

| Requirement number | Type | Reporting Requirement | Basis | Directed to | Time Scale | Assistance (Standard reporting format/Guidance) | Assessed by/follow up action | Consequences of not fulfilling requirement | Response level - to be completed by Secretariat/UNEP-WCMC | Any duplications with other requirements | Recommendations | Categorisation | Comment |
|--------------------|---------------------|--|---|---|--|---|---|---|--|--|--|-------------------|--|
| 1 | Legally-binding | Annual and biennial reports | Article VIII, paragraph 7. Resolution 11.17 (Rev. CoP14). Notification 2011/019 Notification 2006/005 Notification 2005/035 | All Parties | Annual report - to be submitted by 31 October of following year. Biennial reports to be submitted by 31 October of year following due date. (1 Jan 2003 - 31 December 2004, 1 Jan 2005 - 31 December 2007, etc) | A standard format for annual reports and guidelines for their preparation and submission (see Notification to the Parties No. 2011/019 of 17 February 2011) and a standard format for biennial reports (see Notification to the Parties No. 2005/035 of 6 July 2005). | CITES Secretariat | Parties that fail to submit adequate annual report are referred to Standing Committee. Trade suspension imposed for those Parties not complying with reporting requirement for 3 consecutive years. Timely submission of annual reports enables the CITES global trade database to better serve Parties and CITES committees. Comparison and synthesis of information in biennial reports can support substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies | Annual Reports: Medium - by deadline and High - 2 to 3 years later. Biennial reports - low response by deadline and medium response 2 or 3 years after deadline. | | On-line report that can be updated by National Administrator rather than regurgitating similar information year on year - would allow Parties to update throughout the year and allow data to be extracted/interpreted through on-line facility. Content also in need of revision to ensure only information that is relevant and supports substantive and procedural decision making by Parties. Committees and CoP is included. Also consider including some of the other stand alone reporting requirements/avoid duplication?? | 1. Essential | Keep. Although not specifically mentioned in the TOR for this working group it might be good to look at ways to improve reporting response. Suggestions: 1. Higher, more integrated profile for reporting on CITES website 2. Reminder notification about June reminding Parties of Oct 30 deadline, particularly when biennial report is due 3. Reporting search tool |
| 2 | Legally-binding | After reviewing annual and biennial reports Secretariat may request additional information as it deems necessary to ensure implementation of the present Convention | Article XII, paragraph 2(d) | Any Party - on ad hoc basis | As reasonably specified | As advised by Secretariat | CITES Secretariat | As above | High | | | 1. Essential | Modify. In order to streamline the biennial report format, possible design so that key questions will trigger child questionnaires when more detailed information is desired. I.e. 3509 (do you change fees?) could trigger a request to fill out the child report of fees. |
| 3 | Not legally-binding | Stricter domestic measures - Secretariat to be informed of (a) existence, adoption or amendment of stricter domestic measures and (b) invalidity, deficiency or special requirements of permits and certificates | Resolution Conf. 4.22 | All Parties | No time scale specified | No standard report format, but general guidance on what needs to be included. | CITES Secretariat - to circulate to Parties | Not legally binding. However failure to notify Secretariat and Parties of stricter domestic measures can result in non-compliance by persons from third countries. | Low | | Include notification of stricter domestic measures within Biennial Report. Retain requirement for Parties to notify of invalid documents | 2. Implementation | Modify. Already included in biennial report as BQ5 Question should be separated into stricter domestic measures on export and stricter domestic measures on import. Stricter measures on import to trigger a child questionnaire in order to provide additional information to Parties |
