Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: info@cites.org

Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	Switzerland
Period covered in this report	November 2017-February 2021
Department or agency preparing this report	CITES Management Authority, Federal Food Safety and Veterinary Office
Contributing departments, agencies and organizations	

GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.

All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes No I If 'Yes', have you shared information with the Secretariat? Yes No Not Applicable I If 'No', please provide details to the Secretariat with this report: Currently the legislation is in parliament and a final decision on its implementation is expected in March 2021. The new legislation will be shared with the Secretariat as soon as it is in force.
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes No If 'No', please provide details of the constraints faced: The procedures for amending the appendices need to go through review by the government which usually takes more than 90 days.

Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.

Aichi Target 3.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	\boxtimes		
	Are the procedures publicly available?	\boxtimes		
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?	\boxtimes		
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries: Norway and Czech Republic	\boxtimes		
	Electronic permit information exchange to Management Authorities of all countries?		\boxtimes	
	Electronic permit data exchange between Management Authorities and customs?		\boxtimes	

Ī			:					
	Electronic permit used to cross border with electronic validation by customs?		\boxtimes					
	If 'Yes' to any of the above, please provide information on challenges faced or issues overcome:							
	If 'No', do you have any plans to move towards e-permitting¹?							
	If you are planning to move towards e-permitting, please explain	what migh	t help you	to do so:				
Indicator	Conf. 12.3 (Rev. CoP16).	•	provided f	or in <u>Resolution</u>				
1.2.2a	Has your country developed simplified procedures for any of the f	Ū	Гick all apı	nlicable				
		Yes	No	No information				
	Where biological samples of the type and size specified in Annex 4 of Resolution Conf. 12.3 (Rev. CoP16) are urgently required.		\boxtimes					
	For the issuance of pre-Convention certificates or equivalent documents in accordance with <u>Article VII</u> , paragraph 2.		\boxtimes					
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.		\boxtimes					
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.		\boxtimes					
	Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details: Switzerland does not implement any simplified procedures as the permitting procedures allow processing time of less than 3 days.							
Objective 1.3 Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties. All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18. Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.								
1.3.1a	Has your country responded to all relevant special reporting required the period covered in this report, including those in the Resolution Conference of the Parties, Standing Committee recommendation the Secretariat (see [link to location on the CITES website where listed])?	uirements ons and Dons, and No	that are a ecisions o otifications	nctive during f the s issued by				
	Responses provided to ALL r Responses provided to SOME of the r Responses provided to NONE of the r No special rep	elevant re	porting re	quirements 🖂				

e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

1.3.1b	Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes ⊠ No □							
	If 'Yes', please provide details of which Resolution(s) or were / are being encountered?	Decision(s), and, f	_	_			
	The application and interpretation of the new Resolution 18.7 on Legal Acquisition Findings (LAF) has led some parties to make enquiries concerning the LAF in Switzerland when the Swiss MA considered that the LAF did not have to be very detailed as implied in paragraph 3 letter a to allow for a risk based approach. This has led to much discussion and additional							
	burden on the MA for getting very specific information considered to be of very low risk.	on for spe	ecies, w	hich the MA				
Objective	• 1.4 The Appendices correctly reflect the conservation in Aichi Target 1, Target 12, Target 14 and Target 19.	eeds of sp	ecies.					
	The number and proportion of species that have been foun Conf. 9.24 or its successors. This includes both the period							
1.4.1a	Have you undertaken any reviews of whether species won the CITES Appendices?	ould benef	it from li	sting Yes [] No ⊠			
	If 'Yes', please provide a summary here, or a link to the r (or a copy of that report to the Secretariat if the work is n) :				
Objective	e 1.5 Best available scientific information is the basis for Aichi Target 2, Target 4, Target 5, Target 6, Target				t 14.			
Indicator 1	1.5.1: The number of surveys, studies or other analyses the sources of information cited in Resolution Cora) the population status of Appendix-II species; b) the trends and impact of trade upon Appendic) the status of and trend in naturally-occurring recovery plans.	nf. 16.7 on x-II specie	Non-de	triment findings	related to:			
1.5.1a	Have any surveys, studies or other analyses been undertaken in your country in relation to:	Yes	No	Not Applicable	If Yes, How many?			
	- the population status of Appendix II species?	\boxtimes						
	- the trends and impact of trade on Appendix II species?		\boxtimes					
	 the status of and trend in naturally-occurring Appendix I species? 	\boxtimes						
	- the impact of any recovery plans on Appendix I species?	\boxtimes						
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?			\boxtimes				

