our most frequently exporter ada.ca/en/environment-climate-change/ ies/non-detriment-findings.html agraph a) x) of <u>Resolution Conf. 16.7</u>) findings? ming species biology, life history,	d species. Tl <u>services/con</u> Yes	vention-	
	If 'Yes', please provide links or example Canada has published Standing NDF publicly available at: <u>https://www.can international-trade-endangered-spec</u> Which of the following (A to F of para do you use in making non-detriment A. relevant scientific literature concer distribution and population trends.	ples to the Secretariat within this report: F reports for our most frequently exportendad.ca/en/environment-climate-change/sies/non-detriment-findings.html agraph a) x) of <u>Resolution Conf. 16.7</u>) findings? ming species biology, life history, ssments conducted.	d species. Ti services/con Yes	vention-	

D. relevant knowledge and expertise of local and indigenous communities.	\boxtimes	
E. consultations with relevant local, regional and international experts.	\boxtimes	
F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.		

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a			Yes	No	No information
	Do you have standard procedures for making non-detrime findings in line with <u>Resolution Conf. 16.7</u> ?	nt	\boxtimes		
	If 'Yes', please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:				
	Canada's Standing NDF reports are based on IUCN guida published by Rosser and Haywood, 2002. We also refer to CITES Non-detriment Findings Guidance for Perennial Pla (https://www.bfn.de/en/activities/species-conservation/species- conventions/cites/perennial-plants.html). We also have pro and other federal departments for making NDFs.	o other availa ants <u>cies-conserv</u> a	ble gui <u>ation-le</u>	dance egislati	such as the on-and-
1.5.2b	When establishing non-detriment findings, have any of the guidance been used?	following	Plea	se tick	all that apply
	Viri	tual College			
		N Checklist			\square
		n Conf. 16.7			\boxtimes
		F workshop			\square
	Species specif	•			\boxtimes
		Other			
	If 'Other' or 'Species specific guidance', please specify det	ails:			
1.5.2c	How often do you review and/or change your non-	Case by	/ case		
	detriment findings?	Annually	y		
		Every tv	vo yeai	rs	
		Less fre	• •	·	
		A mix of	the ab	ove	\boxtimes
	Please describe the circumstances under which non-detrin	ment findings	would	be cha	anged:
	NDFs are done on a case-by-case basis for permits. We u there is a change in the species status or management reg		anding	NDF r	eports when

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	Do you set annual export quotas?	Yes	
		No	\square
	If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:		Other,
		Population	please
	Species Name (scientific)	Survey?	specify
1.5.3b	Have annual export quotas been set at levels which will	Yes	
	ensure sustainable production and consumption?	No	
	If 'Yes', please describe how this fits into your non-detriment	t finding process:	

Objective 1.6		Parties cooperate in managing shared wildlife resources. Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.
Indicator 1.	.6.1:	The number of bilateral and multilateral agreements that specifically provide for co- management of shared CITES listed species by range States.
1.6.1a	agre If 'Ye cour Cana -1973 Cana -1916 <u>clima</u> - <i>Mer</i> <i>of the</i> <i>Unite</i> - <i>Mer</i> <i>and t</i> (Cana - <i>Mer</i> <i>and t</i>	our country a signatory to any bilateral and/or multilateral sements for co-management of shared species?Yes ⊠ No □ ies', please provide brief details, including the names of the agreements, and which other ntries are involved: ada is a signatory to many agreements, including: 3 Agreement on the Conservation of Polar bears (USA, Norway, Russia, Greenland and ada). <u>https://polarbearagreement.org/</u> 5 Migratory Birds Convention (Canada and USA) <u>https://www.canada.ca/en/environment- tte-change/services/migratory-birds-legal-protection/convention-act.html morandum of Understanding Between Environment Canada and the United States Department te Interior for the Conservation and Management of Shared Polar Bear Populations (Canada and ad States) morandum of Understanding between the Government of Canada, the Government of Nunavut, he Government of Greenland for the Conservation and Management of Polar Bear Populations ada, Nunavut and Greenland) rs, under "Biodiversity or ecosystems": <u>https://www.canada.ca/en/environment-climate- ge/corporate/international-affairs/partnerships-organizations/participation-international- conmental-agreements.html#X-201501201053019</u></u>

Indicator 1.6.2: The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

1.6.2a	Do you have any cooperativ in place for shared populatio	e management plans, including recovery plans, ons of CITES-listed species?	Yes 🛛 No 🗌
	There are many. Refer to the	e list under 1.6.1a.	
	If 'Yes', please list the specie to a published plan for each	es for which these plans are in place and provide a l species.	ink or reference
	Species Name (scientific)	Link or reference to a published plan	

Indicator 1.6.3: The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

1.6.3a	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?
	Yes, the Commission for Environmental Cooperation provided funding for a collaborative project with Canada, Mexico and the United States to strengthen conservation and trade of North American species listed on CITES Appendix II.