| 4 | Not legally-binding | Secretariat to be informed about products compatible with CITES marking requirements and equipment that can be applied universally | Resolution Conf. 8.13 (Rev.) | All Parties | No time scale specified | No standard reporting format | CITES Secretariat & Animals Committee - to circulate to Parties | Not legally binding. | Low | | AC is required to monitor developments in microchip-implant technology and application techniques. If response rate by Parties is low as I suspect, perhaps the AC should have responsibility, and delete Party responsibility or if regarded as important and AC meetings not considered regular enough for Parties to highlight new innovative methods of marking etc, then could include it as standard in biennial report. | 5. Species | Keep as needed. Consult with AC/PC if still necessary and what needs to be reported. Would probably be a report as required, not to be included in biennial report |
| 5 | Not legally-binding | Secretariat to be informed of any inconsistencies in trade involving States not Party to the Convention | Resolution Conf. 9.5 (Rev. CoP14) | All Parties | No time scale specified | No standard reporting format | CITES Secretariat (not specified) | Not legally binding. However failure to keep Secretariat informed could lead to trade continuing not in accordance with the Convention and with detriment to conservation status of a species or geographical population thereof. | Medium | | Add as an additional item on biennial report?? | 4. Enforcement | Keep as needed. Consult with enforcement if still necessary and what needs to be reported. |
| 6 | Not legally-binding | Secretariat to be informed about any decision taken on the disposal of confiscated specimens of species listed in Appendix I, or if in Appendix II or III, involving commercial quantities; | Resolution Conf. 10.7 | All Parties | No time scale specified | No standard reporting format | CITES Secretariat (not specified) | Not legally binding. But missed opportunity for Secretariat to engage third Parties in addressing illegal trade/implementation shortfalls. | Low | Also requested in Conf. Res 11.3 | | 4. Enforcement | Keep as needed. Consult with enforcement if still necessary and what needs to be reported. |
| 7 | Not legally-binding | Regional Reports (Standing Committee, Animals Committee and Plants Committee) | Resolution Conf. 11.11 | AC/PC and SC Regional Repts (all Parties to contribute) | Prior to meetings of the Committee's, (meet twice between CoPs) | No standard reporting format | Standing Committee/Animals Committee/Plants Committee (In reality very little/limited follow-up action) | Opportunity to improve communication within a region and encourage more effective implementation, but in reality very little, or no, follow to reports | Low - poor response rate generally from Parties to requests for information by regional repts. (with the exception of North American region) (still low at AC26/PC20?) | Potentially cross over with biennial report? | See AC Doc 25.6.7 | 2. Implementation | To be determined. Consult with AC/PC/SC on the aim of these reports. |
| 8 | Not legally-binding | Significant cases of illegal trade, convicted illegal traders and persistent offenders | Resolution Conf. 11.3 (Rev. CoP14) Notification 2008/068 http://www.cites.org/eng/notif/2008/E/iss.pdf | All Parties | No time scale specified | Standard form provided with Notification 2008/068 | CITES (& Interpol) Secretariats - issuance of CITES Alerts/further enforcement activity | Not legally binding, but failure to submit reports of significant incidents of illegal trade and information about convicted traders and persistent offenders, reduces intelligence sharing and opportunities for further enforcement action including disruption of organised crime syndicates acting across international borders.. | Generally low response rate - some Parties have high response level. | | | 4. Enforcement | Keep as needed. Consult with enforcement if still necessary and what needs to be reported. |
| 9 | Not legally-binding | Secretariat to be informed about specific implementation problems presented by national plant-trade organisation | Resolution Conf. 11.11 (Rev. CoP14) | All Parties | No time scale specified | No further guidance provided | Plants Committee | If implementation problems aren't reported, difficulties will continue and opportunities to address problems, engage wider interest and improve overall implementation missed. | Low | | | 2. Implementation | Keep as needed. Consult with PC if still necessary and what needs to be reported. |
