### Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

#### **CITES** vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: info@cites.org

Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	Cabo Verde
Period covered in this report	2018-2020
Department or agency preparing this report	National Directorate for the Environment
Contributing departments, agencies and organizations	

# GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.

All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report?  Yes X No  If 'Yes', have you shared information with the Secretariat? Yes  No X Not Applicable  If 'No', please provide details to the Secretariat with this report: Insertion of CITES legislation, in Regulatory Decree No. 7/2002, December 30, which establishes measures to protect species of flora and fauna threatened with extinction			
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)?  Yes No X  If 'No', please provide details of the constraints faced:  As a rule, the process exceeds 90			
	days, due to the different stages that precede the publication of the law			

Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.

Aichi Target 3.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	x		
	Are the procedures publicly available?	X		
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?	X		
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries		x	
	Electronic permit information exchange to Management Authorities of all countries?		X	
	Electronic permit data exchange between Management Authorities and customs?		X	

1			T		·•	
	Electronic p	permit used to cross border with electronic validation ?		X		
	If 'Yes' to a	ny of the above, please provide information on challen	ges faced	or issues	overcome:	
	If 'No', do y	ou have any plans to move towards e-permitting¹?			X	
	If you are p	planning to move towards e-permitting, please explain	what migh	t help you	ı to do so:	
ndicator <sup>*</sup>		e number of Parties making use of the simplified pronf. 12.3 (Rev. CoP16).	ocedures p	provided f	or in <u>Resolution</u>	
1.2.2a	Has your c	ountry developed simplified procedures for any of the f	•			
			T	ick all ap		
			Yes	No	No information	
		ogical samples of the type and size specified in Resolution Conf. 12.3 (Rev. CoP16) are urgently		X		
		uance of pre-Convention certificates or equivalent in accordance with Article VII, paragraph 2.		X		
		uance of certificates of captive breeding or artificial n in accordance with Article VII, paragraph 5.		X		
	accordance	uance of export permits or re-export certificates in e with Article IV for specimens referred to in paragraph 4.		x		
	merit the u	other cases judged by a Management Authority to see of simplified procedures? ase provide details:		X		
Objective	the All	Dementation of the Convention at the national level is of Conference of the Parties.  Aichi targets relevant to CITES, particularly Target 9, Target 10, 10, 10, 10, 10, 10, 10, 10, 10, 10,	arget 14 a	nd Target	18.	
ndicator '		e number of Parties that have implemented relevant cisions of the Conference of the Parties and/or Standing				
1.3.1a Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see [link to location on the CITES website where the reporting requirements are listed])?						
	Responses provided to ALL relevant reporting requirements  Responses provided to SOME of the relevant reporting requirements  Responses provided to NONE of the relevant reporting requirements  No special reporting requirements applicable					
1.3.1b		difficulties encountered during the period covered in the specific Resolutions or Decisions adopted by the control of the specific Resolutions or Decisions adopted by the specific Resolution Resolu	nis report i	n	Yes <b>X</b> No	
		ease provide details of which Resolution(s) or Decision being encountered?	(s), and, fo	or each, w	hat difficulties	

e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

	Conf. 18.6 Designation Scientific Authorities difficulty;	rities, tech	nical d	apacity and	financial	
	Res.12.6 (Cop 18) Conservation and management difficulty;	of shark, te	chnica	l capacity and	financial	
	Conf. 18.2 Establishment of Committees, lack of n	ational exp	ertise a	and financial d	ifficulty	
Objective	1.4 The Appendices correctly reflect the conservation Aichi Target 1, Target12, Target 14 and Target 19.	•	ecies.			
	The number and proportion of species that have been fou Conf. 9.24 or its successors. This includes both the period					
1.4.1a	Have you undertaken any reviews of whether species von the CITES Appendices?	would benefi	t from li		☐ No <b>X</b>	
	If 'Yes', please provide a summary here, or a link to the (or a copy of that report to the Secretariat if the work is			):		
Objective 1.5 Best available scientific information is the basis for non-detriment findings.  Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.  Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:  a) the population status of Appendix-II species;  b) the trends and impact of trade upon Appendix-II species; and  c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.						
1.5.1a	Have any surveys, studies or other analyses been undertaken in your country in relation to:	Yes	No	Not Applicable	If Yes, How many?	
	- the population status of Appendix II species?		X			
	- the trends and impact of trade on Appendix II species?			x		
	- the status of and trend in naturally-occurring Appendix I species?		X			
	- the impact of any recovery plans on Appendix I species?		X			
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?					