	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources ¹ ?
	Staff of Management Authority	Π				П	
	Staff of Scientific Authority						
	Staff of enforcement authorities		\square				
	Traders						
	NGOs						
	Public						
	Other (please specify):						
1.6.3b	Have the CITES authorities been the other range States?	provide	rs of any	/ of the f	ollowi	ng ca	pacity-building activities to
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Target group	Ora adv	Tecl assi	Fina assi	Trai	Oth	Details
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other Parties/International meetings						
	Other (please specify)						
1.6.3c	In what ways do you collaborate with	other C	ITES P	arties?			
		Never	Rarely	Sometimes	Very Often	Alwavs	, Further detail / examples
	Information exchange				\boxtimes]
	Monitoring / survey			\square]
	Habitat management]
	Species management]
	Law enforcement				\boxtimes]
	Capacity building]
	Other (please provide details)						

Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade.

Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.

¹ Please provide the names of Parties, and any non-Parties, involved.

Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:

- an international enforcement strategy and/or action plan;
- formal international cooperation, such as an international enforcement network;
- a national enforcement strategy and/or action plan; and
- formal national interagency cooperation, such as a national interagency enforcement committee.

1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No	No Information
	– an international enforcement strategy and/or action plan?			\square
	– formal international cooperation, such as an international enforcement network?			\boxtimes
	– a national enforcement strategy and/or action plan?			\square
	 formal national interagency cooperation, such as a national interagency enforcement committee? 			\boxtimes
	If 'Yes' to any of the above, please specify the level of engagement details:	and prov	ide additio	onal

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?	Yes No, but review is under consideration No No information	$\boxtimes \Box$
	If 'Yes', what do you do?		
	If 'Yes' or 'No, but review is under consideration', which tools do yo	ou find of value?	
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	Yes Image: Consideration No Image: Consideration No Image: Consideration No Image: Consideration	r
	If 'Yes', please provide feedback on the parts of the toolkit used ar equivalent tools have been. Please specify improvements that cou If 'No', please provide feedback on why not or what is needed to n	uld be made:	t
	tools useful to you:	,	

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime? If 'Yes', please provide the title of the legislation and a summary of the penalties available	Yes No No information	
1.7.3b	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime ¹ in your country?	Yes No	

The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

				No informatio	n 🛛		
	If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes:						
1.7.3c	Do you have capacity to use forensic technology ¹ to investigation of CITES offences?	Yes No No informatio	n 🛛				
	If 'Yes', please provide a brief summary of any sample collected and submitted to an appropriate forensic ar another country) during the period covered in this rep	nalysis					
	If 'Yes', and your country has an appropriate forensic please indicate which species it applies to:	analys	is facil	ity for CITES-li	sted species,		
1.7.3d	d Did your authorities participate in or initiate any multi-disciplinary2 Yes Image: Control of the second s						
	If 'Yes', please provide a brief summary, including any other Parties:	y lesso	ns lear	ned which mig	ht be helpful for		
1.7.3e	Do you have a standard operating procedure among agencies for submitting information related to CITES INTERPOL and/or the World Customs Organization?	offence		Yes No No informatio	n 🛛		
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?		
	General crime ³			\square			
	Predicate offences ⁴			\square			
	Asset forfeiture ⁵			\square			
	Corruption ⁶			\square			
	International cooperation in criminal matters ⁷			\boxtimes			
	Organized crime ⁸			\boxtimes			
	Specialized investigation techniques9			\square			
	If 'Yes' to any of the above, please explain how each is used for CITES offences? Please provide a						

¹ Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

² A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

³ General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

⁴ Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

⁵ Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

⁶ Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

⁷ International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

⁸ Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

⁹ Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

ĺ		brief summary, including any lessons learned which might be helpful for other Parties:				
	1.7.3g	Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences?	Yes No No information	$\square \square \boxtimes$		
		If 'No', please provide a brief summary of your major capacity-building needs:				

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITESlisted species.