| 10 | Not legally-binding | Secretariat to be informed of scientific institutions entitled to the exemption provided by Article VII, paragraph 5, of the Convention | Resolution Conf. 11.15 (Rev. CoP12) | All Parties | As soon as practicable | No standard format (but only names and addresses of registered institutions need be reported) | Secretariat will communicate the information to all other parties | Hamper the exchange of specimens needed to conduct important taxonomic or species conservation research. Potentially could lead to specimens being acquired from the wild for essential research purposes unnecessarily. | Medium | | | 2. Implementation | Report as required |
| 11 | Not legally-binding | Reports on ranching operations. Submit to the Secretariat annual reports on all relevant aspects of each approved ranching operation | Resolution Conf. 11.16 Rev. CoP15 | Any Party with an approved ranching operation | Annual report | Guidance on the information to be submitted provided in Resolution Conf. 11.16 (Rev. CoP15) | Secretariat | Not legally binding, although if Parties fail to comply and the Standing Committee and the Party concerned fail to resolve the matter satisfactorily, the Standing Committee may, after full consultation with the Party concerned, request the Depositary Government to prepare a proposal to transfer the population concerned back to Appendix I | Low | | | 1. Essential | Keep. Essential reporting requirement because this is a species listing requirement. Consult with AC on who should be reporting and what they should report. In biennial report could include a trigger question that would refer to a child report. |

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| 12 | Not legally-binding | Responses to review of significant trade selections and recommendations | Resolution 12.8 (Rev. CoP13) | Any Party | Range States consulted over implementation of Article IV given 60 days to respond. Responses to preliminary categorization also required within 60 days. Deadlines for implementation of recommendations between 90 days and 2 years. | No standard format prescribed for replies | Secretariat, Animals Committee, Plants Committee and Standing Committee | Failure to provide adequate responses in the consultation phase, may lead to Parties being retained in the Significant Trade review and subject to recommendations unnecessarily. (Having both financial and resource implications) Failure to report on implementation of recommendations could lead to the Standing Committee taking punitive measures, which may include, as a last resort, a suspension of trade in the affected species with that State. | Medium response by deadline (High after reminders) | | | 3. Ongoing work | Report as required to meet AC/PC/SC deadlines |
| 13 | Not legally-binding | Parties should submit to the Secretariat, in one of the working languages of the Convention, appropriate measures which have been adopted for effective implementation of the Convention or where a Party has not adopted appropriate measures for effective implementation of the Convention should submit to the Secretariat a justification for not having done so | Decision 15.38 & 39 http://www.cites.org/eng/dec/valid15_38-41.shtml | Any Party or dependent territory that is party to the Convention | Prior to CoP16 (Ideally before SC61) | legal advice and assistance to Parties available including: legal drafters, model legislation available, training | Secretariat & Standing Committee | Parties and dependent territories that do not comply with Decision 15.38 or 15.39 or decisions of the Standing Committee in relation to national laws for implementation of the Convention, the Standing Committee shall consider appropriate compliance measures, which may include recommendations to suspend commercial trade in specimens of CITES-listed species to and from such Parties | Medium by deadline (High after reminders) | | | 1. Essential | Parties currently working on improving legislation should report to Secretariat as required. Note that many of the Category 2 & 3 Parties do not submit biennial reports. A trigger question and link to a child questionnaire could be added to the biennial report in section B. |
| 14 | Species-Specific | Conservation of and trade in rhinoceros - report on progress in reducing consumption and use of rhinoceros horn for inclusion in the IUCN/TRAFFIC reports | Resolution Conf. 9.14 (Rev. CoP15) | Range States of African and Asian rhinoceroses, implicated States, other Parties and other stakeholders | 1 report in run up to CoP. | No standard reporting format | IUCN/SSC African and Asian rhino specialist Groups and TRAFFIC on the basis of the report and the comments received, formulate recommendations and draft decisions for consideration by the Conference of the Parties as appropriate | Not legally binding, but if Parties do not provide the data required, the ability to make informed species-specific recommendations to improve the conservation status of rhinos and enforcement activities is reduced. | Medium - by deadline and High - 2 or 3 years after deadline | | | 5. Species | Keep as needed. Consult with AC if still necessary and what needs to be reported. |