	If there are such studies that you are willing to share, please provide:					
	Species name (scientific)	A brief summary of the results of or other analysis (e.g. population stable / increase, off-take levels links to published reference management.)	on status, de s etc), or pro	cline /		
1.5.1b	How are the results of such surveys, studies findings (NDFs)? Please tick all that app		ıg non-detrir	ment		
		Revised harve				
			Banning			
			omestic me	_		
		Changed managen Discussion with Mana				
		Discussion with o	•			
	O	ther (please provide a short sumr		лаого		
1.5.1c	Do you have specific conservation measure			Х		
	plans for naturally occurring Appendix-I liste	d species? No				
		Not Applicable				
		No information				
	If 'Yes', please provide a brief summary, incl impact:	luding, if possible, an evaluation of	of their			
	National sea turtle conservation plan ar	nd legal regime, legislative dec	ree nº 1/20	018,		
	21st. May. Recovery of the population t		. •			
	protection and monitoring of beaches a species; significant reduction in hunting	_	`aretta care	etta		
	Cetacean conservation plan;					
	Coral management and conservation plant	an, plan approved but not imp	lemented.			
1.5.1d	Have you published any non-detriment findi If 'Yes', please provide links or examples to	<u> </u>	No <b>X</b>			
1.5.1e	Which of the following (A to F of paragraph a do you use in making non-detriment findings		Yes	No		
	A. relevant scientific literature concerning sp distribution and population trends.	pecies biology, life history,				
	B. details of any ecological risk assessment	s conducted.				
	C. scientific surveys conducted at harvest lo from harvest and other impacts.	ocations and at sites protected				
	D. relevant knowledge and expertise of loca	ll and indigenous communities.				
	E. consultations with relevant local, regional	and international experts.				
	F. national and international trade information the CITES trade database maintained by UI Monitoring Centre (UNEP-WCMC), publicat on trade and investigations of sales at mark example.	NEP World Conservation ions on trade, local knowledge				

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a					No information		
			Yes	No	inionnation	'	
	Do you have standard procedures for making non-detriment findings in line with <u>Resolution Conf. 16.7</u> ?			X			
	If 'Yes', please briefly describe your procedures for making no or attach as an annex to this report, or provide a link to where on the internet:			_	e found		
1.5.2b	When establishing non-detriment findings, have any of the following						
	guidance been used?	Ü	Plea	se tick	all that apply	,	
	Virtual	College					
	IUCN (	Checklist					
	Resolution Co	onf. 16.7					
	2008 NDF w	orkshop					
	Species specific g	guidance					
		Other					
	If 'Other' or 'Species specific guidance', please specify details	):					
1.5.2c	How often do you review and/or change your non-	Case by	case			]	
	detriment findings?	Annually				]	
		Every two	year	s		]	
		Less freq	uently	y		]	
		A mix of t	he ab	ove		]	
	Please describe the circumstances under which non-detrimer	nt findings v	would	be ch	anged:		

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	Do you set annual export quotas?	Yes	
		No	X
	If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:		Other,
	Species Name (scientific)	Population Survey?	please specify
1.5.3b	Have annual export quotas been set at levels which will ensure sustainable production and consumption?	Yes No	
	If 'Yes', please describe how this fits into your non-detriment		