. <i></i>		· · ·	
1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always	Ц
		Very often	
		Sometimes	
		Rarely	
		Never	
		No information	\boxtimes
1.7.4b	Do you have capacity to analyse information gathered on illegal	Yes	
	trade in CITES-listed species?	No	
		No information	\boxtimes
1.7.4c	Do you use criminal intelligence ¹ to inform investigations into	Always	
	illegal trade in CITES-listed species?	Very often	
		Sometimes	
		Rarely	
		Never	
		No information	\boxtimes
1.74d	Have you implemented any supply-side activities to address illegal	Yes	
	trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	\boxtimes
1.7.4e	Have you implemented any demand-side activities to address	Yes	
	illegal trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	\boxtimes

¹ Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the	e period covered in this report:	Yes	No	No Information		
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?			\boxtimes		
	If 'Yes', please indicate how many and for what types of offenc details:	es. If avai	lable, pleas	e attach		
1.7.5b	Have there been any criminal prosecutions of CITES-related offences?			\boxtimes		
	If 'Yes', how many and for what types of offences? If available,	please at	tach details	:		
1.7.5c	Have there been any other court actions against CITES- related offences?			\boxtimes		
	If 'Yes', what were the offences involved and what were the result	s? Please	attach detai	ls:		
1.7.5d	How were any confiscated specimens disposed of?		Tick	all that apply		
	 Return to country of export 					
	 Public zoos or botanical gardens 					
	 Designated rescue centres 					
	 Approved private facilities 					
	– Euthanasia					
	 Other (please specify): 					
	Have you encountered any challenges in disposing of confiscated specimens?					
	Do you have good practice that you would like to share with other Parties?					

Objective 1.8 Parties and the Secretariat have adequate capacity-building programmes in place. Aichi Target 1, Target 12 and Target 19.

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: Yes The making of non-detriment findings? 🛛 🗌 Permit officers? 🔹 🔲 Enforcement officers? 🔄 🗌	No	
1.8.1b	Is the CITES Virtual College used as part of your capacity building work? What improvements could be made in using the Virtual College for capacity building?	Yes No No information	
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes No No information	

GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

Objective 2.1 Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention. Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	Do you have an approved service standard(s) ¹ for your Management Authority(ies)? If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards? Service standards apply for the issuance of 3 categories of permits		Yes No	
	 Hunting Trophy Export (21 calendar days), Polar bear specimen Export (70 calendar days) and All other permit types (35 calendar days). 			
	If 'Yes', do you have performance targets for these standards ² ? If 'Yes', what are your performance targets?		Yes No	\square
	See response in 2.2.1a, our service standard is to be met for 90% of requests.			
	Do you publish your performance against service standard targets? If possible, please provide your performance against service		Yes No	\square
	standards during the period covered in this report:			
	https://www.canada.ca/en/environment-climate-change/services/convention- international-trade-endangered-species/permits/service-standards-performance- targets.html			
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No
	– availability of funding?			\boxtimes
	 number of staff? a shortage of skills? 	\square		\square
	If 'Yes' to a shortage of skills, which skills do you need more of?			
2.2.1b	Do you have an approved service standard(s) ⁴⁷ for your Scientific Authority(ies)? If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards?		Yes No	
	If 'Yes', do you have performance targets for these standards ⁴⁸ ? If 'Yes', what are your performance targets?		Yes No	

¹ For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

² For example, 85% of all decisions will take place within the service standard.

	standards dur If you did not a result of: – availability – number of – a shortage		Yes		≥ 0	
2.2.1c	enforcement a If 'No', please	an approved service standa authority(ies)? go to Question 2.2.1d. ich services are there stand			Yes No	\boxtimes
	If 'Yes', do you If 'Yes', what a If possible, ple standards dur		Yes No			
	If you did not a result of:	meet your performance targ	gets then was this shortfall	Yes		No
		of funding?				
	 number of 	-				
	 a shortage 	of skills?				
	lf 'Yes' to a sh	ortage of skills, which skills	do you need more of?			
2.2.1d			r answered 'No' to the first pa e of approved service standa			
	Do you have s	sufficient of the following for	your authorities to function e	effectively?		
		Management Authority(ies)	Scientific Authority(ies)	Enforce Authorit		
	Funding?	Yes 🗌 No 🔀	Yes 🛛 No 🗌	Yes 🗌	No 🖂	
	Staff?	Yes 🗌 No 🖂	Yes 🛛 No 🗌	Yes 🗌	No 🖂	
	Skills?	Yes 🛛 No 🗌	Yes 🛛 No 🗌	Yes 🛛	No 🗌	