	If there are such studies that you are willing	to share, please provide:		
	Species name (scientific)	A brief summary of the results o or other analysis (e.g. population stable / increase, off-take levels links to published reference mat	n status, de etc), or pro	cline /
	Orchids, butterflies, owls and birds of prey, wolf, bear, European lynx, European otter	In the context of the Biodi schemes under the CBD, fo listed species their status is a regular basis. By Swiss env commercial use of all thes allowed.	or all Swiss being asse rironmental	s CITES essed on law, the
	Lutra lutra	A workshop on Otter genetics with experts all over Western Eu evaluate suitable monitoring genetics. The goal is to establis monitoring in Switzerland and the international collaboration. workshop provide a first idea of a methods and potential international	urope to disomethods book shan accuruito optimize The resultappropriate	cuss and ased on rate otter s/improve cs of the common
1.5.1b	How are the results of such surveys, studies		g non-detrin	nent
	findings (NDFs)? Please tick all that app	Revised harves	Banning omestic mea nent of the s gement Auth	export asures pecies norities
	Other (please provide a short summary): Al and therefore no commercial trade of wil	I these species are protected un		
1.5.1c	Do you have specific conservation measure plans for naturally occurring Appendix-I liste If 'Yes', please provide a brief summa impact: Falco peregrinus, extraction from (published Nov. 2019):	No Not Applicable No information ary, including, if possible, an		
	vogelwarte.ch - Peregrine Falcon			
1.5.1d	Have you published any non-detriment findi If 'Yes', please provide links or examples to	_	√ 0 ⊠	
1.5.1e	Which of the following (A to F of paragraph a do you use in making non-detriment findings Switzerland does not conduct NDFs becamercial exports of CITES specimens	s? ause we do not have any	Yes	No
	A. relevant scientific literature concerning sp distribution and population trends.	pecies biology, life history,		
	B. details of any ecological risk assessment	s conducted.		
	C. scientific surveys conducted at harvest lo from harvest and other impacts.	ocations and at sites protected		
	D. relevant knowledge and expertise of loca	al and indigenous communities.		

E. consultations with relevant local, regional and international experts. F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge	
Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a					No		
			Yes	No	informa	ıtion	
	Do you have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7?						
	If 'Yes', please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:						
1.5.2b	When establishing non-detriment findings, have any of the following	owing					
	guidance been used?		Pleas	se tick	all that a	pply	
	Virtual	College					
	IUCN C	hecklist					
	Resolution Co	onf. 16.7			\Box		
	2008 NDF wo	orkshop			\Box		
	Species specific g	•					
	eposios eposino g	Other					
	If 'Other' or 'Species specific guidance', please specify details:			'			
1.5.2c	How often do you review and/or change your non-	Case by	0200			$\overline{}$	
1.5.26	detriment findings?	Annually				H	
	3	Every tw		s		\exists	
		Less fred	•			\Box	
		A mix of					
	Please describe the circumstances under which non-detrimen	t findings	would	be cha	anged:		
		J			J		

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	Do you set annual export quotas?	Yes No	
	If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set: Species Name (scientific)	Population Survey?	Other, please specify
1.5.3b	Have annual export quotas been set at levels which will ensure sustainable production and consumption? If 'Yes', please describe how this fits into your non-detriment	Yes No finding process:	