Objective 1.6 Parties cooperate in managing shared wildlife resources.  Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.					d Target 19.		
Indicator 1.	6.1: The number of bilateral and management of shared CITES						pecifically provide for co-
1.6.1a	Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species?Yes ☐ No X If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:						
Indicator 1.	6.2: The number of cooperative man populations of CITES-listed spe		nt plans	, includir	ng red	cover	y plans, in place for shared
1.6.2a	Do you have any cooperative manag in place for shared populations of CIT				ecove	ery pla	ans, Yes ☐ No <b>X</b>
	If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species.  Species Name (scientific)  Link or reference to a published plan						
	6.3: The number of workshops and ot to address the conservation and r	manager	ment ne	eds of sl	hared	I, CITI	ES listed, species.
1.6.3a	Have the CITES authorities receive activities provided by external source		enefited	from ar	ny of	the fo	ollowing capacity-building
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources <sup>1</sup> ?
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other (please specify):						

Please provide the names of Parties, and any non-Parties, involved.

1.6.3b	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?							
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)		
	Target group	a o	as as	Fir	ř	ð	De	etails
	Staff of Management Authority							
	Staff of Scientific Authority							
	Staff of enforcement authorities							
	Traders							ļ
	NGOs							ļ
	Public							
	Other Parties/International meetings							ļ
	Other (please specify)							
1.6.3c	In what ways do you collaborate with	other C	JITES P	arties?	7		1	ļ
		Never	Rarely	Sometimes	Very Often	Always	Furth ex	ner detail / camples
j	Information exchange							
	Monitoring / survey							
	Habitat management							
	Species management							ļ
	Law enforcement							1
	Capacity building							
	Other (please provide details)						<u> </u>	
Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade.  Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.  Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:  — an international enforcement strategy and/or action plan;  — formal international cooperation, such as an international enforcement network;  — a national enforcement strategy and/or action plan; and  — formal national interagency cooperation, such as a national interagency enforcement committee.								
1.7.1a	Do you have, are you engaged in, or co					Yes	No	No Information
'	– an international enforcement strategy		······				X	
	formal international cooperation, such enforcement network?			onal			X	
'	- a national enforcement strategy and/o						X	
	– formal national interagency cooperation interagency enforcement committee?	on, such	as a na	ıtional			X	
	If 'Yes' to any of the above, please specify the level of engagement and provide additional details:							

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?	Yes No, but review is under	:r 📗
		No No information	X
	If 'Yes', what do you do?	NO IIIIOITTIAUOTI	Ш
	If 'Yes' or 'No, but review is under consideration', which tools do yo	ou find of value?	
1.7.2b	Have you used the International Consortium on Combating	Yes	]
	Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	No, but toolkit use is un consideration	der ]
		No X	-
		No information	]
	If 'Yes', please provide feedback on the parts of the toolkit used ar equivalent tools have been. Please specify improvements that cou		r
	If 'No', please provide feedback on why not or what is needed to n tools useful to you:	nake the toolkit or equival	ent
	The fact that we have not identified trade for a partic the need for it	cular species, we did no	t feel

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating,	Yes				
	prosecuting, and penalizing CITES offences as a crime?	No	X			
		No information				
	If 'Yes', please provide the title of the legislation and a summary of the penalties available					
1.7.3b	Are criminal offences such as poaching and wildlife trafficking	Yes				
	recognized as serious crime <sup>1</sup> in your country?	No	X			
		No information				
	If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes:					
1.7.3c	Do you have capacity to use forensic technology <sup>2</sup> to support the	Yes				
	investigation of CITES offences?	No	X			
		No information				
	If 'Yes', please provide a brief summary of any samples from CITES-listed species that were collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report:					
	If 'Yes', and your country has an appropriate forensic analysis facility for CITES-listed species, please indicate which species it applies to:					
1.7.3d	Did your authorities participate in or initiate any multi-disciplinary³ law enforcement operation(s) targeting CITES-listed species	Yes				

<sup>&</sup>lt;sup>1</sup> The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