| 15 | Species-Specific | Raw ivory stocks and export quotas - all Parties maintain an inventory of the stock of raw ivory held within their territory, indicating source; - range states wishing to trade in raw ivory communicate export quotas; - in compiling their annual reports, producer party and non-party States that have authorized the export of raw ivory relate such exports to their quotas for any given year, providing the Secretariat with as much relevant information as possible | Resolution Conf. 10.10 (Rev. CoP15) | All Parties &/or range states | (i) all Parties inform the Secretariat of raw ivory stocks each year before 31 January. (ii) export quota be communicated to the CITES Secretariat in writing by 31 December for the next calendar year (1 January to 31 December); (iii) information on tusks exported to be provided with annual report (ID marks, etc) | Ivory Trade Control Procedures Manual & guidance in Resolution 10.10 (Rev. CoP15) | CITES Secretariat, Standing Committee & CoP | If the quota is not submitted by the deadline, the State in question have a zero quota until such time as it communicates its quota in writing to the Secretariat and the Secretariat in turn notifies the Parties; Remedial action will be determined by Standing Committee and CoP. MIKE and ETIS depend on accurate and regular reports and lack of reporting therefore affects ability for Parties to make informed recommendations. | Low | | | 5. Species | This is covered under reporting of export quotas and annual reports. |
| 16 | Species-Specific | Conservation of cetaceans, trade in cetacean specimens and the relationship with the International Whaling Commission - inventory of whale parts and derivatives | Resolution Conf. 11.4 (Rev. CoP12) | All Parties | No time scale specified | No standard reporting format | No formal assessment. Information provided by Secretariat to other interested Parties upon request and also IWC. | Not legally binding but if data is not provided it reduces Parties ability to monitor illegal trade in whale meat, parts and derivatives and ability to assign appropriate and targeted enforcement activities. | Low | | | 5. Species | Keep as needed. Consult with AC if still necessary and what needs to be reported. |
| 17 | Species-Specific | ENCOURAGES Parties to obtain information on implementation of NPOA-Sharks or regional plans, and to report directly on progress to the CITES Secretariat and at future meetings of the Animals Committee | Resolution Conf. 12.6 (Rev. CoP15) | All Parties & AC | No time scale provided | No standard reporting format | Secretariat and Animals Committee provides progress report to CoP | Not legally binding, but if Parties do not provide the data required to the AC, the ability to make informed species-specific recommendations to improve the conservation status of sharks is reduced. | Medium | | | 5. Species | Keep as needed. Consult with AC if still necessary and what needs to be reported. |
| 18 | Species-Specific | Register of exporters of sturgeon and paddlefish and registered caviar processing and repackaging plants | Resolution Conf. 12.7 (Rev. CoP14) | Range states of the species in the Order Acipenseriformes | When changes occur to the register, this should be communicated to the Secretariat without delay | No standard reporting format required | CITES Secretariat should distribute this information via a Notification to the Parties and include it in its register on the CITES website | Register will not be kept up to date, affecting Parties ability to ensure caviar only sourced from registered exporters and processing plants | Medium (high for some Parties) | | | 2. Implementation | Keep. Ongoing process |
| 19 | Species-Specific | Copies of export and re-export certificates issued to authorize trade in caviar | Notification 2010/34 | Range states of the species in the Order Acipenseriformes | No longer than 1 month after date issued | No standard reporting format required | UNEP-WCMC & CITES Secretariat | The register will not be up to date. Failure to maintain database up to date will compromise Parties ability to ensure caviar only traded in trade and verify the authenticity and validity of permits and certificates | Low for Secretariat but perhaps m | | | 5. Species | Keep as needed. Consult with AC if still necessary and what needs to be reported. |