Objective	Objective 1.6 Parties cooperate in managing shared wildlife resources. Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.						d Target 19.	
Indicator 1	Indicator 1.6.1: The number of bilateral and multilateral agreements that specifically provide for commanagement of shared CITES listed species by range States.							
1.6.1a	1.6.1a Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species?Yes ☒ No ☐ If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved: CMS							
Indicator 1	Indicator 1.6.2: The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.							
1.6.2a	Do you have any cooperative main place for shared populations of					ecove	ery pla	ans, Yes ⊠ No □
	If 'Yes', please list the species fo to a published plan for each species.		ch these	e plans a	are in pl	ace a	nd pro	ovide a link or reference
	Species Name (scientific)	ink o	r referer	nce to a	publish	ed pla	ın	
	Anguilla anguilla	Conce	rted Ac	tion for t	he Euro	pean	Eel (/	Anguilla anguilla) CMS
	Cypripedium calceolus L	<u>ayou</u>	t 1 (rose	enfluh.ch	<u>1)</u>			
Indicator 1	.6.3: The number of workshops ar to address the conservation a							
1.6.3a	Have the CITES authorities <i>re</i> activities provided by external so			enefited	from a	ny of	the f	ollowing capacity-building
	Please tick boxes to indicate what target group and which activity.	nich	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external
	Target group		б	å ≝	i K	F	0	sources ¹ ?
	Staff of Management Authority							
	Staff of Scientific Authority							
	Staff of enforcement authorities							
	Traders							
	NGOs							

Public

Other (please specify):

¹ Please provide the names of Parties, and any non-Parties, involved.

1.6.3b	3b Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?							
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	De	etails
	Staff of Management Authority							
	Staff of Scientific Authority							
	Staff of enforcement authorities							
	Traders							
	NGOs							
	Public							
	Other Parties/International meetings							
	Other (please specify)							
1.6.3c	In what ways do you collaborate with	other (ITES P	arties?				
		Never	Rarely	Sometimes	Very Often	Always		er detail / amples
	Information exchange				\boxtimes			
	Monitoring / survey	\boxtimes						
	Habitat management	\boxtimes						
	Species management							
	Law enforcement			\boxtimes				
	Capacity building		\boxtimes					
	Other (please provide details)					···········		
-	Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade. Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19. Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with: - an international enforcement strategy and/or action plan; - formal international cooperation, such as an international enforcement network; - a national enforcement strategy and/or action plan; and - formal national interagency cooperation, such as a national interagency enforcement committee.							
1.7.1a	Do you have, are you engaged in, or co	overed b	oy:			Yes	No	No Information
	 an international enforcement strategy 	and/or a	action pl	an?			\square	
	– formal international cooperation, such as an international enforcement network?				\boxtimes			
	– a national enforcement strategy and/c	r action	plan?			\boxtimes		
	 formal national interagency cooperation interagency enforcement committee? 	on, such	as a na	itional		\boxtimes		
	If 'Yes' to any of the above, please specify the level of engagement and provide additional details: Cooperation at EU enforcement meetings at the international level; coordination at national level through a NEST (National Environmental Security Taskforce); participation in							

internationally coordinated enforcement actions (EM	MPACT); Participation in the Interpol
IWTWG	

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?	Yes No, but review is under consideration No No information	
	If 'Yes', what do you do?		
	If 'Yes' or 'No, but review is under consideration', which tools do yo	ou find of value?	
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	Yes	r
	If 'Yes', please provide feedback on the parts of the toolkit used ar equivalent tools have been. Please specify improvements that could be in the improvement of the tools useful to you:	uld be made:	t