<sup>&</sup>lt;sup>2</sup> Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

<sup>3</sup> A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

	during the period covered in this report?			No	X			
				No information	n 🗌			
	If 'Yes', please provide a brief summary, including any lessons learned which might be helpful for other Parties:							
1.7.3e	Do you have a standard operating procedure among		Yes					
	agencies for submitting information related to CITES	No	X					
	INTERPOL and/or the World Customs Organization?	World Customs Organization? No info						
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?			
	General crime <sup>1</sup>		Χ					
	Predicate offences <sup>2</sup>		Х					
	Asset forfeiture <sup>3</sup>		Х					
	Corruption <sup>4</sup>		Χ					
	International cooperation in criminal matters <sup>5</sup>		Χ					
	Organized crime <sup>6</sup>		X					
	Specialized investigation techniques <sup>7</sup>		X					
	If 'Yes' to any of the above, please explain how each brief summary, including any lessons learned which n	n how each is used for CITES offences? Please provide a ned which might be helpful for other Parties:						
1.7.3g	Do you have institutional capacity to implement the le provisions listed in question 1.7.3f against CITES offer.	ences?		Yes No No information	<b>X</b> □ □			
	If 'No', please provide a brief summary of your major	capaci	ty-build	ding needs:				
Indicator 1	1.7.4: The number of Parties using risk assessment a listed species.	and inte	elligend	ce to combat ille	gal trade in CITES-			
1.7.4a	Do you use risk assessment to target CITES enforcer	nent ef	fort?	Always Very often Sometimes Rarely Never No informatic	on X			
<u> </u>								

General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

<sup>&</sup>lt;sup>2</sup> Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

<sup>3</sup> Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

<sup>&</sup>lt;sup>5</sup> International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

1.7.4b	Do you have capacity to analyse information gathered on illegal	Yes	Χ
	trade in CITES-listed species?	No	
		No information	
1.7.4c	Do you use criminal intelligence <sup>1</sup> to inform investigations into	Always	
	illegal trade in CITES-listed species?	Very often	
		Sometimes	
		Rarely	
		Never	
		No information	X
1.74d	Have you implemented any supply-side activities to address illegal	Yes	
	trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	X
		No information	
1.7.4e	Have you implemented any demand-side activities to address	Yes	
	illegal trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	X
		No information	

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<sup>&</sup>lt;sup>1</sup> Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the	e period covered in this report:	Yes	No	No Information			
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?		X				
	If 'Yes', please indicate how many and for what types of offences. If available, please attach details:						
1.7.5b	Have there been any criminal prosecutions of CITES-related offences?	X					
	If 'Yes', how many and for what types of offences? If available,	please at	tach details	:			
1.7.5c	Have there been any other court actions against CITES-related offences?		Х				
	If 'Yes', what were the offences involved and what were the result	s? Please	attach detai	ls:			
1.7.5d	How were any confiscated specimens disposed of?	-	Tick	all that apply			
	<ul> <li>Return to country of export</li> </ul>						
	<ul> <li>Public zoos or botanical gardens</li> </ul>						
	Designated rescue centres						
	Approved private facilities						
	– Euthanasia						
	<ul><li>Other (please specify):</li></ul>						
	Have you encountered any challenges in disposing of confisca	ated specii	mens?				
	Do you have good practice that you would like to share with ot	her Partie	s?				

**Objective 1.8** Parties and the Secretariat have adequate capacity-building programmes in place. Aichi Target 1, Target 12 and Target 19.

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: Yes The making of non-detriment findings?   Permit officers?   X  Enforcement officers?   X	No	
1.8.1b	Is the CITES Virtual College used as part of your capacity building work?  What improvements could be made in using the Virtual College for capacity building?	Yes No No information	<b>X</b>
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes?  What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes No No information	Д <b>х</b>

# GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

**Objective 2.1** Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.

Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	Do you have an approved service standard(s) <sup>1</sup> for your		Yes	
2.2.1a	Management Authority(ies)?		No	X
	If 'No', please go to Question 2.2.1d.		110	^
	If 'Yes', for which services are there standards, and what are those standards?			
	If 'Yes', do you have performance targets for these standards <sup>2</sup> ?		Yes	
	If 'Yes', what are your performance targets?		No	
	Do you publish your performance against service standard targets?		Yes No	
	If possible, please provide your performance against service standards during the period covered in this report:			
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No
	– availability of funding?			
	– number of staff?			
	- a shortage of skills?			
	If 'Yes' to a shortage of skills, which skills do you need more of?			
2.2.1b	Do you have an approved service standard(s) <sup>47</sup> for your Scientific Authority(ies)?		Yes No	
	If 'No', please go to Question 2.2.1d.			_
	If 'Yes', for which services are there standards, and what are those standards?			
	If 'Yes', do you have performance targets for these standards <sup>48</sup> ?		Yes	
	If 'Yes', what are your performance targets?		No	
	If possible, please provide your performance against service standards during the period covered in this report:			
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No
	– availability of funding?			
	– number of staff?			
	– a shortage of skills?			
	If 'Yes' to a shortage of skills, which skills do you need more of?			
2.2.1c	Do you have an approved service standard(s) <sup>47</sup> for your enforcement authority(ies)?		Yes No	
	If 'No', please go to Question 2.2.1d.			
	If 'Yes', for which services are there standards, and what are those standards?			

For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

For example, 85% of all decisions will take place within the service standard.

	If 'Yes', do you	Yes			
	If 'Yes', what a	are your performance targ	ets?	No	
		ease provide your performing the period covered in			
	If you did not i a result of:	Yes	No		
	<ul> <li>availability</li> </ul>				
	<ul><li>number of</li></ul>				
	<ul><li>a shortage</li></ul>				
	If 'Yes' to a sh	ortage of skills, which skill	ls do you need more of?		
2.2.1d	•		our answered 'No' to the first pa ce of approved service standar	•	
	Do you have s	sufficient of the following for	or your authorities to function e	effectively?	
		Management Authority(ies)	Scientific Authority(ies)	Enforcement Authority(ies)	
	Funding?	Yes 🗌 No <b>X</b>	Yes 🗌 No <b>X</b>	Yes 🗌 No <b>X</b>	
	Staff?	Yes 🗌 No X	Yes 🗌 No <b>X</b>	Yes 🗌 No <b>X</b>	
	Skills?	Yes <b>X</b> No 🗌	Yes 🗌 No <b>X</b>	Yes 🗌 No <b>X</b>	
			•		······································

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities;hired more staff;

- developed implementation tools;
   purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	Have any of the following activities been undertak covered in this report to enhance the effectiveness implementation at the national level?	Tick if applicable					
	Hiring of more staff						
	Development of implementation tools						
	Purchase of technical equipment for implementati	<u> </u>					
	Other (please specify):						
2.2.2b	During the period covered in this report, was the budget for your:	Increased	Stable	Decreased			
	Management Authority(ies)						
	Scientific Authority(ies)						
	Enforcement authorities						
2.2.2c	Have you been able to use international development funding assistance to increase the level of implementation of your	Yes	No	Not applicable			
	Management Authority(ies)?	X					
	Scientific Authority(ies)?						
	Enforcement authorities?						

2.2.2d	What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities?							
	Activity	High	Medium	Low	Not a Priority			
	Hiring of more staff		X					
	Development of implementation tools	Χ						
	Purchase of new technical equipment for implementation, monitoring or enforcement		x					
	e-permitting			X				
	Other (please specify):							
2.2.2e	Do you have a operational system (e.g electronic database) for managing		Yes	Under development	No			
	Species information		X					
	Trade information				X			
	Non-detriment findings			X				