| 20 | Species-Specific | Reporting on incidents of poaching and illegal trade in tigers since 2007 | Decision 15.46 http://www.cites.org/eng/dec/valid15_46-49.shtml | All Parties, but particularly tiger range States | should submit, by 30 June 2010, information relating to incidents of poaching and illegal trade in tigers that have occurred within their territory since the beginning of 2007. Prior to SC61 | Information should be submitted using the E-message format distributed in Notification to the Parties No. 2009/028 of 22 July 2009. | E-message forms for each incident should be submitted to the CITES Secretariat or to the General Secretariat of ICPD-INTERPOL via INTERPOL National Central Bureaus. Report to SC61 | If Parties do not provide the data required, the report given by the Secretariat will not be comprehensive or complete and the ability to make informed species-specific recommendations to improve the conservation status of Asian big cats will be reduced. | Medium? | | | 4. Enforcement | Keep as needed. Consult with enforcement if still necessary and what needs to be reported. |
| 21 | Species-Specific | Reporting by range States of Saiga tatarica on measures undertaken to implement work programme in biennial reports | Decision 14.93 (Rev. CoP15) http://www.cites.org/eng/dec/valid14_91-93-97.shtml | Range states of Saiga tatarica & China (as a former range state) | No specific time scale mentioned, although the data should be included in the biennial reports for the period 2009-2010 so that it can be discussed at CoP16 | No standard reporting format | CITES Secretariat | If Parties do not provide the data required, the report given by the Secretariat will not be comprehensive or complete and the ability to make informed species-specific recommendations to improve the conservation status of Saiga antelope will be reduced. | Low | | | 5. Species | Keep as needed. Consult with AC if still necessary and what needs to be reported. |
| 22 | Species-Specific | Implementation of Decision 14.94 by consumer or trading countries of Saiga tatarica reported to be reported within biennial reports | Decision 14.95 http://www.cites.org/eng/dec/valid14_91-97.shtml | Important consumer and trading States of Saiga parts and derivatives | No specific time scale mentioned, although the data should be included in the biennial reports for the period 2009-2010 so that it can be discussed at CoP16 | No standard reporting format | CITES Secretariat | If Parties do not provide the data required, the report given by the Secretariat will not be comprehensive or complete and the ability to make informed species-specific recommendations to improve the conservation status of Saiga antelope will be reduced. | Low | | | 5. Species | Keep as needed. Consult with AC if still necessary and what needs to be reported. |
| 23 | Species-Specific | Reporting on shark landings, management measures and stock status at AC24 and AC25 (No longer valid) | Decision 14.108 http://www.cites.org/eng/dec/valid14_101-117.shtml | All Parties landing or exporting shark species of concern | AC24 and AC25 | No standard reporting format | Animals Committee | | Low | | | 5. Species | Keep as needed. Consult with AC if still necessary and what needs to be reported. |
| 24 | Species-Specific | Parties that are members of regional fisheries management organisations report at CoP15 on regional shark plans (No longer valid) | Decision 14.116 | Any Parties that are members of regional fisheries | By mid 2009 in order to report at CoP15 | No standard reporting format required | | | Low but shark-related reporting to | | | 5. Species | Keep as needed. Consult with AC if still |

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| | | | http://www.cites.org/eng/doc/valid1414_109117.shtml | management organisations | | | | | Low but shark-related reporting to | | | | necessary and what needs to be reported. |
| 25 | Species-Specific | Progress on participation of Technical Cooperation Programme for Caspian Sea sturgeon range States (No longer valid) | Decision 14.118 http://www.cites.org/eng/doc/valid1414_118-125.shtml | Caspian Sea sturgeon range states | No time scale specified | No standard reporting format | CITES Secretariat | | Medium | | | 5. Species | Keep as needed. Consult with AC if still necessary and what needs to be reported. |