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?	Yes No No information	
	If 'Yes', please provide the title of the legislation and a summary of the penalties available: Under our current legislation (Federal Law CITES), CITES infractions are not treated as a severe crime but rather as a violation or an offence. This problem has however been recognized and an amended legislation is currently in parliament which qualifies breaches under certain circumstances as a crime. The revised legislation has been accepted by the Swiss parliament on march 3 rd 2021.		
1.7.3b	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime ¹ in your country?	Yes No No information	
	If 'Yes', please explain what criteria must be met for poaching or w treated as serious crimes:	rildlife trafficking offenc	es to be

The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

1.7.3c	Do you have capacity to use forensic technology¹ to s investigation of CITES offences?	support	the	Yes No No information	
	If 'Yes', please provide a brief summary of any sample collected and submitted to an appropriate forensic an another country) during the period covered in this representations shawls (DNA analysis, morphological determination), species determination of caviar, s	alysis f ort: an identif	acility alysis icatio	S-listed species (located in your of fibers of sun n and recently	s that were r country and/or ispected r age
	If 'Yes', and your country has an appropriate forensic please indicate which species it applies to:	analys	is facil	ity for CITES-lis	sted species,
1.7.3d	Did your authorities participate in or initiate any multi- law enforcement operation(s) targeting CITES-listed s during the period covered in this report?		Yes No No information		
	If 'Yes', please provide a brief summary, including any lessons learned which might be helpful for other Parties: Internationally coordinated operations regarding glass eels; participation in Operation				
	Thunder series by the INTERPOL Wildlife Crime W		<u> </u>	· · · · · · · · · · · · · · · · · · ·	
1.7.3e	Do you have a standard operating procedure among agencies for submitting information related to CITES	offence		Yes No	
	INTERPOL and/or the World Customs Organization?			No information	n 🖺
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information No information	If yes, how many times was this used during the period covered by this report?
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences		No	No	If yes, how many times was this used during the period covered
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No 🗆	No	If yes, how many times was this used during the period covered
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate? General crime ³	Yes		No	If yes, how many times was this used during the period covered
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate? General crime ³ Predicate offences ⁴	Yes		No	If yes, how many times was this used during the period covered
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate? General crime ³ Predicate offences ⁴ Asset forfeiture ⁵	Yes		No	If yes, how many times was this used during the period covered
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate? General crime ³ Predicate offences ⁴ Asset forfeiture ⁵ Corruption ⁶	Yes \[\text{\tint{\text{\tint{\text{\tinit}\\ \text{\texi}\text{\text{\text{\text{\texi}\text{\text{\text{\text{\text{\text{\text{\texi}\text{\text{\texi}\text{\text{\text{\text{\text{\text{\text{\texi}\text{\texit{\text{\tex{		No	If yes, how many times was this used during the period covered

Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

² A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope

³ General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

⁴ Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

⁵ Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public

International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

	If 'Yes' to any of the above, please explain how each is used for Cl brief summary, including any lessons learned which might be helpf All the points answered with "yes" above fall within the compauthority in Switzerland who are the law enforcement agency Switzerland. They have the special skills for this kind of investoustoms law infringement at the same time as a CITES infring connected legislative provisions apply also.	ul for other Parties: etences of the Customs for most CITES cases in tigation and if there is a	า
1.7.3g	Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences?	Yes No No information	
	If 'No', please provide a brief summary of your major capacity-build In principle yes but CITES is one of many areas of enforcement like in all areas, with more resources more cases could be dea become a higher priority in the last few years though.	nt for customs authoritie	

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always	
		Very often	
		Sometimes	\boxtimes
		Rarely	
		Never	
		No information	
1.7.4b	Do you have capacity to analyse information gathered on illegal	Yes	\boxtimes
	trade in CITES-listed species?	No	
		No information	
1.7.4c	Do you use criminal intelligence ¹ to inform investigations into	Always	
	illegal trade in CITES-listed species?	Very often	
		Sometimes	\boxtimes
		Rarely	
		Never	
		No information	
1.74d	Have you implemented any supply-side activities to address illegal	Yes	
	trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	\boxtimes
		No information	
1.7.4e	Have you implemented any demand-side activities to address	Yes	
	illegal trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	