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for: Tick all that a	re appli	cable
	Administrative procedures		
	<ul> <li>Issuance of CITES documents (e.g. for import, exports, re-export, or introduction f the sea)</li> </ul>	rom	
	<ul> <li>Shipment clearance (e.g. for the import, export, re-export, or introduction from the of CITES-listed species)</li> </ul>	sea	
	Licensing or registration of operations that produce CITES species		
	Harvesting of CITES-listed species		
	Use of CITES-listed species		
	Assignment of quotas for CITES-listed species		
	Other (please specify):		
2.2.3b	Is a fee schedule publicly available?	∕es 🔲 ۱	lo X
	If 'Yes', please provide an internet link, or a copy of the schedule to the Secretariat:		
2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife conse	ervation	?
	E	intirely	
		Partly	
	No	t at all	X
	Not re	levant	
2.2.3d		Yes	No
	Do you raise funds for CITES management through charging user fees?		X
	Do your fees recover the full economic cost of issuing permits?		X
	Do you have case studies on charging or using fees?		X
	If 'Yes' to any of the above, please provide brief details:		
	Do you use innovative financial mechanisms to raise funds for CITES implementation?		x
	If 'Yes', please provide brief details:		

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	Do you use incentive measures <sup>1</sup> such as Convention?	those described in CoP14 Doc 14.32 to implement the YesNo
	Due diligence	□ X
	Compensatory mechanisms	□ X
	Certification	□ X
	Communal property rights	□ X
	Auctioning of quotas	□ X
	Cost recovery or environmenta	l charges⊡ <b>X</b>
	Enforcement incentives	□ <b>x</b>
	If 'Yes' to any of the above, or if you use further information:	other measures, please provide a summary or link to
2.2.4b	Have incentives harmful to biodiversity b	een eliminated? Not at all
	Very little <b>X</b>	
	Somewhat ☐	
	Completely	

-

Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

**Objective 2.3** Sufficient resources are secured at the national and international levels to implement capacity-building programmes.

Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	you run during the period covered in this report?			Without assistance from the Secretariat		istance Conducted or assisted by the Secretariat	
			N	lone		X	X
				1			
				2-5			
				6-10 1-20			
		ľ	More tha			H	
	Please list the Resolutions or Decisions	involve	d:				_
2.3.1b	What sorts of capacity building activities	have ta	aken pla	ce?			
2.3.1c	What capacity building needs do you ha	ave?					
	Please tick all boxes which apply to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	X	X	X	Х		
	Staff of Scientific Authority	X	X	X	Х		
	Staff of enforcement authorities	X	X	X	Х		
	Traders / other user groups						
	NGOs	X	X	X	Х		
	Public						
	Other (please specify)						

An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

## GOAL 3CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.

Aichi Target 2 and Target 20.

Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes No Not applicable No information	<b>X</b>
	If 'Yes', please provide brief details:		
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased Remained stable Decreased	□ □ <b>X</b>

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?							x information
	If 'Yes', please tick boxes to indicate type of assistance provided  Country(ies)	Species Management¹	Habitat Management²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)

Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

Objective 3.2 Awareness of the role and purpose of CITES is increased globally. Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups?	Wider public	Relevant User Groups
	- Press conferences		
	- Press releases		
	Newspaper articles, brochures, leaflets		
	Television appearances		
	<ul> <li>Radio appearances</li> </ul>		
	- Presentations		
	Public consultations / meetings		
	Market surveys		
	- Displays		
	Information at border crossing points		
	Telephone hotline		
	Website(s) – if so please provide link(s)		
	- Other (specify):		
	Please attach copies of any items or describe examples:		

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?						
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).  Target group	Daily	Weekly	Monthly	Less frequently	Not known	
	Staff of Management Authority	Χ	X				
	Staff of Scientific Authority						
	Staff of enforcement authorities						
3.2.2b	What has been your experience with using the	CITES w	vebsite?	Exc	ellent		
				Goo	d	X	
				Ave	rage		
				Poo	r		
				Very	/ Poor		
				No i	nformation		
	Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc):						

### Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

**Objective 3.3** Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

du na (e. Ra	ave measures been taken to achieve coordination and reduce aplication of activities between the national CITES authorities and attional focal points for other multilateral environmental agreements ag. the other biodiversity-related conventions: CBD, CMS, ITPGR, amsar, WHC) <sup>1</sup> to which your country is party?  Yes', please give a brief description:	Yes No No information	□ <b>X</b> □
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Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?				
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?				
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No		
	Agencies for development?		Χ		
	Agencies for trade?		X		
	Provincial, state or territorial authorities?		X		
	Local authorities or communities?	X			
	Indigenous or local peoples?		Χ		
	Trade or other private sector associations?		X		
	NGOs?	X			
	Other (please specify)				
3.3.2d	Are CITES requirements integrated into?	Yes	No		
	National and local development strategies?	X			
	National and local poverty reduction strategies?		Χ		
	Planning processes?		Χ		
	National accounting?		X		

CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3.	.3.3: The number of Parties cooperating / collaborating governmental organizations to participate in and/or fur and capacity-building activities.			
3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if ap	oplicable	Which organizations?
	Inter-governmental organizations?		]	
	Non-governmental organizations?			
Objective	development goals set at WSSD, the <i>Strategic Pla</i> relevant <i>Aichi Biodiversity Targets</i> , and the releval Conference on Sustainable Development is strengther in wild fauna and flora is conducted at sustainable level. This objective may also be assessed by a variety of including action taken to implement many of the CITES Aichi Target 1, Target 2, Target 3, Target 4, Target 5, Target 17, Target 18 and Target 19.	an for Bio ant outcomed by en- els.  of means I S resolution	begins of the suring that beyond the cons and decrarget 7, Ta	2011-2020 and the ne United Nations tinternational trade e reporting format, cisions.  arget 12, Target 14,
Indicator 3.	.4.1: The conservation status of species listed on the CITES	Appenaic	es has stat	oilized or improveu.
3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved?  Appendix I Appendix II Appendix III If there are such studies that you are willing to share, please Species name (scientific) Link to the data, or a brief sur	mmary	No X X □	Not Applicable
3.4.1b	3.4.1b Do you have examples of specific examples of success stories or emerging problems with any CITES listed species?		Yes No No informa	□ <b>X</b> ation □
	If 'Yes', please provide details:			
Indicator 3.	.4.2: The number of Parties incorporating CITES into their N Plan (NBSAP).  Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	ational Bi	Yes No No infor	X
3.4.2b	Have you been able to obtain funds from the Global Environ Facility (GEF) or other sources to support CITES aspects of implementation?		Yes No No infor	x

Objective 3	3.5	Parties and the Secretariat co- agreements dealing with natural collaborative approach to specie those which are commercially ex Aichi Target 2, Target 4, Target Target 19.	resourd s which oploited	ces, as a can be	ppropi endan	riate, in gered b	orde by un:	r to achieve a coherent and sustainable trade, including
Indicator 3.5	5.1:	The number of cooperative action to prevent species from being un						
3.5.1a Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade?  If 'Yes', please provide details:					Yes			
Indicator 3.5	5.2:	The number of times other relevenatural resources are consulted						
3.5.2a	that agre by C Mana Scien	rage number of times per year international organizations or ements have been consulted CITES Authorities agement Authority(ies) ntific Authority(ies)	Ouce	☐ ☐ 2-5 times	☐ ☐ 6-20 times	☐ X More than 20 times	☐ ☐ No consultation	Optional comment about which organizations and issues consulted on FAO, ICAT
Please prov	vide aı	ny additional comments you would	eral fee		ncludir	ng com	ment	s on this format.
Web link(s	5)	Item of CITES-relevant legislation if ch			Not Prev	losed availab ⁄iously	provi	
Have any o	constr try req	raints to implementation of the Co juiring attention or assistance?	nventio	n arisen	in	Yes No No Ir	nform	X □
Drafting of species of Elaborati financial	of CIT of flor on of assist	•	it into ed with nt and	legislat extinct conserv	ion ex ion; vation	ists for	the	conservation of
of the co	untry	conservation and protection raction raction raction raction ractions are sts and international collectors	restrict	ed to a	single	bay ir	the	country ), target of
Are there examples of good practice you would like to share with other Yes Parties? No X No Information If 'Yes' please provide details / links:								

How could this report format be improved?

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.