| 26 | Species-Specific | Seizures of ivory and other elephant products to ETIS | Notification 2010/041 | All Parties | No time scale specified (ongoing) | Standard reporting format provided (ETIS data collection form) and guidance provided in Notification 2010/041. Other electronic spreadsheets also permissible | TRAFFIC/Secretariat | Not legally binding. MIKE and ETIS depend on accurate and regular reports and lack of reporting therefore affects ability for Parties to make informed recommendations | Medium (high for some Parties) | | | 4. Enforcement | Keep as needed. Consult with Enforcement if still necessary and what needs to be reported. |
| 27 | Species-Specific | Any trade in <i>Geochelone ymphora</i> | Notification 2004/44 | All Parties | No time scale specified (ongoing) | No standard reporting format required | CITES Secretariat | Lack of reporting could lead to increased unregulated and illegal trade, leading to a decline on wild populations. | Low | | | 4. Enforcement | Keep as needed. Consult with Enforcement if still necessary and what needs to be reported. |
| 28 | Species-Specific | To report on legislation, guidelines, codes of conduct or other information on transport standards related to non-air transport, and national experts on non-air transport, ideas on effective implementation of Dec. 15.59 | Notification 2011/023 | All Parties | by 31 August 2011 | No standard reporting format | Transport Working Group (AC) | Not legally binding | Low | | | 2. Implementation | Keep as needed. Consult with AC/PC if still necessary and what needs to be reported. |
| 29 | Species-Specific | To report their findings regarding the outputs of the International Expert Workshop on Non-Detriment Findings (Cancun, November 2009) and any follow up activities or capacity building initiatives, at the 25th and 26th meetings of the Animals Committee and 19th and 20th meetings of the Plants Committee | Notification 2011/004. Decision 15.23 | All Parties | 17 February 2011 for PC19 and 19 May 2011 for AC25. | No standard reporting format | Animals Committee and Plants Committee | Lack of contributions by Parties will hamper Scientific Committee's ability to produce relevant guidance and improve and enhance non-detriment finding process. | Low | | | 3. Ongoing work | Consult with AC/PC if there is any information they would like to collect through biennial reports. Link to Strategic Indicators Objectives 1-5 Best available information is the basis for NDFS. |
| 30 | Information required regularly for Convention operation | Changes in CITES authorities | Article IX http://www.cites.org/eng/doc/text.shtml#IX | All Parties | No time scale specified (ongoing) | No standard reporting format required | CITES Secretariat - for transmission to all other Parties | Failure to update Secretariat regularly could severely hamper effective implementation of the Convention, both by the Party itself but also more widely. | Medium | | | 2. Implementation | Report as required, not biennial report |
| 31 | Information required regularly for Convention operation | Changes in relevant national law-enforcement agencies responsible for enforcement of CITES | Resolution Conf. 11.3 (Rev. CoP15) | All Parties | No time scale specified (as and when changes are made) | No standard reporting format required | CITES Secretariat | Failure to update Secretariat regularly could severely hamper effective implementation of the Convention, both by the Party itself but also more widely. | Medium | | | 2. Implementation | Report as required, not biennial report |
| 32 | Information required regularly for Convention operation | Sample signatures, sample CITES documents | Resolution Conf. 12.3 (Rev. CoP15) | All Parties | No time scale specified (as and when changes are made) | No standard reporting format required | CITES Secretariat | Failure to update Secretariat regularly could severely hamper effective implementation of the Convention, both by the Party itself but also more widely. | Medium (high for some Parties) | | | 2. Implementation | Report as required, not biennial report |
| 33 | Information required regularly for Convention operation | Invalid, lost, replacement permits | Resolution Conf. 12.3 (Rev. CoP15) http://www.cites.org/eng/res/all/12/E12-09815.pdf | All Parties | No time scale specified (ongoing) | No standard reporting format required | CITES Secretariat | Reduces Parties ability to identify and prevent unregulated and illegal trade to occur | Low | | | 2. Implementation | Report as required, not biennial report |