¹ Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the	e period covered in this report:	Yes	No	No Information
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?	\boxtimes		
	If 'Yes', please indicate how many and for what types of offend details:	es. If avai	lable, pleas	e attach
1.7.5b	Have there been any criminal prosecutions of CITES-related offences?			
	If 'Yes', how many and for what types of offences? If available,	please at	tach details	
1.7.5c	Have there been any other court actions against CITES-related offences?			\boxtimes
	If 'Yes', what were the offences involved and what were the result	s? Please	attach detai	ls:
1.7.5d	How were any confiscated specimens disposed of?		Tick	all that apply
	 Return to country of export 			
	Public zoos or botanical gardens			\boxtimes
	Designated rescue centres			
	Approved private facilities			\boxtimes
	– Euthanasia			
	Other (please specify):			
	Have you encountered any challenges in disposing of confisca Yes; placement of pet parrots where they are very attache require special care; placement of frequently traded CITES specimens such as Python regius or certain tortoise specimens.	d to owne S Append	er and	
	Do you have good practice that you would like to share with ot	her Partie	s?	

Objective 1.8 Parties and the Secretariat have adequate capacity-building programmes in place. Aichi Target 1, Target 12 and Target 19.

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: Yes The making of non-detriment findings? Permit officers? Enforcement officers?	No	
1.8.1b	Is the CITES Virtual College used as part of your capacity building work? What improvements could be made in using the Virtual College for capacity building? It would be helpful to have the resources in more languages.	Yes No No information	
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes No No information	

GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

Objective 2.1 Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.

Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

0.0 :	5		.,	
2.2.1a	Do you have an approved service standard(s) ¹ for your Management Authority(ies)?		Yes	\boxtimes
	If 'No', please go to Question 2.2.1d.		No	Ш
	If 'Yes', for which services are there standards, and what are those standards?	Issuance of permits (maximum of days), Information service for private sector and public (telephone and	r	
		email respons	ses	
	If Wee' de veu have norferments towards for the control of 20	within 24 hou	•	
	If 'Yes', do you have performance targets for these standards ² ? If 'Yes', what are your performance targets?		Yes No	\square
	in rest, what are your performance targets:	See above	140	Ш
	Do you publish your performance against service standard		Yes	\boxtimes
	targets?		No	
	If possible, please provide your performance against service standards during the period covered in this report:	>90%		
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No
	availability of funding?			\boxtimes
	- number of staff?	\boxtimes		
	a shortage of skills?			\boxtimes
	If 'Yes' to a shortage of skills, which skills do you need more of?			
2.2.1b	Do you have an approved service standard(s) ⁴⁷ for your Scientific Authority(ies)? If 'No', please go to Question 2.2.1d.		Yes No	
	If 'Yes', for which services are there standards, and what are those standards?	Evaluation of import applications Respond with a week.	;	
	If 'Yes', do you have performance targets for these standards ⁴⁸ ? If 'Yes', what are your performance targets?		Yes No	
	1			

For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

² For example, 85% of all decisions will take place within the service standard.