The number of Parties that have undertaken one or more of the following activities:
changed the budget for activities;
hired more staff;
developed implementation tools;
purchased technical equipment for implementation, monitoring or enforcement. Indicator 2.2.2:

2.2.2a	Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES	
	implementation at the national level?	Tick if applicable
	Hiring of more staff	
	Development of implementation tools	
	Purchase of technical equipment for implementation, monitoring or enforcement	
	Other (please specify):	

2.2.2b	During the period covered in this report, was the budget for your:		Increased	Stable	Decreased
	Management Authority(ies)			\boxtimes	
	Scientific Authority(ies)			\boxtimes	
	Enforcement authorities				
2.2.2c	Have you been able to use internationa development funding assistance to inc level of implementation of your	evelopment funding assistance to increase the		No	Not applicable
	Management Authority(ies)?				\square
	Scientific Authority(ies)?				\boxtimes
	Enforcement authorities?				\square
2.2.2d	What is the respective level of priority f the national level through the following		ng the effectiv	veness of CITES	implementation at
	Activity	High	Medium	Low	Not a Priority
	Hiring of more staff		\square		
	Development of implementation tools		\boxtimes		
	Purchase of new technical equipment for implementation, monitoring or enforcement		\boxtimes		
	e-permitting				
	Other (please specify):		\boxtimes		
2.2.2e	2.2e Do you have a operational system (e.g. electronic database) for managing		Yes	Under development	No
	Species information		\boxtimes		
	Trade information		\boxtimes		
	Non-detriment findings		\boxtimes		

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for:	Tick all that are appli	cable
	 Administrative procedures 		
	 Issuance of CITES documents (e.g. for import, exports, re-export, or the sea) 	or introduction from	
	 Shipment clearance (e.g. for the import, export, re-export, or introduced of CITES-listed species) 	uction from the sea	
	- Licensing or registration of operations that produce CITES species		
	 Harvesting of CITES-listed species 		
	 Use of CITES-listed species 		
	 Assignment of quotas for CITES-listed species 		
	 Other (please specify): 		
2.2.3b	Is a fee schedule publicly available?	Yes 🗌 N	o 🗌
	If 'Yes', please provide an internet link, or a copy of the schedule to the	Secretariat:	
			-
2.2.3c	Have revenues from fees been used for the implementation of CITES of	or wildlife conservation	?
		Entirely	
		Partly	
		Not at all	
		Not relevant	\boxtimes

2.2.3d		Yes	No
	Do you raise funds for CITES management through charging user fees?		\boxtimes
	Do your fees recover the full economic cost of issuing permits?		\boxtimes
	Do you have case studies on charging or using fees?		\boxtimes
	If 'Yes' to any of the above, please provide brief details:		
	Do you use innovative financial mechanisms to raise funds for CITES implementation? If 'Yes', please provide brief details:		\boxtimes

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	Do you use incentive measures ¹ such as those described in CoP14 Doc 14.32 to implement the					
	Convention?	YesNo				
	Due diligence					
	Compensatory mechanisms					
	Certification					
	Communal property rights					
	Auctioning of quotas					
	Cost recovery or environmental	charges 🗌 🖂				
	Enforcement incentives					
	If 'Yes' to any of the above, or if you use of further information:	ther measures, please provide a summary or link to				
2.2.4b	Have incentives harmful to biodiversity be	en eliminated? Not at all				
	Very little					
	Somewhat					
	Completely					
	Not applicable					

¹ Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

Objective 2.3 Sufficient resources are secured at the national and international levels to implement capacitybuilding programmes. Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	you run during the period covered in this report? fi			Withou from th Secreta	е	stance Conducted or assisted by the Secretariat		
			Ν	lone			\boxtimes	
				1				
				2-5				
				6-10 1-20				
		N	ı More tha	-				
	Please list the Resolutions or Decisions							
2.3.1b	What sorts of capacity building activities have taken place?							
2.3.1c	What capacity building needs do you ha	ave?						
	Please tick all boxes which apply to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details	
	Staff of Management Authority							
	Staff of Scientific Authority							
	Staff of enforcement authorities							
	Traders / other user groups							
	NGOs							
	Public							
	Other (please specify)							

¹ An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

GOAL 3CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

- **Objective 3.1** Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities. Aichi Target 2 and Target 20.
- Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements? If 'Yes', please provide brief details:	Yes No Not applicable No information	
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased Remained stable Decreased	