| 34 | Information required regularly for Convention operation | Reservations | Article XV.3 | All Parties | Within 90 days after the date of the notification by the Secretariat | Notification in writing | Depositary Government | Unless Party enters a reservation within specified time, they will be treated as a Party and expected to observe the regulatory requirements as determined by the species listing on the Appendices. | High (for relevant Parties) | | | 1. Essential | Reporting process in place. Could ask a question in biennial report Section B – do you have any reservations in place and for what species? |
| 35 | Information required regularly for Convention operation | Proposals, and inclusion of species in Appendix III | Article XVI, and Resolution Conf. 9.25 (Rev. CoP15) | All Parties | No time scale specified | Some guidance in Notification about level of consultation required | CITES Secretariat | lack of cooperation of the Parties through Appendix II listing will reduce ability to control illegal trade | Medium (for relevant Parties) | | | 3. Ongoing work | Process in place |
| 36 | Information required regularly for Convention operation | Registration of operations that artificially propagate or breed in captivity specimens of Appendix-I species for commercial purposes; | Resolution Conf. 12.10 (Rev. CoP15) | All Parties which have commercial breeding operations for Appendix I species | No time scale specified (ongoing) | Standard reporting format provided in Annex 1 & 3 of Res Conf 12.10 | CITES Secretariat - for transmission to all other Parties | making specimens readily available, captive bred specimens of species included in Appendix I has the potential to reduce the collecting pressure on wild populations and, thus, has a positive effect on their conservation status | Low – compared to number of operations breeding and trading in Appendix I species. | | | 2. Implementation | Report as required, not biennial report |
| 37 | Information required regularly for Convention operation | Registration of scientific institutions entitled to exemption | Article VII, paragraph 6 | All Parties | No time scale specified (ongoing) | No standard reporting format | Management Authority of Party in question | Unnecessary administrative burden for scientific institutions. Discourages exchange and loan of scientific specimens and may result in duplicate specimens being acquired from the wild unnecessarily. | Medium (high for some Parties) | | | 2. Implementation | Refer to comment in line 26 This is a duplicate report |
| 38 | Information required regularly for Convention operation | Information on nurseries involved in the export of CITES-listed plants | Resolution Conf. 9.19 (Rev. CoP15) Registration of nurseries | All Parties which have nurseries involved in export of CITES species | No time scale specified (ongoing) | Standard reporting format provided in Annex 1 & 2 of Res conf 9.19 | CITES Secretariat - for inclusion in its Register | making specimens readily available, the artificial propagation of specimens of species included in Appendix I reduces the collecting pressure on wild populations and, thus, has a positive effect on their conservation status | Low (medium or high for some Parties) | | | 2. Implementation | Report as required, not biennial report |
| 39 | Information required regularly for Convention operation | Compliance procedures – responses to communications relating to Article XIII | Resolution Conf. 14.3 | All Parties | As soon as possible upon receipt of communications | No standard reporting format required | CITES Secretariat | consistent and effective handling of compliance matters relating to obligations under the Convention is made more difficult if reporting procedures not observed in timely fashion | High | | | 2. Implementation | Report as required, not biennial report |
| 40 | Information required regularly for Convention operation | Personal and household effects exemption | http://www.cites.org/eng/notif/2006/E041.pdf http://www.cites.org/eng/res/all/10/E10-20C15.pdf | | | | | | | | | 2. Implementation | Add question in Section B – do you implement Article VII.3 exemption? Could trigger a chat questionnaire in order to provide additional information to Parties Reports currently available as a reference list on CITES website. |
| 41 | Information required regularly for Convention operation | Cross border movement of pets | http://www.cites.org/eng/notif/2006/E041.pdf http://www.cites.org/eng/res/all/10/E10-20C15.pdf | | | | | | | | | 2. Implementation | See comment in 40 as this is the Article VII.3 exemption for live pets |
| 42 | Information required regularly for Convention operation | Establishment of export quotas | http://www.cites.org/eng/res/all/14/E14-07R15.pdf | | | | | | | | | 2. Implementation | Report as required, not biennial report |