		ease provide your perform ing the period covered in t			
		• ,	rgets then was this shortfall	Yes	No
	availability	of funding?			
	- number of	<u>-</u>			
	a shortage	of skills?			
	If 'Yes' to a sh	ortage of skills, which skill	ls do you need more of?		
2.2.1c	Do you have a	an approved service stand	dard(s) ⁴⁷ for your	Yes No	\square
	-	go to Question 2.2.1d.			
	If 'Yes', for wh standards?	ich services are there star	ndards, and what are those		
	_	u have performance target		Yes	
	If 'Yes', what a	are your performance targ	ets?	No	
		ease provide your performing the period covered in t			
	If you did not i a result of:	meet your performance ta	rgets then was this shortfall	Yes	No
	 availability 	of funding?			
	- number of	staff?			
	a shortage	of skills?			
	If 'Yes' to a sh	ortage of skills, which skill	ls do you need more of?		
2.2.1d			our answered 'No' to the first pa ce of approved service standar		
	Do you have s	sufficient of the following for	or your authorities to function e	effectively?	
		Management Authority(ies)	Scientific Authority(ies)	Enforcement Authority(ies)	
	Funding?	Yes 🗌 No 🗍	Yes 🗌 No 🗌	Yes 🗌 No 🗌	
	Staff?	Yes ☐ No ☐	Yes ☐ No ☐	Yes 🗌 No 🗌	
	Skills?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes 🗌 No 🗌	
Indicator 2	– chai – hire – devo	nged the budget for activit d more staff; eloped implementation too		-	
2.2.2a	covered in this i	e following activities been used to enhance the effect at the national level?	undertaken during the period ctiveness of CITES	Tick if app	licable
	Hiring of more s				
		f implementation tools			
	-		ementation, monitoring or enfo	rcement	
	Other (please s	pecify): Switzerland has nent of application and to	rebuilt its e-permitting syste o improve the transparency	m to allow for more	

2.2.2b	During the period covered in this report budget for your:	t, was the	Increased	Stable	Decreased
	Management Authority(ies)			\boxtimes	
	Scientific Authority(ies)			\boxtimes	
	Enforcement authorities			\boxtimes	
2.2.2c	Have you been able to use international development funding assistance to inclevel of implementation of your		Yes	No	Not applicable
	Management Authority(ies)?			\boxtimes	
	Scientific Authority(ies)?			\boxtimes	
	Enforcement authorities?			\boxtimes	
2.2.2d	What is the respective level of priority f the national level through the following		ng the effectiv	eness of CITES	implementation at
	Activity	High	Medium	Low	Not a Priority
	Hiring of more staff				
	Development of implementation tools		\boxtimes		
	Purchase of new technical equipment for implementation, monitoring or enforcement		\boxtimes		
	e-permitting	\boxtimes			
	Other (please specify):				
2.2.2e	Do you have a operational system (e.g electronic database) for managing		Yes	Under development	No
	Species information		\square		
	Trade information		\boxtimes		
	Non-detriment findings				\boxtimes

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for:	ick all that are applicable
	Administrative procedures	\boxtimes
	 Issuance of CITES documents (e.g. for import, exports, re-export, or ir the sea) 	ntroduction from
	 Shipment clearance (e.g. for the import, export, re-export, or introducti of CITES-listed species) 	on from the sea
	 Licensing or registration of operations that produce CITES species 	
	Harvesting of CITES-listed species	
	Use of CITES-listed species	
	Assignment of quotas for CITES-listed species	
	Other (please specify):	
2.2.3b	Is a fee schedule publicly available?	Yes ⊠ No 🗌
	If 'Yes', please provide an internet link, or a copy of the schedule to the Se	cretariat:
	Type of document/ service	Amount charged
	(Re)- export certificate Priority one (issued and sent by post the same day	() 42 CHF

	(Re)- export certificate Priority one (issued and sent by post the following day	32 CHF	
	(Re)- export certificate Priority one (issued and sent by post within two days	22 CHF	
	Import permit	0 CHF	
	Physical inspection upon import by a CITES officer fauna	88 CHF	
	Physical inspection upon import by a CITES officer flora	60 CHF	
	Inspection of documents flora	30 CHF	
	Certificate of ownership	50 CHF	
	Musical instrument certificate	50 CHF	
2.2.3c	Have revenues from fees been used for the implementation of CITES or wild	llife conservation	?
		Entirely	
		Partly	\boxtimes
		Not at all	
		Not relevant	
2.2.3d		Yes	No
	Do you raise funds for CITES management through charging user fees?		\boxtimes
	Do your fees recover the full economic cost of issuing permits?	\boxtimes	
	Do you have case studies on charging or using fees? Temporary increase in permit fees for financing of electronic permit sy	rstem 🖂	
	If 'Yes' to any of the above, please provide brief details:		
	Do you use innovative financial mechanisms to raise funds for CITES implementation?		
	If 'Yes', please provide brief details:		