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?						Yes No No i	nformation
	If 'Yes', please tick boxes to indicate type of assistance provided Country(ies)	Species Management ¹	Habitat Management²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)

¹ Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

² Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

Objective 3.2 Awareness of the role and purpose of CITES is increased globally. Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's		Relevant User
	requirements by the wider public and relevant user groups?	Wider public	Groups
	 Press conferences 		
	 Press releases 		
	 Newspaper articles, brochures, leaflets 		
	 Television appearances 		
	 Radio appearances 		
	- Presentations		\boxtimes
	 Public consultations / meetings 	\boxtimes	\boxtimes
	 Market surveys 		
	– Displays	\boxtimes	
	 Information at border crossing points 	\boxtimes	
	 Telephone hotline 	\boxtimes	
	 Website(s) – if so please provide link(s) 	\boxtimes	
	https://www.canada.ca/en/services/environment/wildlife-plants- species/international-trade-protected-animals-plants.html		
	- Other (specify):		
	Please attach copies of any items or describe examples:		

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?						
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary). Target group	Daily	Weekly	Monthly	Less frequently	Vot known	
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities	\boxtimes					
3.2.2b	What has been your experience with using the CITES website? Excellent Good Average Poor Very Poor No information No						
	Any further comments on the CITES Website? which authorities find which functions/tools mos					untered,	

Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

Objective 3.3 Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) ¹ to which your country is party?	Yes No No information			
	If 'Yes', please give a brief description: Ongoing communication with colleagues as required.				

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?				
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?				
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No		
	Agencies for development?				
	Agencies for trade?				
	Provincial, state or territorial authorities?	\square			
	Local authorities or communities?				
	Indigenous or local peoples?	\square			
	Trade or other private sector associations?	\square			
	NGOs?	\square			
	Other (please specify)				
3.3.2d	Are CITES requirements integrated into?	Yes	No		
	National and local development strategies?				
	National and local poverty reduction strategies?				
	Planning processes?	\square			
	National accounting?	\square			

¹ CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and nongovernmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?		
	Non-governmental organizations?		

Objective 3.4 The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions. Aichi Target 1, Target 2, Target 3, Target 4, Target 5, Target 6, Target 7, Target 12, Target 14, Target 17, Target 18 and Target 19.

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the					
	CITES Appendices has stabilized or improved? Yes	s No	Not Applicable			
	Appendix I	\boxtimes				
	Appendix II	\boxtimes				
	Appendix III	\boxtimes				
	If there are such studies that you are willing to share, please provide:					
	Species name (scientific) Link to the data, or a brief summary					
3.4.1b	Do you have examples of specific examples of success stories or	Yes				
	emerging problems with any CITES listed species?	No				
	If Was' places provide details	No informati	ion 🖂			
	If 'Yes', please provide details:					

Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes No	
	In Canada, the Canadian Biodiversity Strategy is Canada's NBSAP . This strategy was developed to identify the measures which are required to meet Canada's obligations under the Convention on Biological Diversity and to enhance coordination of national efforts aimed at the conservation and sustainable use of biological resources. Canada's biodiversity strategy includes a goal of conservation and sustainable use of all flora and fauna, including those subject to CITES controls.	No information	

3.4	4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes No No information	
		Not applicable to Canada		

- **Objective 3.5** Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited. Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.
- Indicator 3.5.1: The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details: See 1.6.1	Yes No No information	
--------	---	-----------------------------	--

Indicator 3.5.2: The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
	Management Authority(ies)						Nationally, we work to ensure coherence in meeting our obligations for wild resources in all fora for which Canada is a Party
	Scientific Authority(ies)						Nationally, we work to ensure coherence in meeting our obligations for wild resources in all fora for which Canada is a Party
	Enforcement Authority(ies)						

General feedback

Please provide any additional comments you would like to make, including comments on this format.

Item		
Copy of full text of CITES-relevant legislation if changed	Enclosed	
Web link(s)	Not available	
	Previously provided	\boxtimes
Please list any materials annexed to the report, e.g. fee schedules	, awareness raising materials	s, etc:
Have any constraints to implementation of the Convention arisen i	in Yes	
your country requiring attention or assistance?	No	\bowtie
	No Information	
If 'Yes', please describe the constraint and the type of attention or	assistance that is required.	
Are there examples of good practice you would like to share with o	other Yes	
Parties?	No	\boxtimes
	No Information	
If 'Yes' please provide details / links:		

How could this report format be improved? easier to complete the form.

Online access and submission of information would be

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.