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a		those described in CoP14 Doc 14.32 to implement the
	Convention?	YesNo
	Due diligence	
	Compensatory mechanisms	
	Certification	
	Communal property rights	
	Auctioning of quotas	
	Cost recovery or environmenta	charges ⊠
	Enforcement incentives	
	further information: Companies using the contracts with the MA for the use of the	other measures, please provide a summary or link to ne e-permitting system must sign due diligence ne system. This gives them access to the database to rmit information into the system based on which they short time (maximum of three days).
2.2.4b	Have incentives harmful to biodiversity b	een eliminated? Not at all⊡

Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

Very little ☐	
Somewhat⊡	
Completely□	

Objective 2.3 Sufficient resources are secured at the national and international levels to implement capacity-building programmes.

Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a		many training and capacity building activities ¹ have un during the period covered in this report?			Without assistance from the Secretariat		nce Conducted or assisted by the Secretariat
2.3.1b	Please list the Resolutions or Decisions What sorts of capacity building activities	involve have ta	1 More tha d: aken pla	ce? T ı			
	detection of CITES specimens, repet dogs and their holders	ition co	urses f	or cor	itrol pe	rsonnel,	, training for sniffer
2.3.1c	What capacity building needs do you ha	ave?					
	Please tick all boxes which apply to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders / other user groups						
	NGOs						
	Public						
	Other (please specify)						

An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

GOAL 3CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.

Aichi Target 2 and Target 20.

Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes No Not applicable No information	
	If 'Yes', please provide brief details:		
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased Remained stable Decreased	

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or finance or countries in relation to CITES?	intry	Yes 🖂 No 🖂 No information					
	If 'Yes', please tick boxes to indicate type of assistance provided Country(ies)	Species Management¹	Habitat Management²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)
	Argentina							Financing of project related to use and management of the yellow anaconda
	CITES Parties							Technical assistance for e-permitting

Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

	r i					<u>-</u>	·		
	Iran							Project of Neurergo population manager	<i>us kaiseri</i> on
	Madagascar							Dalbergi Diospyro Madagas	
								identifica	ation
Objective :	Objective 3.2 Awareness of the role and purpose of CITES is increased globally. Aichi Target 1, Target 4, Target 12 and Target 18.								
Indicator 3.	2.1: The number of Parties that have about better awareness by the requirements.								
3.2.1a	Have CITES authorities been involved activities to bring about better awaren requirements by the wider public and Press conferences Press releases Newspaper articles, brochures, leady appearances Radio appearances Presentations Public consultations / meetings Market surveys Displays Information at border crossing point Telephone hotline Website(s) – if so please provide lider of the consultance of	ness of the relevant aflets ink(s) www.	e Conve user gro vw.cites ted to s	ention oups?	's	,]] [] []	r public	Relevant User Groups
Indicator 3.	2.2: The number of visits to the CITE	S websit	e.						
3.2.2a	How regularly do your Authorities cons Please tick boxes to indicate the most usage (decide on an average amongs necessary).	frequent	:	ebsite	Weekly		Monthly	Less frequently	Not known
	Target group				<u> </u>		2		Ž
	Staff of Management Authority]			
	Staff of Scientific Authority					[
0.55	Staff of enforcement authorities								
3.2.2b	What has been your experience with	using the	CITES	webs	site?		Exc	ellent	

Good	\boxtimes
Average	
Poor	
Very Poor	
No information	
Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encounted which authorities find which functions/tools most useful, what is missing, etc):	ered,

Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

Objective 3.3 Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) ¹ to which your country is party?	Yes No No information	
	If 'Yes', please give a brief description: Coordination meetings between a regular basis	een national focal p	oints

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?					
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?					
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No			
	Agencies for development?	\boxtimes				
	Agencies for trade?	\boxtimes				
	Provincial, state or territorial authorities?	\boxtimes				
	Local authorities or communities?		\boxtimes			
	Indigenous or local peoples?		\boxtimes			
	Trade or other private sector associations?	\boxtimes				
	NGOs?	\boxtimes				
	Other (please specify)					
3.3.2d	Are CITES requirements integrated into?	Yes	No			
	National and local development strategies?	\boxtimes				
	National and local poverty reduction strategies?		\boxtimes			
	Planning processes?		\boxtimes			
	National accounting?		\boxtimes			

CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and non-governmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?		UNCTAD, IUCN, ITC, TRAFFIC (Eu-TWIX)
	Non-governmental organizations?	\boxtimes	FFW, WWF

Objective 3.4 The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions.

Aichi Target 1, Target 2, Target 3, Target 4, Target 5, Target 6, Target 7, Target 12, Target 14, Target 17, Target 18 and Target 19.

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	of naturally	ve data which shows that the conservation status voccurring species in your country listed on the pendices has stabilized or improved? Appendix I Appendix II Appendix III	Yes	No	Not Applicable	
	If there are	such studies that you are willing to share, please p	rovide:			
	Species name (scientific)	Link to the data, or a brief summary				
	Lynx Lynx	KORA: Status				
	Felix silvestris	BerichtWKM2018-20_v2.pdf (wildtier.ch) /				
	Canis Iupus	https://www.kora.ch/fileadmin/file_sharing/5_B	ibliothek/	52 KOR	A Publikationen/52	<u>!0_KOR</u>
3.4.1b	Do you have examples of specific examples of success stories or emerging problems with any CITES listed species?					
	If 'Yes', plea	ase provide details:			NO INTO	ormation

Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes No No information	
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes No No information	

Objective 3.5	Parties and the Secretariat or agreements dealing with natura collaborative approach to specific those which are commercially exaich Target 2, Target 4, Target 19.	al resources, a les which can exploited.	as appropria be endang	ate, in order gered by uns	r to achieve a cohe sustainable trade, in	rent and ncluding
Indicator 3.5.1:	The number of cooperative action to prevent species from being u					eements
3.5.1a Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details: Switzerland has implemented an IUU legislation which, in analogy to the EU, aims to prevent the import of illegal, unreported and unregulated fisheries products to enter the country.					Yes No No information	
Indicator 3.5.2: The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.						
tha ag by	verage number of times per year at international organizations or greements have been consulted v CITES Authorities	Once		More than 20 times No consultation	Optional commer which organizatio issues consulte	ons and
	ientific Authority(ies) forcement Authority(ies)					
		neral feedba	ck	g comment	s on this format.	
	Item					
Copy of full text of CITES-relevant legislation if changed Web link(s) Enclosed Not available Previously provi				ded	 	
Flyer that we	y materials annexed to the report, e hand out to people who have be ise awareness and inform them	een caught in	mporting a		•	rland
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance? No No Informatif 'Yes', please describe the constraint and the type of attention or assistance that is					_	
	mples of good practice you would l			Yes No No Informa		

If 'Yes' please provide details / links:

How could this report format be improved? Clearer visible distinction between questions and answers and also between the sections.

- 1.74d: It is not quite clear to us, what the question is exactly. It would help to rephrase this question.
- 1.7.4e: It is not quite clear to us, what the question is exactly. It would help to rephrase this question.
- 1.7.5b and 1.7.5c: We did not answer these questions as we are not sure what they refer to (see comments inserted in text)
- 2.2.1c: Term "enforcement authority" here: We have an enforcement authority that deals with criminal cases and inspections and also an authority that does the border inspections of legal shipments. It would be helpful to discriminate between the two. We are not sure which one is meant here.

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.